Type-safe Trading Proxies Using TORBA

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Abstract

Nowadays, Autonomous Distributed Systems, such as large-scaled telecom and manufacturing applications, rely on the use of middleware. In order to find back resources and to interconnect applications, the middleware has to provide a trading function. Unfortunately, standard traders such as the ODP/OMG CosTrading service, are error-prone due to the lack of type checking at compilation time, but only performed at runtime. In order to address this problem, we have defined the Trader Oriented Request Broker Architecture *(TORBA) to provide a trading framework and its associated tools, which tend to offer type-safe trading operations that are simple to use from applications and checked at compilation time. Based on the concept of* Trading Contracts*, a resource is described using the* TORBA Definition Language*, and then compiled to generate trading proxies offering simple interfaces to applications. The example used in this paper clearly states the benefits brought by the TDL trading contracts: type checking at compilation time, simple to use, and providing a powerful and reliable framework for CORBA object trading.*

1 Introduction

Nowadays, building, deploying, and running Autonomous Distributed Systems (ADS), such as large-scaled telecom and manufacturing applications, rely on a set of services/functions offered by standard middleware like the *Common Object Request Broker Architecture* [11] (CORBA) of the Object Management Group (OMG), the *Distributed Component Object Model* [3] (DCOM) of Microsoft, and more recently the *Java Remote Method Invocation* [14] (RMI) of Sun Microsystems. The main functions of such middleware solutions are synchronous communication using operation invocation, asynchronous communication through message or event passing, transaction monitors, security, persistence, and resource trading. A middleware trading function tends to provide a means to discover resources available in a distributed system, in order to dynamically interconnect at runtime the various components of an ADS. This paper proposes an innovative framework named *Trader Oriented Request Broker Architecture* (TORBA) to trade resources, as distributed objects, over CORBA.

The trading function has been studied both in academic projects and industrial products. Some projects have focussed on the interest of using such a function in a large scale context in order to share resources [8]. In 1993, the ANSA consortium discussed what the trading function should be [2]. More recently, Sun Microsystems has defined a trading function, included in the *Jini* context [1]. Based on the easiness, with Java, to serialize objects, this trading function allows ADS to retrieve serialized objects (like network stubs or complete services). Other research works have focussed on traders federations, performance, and scalability [15].

In order to standardize middleware trading function, the *International Standardization Organization* (ISO) in its *Open Distributed Processing* [5] (ODP) activity and the *Object Management Group* (OMG) have defined a specification of the functional interfaces of such a function [9] using the OMG *Interface Definition Language* (OMG IDL). This specification is mainly based on the work previously performed by the ANSA consortium [2] and the DSTC [17]. It defines a set of generic APIs for ADS to export and search CORBA object references in a standard and portable way, whatever the underlying implementation. Unfortunately, these APIs are quite complex to use and very technical. Moreover, using these APIs does not provide trading request type checking at compilation time, but only at runtime.

The objective of our work is to define and to offer a type-safe trading environment being easy to use from ADS upon CORBA. In that, we have defined the *trading contract* concept used to describe typed properties (object characterizations) as well as query operations to be used by ADS. The *TORBA Definition Language* (TDL) is used to define these contracts. Then, it is compiled to generate trading proxies offering simple specialized interfaces to be used from client applications. The use of these interfaces is checked at compilation time, based on their types (i.e. operation synopsis). Furthermore, these proxy implementations completely hide the technical complexity of the ODP/OMG trader interfaces.

Section 2 of this paper presents an overview of the ODP/OMG CosTrading service. This overview focuses on trading offer typing and use of the query operation, in order to outline their drawbacks: technical complexity and lack of type checking. Section 3 discusses the *trading contract* concept, the TDL language, the proxy generation and execution process. It also presents the implementation of TORBA, using a printer service as example to underline the benefits of our approach. Section 4 discusses the related work in middleware that inspired TORBA: the proxy concept, the structure of ORBs, and the component-oriented approach. Finally, section 5 summarizes this paper, and in progress as well as fore-coming work directions.

2 The ODP/OMG CosTrading Service

2.1 Overview

The ODP/OMG CosTrading service is similar to a search engine for CORBA object references. Figure 1 presents the CosTrading standard use, composed of four steps. (1) Service designers define their service offer types (see section 2.2). (2) Service providers or application servers characterize and export their service offers using properties describing the service. (3) Service users or client applications search service references using criteria describing their requirements. (4) Once references have been retrieved, clients invoke operations on the services. All these requests—definition, export, lookup, and use—are carried by CORBA.

The CosTrading service provides three main interfaces for applications. The `ServiceTypeRepository` interface is used to define and manage service offer types. The `Register` interface is used to export service offers. Finally, the `Lookup` interface is used to search exported service offers. Other interfaces are also available for administration purposes, like to set the behavior of the trader and its search operation, as well as to build trader federations—offering a potentially large-scaled and unified trading service.

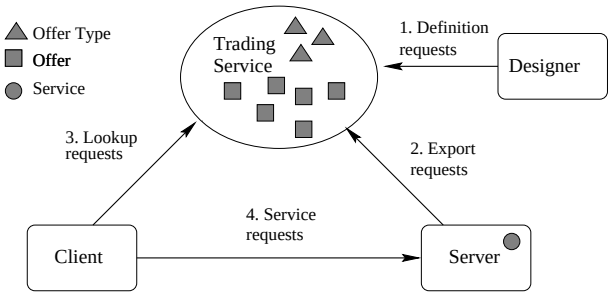

Figure 1. The ODP/OMG CosTrading Service Use.

2.2 Service Offer Types

In the CosTrading, service offers are strongly typed. Any export operation is based on the use of an offer type, similarly lookup operations are performed upon a given type. Typed offers bring two main advantages. First, an application cannot export or search weird offers, but only offers defined at design time. Second, a CosTrading implementation may take advantage of types to improve performance, only searching in offers of a given type instead of in all the offers. This becomes vital when several thousand of offers have been exported. Part of the CosTrading service, the Service Type Repository stores the various service offer types. It also provides type checking when exporting or searching offers. In this repository, a service offer type is characterized using four elements: a name—which is a unique identifier in a trader—, some inherited super types—used with particular rules for redefinition which are not discussed here—, an OMG IDL interface to which exported service references have to conform, and some service properties characterizing the exported service—a name which is also a unique identifier in a service type, an OMG IDL type which characterizes the types of the property values, and a using mode.

Figure 2 presents the OMG IDL interface of a printer service. This service is used in this paper to illustrate various aspects of the trading service and our TORBA proposal.

```
interface PrinterServer {
    void print (in string filename) ;
};
```

Figure 2. OMG IDL of a Simple Printer Service.

The related service offer type is Printer, which is characterized by the four properties presented in Table 1. The `color` property specifies if a printer could print in color or

only in B&W. The `cost_per_page` property contains the cost to print a sheet of paper for this printer. The number of pages per minute a printer can produce is contained in the `ppm` property. Finally, the `name` property is the name of the printer queue.

name	type	mode
color	boolean	normal
cost_per_page	float	normal
ppm	unsigned short	normal
name	string	normal

Table 1. Printer Service Offer Properties.

As stated earlier, it is important to have typed offers. However, dealing with software quality, the CosTrading service lacks a standard language to describe offer types. The only available means is to use the `add_type()` operation of the `ServiceTypeRepository` interface provided by the CosTrading service. Section 3.2 discusses how the *TORBA Definition Language* (TDL) addresses this problem.

```
module CosTrading {
  interface Lookup : TraderComponents,
                     SupportAttributes,
                     ImportAttributes {
    void query
      (in ServiceTypeName  type,
       in Constraint       constr,
       in Preference       pref,
       in PolicySeq        policies,
       in SpecifiedProps   desired_props,
       in unsigned long    how_many,
       out OfferSeq        offers,
       out OfferIterator   offer_itr,
       out PolicyNameSeq   limits_applied)
      raises (...) ;
  };
};
```

Figure 3. The CosTrading Lookup Interface.

2.3 Searching Service Offers

As this paper focuses on the search process, the drawbacks of the export process are not discussed here. However, these drawbacks are similar to those presented in this section.

Once offers have been exported by servers, their references and properties could be retrieved using the CosTrading search operation. Figure 3 presents its `Lookup` interface used to perform searches. The `query` operation allows clients to find back services from the set of exported offers. The argument number of this operation is quite high. This is due to the genericity required by the operation in order to be usable in a wide number of distributed applications.

The range of parameters permits one to define the required offer type, the constraint about offer properties expressed in *OMG Constraint Language* (OCL), and some tuning elements (order of returned offers, strategy to use for the search, properties to be returned for each offer, the iterator to be used, etc). Furthermore, when providing wrong parameter values like an unknown type or property name, the `query` operation raises one of its exceptions (out of ten). Thus, the CosTrading service only checks requests at runtime, while type checking performed at application compilation time would improve software quality. TORBA, as discussed in the following, addresses type checking at application compilation time.

Figure 4 presents how an application, written in OMG IDLscript [12, 7], may retrieve offers about color printers faster than two pages per minute. The `offers`, `iter`, and `limits` variables are initialized to receive the `query()` operation results. The offer type, property constraints, and the result order are provided as strings—which are evaluated at runtime only, explaining the lack of type checking at compilation time.

```
# variables to receive answers
# using the out mode
offers = Holder ()  # returned offers
iter   = Holder ()  # next offers iterator
limits = Holder ()  # limits applied
trader.query
  (# offer type required
   "Printer",
   # OCL for offer constraint
   "color == TRUE and ppm > 2",
   "first",         # answer order
   # use default strategy
   CosTrading.PolicySeq (),
   # properties to be returned
   CosTrading.Lookup.SpecifiedProps
     (CosTrading.Lookup.HowManyProps.some,
      ["name", "color",
      "cost_per_page", "ppm"]),
   # max number and 'out' parameters
   100, offers, iter, limits)
```

Figure 4. Searching offers using IDLscript.

The simplicity of this excerpt relies on the use of the OMG IDLscript language. However, a real application has to set the search strategy, catch and process the potential exceptions, and process the returned results. The latter includes the `offers` sequence processing, and potentially the use of the `iter` iterator to process the following of-

fers. Thus, about fifty lines of Java or C++ are required only to obtain the list of color printers faster than two pages per minute. In that, we claim that the `query` operation is complex and very technical to use. Moreover, the huge use of this operation forces applications to build, invoke, and process many trading requests, introducing code complexity and potential runtime errors. Section 3.3 discusses how TORBA automates this trading related technical code production to simplify and improve application code.

2.4 Review

More than presenting the main operations provided by the ODP/OMG CosTrading service to type and search offers, this section outlined the drawbacks of these functions. First, the CosTrading service does not provide a definition language to define offer types. Such a language is mentioned in the CosTrading specification, however only for an illustrative purpose. The service only relies on the use of a type repository used at runtime. Then, the technicity and complexity of this service have been discussed. In order to benefit from the CosTrading service, it is necessary to master the use of operations like `query` and data structures provided by the service. Finally, using strings to manipulate properties implies runtime type checking and forbids type checking at compilation time. This reduces the easiness to produce reliable applications in an efficient way. To summarize, as any CORBA service, the CosTrading service only offers a set of complete OMG IDL interfaces. This brings the following four questions.

- How to simplify the use of the CosTrading service?
- How to provide type checking at compilation time?
- Which language should be used in order to define offer types?
- Which framework should be applied to trading?

TORBA provides a framework to address these four points as described in the following section.

3 The TORBA Proposal

3.1 The Trading Contract Approach

The objective of TORBA is to provide a simple and type-safe trading facility for CORBA applications. In that, TORBA is based upon the ODP/OMG CosTrading service, taking full advantage of its functionalities like available implementations, complex lookup algorithms, offer persistence, large-scaled trader federations.

Then, the conceptual benefit of TORBA is to define the concept of *trading contracts*. Such a contract is defined at application design time like OMG IDL interface contracts are defined [4]. These contracts take into account offer provider needs as well as client application ones: This results in the definition of trading offer types. First, offer types clearly identify and group together properties (i.e. name and type of the values) characterizing exported CORBA objects conform to a given OMG IDL interface. Second, offer types also contain a list of query operations commonly used in client applications. Such operation is characterized through a synopsis (name and parameters), as well as a boolean constraint to be applied on both parameters and the properties of the associated type. Offer types may be classified using multiple inheritance. Such a classification permits designers to define abstract types, like a device, that could be specialized to concrete types, like a scanner and a printer. Moreover, concrete types can also be inherited to define new query operations exactly meeting requirements of client applications. Using multiple inheritance improves the reuseness of properties and query operations.

The technical benefit of TORBA is to provide a complete generation and execution environment to use trading contracts. Offer types are defined using the *TORBA Definition Language* (TDL). Such definitions are then compiled to generate trading proxies offering to applications easy-to-use OMG IDL interfaces. The use of these specialized interfaces is thus checked at application compilation time. Moreover, proxy implementations fully hide the ODP/OMG CosTrading technicity. Such implementation is generated for several programming languages: OMG IDLscript, Java, and C++.

3.2 The TORBA Definition Language

The *TORBA Definition Language* (TDL) is the formalism to define TORBA trading contracts. Using simple typed constructions, it describes offer types, their inheritance relation, their properties (name and type), as well as query operations (name, parameters, and constraints). Property and parameter types rely upon the OMG IDL type model. Constraints are defined using the OMG Constraint Language (OCL) extended to take into account query operation parameters as well as to offer composition of query operations. TDL is defined as two languages: an XML DTD and a BNF grammar. This paper only illustrates the second one, being more concise and quite familiar to CORBA users. Figure 5 presents an example of offer type definitions.

A trading offer type is defined using the `offer` keyword followed by the type name, and possibly the list of inherited super-types. Basically, a type is concrete: provider could export offers using this type. Then, it has to include an `in-`

```
abstract offer Device {
  property string name ;
  query all () is TRUE ;
};
offer Printer : Device {
  interface PrintService ;
  property boolean       color ;
  property float         cost_per_page ;
  property unsigned short ppm ;

  query colors () is color == TRUE ;
  query faster (in unsigned short s)
    is ppm > s ;
  query faster_colors
    (in unsigned short s)
    is colors () and faster (s) ;
};
```

Figure 5. Trading Offer Type Definition using TDL.

`terface` entry defining the base interface to be supported by exported objects. The `abstract` keyword defines a type as being abstract, no offer may be exported for this type. It will be inherited to define concrete types. The TDL contract of Figure 5 defines two offer types related to the printer example of this paper: The `Printer` concrete type inherits from the `Device` abstract type, and specifies offers for objects implementing the `PrintService` interface (or one of its sub-interfaces). Properties are defined using the `property` keyword followed by an optional access mode (`normal` by default), an OMG IDL type, and a formal name. The `Printer` offer includes the four following properties: the `name` string inherited from `Device`, the `color` boolean, the `cost_per_page` float, and the `ppm` unsigned short. Search operations are defined using the `query` keyword followed by a name, potentially a list of arguments (defined as for OMG IDL operations), and a constraint. The constraint is based upon the properties of the offer type (e.g. the `colors()` query), the properties and the parameters (e.g. the `faster()` query), or a composition of query operations (e.g. the `faster_colors()` query). The `all()` query is defined with `TRUE` as constraint in order to retrieve all the available offers for the `Printer` type. Query operation inheritance has a special semantic: The constraint is kept, however it does not apply on the super-type, but on the inherited type. The operation implementation is implicitly overloaded in generated proxies. When applied to the `Device` type, the `all()` operation returns all the available device offers. When applied to the `Printer` type, it only returns the available printer offers.

This section has illustrated the second TDL formalism (BNF grammar), being simple to learn. This basis will be extended according to the need arising from our experiments. As an example, dynamic properties specification, whose values are computed at runtime and not statically set at exportation time, seems an interesting extension. However, it is important for this language not to become too complex and underused due to this complexity.

3.3 Trading Proxy Generation

Once trading contracts have been defined using TDL, they may be compiled to generate trading proxies for applications, as depicted in Figure 6.

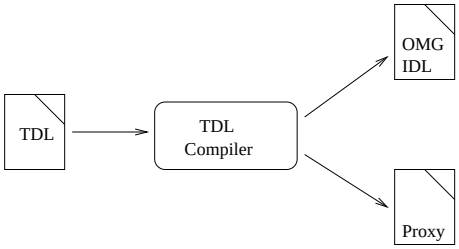

Figure 6. TDL Compilation Process.

The TDL compiler checks both the syntax and the semantic of TDL definitions. Semantic checking controls OMG IDL type correctness, TDL type names and properties, as well as constraints in order to ensure no type related problem could arise at runtime. Proxy OMG IDL interfaces are produced by the TDL compiler, as well as their implementation for a given language—IDLscript, Java and C++. For portability purpose, the TDL compiler is written in the Java language.

3.3.1 Generated OMG IDL Interfaces

Each definition of trading offer type is mapped to an OMG IDL module named as the offer type and containing the five following definitions. The `Offer` structure represents a trading offer. It contains the exported object reference and a field for each property defined in the offer type or its super-types. Field types are those of the service interface and property types as defined in the TDL offer. The `OfferSeq` sequence is used by query operations to return matching offers. `OfferType`, `Export`, and `Lookup` interfaces respectively describe the Service Type Repository access, the export and the lookup proxies. The latter inherits from the `TORBA::Lookup` interface and contains an operation for each query definition. Its also contains a generic but nonetheless typed query operation.

Figure 7 presents an excerpt (the lookup proxy interface) of the OMG IDL definitions generated for the `Printer`

trading contract as defined in Figure 5.

```
#include <TORBA.idl>
module Printer {
  struct Offer {
    PrintService   service ;
    string         name ;
    boolean        color ;
    float          cost_per_page ;
    unsigned short ppm ;
  };
  typedef sequence<Offer> OfferSeq ;

  interface Lookup : TORBA::Lookup {
    OfferSeq query_all () ;
    OfferSeq query_colors () ;
    OfferSeq query_faster
      (in unsigned short s) ;
    OfferSeq query_faster_colors
      (in unsigned short s) ;
    OfferSeq query (in TORBA::Query q)
      raises (TORBA::IllegalConstraint) ;
  };
  // interfaces for type definition
  // and exportation
};
```

Figure 7. OMG IDL Module Generated from the Printer TDL Contract (excerpt)

The `Printer` offer type is mapped to the `Printer` OMG IDL module. The `Offer` structure represents a printer offer. It contains a field for the exported print service, as well as for the `name`, `color`, `cost_per_page`, and `ppm` properties. The lookup proxy `query_all()`, `query_colors()`, `query_faster()`, and `query_faster_colors()` operations represent the queries defined in the `Printer` contract. Parameters are the same as those defined in the contract, while their return type is a printer offer sequence (i.e. `OfferSeq`). The last `query()` operation allows applications to perform searches not defined in the TDL contract. The `TORBA::IllegalConstraint` exception may be raised at runtime if the constraint is malformed.

Experiments have been performed using generation rules presented here, validating these choices. As an example, the `Offer` structure is a good means to perform checking of export and lookup operations at compilation time. However, we also intend to experiment the use of *valuetype*s[1] instead of the structure, as well as using a typed iterator interface instead of the sequence.

[1]Since CORBA 2.3, *valuetype*s permit argument objects to be passed by value instead of by reference.

3.3.2 Generated Proxy Implementation

The generation of proxy implementations depends on the constructions of a given language. However, using an object-oriented language, each OMG IDL interface is implemented by a class inheriting from a base class provided by the TORBA runtime. These classes fully hide the ODP/OMG CosTrading technicity: use of the service interfaces and data structures, as well as exception handling. Such runtime classes provide generic operations used from proxy implementations. For example, the `Printer::Lookup` interface is implemented by the `PrinterProxies.Lookup` class which inherits from the `TORBArt.LookupBase` class (see figure 9). The implementation of the proxy is more discussed in [6].

3.4 Using TORBA Proxies

Figure 8 presents, in OMG IDLscript, the use of a lookup proxy. The first line instantiates the lookup proxy class (i.e. the printer lookup proxy). The second line invokes the query operation to find color printers faster than two pages per minute. This operation realizes the same search processing as the one presented in Figure 4. Simplicity brought up by TORBA becomes clear. The application developer does not bother with the trader technicity, he/she can focus on the use of the trading contract only. Moreover, the operation execution cannot fail as types have been checked by the TDL compiler. It can only return an empty sequence if no offer matches the search and returned offers are typed according to the trading contract. The third line illustrates the option of using a search operation not defined in the trading contract: Searching offers related to B&W printers faster than ten pages per minute, for a cost less than five cents a page. Nevertheless, even if the use of this operation is provided, software engineering quality is improved when all the search requests are defined in the trading contract.

```
lookup = PrinterProxies.Lookup ()
offers1 = lookup.query_faster_colors (2)
offers2 = lookup.query ("color == FALSE
  and cost_per_page < 0.05 and ppm > 10")
```

Figure 8. Printer Search Proxy Use.

3.5 TORBA Proxy Execution

Figure 9 presents the set of objects involved during the execution of a query operation. The lookup proxy object and its CORBA stub are co-located with the application. This latter invokes the proxy operations through its OMG IDL interface. The proxy operation implementation invokes

the TORBA runtime class providing the appropriate constraint. Then, this class invokes the CORBA stub providing access to the ODP/OMG CosTrading service. As a result, the runtime class catches the exceptions and the proxy class translates data from its CosTrading representation to the representation defined in the trading contract. Based on early tests performed using the ORBacus Trader, the overhead introduced by TORBA is, without any ORB specific optimizations in producing TORBA proxies, less than 5%. The explanation is that TORBA introduces local requests only, which are cheap compared to standard remote requests on the CosTrading. In the meantime, the flexibility is not reduced thanks to the generic `query()` operation.

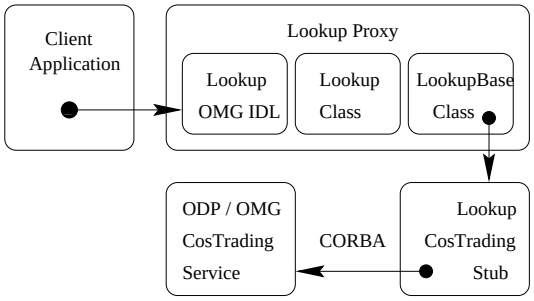

Figure 9. Execution Process of Lookup Proxy Operations.

4 Comparison and Source of Inspiration

To our knowledge, no similar works to our TORBA proposal have been performed to reduce the CosTrading complexity and to increase reliability of trader based applications. However, our original work relies on the use of well-known mechanisms of Distributed Object Computing middleware: the proxy principle, the ORB structure, and the component approach.

The proxy principle has been defined in [13] as a structural concept to build distributed applications, acting on the behalf of a remote object. At the communication level, a proxy (a.k.a. stub) serializes invocations to remote objects like in CORBA [11], DCOM [3], and Java RMI [14] environments. Such a proxy implementation fully hides the technicity related to the serialization process: marshaling of parameters into a network message, care taking of heterogeneity, network layer and error management, and finally unmarshaling the network reply to application data. These proxies are generated based on communication contracts written using an interface definition language (IDL). These IDL descriptions simplify and bring automation to produce the implementation of communication means, increasing the reliability of applications. In the context of TORBA, the communication contract concept, the IDL language, and communication proxies are transposed to trading contracts, the TDL language, and trading proxies. Thus, TDL descriptions simplify and bring automation to produce code related to trading, increasing application reliability.

TORBA is close to CORBA. The OMG IDL language permits designers to describe interface contracts for CORBA objects, while the TDL language permits them to define trading contracts. The OMG IDL language is compiled to produce communication stubs, or to feed the Interface Repository. Similarly, the TDL language is compiled to generate trading proxies, or to feed the trading contract repository (more discussed in [6]). CORBA stubs rely on ORB runtime, encapsulating the GIOP/IIOP protocol, while TORBA proxies rely on the TORBA runtime hiding the `CosTrading` service, as well as the ORB.

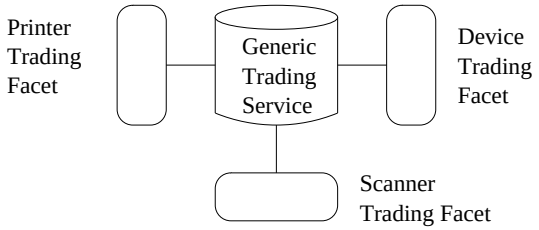

Figure 10. TORBA, towards a 'componentized' trading service.

The component-oriented approach is the last source of inspiration of the TORBA proposal. As an example, the CORBA Component Model [10] defines a component as being a software entity providing multiple interfaces (or facets). Each facet is a point of view on the component, which logically defines a set of operations. In that, TORBA provides access to the generic ODP/OMG trading service through facets dedicated to application requirements. Each generated lookup proxy is a dedicated facet being a point of view on the trading service as depicted in Figure 10.

5 Conclusion

First, this paper has reviewed the ODP/OMG `CosTrading` service. This review has presented the use of the service as being very technical and complex due to the lack of a structured approach. The various drawbacks brought up by the lack of type-checking at compilation time have been underlined. Then, the lack of formalism to define offer types and search operations has been presented as being one of the reasons of the service complexity.

Then, TORBA has been presented as a framework struc-

turing the ODP/OMG trading service use. The conceptual contribution of this paper relies on the definition of the trading contract concept as a paradigm to structure the trading activity. The benefits of the TDL formalism use and its associated tools have been discussed. Using an example, the benefits of TORBA have been illustrated in terms of type checking, simplicity, productivity, and reliability of trading in Autonomous Distributed Systems.

All the elements depicted in this paper have been prototyped and experiments have been performed using IDLscript, Java, and C++, as well as the ORBacus trading service: TDL compilers (BNF and XML versions), proxy generators (OMG IDL, OMG IDLscript, Java, and C++), as well as runtime environments for IDLscript, Java, and C++. The next step is to finalize the TORBA environment in order to release it, and to obtain experiment feedback from end-users.

From now on, we have lots of work in view around TORBA: (1) experiments over other `CosTrading` implementations, (2) measure of the overhead implied by TORBA proxies, (3) experiments of iterators, dynamic properties, and lookup strategies, (4) extension towards asynchronous trading (notification to ADS of newly exported offers), and (5) use of the TORBA approach in the context of Jini, trading serialized objects and not only references.

In the meantime, TORBA is part of our actual research work. We intend to use TORBA in order to experiment the concept of **Component Oriented Trading** (COT) [16]. In that, TORBA would become the basis of TOSCA (*Trading Oriented System for Component-based Applications*), whose goal is to provide an environment to deploy and to administrate Autonomous Distributed Systems.

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