

An Exponential Number of Generalized Kerdock Codes

WILLIAM M. KANTOR*

Department of Mathematics, University of Oregon, Eugene, Oregon 97403

If $n - 1$ is an odd composite integer then there are at least $2^{(1/2)\sqrt{n}}$ pairwise inequivalent binary error-correcting codes of length 2^n , size 2^{2^n} , and minimum distance $2^{n-1} - 2^{(1/2)n-1}$.

1. INTRODUCTION

If a subcode of the second order Reed-Muller code of length 2^n has minimum distance $2^{n-1} - 2^{(1/2)n-1}$ then it has at most 2^{2^n} words. A *generalized Kerdock code* is defined to be such a subcode in which this maximum is attained. Such codes were first constructed by Kerdock [7]. His codes are extended cyclic codes, in the sense that there is an automorphism of order $2^n - 1$ fixing one coordinate and cyclically permuting the remaining ones. In this note we will construct a large number of cyclic generalized Kerdock codes:

THEOREM 1. *If $n - 1$ is odd and composite, then there are more than $2^{(1/2)\sqrt{n}}$ pairwise inequivalent extended cyclic generalized Kerdock codes of length 2^n .*

For the same values of n , we will also construct more than $2^{(1/2)\sqrt{n}}$ pairwise inequivalent generalized Kerdock codes of length 2^n which are not extended cyclic.

There is a well-known formal duality between Kerdock codes and Preparata codes: their weight-enumerators are related in the same manner as are those of a linear code and its dual [5; 8, p. 468]. However, the weight enumerators of all generalized Kerdock codes of length 2^n coincide [8, p. 668], which suggests that the aforementioned apparent relationship is merely a coincidence. It should be noted that fewer than n "generalized Preparata codes" of length 2^n are presently known [1, 6].

* This research was supported in part by NSF Grant MCS 79-03130.

All generalized Kerdock codes also have design-theoretic properties in common. The codewords of each weight in such a code form a 3-design [8, pp. 162, 461].

This article can be regarded as a continuation of [4, 5]: several results found near the beginning of those articles will be used. However, in order to prove Theorem 1 only rough estimates will be required, instead of the precise discussions of equivalence found in those articles.

2. KERDOCK SETS

A binary *Kerdock set* \mathcal{K} is a set of 2^{n-1} binary skew symmetric $n \times n$ matrices, each having zero diagonal, such that the sum of any two is nonsingular. Clearly, n must be even. We will always assume that $0 \in \mathcal{K}$.

Corresponding to each Kerdock set \mathcal{K} is a generalized Kerdock code $C(\mathcal{K})$, defined as follows. Each $M = (\mu_{ij}) \in \mathcal{K}$ determines a quadratic form $Q_M((x_i)) = \sum_{i < j} \mu_{ij} x_i x_j$, where $(x_i) \in \mathbb{Z}_2^n$. Let L denote a linear functional on \mathbb{Z}_2^n . Then $C(\mathcal{K})$ consists of all subsets of \mathbb{Z}_2^n which are the zero sets of functions of the form $Q_M(v) + L(v) + c$, where M ranges through \mathcal{K} , L is an arbitrary linear functional, and c is constant (so $c = 0$ or 1). A proof that this defines a generalized Kerdock code can be found in [2]. Letting $M = 0$, we see that $C(\mathcal{K})$ contains the first order Reed–Muller code C_0 .

LEMMA 1. (i) *Aut $C(\mathcal{K})$ is contained in the group of all affine transformations of \mathbb{Z}_2^n .*

(ii) *Aut $C(\mathcal{K})$ contains all translations $v \rightarrow v + b$ of \mathbb{Z}_2^n .*

(iii) *Aut $C(\mathcal{K})$ is transitive on coordinates.*

Proof. (i) Since C_0 consists of all words in $C(\mathcal{K})$ of weight 0, 2^n or 2^{n-1} , $\text{Aut } C(\mathcal{K}) \leq \text{Aut } C_0$.

(ii) Let $v = (x_i)$ and $M = (\mu_{ij}) \in \mathcal{K}$ with $Q_M(v) = 0$. Set $b = (b_i)$ and $(y_i) = v + b$. Then

$$\begin{aligned} 0 &= \sum_{i < j} \mu_{ij} x_i x_j = \sum_{i < j} \mu_{ij} (y_i + b_i)(y_j + b_j) \\ &= \sum_{i < j} \mu_{ij} y_i y_j + \sum_{i < j} \mu_{ij} b_i y_j + \sum_{i < j} \mu_{ij} b_j y_i + \sum_{i < j} \mu_{ij} b_i b_j, \end{aligned}$$

so that $(y_i) \in C(\mathcal{K})$.

(iii) This is immediate in view of (ii).

LEMMA 2. *Let \mathcal{K} and \mathcal{K}' be Kerdock sets of $n \times n$ matrices. Then*

$C(\mathcal{K})$ and $C(\mathcal{K}')$ are equivalent if and only if there is a nonsingular $n \times n$ matrix A such that the transformation $M \rightarrow AMA^t$ sends \mathcal{K} to \mathcal{K}' .

Proof. Let $g: C(\mathcal{K}) \rightarrow C(\mathcal{K}')$ be an equivalence. Since g sends C_0 to itself, g is induced by an affine transformation of \mathbb{Z}_2^n . By Lemma 1(ii), we may assume that g has the form $v \rightarrow vA^{-1}$ for some nonsingular matrix A^{-1} .

Let $M = (\mu_{ij}) \in \mathcal{K}$, and write $A = (a_{ij})$. If $(x_i) \in C(\mathcal{K})$ and $Q_M((x_i)) = 0$, set $(y_i) = (x_i)A^{-1}$ and compute as follows.

$$\begin{aligned} 0 &= \sum_{i < j} \mu_{ij} x_i x_j = \sum_{i < j} \mu_{ij} \left(\sum_k y_k a_{ki} \right) \left(\sum_l y_l a_{lj} \right) \\ &= \sum_{k, l} \left(\sum_{i < j} a_{ki} \mu_{ij} a_{lj} \right) y_k y_l. \end{aligned}$$

Let $v_{kl} = \sum_{i < j} a_{ki} \mu_{ij} a_{lj}$ and $c_k = \sum_{i < j} a_{ki} \mu_{ij} a_{kj}$. Then

$$0 = \sum_{k < l} v_{kl} y_k y_l + \sum_k c_k y_k.$$

It follows that $(v_{kl}) = AMA^t \in \mathcal{K}'$, as required. The converse is obtained by reversing this argument.

Lemma 2 reduces the proof of Theorem 1 to the construction of sufficiently many Kerdock sets. The next reduction involves orthogonal geometry.

Define the quadratic form Q on \mathbb{Z}_2^{2n} by $Q((x_i)) = \sum_{i=1}^n x_i x_{i+n}$. A vector (x_i) is *singular* if $Q((x_i)) = 0$. Let E be the n -space in \mathbb{Z}_2^{2n} defined by $x_i = 0$ for $i > n$; similarly, let F be defined by $x_i = 0$ for $i \leq n$. Then $Q(E) = Q(F) = 0$: these are *totally singular (t.s.)* n -spaces.

Let \mathcal{K} be any Kerdock set of $n \times n$ matrices, and define $\mathcal{S}(\mathcal{K})$ as follows:

$$\mathcal{S}(\mathcal{K}) = \{E\} \cup \left\{ F \begin{pmatrix} I & 0 \\ M & I \end{pmatrix} \mid M \in \mathcal{K} \right\}.$$

Then $\mathcal{S}(\mathcal{K})$ is an *orthogonal spread*: a family of $2^{n-1} + 1$ t.s. n -spaces such that every nonzero singular vector is in exactly one of them [4, Sect. 5]. Conversely, each orthogonal spread containing E and F produces a Kerdock set: just reverse this construction. Moreover, if $\mathcal{S}(\mathcal{K})$ and $\mathcal{S}(\mathcal{K}')$ are two orthogonal spreads which are inequivalent under the orthogonal group $O^+(2n, 2)$, then Lemma 2 and [4, (5.4)] imply that $C(\mathcal{K})$ and $C(\mathcal{K}')$ are inequivalent codes.

LEMMA 3. Let $\mathcal{S}(\mathcal{K})$ be as above, and assume that there is an orthogonal transformation of order $2^{n-1} - 1$ fixing E and F and cyclically

permuting the remaining members of $\mathcal{S}(\mathcal{K})$. Then $C(\mathcal{K})$ is an extended cyclic code.

Proof. The given transformation can be viewed as a matrix $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$, where A , B and 0 are $n \times n$ matrices. Since Q is preserved, $B = (A^{-1})^t$. The calculation used in Lemma 2 shows that the linear transformation $M \rightarrow AMA^t$ acts on $C(\mathcal{K})$ as desired (compare [4, (5.4)]).

In order to prove Theorem 1, we can now ignore codes and focus on spreads. Thus, Theorem 1 is an immediate consequence of the next result (for $q = 2$).

THEOREM 2. *If q is even and $n-1$ is odd and composite, then an $\Omega^+(2n, q)$ space has more than $q^{(1/2)\sqrt{n}}$ pairwise inequivalent spreads each of which admits an orthogonal automorphism fixing two members and cyclically permuting the remaining ones.*

Here, an $\Omega^+(2n, q)$ space is (up to a change of coordinates) the vector space $GF(q)^{2n}$ equipped with the quadratic form $Q((x_i)) = \sum_{i=1}^n x_i x_{n+i}$. A vector (x_i) or 1-space $\langle(x_i)\rangle$ is called *singular* if $Q((x_i)) = 0$ and *nonsingular otherwise*; and a subspace E is again called *t.s.* if $Q(E) = 0$. A spread of such a space is a family of $q^{n-1} + 1$ t.s. n -spaces partitioning the nonzero singular vectors. Equivalence is defined in terms of the group $\Gamma O^+(2n, q)$ of semilinear transformations preserving Q projectively (cf. [4, Sect. 2]); when $q = 2$ this is just the orthogonal group determined by Q .

There is also a bilinear form $(u, v) = Q(u + v) - Q(u) - Q(v)$ on $GF(q)^{2n}$, and hence a notion of perpendicularity. If S is any subset of $GF(q)^{2n}$ then $S^\perp = \{v \in V \mid (v, S) = 0\}$. If y is any 1-space then $y \subset y^\perp$ (since $(v, v) = 0$ for any vector v), and we can form the quotient space y^\perp/y . This inherits the form (u, v) via $(u + y, v + y) = (u, v)$, but it does not inherit Q if y is nonsingular. A subspace X of y^\perp/y is called *totally isotropic* if $(X, X) = 0$.

For further background, see [4, 5].

3. PROOF OF THEOREM 2

Set $n - 1 = me$, where $e \geq m > 1$.

In [3; 4, Sect. 3], a spread \mathcal{S} of an $\Omega^+(2m + 2, q^e)$ space was constructed (called a desarguesian orthogonal spread). Here, \mathcal{S} admits an orthogonal automorphism g of order $(q^e)^m - 1$ fixing two members of \mathcal{S} and cyclically permuting the others. The proof of Theorem 2 will consist of suitably modifying \mathcal{S} as described in [5, Sect. 2].

The transformation g fixes $q^e - 1$ nonsingular 1-spaces y of the underlying

vector space. The $2m$ -dimensional space y^\perp/y inherits a symplectic structure. The family

$$\Sigma(y) = \{ \langle y, y^\perp \cap X \rangle / y \mid x \in \Sigma \}$$

consists of $(q^e)^m + 1$ totally isotropic $m - 1$ -spaces which partition the set of all nonzero vectors in y^\perp/y . Turn y^\perp/y into a $2me$ -dimensional symplectic space over $GF(q)$ (by following the bilinear form on y^\perp/y with the trace map $GF(q^e) \rightarrow GF(q)$). Then $\Sigma(y)$ becomes a family $\Sigma(y)^e$ of $q^{me} + 1$ totally isotropic me -spaces which still partitions the nonzero vectors in y^\perp/y . Note that g induces a symplectic transformation of y^\perp/y preserving both $\Sigma(y)$ and $\Sigma(y)^e$, and permuting their members exactly as it permutes those of Σ .

Let V be an $\Omega^+(2me + 2, q)$ space. Fix a nonsingular 1-space z of V , and identify z^\perp/z with y^\perp/y . Then the family $\Sigma(y)^e$ determines an essentially unique orthogonal spread Σ^y (called $\mathbf{S}(\Sigma(y)^e)$ in [5, Sect. 2]) such that $\Sigma^y(z) = \Sigma(y)^e$. Moreover, g extends to an orthogonal transformation g^* of V fixing z , preserving Σ^y and permuting Σ^y as required in Theorem 2.

We will show that, as y ranges over the original set of $q^e - 1$ nonsingular 1-spaces in the $\Omega^+(2m + 2, q^e)$ space we started with, Σ^y ranges over sufficiently many pairwise inequivalent $\Omega^+(2me + 2, q)$ spreads.

Consider the symplectic spreads $\Sigma(y)^e$. If N is the number of pairwise inequivalent symplectic spreads of this sort, then $N \geq (q^e - 2)/(2 \log_2 q^e)$ (by [4, (4.2) or (3.5)]). These produce N orthogonal spreads Σ^y . Since $N/(q + 1) > q^{(1/2)\sqrt{n}}$, Theorem 2 is a consequence of the following lemma.

LEMMA 4. *There do not exist $q + 2$ choices $y(1), \dots, y(q + 2)$ for y such that the symplectic spreads $\Sigma(y(i))$ are pairwise inequivalent while the orthogonal spreads $\Sigma^{y(i)}$ are pairwise equivalent.*

Proof. Fix y , and let V , z and g^* be as above. There is a prime $r \mid q^{me} - 1$ such that $r \nmid 2^i - 1$ whenever $1 < 2^i < q^{em}$ [9]. Let $\langle h \rangle$ be a Sylow r -subgroup of $\langle g^* \rangle$. Then $\langle h \rangle$ is also a Sylow r -subgroup of $\Gamma O^+(2me + 2, q)$. Since h induces the identity on both z and V/z^\perp , there is a 2-space Z in V on which h induces the identity. Then h acts on $Z^\perp/(Z \cap Z^\perp)$; using the order of h , we find that $Z \cap Z^\perp = 0$ and Z consists of all vectors fixed by h . Let G consist of all elements of $\Gamma O^+(2me + 2, q)$ preserving Σ^y .

Now consider two further choices y' and y'' such that $\Sigma(y)^e$, $\Sigma(y')^e$ and $\Sigma(y'')^e$ are pairwise inequivalent but such that Σ^y is equivalent to both $\Sigma^{y'}$ and $\Sigma^{y''}$. Define V' , z' , h' , Z' , G' and V'' , z'' , Z'' in the obvious manner. We may assume that $V = V' = V''$.

Let $\phi, \psi \in \Gamma O^+(2me + 2, q)$, where $(\Sigma^{y'})^\phi = \Sigma^y$ and $(\Sigma^{y''})^\psi = \Sigma^y$.

Clearly, $G'^\phi = G$ and $\langle h' \rangle$ is a Sylow r -subgroup of G . Thus, we may

assume that $h'^{\phi} = h$. Then $Z'^{\phi} = Z$. Similarly, we may assume that $Z''^{\psi} = Z$.

The points z , z'^{ϕ} and z''^{ψ} are all different. For example, if $z'^{\phi} = z''^{\psi}$ then $\phi\psi^{-1}$ sends $\Sigma^{y'}(z')$ to $\Sigma^{y''}(z'')$, whereas $\Sigma(y')^e$ and $\Sigma(y'')^e$ are inequivalent.

Thus, if we leave y fixed and vary y' , there are at most q possibilities for z'^{ϕ} . This proves the lemma, and completes the proof of Theorems 1 and 2.

4. CONCLUDING REMARKS

1. Replacing $(q^e)^m - 1$ by $(q^e)^m + 1$ throughout Section 3, we obtain the following result.

THEOREM 3. *If q is even and $n - 1$ is odd and composite, then an $\Omega^+(2n, q)$ space has more than $q^{(1/2)\sqrt{n}}$ pairwise inequivalent spreads, each of which admits an orthogonal automorphism cyclically permuting its $q^{n-1} + 1$ members.*

Moreover, no orthogonal spread arising in Theorem 3 can be equivalent to any appearing in Theorem 2 (by [5, (3.3)]). Similarly, no generalized KerdoCK code arising from Theorem 3 (with $q = 2$) can be extended cyclic.

2. In Section 3, Z has only $q - 1$ nonsingular 1-spaces. The estimates leading to $q^{(1/2)\sqrt{n}}$ are very crude.

3. The KerdoCK sets implicitly constructed in Section 3 are given explicitly in [5, (9.2)]. However, it is not clear how to choose more than $2^{(1/2)\sqrt{n}}$ of them which produce pairwise inequivalent codes.

It seems likely that Σ^y and $\Sigma^{y'}$ are inequivalent whenever $\Sigma(y)$ and $\Sigma(y')$ are.

REFERENCES

1. BAKER, R. D., AND WILSON, R. M., unpublished.
2. CAMERON, P. J., AND SEIDEL, J. J., Quadratic forms over $GF(2)$, *Nederl. Akad. Wetensch. Proc. Ser. A* **76** (1973), 1-8.
3. DYE, R. H., Partitions and their stabilizers for line complexes and quadrics, *Ann. Mat. Pura Appl.* (4) **114** (1977), 173-194.
4. KANTOR, W. M., Spreads, translation planes and KerdoCK sets, I, *SIAM J. Disc. Alg. Methods* **3** (1982), 151-165.
5. KANTOR, W. M., Spreads, translation planes and KerdoCK sets, II, *SIAM J. Disc. Alg. Methods* **3** (1982), 308-318.

6. KANTOR, W. M., On the inequivalence of generalized Preparata codes, *IEEE Trans. Inform. Theory*, in press.
7. KERDOCK, A. M., A class of low-rate non-linear binary codes, *Inform. and Control* **20** (1972), 182–187.
8. MACWILLIAMS, F. J., AND SLOANE, N. J. A., “The Theory of Error-Correcting Codes,” North-Holland, Amsterdam, 1977.
9. ZSIGMONDY, K., Zur Theorie der Potenzreste, *Monatsh. Math. Phys.* **3** (1892), 265–284.