

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Diana Hynek 05/09/2003  
Departmental Paperwork Clearance Officer  
Office of the Chief Information Officer  
14th and Constitution Ave. NW.  
Room 6625  
Washington, DC 20230

In accordance with the Paperwork Reduction Act, OMB has taken the following action on your request for approval of the reinstatement of an information collection received on 01/17/2003.

TITLE: Application for Commission in the NOAA Officer Corps

AGENCY FORM NUMBER(S): 56-42,56-42A,56-42C,56-42D

ACTION : APPROVED WITH CHANGE

OMB NO.: 0648-0047

EXPIRATION DATE: 05/31/2006

BURDEN:	RESPONSES	HOURS	COSTS(\$,000)
Previous	0	0	0
New	600	184	5
Difference	600	184	5
Program Change		184	5
Adjustment		0	0

TERMS OF CLEARANCE: None

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OMB Authorizing Official Title

Donald R. Arbuckle Deputy Administrator, Office of  
Information and Regulatory Affairs

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# PAPERWORK REDUCTION ACT SUBMISSION

**Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

1. Agency/Subagency originating request	2. OMB control number <span style="float: right;">b. <input type="checkbox"/> None</span> a. _____ - _____
3. Type of information collection ( <i>check one</i> ) a. <input type="checkbox"/> New Collection b. <input type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note Item A2 of Supporting Statement instructions	4. Type of review requested ( <i>check one</i> ) a. <input type="checkbox"/> Regular submission b. <input type="checkbox"/> Emergency - Approval requested by _____ / _____ / _____ c. <input type="checkbox"/> Delegated
	5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input type="checkbox"/> No
	6. Requested expiration date a. <input type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other Specify: _____ / _____
7. Title	
8. Agency form number(s) ( <i>if applicable</i> )	
9. Keywords	
10. Abstract	
11. Affected public ( <i>Mark primary with "P" and all others that apply with "x"</i> ) a. ___ Individuals or households d. ___ Farms b. ___ Business or other for-profit e. ___ Federal Government c. ___ Not-for-profit institutions f. ___ State, Local or Tribal Government	12. Obligation to respond ( <i>check one</i> ) a. <input type="checkbox"/> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
13. Annual recordkeeping and reporting burden a. Number of respondents _____ b. Total annual responses _____ 1. Percentage of these responses collected electronically _____ % c. Total annual hours requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____	14. Annual reporting and recordkeeping cost burden ( <i>in thousands of dollars</i> ) a. Total annualized capital/startup costs _____ b. Total annual costs (O&M) _____ c. Total annualized cost requested _____ d. Current OMB inventory _____ e. Difference _____ f. Explanation of difference 1. Program change _____ 2. Adjustment _____
15. Purpose of information collection ( <i>Mark primary with "P" and all others that apply with "X"</i> ) a. ___ Application for benefits e. ___ Program planning or management b. ___ Program evaluation f. ___ Research c. ___ General purpose statistics g. ___ Regulatory or compliance d. ___ Audit	16. Frequency of recordkeeping or reporting ( <i>check all that apply</i> ) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input type="checkbox"/> Reporting 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input type="checkbox"/> Other (describe) _____
17. Statistical methods Does this information collection employ statistical methods <input type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency Contact (person who can best answer questions regarding the content of this submission)  Name: _____ Phone: _____

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)

Signature

Date

Signature of NOAA Clearance Officer

Signature

Date

**SUPPORTING STATEMENT  
APPLICATION FOR A COMMISSION IN THE NOAA OFFICER CORPS  
OMB CONTROL NO. 0648-0047**

**A. JUSTIFICATION**

**1. Explain the circumstances that make the collection of information necessary.**

The NOAA Corps is the seventh, and smallest, uniformed service of the United States. The current authorized end strength is 299 officers on the active duty list. Officers serve under Senate-confirmed appointments and Presidential commissions. Officers may resign their commissions, or may request voluntary retirement when eligible. Each resignation or retirement creates a vacancy on the list of active duty officer, requiring a regular recruiting effort. It is this recruiting effort that makes the collection of appropriate personal, educational, professional qualifications information necessary in order to reach informed decisions on applicants.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used.**

The information to be collected by this application form comprises, in substantial part, the initial information from which appointment recommendations will eventually be made. Applicants may apply on a year round basis, but the primary period of collection precedes the summer and winter college graduation periods. Candidate information provided through this form is used by the NOAA Commissioned Personnel Center (personnel office) and the NOAA Officer Personnel Board (selection board). This information is used by these bodies to assess whether a candidate meets or exceeds the statutory minimum appointment qualifications and other legal obligations of citizenship. For instance, there is a legal obligation to register for the Selective Service and this fact must be established before any candidate may be offered an appointment. Similarly, no candidate may be appointed who is not also a lawful U.S. citizen. Proof of citizenship must be established. Applicants who have prior uniformed service are eligible for appointment. The details of their prior service contribute to determining the grade in which they may be originally appointed, as well as what additional entitlements may accrue to them. One portion of the form, 56-42C, is used as a screen-out tool to identify those individuals who do not meet the minimum standards for visual acuity and colorblindness that are established by the medical standards for appointment. A completed application is used to examine, rate and/or assess the level of qualification, suitability, and availability of candidates for appointment.

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

This NOAA Commissioned Personnel Center utilizes paper forms in its information gathering efforts to build and maintain an applicant pool to fill future vacancies. Fillable electronic forms are available for use as a source form, however, the information is not transmitted in the

electronic format. It must be printed and submitted as a paper form. Because of the nature and frequency of the selection board proceedings, paper forms are the only feasible and efficient means of obtaining and assessing this information.

**4. Describe efforts to identify duplication.**

This is a unique applications and there is no duplication with other efforts.

**5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

N/A

**6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

NOAA Corps could not collect the information needed to support authorized appointment of applicants for commissions in the Corps.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

None

**8. Provide a copy of the PRA Federal Register notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

A Federal Register Notice (copy attached) solicited public comment on this collection. No comments were received.

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

None

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

Under DOC/NOAA Privacy Act Systems of Records: COMMERCE/NOAA-1

System name: Applicants for the NOAA Corps--Commerce/NOAA-1.

System location: Office of the Director, NOAA Corps, National Oceanic and Atmospheric Administration, Rockville, Maryland 20852.

Categories: Applicants for appointment in the NOAA Corps.

Records: Name, social security number, letters of reference, physical examinations, college transcripts, statements of prior military service, recruiting officer's appraisal, personal resumes, and similar data necessary to be considered for a commission in the NOAA Corps.

Authority: 33 U.S.C. 853i; 853j; 853j-1; 853t; 854; 854a-1.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: See routine use paragraphs of Prefatory Statement. General routine use No.12 does not apply. Also to respond to the applicant, Members of Congress, or others with a valid interest who may inquire as to the status of the application or who may request reconsideration of a rejected application.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

None

**12. Provide an estimate in hours of the burden of the collection of information.**

Estimated Total Annual Burden Hours: 184

100 applications x 1 hr/appl = 100 hours

100 applications x 5 references x 0.167 hr/reference = 84 hours

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection.**

Estimated Total Annual Cost to Public: \$4600.00

100 applicants x \$35/applicant eye exam = \$3500.00

100 applicants x \$11/applicant postage or delivery charge = \$1100.00

**14. Provide estimates of annualized cost to the Federal government.**

Total annualized cost for recruiting/selection effort: \$79700.00

Recruiting officer salary + travel = \$60000.00

Clerical support = \$9000.00  
Selection Board proceedings (2/year) = \$10700.00  
(includes salaries, travel, and logistical costs)

**15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB 83-I.**

This is a program change for reinstatement of approval.

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

N/A

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

N/A

**18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.**

N/A



Furnishing this data is voluntary for use in determining your qualifications for appointment in the NOAA Corps.

NOAA Form 56-42 (01-91)	U.S. DEPARTMENT OF COMMERCE NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
<b>APPLICATION FOR A COMMISSION IN THE NOAA OFFICER CORPS</b>	Date of this application
INSTRUCTIONS – Type or print neatly in ink. Answer all questions completely by checking boxes or entering the information required. Write “None” in any blank not applicable to you. Read “Certification” at the end of this form before entering required data. If more space is needed to make entry in “Section IX” or add additional sheets. Complete form in duplicate.	
<b>I. PERSONAL DATA</b>	
1. Last Name-First Name-Middle Name (Explain variations from birth certificate in Section IX.)	
2. Social Security No.	
3. Present address	
4. Selective Service Registration If you are a male born after December 31, 1959, you must (subject to certain exceptions) be registered with the Selective Service System. (Please check one)	
City	State      Zip
Phone: (with area code):	
5. Permanent address	
6. Birth Date (Mo-Day-Yr)	
7. Birth place (city and state or foreign country)	
8. Citizenship	
a. Citizen of what country?	
b. How was citizenship acquired? <input type="checkbox"/> Birth <input type="checkbox"/> Naturalization	
c. If naturalized	
Certificate No.      Place and court      Date	
9. Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	10. Marital Status
11. No. of dependents	
<b>II APPOINTMENT DATA</b>	
12. Date available for commissioning:	
13. Assignment acceptable only in certain locations: <input type="checkbox"/> No <input type="checkbox"/> Yes – If yes, list locations:	
14. Willingness to relocate: <input type="checkbox"/> Not at all <input type="checkbox"/> Every two to three years	
<b>III. EDUCATIONAL INFORMATION (Include graduate degree(s) or credits earned toward graduate degree)</b>	
15a. College or University	
Name	Location
Degree program (i.e. BSCE etc.)	Credits earned
Sem. Hrs.	Qtr. Hrs.
Years attended	Graduated or will graduate (dd/mm/yr)
Major	
15b. GPA (undergraduate) ___ (A=4.0) (graduate)	
15c. Specialty(ies) within major field:	
15d. Semester or quarter hours earned in the following subjects:	
Calculus	Physics
Total Science, Math and Engineering Hours	
16. Courses (and credit hours) in progress or proposed prior to graduation:	
17a. Percentage of college expenses:	
Earned	Scholarships
Family	Other
17b. Special educational honors, scholarships, professional societies, fraternities, etc.	
<b>IV. MILITARY BACKGROUND</b>	
18a. Have you ever been rejected for enlistment in any component of the Uniformed Services? No    Yes    If yes, furnish complete details below or in Section IX: <input type="checkbox"/> <input type="checkbox"/>	
18b. Have you ever been rejected for or disenrolled from any program leading to a commission in a uniformed service? (INCLUDE military service academies, federal and state maritime academies, all ROTC programs, Officer Candidate Training classes, platoon leader's course, etc.) No    Yes    If yes, furnish complete details including service, name and location of unit, reason for rejection or disenrollment, dates, etc. If more room is needed, use Section IX. <input type="checkbox"/> <input type="checkbox"/>	

19a. Military, Reserve or National Guard Service  
 (For Type of service, enter C for commissioned, W for Warrant, E for enlisted or R for ROTC service, if none, write None under organization)

Type of service	Organization	Active Duty	Inactive Duty	Service No.	Highest rank or rate	Dates (dd./mm/yr)	
						From	To

19b. Do you have an obligation to any other uniformed service?  Yes  No (If "yes," explain below or in Section IX)

20. Have you ever been discharged from any uniformed service under other than honorable conditions; separated for the good of the service; allowed to resign in lieu of involuntary separation; or demoted for cause?  
 Yes  No (If "yes," furnish complete details below or in Section IX)

21. Are you now or have you ever been in the military service of or been employed by a foreign government?  
 Yes  No If yes, give dates, country and type of service or employment.

22. Are you now or have you ever been a conscientious objector?  
 Yes  No If yes, explain below

**V. A. INTERESTS AND HOBBIES NOT MENTIONED ELSEWHERE**

**V. B. EXPERIENCE** (Start with your present position and work back)

23. May inquiry be made of your present employer regarding your character, qualifications and record of employment?  Yes  No

1	Dates of employment (Month, day and year)		Exact title of position	Number and kinds of employees you supervise
	From:	To:		
Salary or earnings & how paid (hourly, weekly, etc.)		Classification grade (If in Federal Service)	Place of employment (City and state)	Kind of business or organization (Mfgr., accounting, insurance, etc.)
Starting \$	Per:			
Ending \$	Per:	Name and address of employer (Firm, organization, etc.)		Name, title and present address of immediate supervisor
Is employment at a professional level? <input type="checkbox"/> Yes <input type="checkbox"/> No			Reason for wanting to leave:	

Description of work:

<b>2</b>	Dates of employment (Month, day and year)		Exact title of position	Number and kinds of employees you supervise
	From:	To:		
Salary or earnings & how paid (hourly, weekly, etc.)		Classification grade (If in Federal Service)	Place of employment (City and state)	Kind of business or organization (Mfgr., accounting, insurance, etc.)
Starting \$	Per:			
Ending \$	Per:			
Name and address of employer (Firm, organization, etc.)			Name, title and present address of immediate supervisor	
Is employment at a professional level? <input type="checkbox"/> Yes <input type="checkbox"/> No			Reason for wanting to leave:	
Description of work:				

  

<b>3</b>	Dates of employment (Month, day and year)		Exact title of position	Number and kinds of employees you supervise
	From:	To:		
Salary or earnings & how paid (hourly, weekly, etc.)		Classification grade (If in Federal Service)	Place of employment (City and state)	Kind of business or organization (Mfgr., accounting, insurance, etc.)
Starting \$	Per:			
Ending \$	Per:			
Name and address of employer (Firm, organization, etc.)			Name, title and present address of immediate supervisor	
Is employment at a professional level? <input type="checkbox"/> Yes <input type="checkbox"/> No			Reason for wanting to leave:	
Description of work:				

*If you need additional experience blocks, use blank sheets*

**V. C. I AM INTERESTED IN A CAREER IN THE NOAA CORPS BECAUSE:**

(Include a discussion on your feelings about sea duty which will constitute your first tour as well as future assignments in NOAA.)

**VI. SPECIAL QUALIFICATIONS AND SKILLS**

24. Kind of license, or certificate (For example, pilot professional engineer, merchant marine, etc.)	25. State or other licensing authority	26. Year of first license or certificate	27. Year of latest license or certificate
28. Special skills you possess and machines and equipment you can use (for example, computer programming, survey instruments, electronic instruments, etc.)			
29. Special qualifications not covered in application (for example, your most important publications (do not submit copies unless requested), your patents or inventions, public speaking and publications experience, membership in professional or scientific societies, etc.; and honors and fellowships received)			

<b>VII. REFERENCES</b>		
List five persons living in the United States or territories of the United States who are not related to you and who have definite knowledge of your qualifications and fitness for the position for which you are applying. At least four of these should be professors and/or employers.		
Full Name	Present business or home address (Number, street, city, state and zip code)	Business or occupation
<b>VIII. OTHER QUESTIONS</b> (Answer all questions by checking the appropriate box)		
30. Have you ever been barred by the U.S. Civil Service Commission from taking examinations or accepting a civil service appointment? If yes, give dates and reasons for such debarment.	<input type="checkbox"/>	<input type="checkbox"/>
31. Do you receive or have you applied for an annuity from the United States or District of Columbia government under any retirement act or any pension or other compensation for military or naval service? If yes, give details.	<input type="checkbox"/>	<input type="checkbox"/>
32. Have you ever been convicted of an offense against the law or forfeited collateral, or are you now under charges for any offense against the law? (You may omit: (a) traffic violations for which you paid a fine of \$30 or less; (b) any offense committed before your 18 <sup>th</sup> birthday which was finally adjudicated in a juvenile court or under a youth offender law; (c) any conviction the record of which has been expunged under Federal or State law; and (d) any conviction set aside under the Federal Youth Corrections Act or similar State authority) If yes, give details for each incident including date, charge, place, law enforcing authority or type of court and action taken.	<input type="checkbox"/>	<input type="checkbox"/>
33. While in the military service, were you ever arrested for an offense which resulted in a trial by deck court or by summary, special, or general court-martial? If yes, give details for each incident including date, charge, place, law enforcing authority or type of court or court-martial and action taken.	<input type="checkbox"/>	<input type="checkbox"/>
34. Have you ever been demoted, discharged (fired), asked or allowed to resign in lieu of separation for cause, or involuntarily placed in a leave-without-pay status by either a government agency or private industry? If yes, give complete details.	<input type="checkbox"/>	<input type="checkbox"/>
<b>IX. SPACE FOR FURTHER DETAILS OR CONTINUATION OF ANSWERS TO OTHER ITEMS</b> (Include item number) Use additional sheets if needed.		
<b>X. CERTIFICATION</b>		
<i>I Certify that all the statements in this application are true, complete and correct to the best of my knowledge and belief and are made in good faith.</i>		
Signature of applicant (sign in ink)	Date	

## PRIVACY ACT ADVISORY STATEMENT

The Privacy Act of 1974 requires that you be given certain information in connection with the information solicited on the attached forms. The data is required under 33 USC 853t and 854; 5 USC 301; and 44 USC 320. The information requested in this form is utilized to evaluate your qualifications. The furnishing of this data is voluntary for use in determining your qualifications for appointment in the NOAA Corps. Failure to provide the requested data will preclude your consideration for appointment.

THE AUTHORITY FOR THE COLLECTION OF THIS DATA IS: 33 U.S.C. 853i; 853j; 853j-1; 853t; 854; 854a-1

Under DOC/NOAA Privacy Act Systems of Records: COMMERCE/NOAA - routine uses of records maintained in the system, including categories of users and the purposes of such uses: See routine use paragraphs of Prefatory Statement. General routine use No.12 does not apply. Also to respond to the applicant, Members of Congress, or others with a valid interest who may inquire as to the status of the application or who may request reconsideration of a rejected application.

Systems exempted from certain provisions of the act: Pursuant to 5 U.S.C. 552a(k)(5), all investigatory material in the record which meets the criteria of 5 U.S.C. 552a(k)(5) is exempted from the notice, access, and contest requirements (under 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H), and (I), and (f)) of the agency regulations in order to fulfill commitments made to protect the confidentiality of sources, and to maintain access to sources of information which are necessary to determine applicant's suitability for employment in the NOAA Corps.

## PREFATORY STATEMENT OF GENERAL ROUTINE USES

The following routine uses apply to, and are incorporated by reference into, each system of records set forth below:

1. In the event that a system of records maintained by the department to carry out its functions indicates a violation or potential violation of law or contract, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute or contract, or rule, regulation, or order issued pursuant thereto, or the necessity to protect an interest of the Department, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or contract, or rule, regulation or order issued pursuant thereto, or protecting the interest of the Department.
2. A record from this system of records may be disclosed, as a routine use, to a Federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses if necessary to obtain information relevant to a Department decision concerning the hiring or retention of an individual, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.
3. A record from this system may be disclosed, as a routine use, to a Federal, state, or local, or international agency, in response to its request, in connection with the assignment, hiring or retention of an individual, the issuance of a security clearance, the reporting of an investigation of an individual, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.
4. A record from this system of records may be disclosed, as a routine use in the course of presenting evidence to a court, magistrate or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
5. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual when the individual has requested assistance from the Member with respect to the subject matter of the record.
6. A record in this system of records which contains medical information may be disclosed, as a routine use, to the medical advisor of any individual submitting a request for access to the record under the Act and 15 CFR Part 4b if, in the sole judgement of the Department, disclosure could have an adverse effect upon the individual, under the provision of 5 U.S.C. 552a(f) (3) and implementing regulations at 15 CFR 4b.6.
7. Deleted, Reserved.
8. A record in this system of records may be disclosed, as a routine use, to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any state of the legislative coordination and clearance process as set forth in that Circular.
9. A record in this system may be disclosed, as a routine use, to the Department of Justice in connection with determining whether disclosure thereof is required by the Freedom of Information Act 5 U.S.C. 552.
10. A record from this system of records may be disclosed, as a routine use, to a contractor of the Department having need for the information in the performance of the contract, but not operating a system of records within the meaning of 5 U.S.C. 552a(m).
11. Deleted, Reserved.
12. A record in this system may be transferred, as a routine use, to the Office of Personnel Management for personnel research purposes; as a data source for management information; for the production of summary descriptive statistics and analytical studies in support of the function for which the records are collected and maintained; or for related man-power studies.
13. A record in this system of records may be disclosed, as a routine use, to the Archivist of the United States, National Archives & Records Administration (NARA), or his designee, during an inspection of records conducted by NARA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the NARA regulations governing inspection of records for this purpose, and other relevant (i.e., NARA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

The Public reporting burden for this collection of information is estimated to 1 hours (or 60 minutes) per response for the application form and 10 minutes per response for the references. This includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to (NOAA Commissioned Personnel Center, 1315 East-West Highway, Suite 12100, Silver Spring, MD 20910).

## APPLICATION INSTRUCTIONS FOR THE NOAA OFFICER CORPS

Read these instructions carefully before completing the attached forms.

- A. Forms you must submit to the recruiting officer:
1. ONE signed copy of Application for a Commission in the NOAA Officer Corps, NOAA Form 56-42.
    - a. Fill in completely. If item is not applicable, write "N/A".
    - b. List all previous employment including part-time or summer jobs.
    - c. Item 19, military service, must be answered. If this section does not apply to you, put "NONE" under organization. If applicable, provide a description of significant military experience in Section V.B.
    - d. References should be the same as those completing the reference forms. (See B2)
  2. ONE completed statement of Visual Acuity Form, NOAA Form 56-42C. Any cost involved in obtaining this information must be borne by you.
- B. The following must be forwarded directly to the recruiting officer:
1. Certified official transcript(s) of all your college records to be forwarded by the school(s).
  2. Reference Letters, NOAA Form 56-42D, should be from the five references named in the application (NOAA Form 56-42). A minimum of 3 reference letters are required to complete the application package, but 5 are preferred. Reference letter forms, with envelopes addressed to the recruiting officer, are enclosed for return mail from each reference. References should be RECENT professors and/or employers who can attest to your particular professional qualifications and background. *Make sure your reference's name and address are shown after "TO" and your name is listed after "RE" on each letter.* (For those downloading these forms on-line, the completed reference letters should be mailed to: Recruiting Office, NOAA Commissioned Personnel Center, 1315 East-West Highway, Room 12100, Silver Spring, MD 20910.)
  3. Certified copy of any Report of Transfer or Discharge (DD Form 214) and Discharge Certificate received from an Armed Force.
- C. Be sure to check the application forms for errors and unanswered questions. Any forms completed incorrectly will be returned to the applicant for corrections, thus delaying the final outcome of the application. If this package has been mailed to you, your complete application may be returned, postage free, in the envelope provided.

Normally, processing of an application requires two to four months from the time all documents are returned.

If you have not done so, you must schedule a personal interview with a NOAA Corps Recruiting Officer.

NOTE: The Privacy Act of 1974 requires that you be given certain information in connection with the information solicited on the attached forms. The data is required under 33 USC 853t and 854; 5 USC 301; and 44 USC 3101. The furnishing of this data is voluntary for use in determining your qualifications for appointment in the NOAA Corps. Failure to provide the requested data will preclude your consideration for appointment.

*Routine uses of records maintained in the system, including categories of users and the purposes of such uses:* To respond to the applicant, members of Congress, or others with a valid interest who may inquire as to the status of the application or who may request reconsideration of a rejected application.

NOAA FORM 56-42C  
(07-02)

U.S. DEPARTMENT OF COMMERCE  
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

STATEMENT OF VISUAL ACUITY  
(SEE PRIVACY ACT STATEMENT)

First Name

Middle Initial

Last Name

*To whom it may concern:*

*The bearer of this form is applying for appointment as a commissioned officer in the National Oceanic and Atmospheric Administration. To assist us in determining this individual's physical qualifications for such appointment, the following visual acuity information is needed:*

Distant vision (Snellen)

	Uncorrected (unaided)	Corrected
RIGHT EYE	20/	20/
LEFT EYE	20/	20/

COLOR VISION (Please indicate test used and results)

Test used:

Total number of plates:

Number of plates correct:

Remarks or other tests:

Examiner (Signature)

Address (include street, city, state and zip code)

Date

Phone Number (include area code)



U.S. DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
Silver Spring, MD 20910

RE:

TO:

Dear

The individual named above is applying for an appointment in the Commissioned Corps of the National Oceanic and Atmospheric Administration, one of the seven uniformed services, and has furnished your name as a reference.

Appointment standards require that applicants be technically oriented college graduates who are mature, intelligent and possess high moral standards. Those who are selected will have an opportunity to receive training and schooling in technical fields to improve and advance their knowledge and skills in subjects essential to the Nation's interest.

Commissioned personnel who cannot adjust to service life must be discharged, causing emotional distress to the individual as well as loss to the Government. By giving your frank opinion of the service potential of the applicant, you will be rendering service to both the applicant and the National Oceanic and Atmospheric Administration.

Your responses to the questions listed on the reverse side of this letter will be of invaluable assistance in determining the service potential of the applicant. Any additional comments you may wish to make regarding the applicant's character, background and activities will be most appreciated.

Please mail the form to the Recruiting Office at the following address:

Recruiting Office  
NOAA Commissioned Personnel Center  
1315 East-West Highway, Room 12100  
Silver Spring, MD 20910

Sincerely,

A handwritten signature in cursive script that reads "Cecile Daniels".

LT Cecile Daniels, NOAA  
Recruiting Officer



<b>I. PERSONAL DATA</b> – Please answer the following questions:						
1. What is your relationship to the applicant?						
2. How long have you known the applicant?						
<b>II. EMPLOYMENT DATA</b> – If you are familiar with the applicant's employment, please answer the following questions to the best of your knowledge and comment where applicable.						
1. What is the highest position applicant held in professional employment?						
2. Why did applicant leave job?						
3. Has applicant ever been discharged for inefficiency, neglect of duty or moral unfitness? If yes, please comment:					No	Yes
<b>III. GENERAL RATING</b> – Please rate applicant on the factors stated below:						
Factors to be considered	Very Good	Good	Acceptable	Poor	Check Best Trait	
1. Reliability						
2. Ability to work harmoniously with others						
3. Emotional stability						
4. Integrity						
5. Ability to adjust to reassignments and relocations						
6. Self-confidence						
7. Initiative						
8. Leadership						
9. Trust involving security of the United States						
10. Graduate school potential (This factor is to be rated by PROFESSOR or ACADEMIC HEAD only)						
11. Overall Rating: Using the scale of 0 to 9, please rate the applicant in the box to the right (with "0" a rating of very poor and "9" a rating of exceptionally outstanding. Thus, a rating of 5 might be for a person with a middle-of-the-class scholastic record with half the above factors marked "Good" and half marked "Very Good")					Rating (0-9)	
<b>IV. REMARKS:</b>						
Privacy Act of 1974 requirement: Please check the box to the right if you request that your identity be held in confidence:					Yes, please keep my identify held in confidence	
Signature			Title		Date	



-CITE-

33 USC Sec. 853t

01/02/01

-EXPCITE-

TITLE 33 - NAVIGATION AND NAVIGABLE WATERS

CHAPTER 17 - NATIONAL OCEAN SURVEY

SUBCHAPTER I - GENERAL PROVISIONS

-HEAD-

Sec. 853t. Original appointments

-STATUTE-

(a) Eligible grades; examination; revocation of commission

Original appointments may be made in grades up to and including lieutenant after passage of a mental and physical examination given in accordance with regulations prescribed by the Secretary of Commerce: Provided, That the President, under such regulations as he may prescribe, may revoke the commission of any officer appointed under this section during his first three years of service if he is found not qualified for the service.

(b) Lineal list

Any person appointed under authority of this section shall be placed on the lineal list of active duty officers in a position

commensurate with his age, education, and experience in accordance, with regulations prescribed by the Secretary of Commerce.

(c) Basic pay service credit

(1) For the purposes of basic pay any person appointed under this section to the grade of lieutenant or lieutenant (junior grade) shall be considered as having, on date of appointment, three years or one and one-half years service respectively.

(2) If a person appointed under this section is entitled to credit for the purpose of basic pay under other provision of law which would exceed that authorized by subsection (c)(1) of this section he shall be credited with that service in lieu of the credit provided by subsection (c)(1) of this section.

-SOURCE-

(June 3, 1948, ch. 390, Sec. 23, as added Pub. L. 87-233, Sec. 1(h), Sept. 14, 1961, 75 Stat. 506.)

-TRANS-

DELEGATION OF FUNCTIONS

Functions of President under subsec. (a) delegated to Secretary of Commerce, see section 1(g) of Ex. Ord. No. 11023, May 28, 1962, 27 F.R. 5131, set out as a note under section 301 of Title 3, The President.



-CITE-

33 USC Sec. 854

01/02/01

-EXPCITE-

TITLE 33 - NAVIGATION AND NAVIGABLE WATERS

CHAPTER 17 - NATIONAL OCEAN SURVEY

SUBCHAPTER I - GENERAL PROVISIONS

-HEAD-

Sec. 854. Qualification of officers for appointment or promotion  
generally

-STATUTE-

No person shall be appointed ensign and no commissioned officer shall be promoted to a higher permanent grade on the active list until he has passed a satisfactory mental and physical examination in accordance with regulations prescribed by the Secretary of Commerce.

-SOURCE-

(May 22, 1917, ch. 20, Sec. 16, 40 Stat. 88; June 21, 1955, ch. 172, Sec. 2(a), 69 Stat. 169.)

-COD-

### CODIFICATION

Section is part of section 16 of act May 22, 1917. Portions of the original text were omitted as temporary and executed. Section 16 is also classified to sections 855, 856, 857, and 858 of this title.

-MISC3-

### AMENDMENTS

1955 - Act June 21, 1955, struck out certain obsolete titles of positions.

-SECREP-

### SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 854a-1 of this title.



[Search USC](#), [About Database](#), [Download USC](#), [Classification Tables](#), [Codification](#)

a monthly tuna storage removal report; 60 minutes for a monthly tuna receiving report; and 30 minutes for a special report documenting the origin of tuna (if requested by the NOAA Administrator).

*Estimated Total Annual Burden Hours:* 144.

*Estimated Total Annual Cost to Public:* \$847.

#### IV. Request for Comments

Comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: May 16, 2002.

#### Gwellnar Banks,

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 02-12777 Filed 5-21-02; 8:45 am]

BILLING CODE 3510-12-S

#### DEPARTMENT OF COMMERCE

##### National Oceanic and Atmospheric Administration

[I.D. 051702B]

##### Proposed Information Collection; Comment Request; Application for Commission in the NOAA Officer Corps

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA).

**ACTION:** Notice.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Pub. L. 104-13 (44 U.S.C. 3506 (c)(2)(A)).

**DATES:** Written comments must be submitted on or before July 22, 2002.

**ADDRESSES:** Direct all written comments to Madeleine Clayton, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6086, 14th and Constitution Avenue NW, Washington DC 20230 (or via Internet at MClayton@doc.gov).

**FOR FURTHER INFORMATION CONTACT:** Requests for additional information or copies of the information collection instrument(s) and instructions should be directed to Lt. Cecile Daniels, 301-713-3444, ext. 119, or Cecile.Daniels@noaa.gov.

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

The NOAA Corps is the smallest of the seven uniformed services of the United States and is an integral part of NOAA. The NOAA Corps provides a cadre of professionals trained in engineering, earth sciences, oceanography, meteorology, fisheries science, and other related disciplines who serve in assignments within the five major Line Offices of NOAA. Persons wishing to obtain a NOAA Corps Commission must submit an application package, including an eye test and five references.

##### II. Method of Collection

Paper forms are submitted via mail in postage-paid envelopes.

##### III. Data

*OMB Number:* 0648-0047.

*Form Number:* NOAA Forms 56-42, 56-42A, 56-42C, 5-42D.

*Type of Review:* Regular submission.  
*Affected Public:* Individuals or households.

*Estimated Number of Respondents:* 130.

*Estimated Time Per Response:* 2 hours.

*Estimated Total Annual Burden Hours:* 260.

*Estimated Total Annual Cost to Public:* \$5,850.

##### IV. Request for Comments

Comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques

or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: May 16, 2002.

#### Gwellnar Banks,

*Management Analyst, Office of the Chief Information Officer.*

[FR Doc. 02-12778 Filed 5-21-02; 8:45 am]

BILLING CODE 3510-22-S

#### DEPARTMENT OF COMMERCE

##### National Oceanic and Atmospheric Administration

[I.D. 050102H]

##### Taking and Importing of Marine Mammals

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice of embargo for Peru.

**SUMMARY:** On May 17, 2002, the Assistant Administrator for Fisheries, NMFS, acted to prohibit the importation into the United States from Peru of yellowfin tuna and yellowfin tuna products harvested by purse seine in the eastern tropical Pacific Ocean (ETP). NMFS is required to take this action because Peru harvests tuna in the ETP with purse seine vessels with greater than 400 short tons (362.8 metric tons) carrying capacity and has not received an "affirmative finding" as required by regulations. This ban remains in effect for Peru until an affirmative finding has been granted by the Assistant Administrator.

**DATES:** Effective May 17, 2002.

#### FOR FURTHER INFORMATION CONTACT:

Allison Routt, Southwest Region, NMFS, 501 West Ocean Boulevard, Suite 4200, Long Beach, CA, 90802-4213, Phone 562-980-4020, Fax 562-980-4027.

**SUPPLEMENTARY INFORMATION:** In order to export to the United States yellowfin tuna harvested by purse seine in the ETP, the Marine Mammal Protection Act (MMPA) requires a nation that has purse seine vessels with over 400 short tons (362.8 metric tons) carrying capacity fishing for tuna in the ETP (i.e., a harvesting nation) submit documentary evidence to the Assistant Administrator requesting an affirmative finding. The process for such requests is described in regulations at 50 CFR 216.24(f)(9). Based