

**Pension Schemes Online
Accounting for Tax by Scheme
Administrator
Response Messages**

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3. About this document

Section 3 outlines the rules that govern the contents of the GovTalkHeader.

Section 4 outlines the rules that govern the contents of the envelope schema. Business validation rules are defined in a separate set of rules for each message. This also contains both the validation and business rules, which will form part of the validation checks that will need to be undertaken by both the HMRC 'e' portal or that developed by third party software suppliers.

Section 5 covers the body response messages. On submission the message is tested against the XML schema by the Parser. XML that successfully passes through the Parser will then be tested against the GovRules, which test the cross-field validation.

This section identifies every element in the business validation rules. In all cases a Parser error will be generated if the submission fails at Parser level. If there is no specific cross-field validation for an element it is simply noted 'Parser error'. Where there is a cross-field validation the error and error message only is detailed.

A bold black line separates each data item table and the error messages contained below this line are state validation.

HMRC will use the following default values within the error structure when Parser errors are located.

Element	Description and Value
RaisedBy	HMRC-ERIC
Number	5012 – all errors caused by schema validation 6010 – all errors from badly-formed XML
Type	business
Text	Default text from Parser
Location	Line and position number from the Parser

And finally section 6 outlines the Success Response Messages.

3. ChRIS Response Messages

This section outlines the rules that govern the contents of the GovTalkHeader.

1. Correlation ID	
Error Number	
Error	A duplicate correlation ID has been submitted in TPVS.
Error Message	You have submitted a duplicate Correlation ID. Please either enter a new unique number or delete the element from your submission. In a live submission this field must be empty.
Error Number	
Error	Null correlation ID.
Error Message	[Chris 1] System error <error number> please contact the helpdesk.

2. Message class	
Error Number	
Error	The class sent does not match the URL.
Error Response Message	You have sent message class <Class> when the URL requires <Class>. Either make your submission to the correct URL or amend the class'.

3. IRenvelope Response Messages

This section outlines the rules that govern the contents of the envelope schema.

Each submission must contain at least one only 1 occurrence of Pension Scheme Tax Reference, but may contain multiple occurrences of Scheme Administrators ID

IRheader

1. Test Message	
Error	Parser level
2. Key	
Error	Parser level
3. Period end	
Error	Parser level
4. Principal	
Error	Parser level
5. Agent	
Error	Parser level

6. Default currency	
Error	Parser level

Manifest

7. Namespace	
Error Number	1031
Error	The entry in the Namespace does not agree with the entry in the GovTalkHeader.
Error Message	[The namespace in the IR header must match the GovTalkHeader] System error please contact the help desk.

8. Schema version	
Error	Parser level

9. Top element name	
Error	Parser level

10. Irmak	
Error	Parser level

11. Sender	
Error	Parser level

PS Envelope

12. Pension Scheme Tax Reference	
Error Number	601122
Error	Accounting For Tax is submitted and the Pension Scheme Tax Reference is present in the body but does not match the Pension Scheme Tax Reference in the Psheader.
Error Message	[BVR Envelope 12] The Pension Scheme Tax Reference in the Psheader does not match the Pension Scheme Tax Reference in the body.
Error Number	701902
Error	The system could not trace the Pension scheme from the PSTR supplied.
Error Message	It has not been possible to trace the scheme.

13. PS Body	
Error	Parser level

3. Body Response Messages

Quarter and Year:

Quarter and Year for Accounting for Tax submissions.

The system shall not accept submission of Accounting for Tax data for:

A quarter / year earlier than 6 April 2006, or earlier than the quarter and year in which the scheme was registered

A quarter that has not ended in the current year; or

A future date

3. 1. Quarter for which tax has been deducted	
Error Number	601101
Error	Present and contains a quarter that has not ended.
Response message	[Accounting for Tax by Scheme Administrator 1] The 'end of quarter for which tax has been deducted' must not be a quarter that has not ended.
Error Number	601100
Error	Present and contains a quarter earlier than 6 th April 2006, or earlier than the year and quarter in which scheme was registered.
Response message	[Accounting for Tax by Scheme Administrator 1] The 'end of quarter for which tax has been deducted' must not be a quarter earlier than 6 th April 2006, or earlier than the year and quarter in which scheme was registered.
Error Number	701905
Error	An AFT submission exists with the same year and quarter and the submission is not an amendment
Response message	An Accounting for Tax return has already been submitted for this period and you have not indicated that this is an amendment.
Error Number	701909
Error	Assessment exists for Year & Quarter.
Response message	An assessment for this period already exists.
Error Number	701919
Error	Notifying actor that the Accounting for Tax return cannot be submitted because one for the year and quarter was already submitted but since has been deleted.
Response message	The Accounting for Tax return you are trying to submit has previously existed but has been removed from the system. Please contact Pension Schemes Services.

4. 2. Year for which tax has been deducted	
Error Number	601103
Error	Present and contains a year that has not begun.
Response message	[Accounting for Tax by Scheme Administrator 2 The 'Year for which tax has been deducted' must not be a year that has not begun.
Error Number	601102
Error	Present and contains a year earlier than 6 th April 2006, or earlier than the year and quarter in which scheme was registered.
Response message	[Accounting for Tax by Scheme Administrator 2 The 'year for which tax has been deducted' must not be a quarter earlier than 6 th April 2006, or earlier than the year in which scheme was registered.
Error Number	701905
Error	An AFT submission exists with the same year and quarter and the submission is not an amendment.
Response message	An Accounting for Tax return has already been submitted for this period.
Error Number	701909
Error	Assessment exists for Year & Quarter.
Response message	An assessment for this period already exists.
Error Number	701919
Error	Notifying actor that the Accounting for Tax return cannot be submitted because one for the year and quarter was already submitted but since has been deleted.
Response message	The Accounting for Tax return you are trying to submit has previously existed but has been removed from the system. Please contact Pension Schemes Services.

5. 3. Is this is an amendment to a return already submitted?	
Error Number	601901
Error	'Version Number' is greater than 0 and 'Is this is an amendment to a return already submitted?' is No.
Error message	Version number must be 0 if this is a new AFT return.
Error Number	601902
Error	'Version Number' is 0 and 'Is this is an amendment to a return already submitted?' is Yes.
Error message	Version number must be greater than 0 if this is an amendment.
Error Number	601113 (for all quarters ending prior to 30/06/2011 and for quarters ending 30/06/11, 30/09/11, 31/12/11 and 31/03/12 submitted before 06/04/2012)
Error	'Is this is an amendment to a return already submitted?' is No and none of the following are present: 'section 205 – Short service refund lump sum charge' (Data items 9- 11) 'section 206 –Special lump sum death benefits charge' (Data items 13 & 14) 'section 207 – Authorised Surplus Payments Charge' (Data items 16-27) 'section 214 – Lifetime allowance charge' (Data items 28-41) 'section 242 – De-registration charge' (Data items 42-44).
Error message	At least one of the following sections must be completed before you submit your Accounting For Tax form. 'Section 205 – Short service refund lump sum charge' 'Section 206 – Special lump sum death benefits charge' 'Section 207 – Authorised surplus payments charge' 'Section 214 – Lifetime allowance charge' 'Section 242 – De-registration charge'.
Error Number	601138 (for all quarters ending 30/06/11, 30/09/11, 31/12/11 and 31/03/12 submitted after 06/04/2012)
Error	'Is this is an amendment to a return already submitted?' is No and none of the following are present: 'section 205 – Short service refund lump sum charge' (Data items 9- 11) 'section 205a & 206 –Serious ill health lump sum charge & Special lump sum death benefits charge' (Data items 13 & 14) 'section 207 – Authorised Surplus Payments Charge' (Data items 16-27) 'section 214 – Lifetime allowance charge' (Data items 28-41) 'section 242 – De-registration charge' (Data items 42-44).

Error message	At least one of the following sections must be completed before you submit your Accounting For Tax form. 'Section 205 – Short service refund lump sum charge' 'Section 205A & 206 – Serious ill-health lump sum charge & Special lump sum death benefits charge' 'Section 207 – Authorised surplus payments charge' 'Section 214 – Lifetime allowance charge' 'Section 242 – De-registration charge'.
Error Number	601139 (for all quarters ending 30/06/12 and beyond)
Error	'Is this is an amendment to a return already submitted?' is No and none of the following are present: 'section 205 – Short service refund lump sum charge' (Data items 9- 11) 'section 205a & 206 –Serious ill health lump sum charge & Special lump sum death benefits charge' (Data items 13 & 14) 'section 207 – Authorised Surplus Payments Charge' (Data items 16-27) 'section 214 – Lifetime allowance charge' (Data items 28-41) 'section 237B – Annual allowance charge' 'section 242 – De-registration charge' (Data items 42-44).
Error message	At least one of the following sections must be completed before you submit your Accounting For Tax form. 'Section 205 – Short service refund lump sum charge' 'Section 205A & 206 – Serious ill-health lump sum charge & Special lump sum death benefits charge' 'Section 207 – Authorised surplus payments charge' 'Section 214 – Lifetime allowance charge' 'Section 237B – Annual allowance charge' 'Section 242 – De-registration charge'.
Error Number	701912
Error	Amendment submitted with no AFT held with matching year and quarter.
Response message	An Accounting for Tax Return has not been submitted for this period. Or A return for this period does not exist. Please Submit a Accounting for Tax return.
Error Number	701913
Error	An amendment submitted that matches exactly the original submission.
Response message	At least one amendment must be made.
Error Number	701918
Error	Notifying actor that the Accounting for Tax return cannot be amended because one for the year and quarter was already submitted but since has been deleted.

Response message	The Accounting for Tax return you are trying to amend has been removed from the system. Please contact Pension Schemes Services.
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6. 4. Version number

Error Number	701901
Error	'Is this is an amendment to a return already submitted?' is No and Version number is >0.
Error message	Version number must be 0 if this is a new AFT return.
Error Number	
Error	'Is this is an amendment to a return already submitted?' is Yes and Version number is 0.
Error message	Version number must be greater than 0 if this is an amendment.
Error Number	601905
Error	The submission is an amendment and the version number is 999
Error Message	PSS currently have 999 versions of the Accounting for Tax form for the year and quarter you are trying to amend. No further amendments can be accepted. Please contact PSS on 0845 600 2622.
Error Number	701901
Error	Version in submission does not match the version held in the database.
Error Message	The version number supplied does not match the current version (<version number>).

Scheme Information

7. 5. Withdrawn

8. 6.Pension Scheme Tax Reference

Error	Parser level
Error Number	701902
Error	The system could not trace the Pension scheme from the PSTR supplied.
Error Message	It has not been possible to trace the scheme.

9. 6.1 SF Reference	
Error Number	
Error	The SF Reference does not match the pattern.
Error Message	[BVR Add Scheme Administrator 2] The SF Reference you entered is not in the correct format.

10. 6.2 Contract or policy number	
Error Number	601115
Error	[BVR element 6.2, pre-condition 2] Insurance company element present, but contract or policy number missing.
Error Message	'Contract or Policy Number' must be supplied if 'Contract or Policy Name' has been completed.
Error	Parser level
Error Number	701917
Error	Duplicate Scheme Name and Contract/Policy Number
Error Message	There is more than one scheme with the same Scheme Name and Contract/Policy Number you have provided. You must make your submission using the reference number provided by HMRC when the scheme record was created.

11. 7. Pension Scheme Name	
Error	Parser level
Error Number	701917
Error	Duplicate Scheme Name and Contract/Policy Number
Error Message	There is more than one scheme with the same Scheme Name and Contract/Policy Number you have provided. You must make your submission using the reference number provided by HMRC when the scheme record was created.

Has income tax been deducted, or payable, under the following provisions of Finance Act 2004?

If this is not an amendment to a return already submitted, data items comprising one or more of the following sections must be present:

'Section 205 – Short service refund lump sum charge' (Data items 9- 11)

'Section 205a & 206 – Serious Ill Health Lump Sum charge & Special lump sum death benefits charge' (Data items 13 & 14)

'Section 207 – Authorised Surplus Payments Charge' (Data items 16-27)

'Section 214 – Lifetime allowance charge' (Data items 28-41)

'Section 237B – Annual allowance charge' (Data items 44a-44h and optionally 44j)

12. 7a. Annual allowance charge structure	
Error	Parser level
Error Number	601137
Error	<p>An annual allowance charge may not be present where either;</p> <ul style="list-style-type: none"> • The (data item 1) "Quarter for which tax has been deducted" is '01' and the (data item 2) "Year for which tax has been deducted" is 2012. • The (data item 2) "Year for which tax has been deducted" is 2011 or earlier.
Error Message	An AFT may not be submitted with an annual allowance charge before the quarter ending 30 th June 2012.

13. 8. Withdrawn

Section 205 – Short service refund lump sum charge – data items 9-11

14. 9. Number of members who received a short service refund lump sum in this quarter

Error	Parser level

15. 10. Total amount of tax due at the lower relevant rate

Error	Parser level

16. 11. Total amount of tax due at the higher relevant rate

Error	Parser level

17. 12. Withdrawn

Section 205a & 206 – Serious Ill Health Lump Sum charge & Special lump sum death benefits charge (data items 13 and 14)

18. 13. Number of members in respect of whom the charges arose in this quarter

Error Number	601142
Error	Number of deceased members in respect of whom special lump sum death benefits charges arose in this quarter is not present (For all quarters ending prior to 30/06/11 from 06/04/2012)).
Error Message	Number of deceased members in respect of whom special lump sum death benefits charges arose in this quarter is mandatory please make an entry.
Error Number	601143
Error	Number of members in respect of whom the charges arose in this quarter is not present (For all quarters ending 30/06/11 (and beyond) from 06/04/2012).
Error Message	Number of members in respect of whom the charges arose in this quarter is mandatory please make an entry.
Error Number	601144
Error	Number of deceased members in respect of whom special lump sum death benefits charges arose in this quarter is not in the correct format (For all quarters ending prior to 30/06/11 from 06/04/2012)).

Error Message	Number of deceased members in respect of whom special lump sum death benefits charges arose in this quarter is not in the correct format
Error Number	601145
Error	Number of members in respect of whom the charges arose in this quarter is not in the correct format (For all quarters ending 30/06/11 (and beyond) from 06/04/2012).
Error Message	Number of members in respect of whom the charges arose in this quarter is not in the correct format
Error Number	601146
Error	Number of deceased members in respect of whom special lump sum death benefits charges arose in this quarter must be greater than zero (For all quarters ending prior to 30/06/11 from 06/04/2012)).
Error Message	Number of deceased members in respect of whom special lump sum death benefits charges arose in this quarter must be greater than zero.
Error Number	601147
Error	Number of members in respect of whom the charges arose in this quarter must be greater than zero (For all quarters ending 30/06/11 (and beyond) from 06/04/2012).
Error Message	Number of members in respect of whom the charges arose in this quarter must be greater than zero.

14. Total amount of tax due at the relevant rate

Error	Parser level
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15. Withdrawn

Section 207 – Authorised Surplus Payments Charge – data items 16 to 27
16. Number of employers who received an authorised surplus payment in the quarter

Error	Parser level
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17. Amount of tax due at the relevant rate

Error Number	601104
Error	The 'Total amount of tax due at the relevant rate ' does not equal the sum of all entries at 'Amount of tax due at the relevant rate ' (Data Item 27)
Response message	[Accounting for Tax by Scheme Administrator 17] The 'Total amount of tax due at the relevant rate ' must equal the sum of all entries at 'Amount of tax due at the relevant rate ' [Accounting for Tax by Scheme Administrator 27].

Employer Details
19. Employer details – repetition

Error	Parser level
-------	--------------

20. Employer details – match to 'Number of employers who received an authorised surplus payment in the quarter'

Error Number	601105
Error	The number of employers reported does not match the value entered in the 'Number of employers who received an authorised surplus payment in the quarter'
Error Message	The number of sets of employer details you have added does not match the details you reported

Withdrawn

Individual Details

21. 18. Title	
Error	Parser level

22. 19. Forename(s)	
Error	Parser level

23. 20. Surname	
Error	Parser level

24. 21. Organisation Name	
Error	Parser level

25. 22. Full registered address	
Error	Parser level

26. 23. UK Postcode	
Error	Parser level

27. 24. Country	
Error	Parser level

28. 25. Employer's Companies Registration Number	
Error	Parser level

29. 26. Date of the payment

Error	Parser level
Error number	601107
Error	The date of payment does not fall within the range for the Reporting year and Quarter for which tax has been deducted.
Response Message	[Accounting for Tax by Scheme Administrator 26] 'The date you have entered does not correspond with the Year and Quarter for which you are reporting Accounting for Tax'.

30. 27. Amount of tax due at the relevant rate

Error	Parser level
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31. 28. Withdrawn

Section 214 – Lifetime allowance charge – data items 29 – 41

32. 29. Number of members in the quarter who have been subject to a lifetime allowance charge (s)

Error	Parser level
-------	--------------

33. 30. Total amount of tax due and payable	
Error Number	601108
Error	The 'Total amount of tax due and payable' does not equal the sum of all entries at 'Amount of lifetime allowance charge – retained amount' (Data item 40); and 'Amount of lifetime allowance charge – lump-sum amount' (Data Item 41).
Response message	[Accounting for Tax by Scheme Administrator 30] The 'Total amount of tax due and payable' must be equal to the sum of all entries at: 'Amount of lifetime allowance charge – retained amount' [Accounting for Tax by Scheme Administrator 40]; and 'Amount of lifetime allowance charge – lump-sum amount' [Accounting for Tax by Scheme Administrator 41].

Items 31 to 38 can be repeated up to 99 times but the number of occurrences must match the 'Number of members in the quarter who have been subject to a lifetime allowance charge'.

34. Member details – Number allowed	
Error	Parser level

35. Member details – No match	
Error Number	601109
Error	The number of member details entered does not match the number reported in 'Number of members in the quarter who have been subject to a lifetime allowance charge'.
Error message	The number of member details you have entered does not match the total reported in 'Number of members in the quarter who have been subject to a lifetime allowance charge'.

Member Details

36. 31. Title	
Error	Parser level
37. 32. Forename(s)	
Error	Parser level
38. 33. Surname	
Error	Parser level
39. 34. Withdrawn	
40. 35. Withdrawn	
41. 36. Withdrawn	
42. 37. NINO	
Error Number	601127
Error	National Insurance Number absent for submission of member where original submission date is on or after 06/04/2011
Error message	[Accounting for Tax by Scheme Administrator 37] NINO is mandatory please make an entry.
43. 38. Date of Birth	
Error Number	601128
Error	Date entered is in the future

Error message	The date of birth cannot be in the future
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Items 39 to 41 must be repeated for each crystallisation event that occurs for each member i.e. a member may have one or more crystallisation events to report.

44. 39.Date of the benefit crystallisation event	
Error Number	601107
Error	The 'Date of the benefit crystallisation event' does not fall within the range for the reporting year and quarter for which tax has been deducted.
Response message	[Accounting for Tax by Scheme Administrator 39] "The date you have entered does not correspond with the Year and Quarter for which you are reporting Accounting for Tax".

Amount of lifetime allowance charge – either one or both of data items 40 and 41 must be present, if any of data items 29 to 39 are present

45. At least one of the lifetime allowance charges must be > than 0	
Error Number	
Error	Data item 40 'Amount of lifetime allowance charge – retained amount' and Data item 41 'Amount of lifetime allowance charge – lump-sum amount' are both 0.
Error Message	At least one of the Lifetime Allowance Charges must be greater than £0.

46. 40.Amount of lifetime allowance charge – retained amount	
Error Number	
Error	Absent when data item 41 'Amount of lifetime allowance charge – lump-sum amount' is also absent.
Error Message	You must either complete 'Amount of lifetime allowance charge – lump-sum amount' or 'Amount of lifetime allowance charge – retained amount'.

47. 41. Amount of lifetime allowance charge – lump-sum amount	
Error Number	

Error	Absent when data item 40 'Amount of lifetime allowance charge – retained amount' is also absent.
Error Message	You must either complete 'Amount of lifetime allowance charge – lump-sum amount' or 'Amount of lifetime allowance charge – retained amount'.

48. 42. Withdrawn

Section 237b – Annual allowance charge – data items 44a to 44h and optionally 44j

49. 44a. Number of members in the quarter who have been subject to an annual allowance charge

Error	Parser level
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50. 44b. Total amount of annual allowance charge

Error Number	601133
Error	The 'Total amount of annual allowance charge' does not equal the sum of all entries at 'Amount of annual allowance charge'.
Response message	The 'Total amount of annual allowance charge' must be equal to the sum of all entries at: 'Amount of annual allowance charge [Accounting for Tax by Scheme Administrator 44h]'.

Member Details

51. Member details – No match

Error Number	601131
Error	The number of member details entered does not match the number reported in 'Number of members in the quarter who have been subject to an annual allowance charge'.
Error message	The number of member details you have entered does not match the total reported in 'Number of members in the quarter who have been subject to an annual allowance charge'.

52. 44c. Title

Error	Parser level

53. 44d. Forename(s)

Error	Parser level

54. 44e. Surname

Error	Parser level

55. 44f. NINO

Error	Parser level

56. 44g. Date of Notice

Error	Parser level
Error number	601132
Error	The date of notice is earlier than 11/08/2011 or is a future date.
Response Message	'The date you have entered cannot be before 11/08/2011 or cannot be in the future'..

At least one of the Annual Allowance Charges must be greater than £0.

57. 44h. Amount of Annual allowance charge

Error	Parser level
-------	--------------

58. 44j. Tax Year

Error	Parser level
Error number	601148
Error	The Tax year must be in the range 2012 to 2099
Response Message	'The year you have entered cannot be before 2012 or after 2099 and cannot be later than the reporting year of the AFT'.

Section 242 – De-registration charge – data items 43 and 44
59. 43. Total amount of tax due at the relevant rate

Error	Parser level
-------	--------------

60. 44. Date on which registration was withdrawn

Error Number	601107
Error	The 'Date on which registration was withdrawn' does not fall within the range for the reporting year and quarter for which tax has been deducted.
Response message	[Accounting for Tax by Scheme Administrator 44] The date you have entered does not correspond with the Year and Quarter for which you are reporting Accounting for Tax.

Total Tax Due
61. 45. Total

Error Number	601111 (for all quarters ending prior to 30/06/2011 and for quarters ending 30/06/11, 30/09/11, 31/12/11 and 31/03/12 submitted before 06/04/2012)
Error	The 'Total' must equal the sum of all entries at:

Response message	<p>[Accounting for Tax by Scheme Administrator 45] The 'Total' must equal the sum of any entries at:</p> <p>[Accounting for Tax by Scheme Administrator 10]-Total amount of short service lump sum charge at the lower relevant rate</p> <p>[Accounting for Tax by Scheme Administrator 11] –Total amount of short service lump sum charge at the higher relevant rate</p> <p>[Accounting for Tax by Scheme Administrator 14] – Total amount of special lump sum death benefits charge at the relevant rate</p> <p>[Accounting for Tax by Scheme Administrator 17] –Total amount of authorised surplus payments charge at the relevant rate</p> <p>[Accounting for Tax by Scheme Administrator 30] – Total amount of lifetime allowance charge due and payable</p> <p>[Accounting for Tax by Scheme Administrator 43] – Total amount of de-registration charge at the relevant rate</p> <p>.</p>
Error Number	601140 (for all quarters ending 30/06/11, 30/09/11, 31/12/11 and 31/03/12 submitted after 06/04/2012)
Error	The 'Total' must equal the sum of all entries at:
Response message	<p>The 'Total' must equal the sum of any entries at:</p> <ul style="list-style-type: none"> -Total amount of short service lump sum charge at the lower relevant rate -Total amount of short service lump sum charge at the higher relevant rate - Total amount of serious ill-health lump sum charge & special lump sum death benefits charge at the relevant rate -Total amount of authorised surplus payments charge at the relevant rate - Total amount of lifetime allowance charge due and payable - Total amount of de-registration charge at the relevant rate.
Error Number	601134 (for all quarters ending 30/06/2012 and beyond)
Error	The 'Total' must equal the sum of all entries at:
Response message	<p>The 'Total' must equal the sum of any entries at:</p> <ul style="list-style-type: none"> -Total amount of short service lump sum charge at the lower relevant rate -Total amount of short service lump sum charge at the higher relevant rate - Total amount of serious ill-health lump sum charge & special lump sum death benefits charge at the relevant rate -Total amount of authorised surplus payments charge at the relevant rate - Total amount of lifetime allowance charge due and payable – Total amount of annual allowance charge due and payable - Total amount of de-registration charge at the relevant rate.
Error Number	601120
Error	The 'Total' should not be populated for Insurance Companies.

Response message	The 'Total Tax' element should not be included in an AFT return for an insurance company scheme. Instead, the total tax due should be entered under InsuranceCompany/relevant rate Percent.
Error Number	601121
Error	Not an amendment and 'Total' is zero.
Response message	Total must be greater than zero when AFT return is not an amendment.

Insurance Companies

62. Insurance Company	
Error Number	601124 (for all quarters ending prior to 30/06/2011 and for quarters ending 30/06/11, 30/09/11, 31/12/11 and 31/03/12 submitted before 06/04/2012)
Error	Present when any of the following sections are completed: Data items 9-11 'section 205 – Short service refund lump sum charge' Data items 13 & 14 'section 206 – Special lump sum death benefits charge' Data items 16-27 'section 207 – Authorised Surplus Payments Charge' Data items 28-41 'section 214 – Lifetime allowance charge' Data items 42-44 'section 242 – De-registration charge'.
Response message	Insurance Company element must not be present when any of the following sections are completed: 'section 205 – Short service refund lump sum charge' 'section 206 – Special lump sum death benefits charge' 'section 207 – Authorised Surplus Payments Charge' 'section 214 – Lifetime allowance charge' 'section 242 – De-registration charge'.
Error Number	601141 (for quarters ending 30/06/11, 30/09/11, 31/12/11 and 31/03/12 submitted from 06/04/2012)
Error	Present when any of the following sections are completed: Data items 9-11 'section 205 – Short service refund lump sum charge' Data items 13 & 14 'section 205A & 206 – Serious ill health lump sum charge & Special lump sum death benefits charge' Data items 16-27 'section 207 – Authorised Surplus Payments Charge' Data items 28-41 'section 214 – Lifetime allowance charge' Data items 42-44 'section 242 – De-registration charge'.
Response message	Insurance Company element must not be present when any of the following sections are completed: 'section 205 – Short service refund lump sum charge' 'section 205A & 206 – Serious ill-health lump sum charge & Special lump sum death benefits charge' 'section 207 – Authorised Surplus Payments Charge' 'section 214 – Lifetime allowance charge' 'section 242 – De-registration charge'.
Error Number	601135 (for all quarters ending 30/06/2012 and beyond)

Error	Present when any of the following sections are completed: Data items 9-11 'section 205 – Short service refund lump sum charge' Data items 13 & 14 'section 205A & 206 – Serious ill health lump sum charge & Special lump sum death benefits charge' Data items 16-27 'section 207 – Authorised Surplus Payments Charge' Data items 28-41 'section 214 – Lifetime allowance charge' Data items 44a-44h & 44j 'section 237B – Annual allowance charge' Data items 42-44 'section 242 – De-registration charge'.
Response message	Insurance Company Element must not be present when any of the following sections are completed: 'section 205 – Short service refund lump sum charge' 'section 205A & 206 – Serious ill-health lump sum charge & Special lump sum death benefits charge' 'section 207 – Authorised Surplus Payments Charge' 'section 214 – Lifetime allowance charge' 'section 237B – Annual allowance charge' 'section 242 – De-registration charge'.

63. 46. Contract or policy Name	
Error	Parser level

64. 47. Withdrawn	
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65. 48. Amount of tax due at the relevant rate	
Error	Parser level

66. 49. Withdrawn	
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Declaration – Only Scheme Administrator Declaration (data items 50 and 51) or Practitioner Declaration (data items 62 and 63) can be sent at a time, they cannot be sent together.

Scheme Administrator Declaration

67. 50. I declare that to the best of my knowledge and belief, the information given in this tax return is correct and complete	
Error	Parser level

68. 51. I understand that if I have made a false statement on this tax return, I may be liable to a penalty, and that false statements may also lead to prosecution.	
Error	Parser level

Scheme Administrator Details- only Individual Details OR Organisation Name can be sent at a time, they cannot be sent together

69. Scheme Administrator Details- only Individual Details OR Organisation Name can be sent at a time, they cannot be sent together	
Error	Parser level
Error number	
Error	Notifying the actor there is no record of the SA details submitted and asking for confirmation that the details entered were correct.
Response message	The system has no record of the Scheme Administrator details you have provided. Please check the details and confirm that they are correct.

Individual Details

70. 52. Title	
Error	Parser level

71. 53. Forename(s)

Error	Parser level

72. 54. Surname

Error	Parser level

73. 55. Organisation Name

Error	Parser level

Scheme Administrator address
74. 56. Address

Error	Parser level

75. 57. UK Postcode

Error	Parser level

76. 58. Country

Error	Parser level

77. 59. Scheme Administrator ID

Error	Parser level
Error Number	701915
Error	Scheme administrator ID does not match the administrator ID held on record and it is a post A-day scheme.

Error Message	The submission has been rejected, as you are not associated with this Pension Scheme. Please contact the current Scheme Administrator to create an association between you and the scheme. You will need to supply the Scheme Administrator with your Scheme Administrator ID.
Error Number	701916
Error	Scheme administrator ID does not match the administrator ID in the header.
Error Message	The Scheme administrator ID does not match the administrator ID in the header.

78. 60. Scheme Administrator e-mail

Error	Parser level

79. 61. Scheme Administrator telephone number

Error	Parser level

Practitioner Declaration

80. 62. I declare that the content of this tax return has been approved by the Scheme Administrator

Error	Parser level

81. 63. I declare that the Scheme Administrator has authorised me to submit this tax return

Error	Parser level

5. Success Response Messages

82. 1. Successful Submission Response

Message Number	701900
Response	Notifying the actor of a successful receipt of their submission and advising them of the charge reference number.

Response Message	Thank you for submitting this information. The charge reference number that you should use when submitting your payment is <charge reference number>. Please note you will not be able to view or change this information until after 24 hours have elapsed.
Message Number	701914
Response	Notifying the actor of a successful receipt of their amended submission and advising them of the charge reference number.
Response Message	Thank you for submitting this amendment. Your charge reference number is <charge reference number>. If the amendment has resulted in an increase to the tax charge, you should use this number when making a payment. If the tax charge has been reduced, any repayment due will be processed shortly ; please note that you will not be able to view or amend this information until after 24 hours have elapsed.To make a payment please go to http://www.hmrc.gov.uk/howtopay or use the link in the left hand menu on this screen.
Message Number	701911
Response	Notifying the actor of a successful amendment submission where no amendment has been made to the tax charge.
Response message	Thank you for submitting this amendment. Your tax charge has not changed. Please note you will not be able to view or change this information until after 24 hours have elapsed.
Error Number	701910
Error	Notifying the actor of the Pension Scheme Tax Reference number that has been generated.
Error Message	The reference number for this scheme is: <PSTR> . Please note this reference and use it in future when communicating with the Pension Schemes Service.

Document Control
Document Version History :

Version	Date	Comments
0.1	20/06/05	First Draft
0.2	27/06/05	Second Draft
0.3	01/07/05	Amended following schema review EBU SDST
0.4	04/10/05	Amendments to align with CIT2
0.5	07/10/05	Changes to align with UI
0.6	14/10/05	Amended to reflect defects
0.7	08/11/05	Error Numbers added
0.8	18/01/06	Updated following change of responsibility to WebSolutions Newcastle
0.9	24/01/06	SDST review following BVR defects 28865, 29654, 29655, 29656, 29658, 29659, 29660, 29661, 29662, 29663, 29664, 29665, 29666 and 29886
0.10	13/02/06	Error message numbers added
0.11	23/02/06	Error messages added for 6 and 2.2 in line with defect 33376
0.12	10/03/06	Defects 35189 and 35191
0.13	10/04/06	Defect 44440
0.14	02/05/06	Defects 36066, 33483, 44014
1.0	24/05/06	Defect 43801 – data item 6 changed
1.1	24/05/06	New style document as a result of workshop 09/05/2006 and defects 43803, 43882, 43885 and 43888 refer.
1.2	14/05/06	Defects 47095, 47110, 49151, 49217, 49236, 49140 as a result of the PRF 13/6 all for October baseline
1.3	28/06/06	Defects as a result of PRF to discuss KEL LSM October 06. 46983, 48972, 49132, 49151part
1.4	30/06/06	Defect 49151 error numbers added.
1.5	19/09/06	Error number added for data item 3 defect 56008 refers
1.6	20/10/06	CR175 text in red data items 6.2 & 7
2.0	30/01/07	Defect 70919 add error message above data item 40 and Defect 50313 data item 26 now parse and original message text restored and now state validation and Defect 50191 change message number 701901 to 601901 and 701912 to 601902, text in red.
2.0A	27/10/09	Updates applied by Darren Rowney for eDelivery CR E145-087. Issued to IMS to approved or amend the updates made and return as baselined.
2.0b	11/11/09	Updates applied by IMS for CR145-087
2.1	23/11/09	Revision Markings accepted. Baselined at V2.1
2.1A	21/12/09	Error Message 601905 introduced following clarification of contents of schema. Issued to IMS to approve or amend the updates made and return as baselined.
2.2	22/12/09	Baselined for eDelivery CR E145-042. 'APSS' replaced with 'PSS' in message 601905.
2.2A	18/10/10	CR683 – Issued for internal review
2.2B	21/10/10	CR683 –Updated following internal review
2.3	05/11/10	CR683 – New error number defined. Document approved.
2.3A	18/11/10	CR683 – Error message for item 37 updated.
2.3B	25/11/10	CR683 – Additional Item 38 DoB validation – DoB cannot be in the future.
2.4	17/12/10	CR683 – Document baselined
2.5	19/08/11	CR692 (Rename Section 206) & CR698 (add Annual Allowance section)
2.6	26/08/11	Review comment applied.
2.7	14/10/11	New messages added to reflect that the schema differs prior to and post April 2012. Message 601132 revised to cater for the fact it should not allow future

Version	Date	Comments
	& 17/10/11	dates. New message added to cater for the fact that an AFT is not valid if the Annual Allowance charge is present prior to the quarter ending 30/06/2012. (Message 601137)
2.8	18/10/11	New messages added to cater for period between 30/06/11 and 30/06/12.
3.0	20/10/11	Baselined
3.1	01/12/11	Defect 17820502 Message for S205A/206 renamed to 'Number of members in respect of whom the charges arose in this quarter'.
3.2	19/12/11	Defect EARS00017919696 New messages added (601144, 601145, 601146 and 601147) regarding the validation of the number of members for S205A/206.
4.0	23/04/12	Baselined
4.a	24/05/12	CR728 and CR729 Introduce new field, called Tax Year (Item 44j) for the Annual Allowance Section. This change applies for <ul style="list-style-type: none"> a) New AFTs for quarters ending 30th June 2013 and later. b) Amended AFTs for quarters ending 30th June 2012 and later This change will be implemented in February 2013 (for TPVS) and April 2013 (for the Live system).
5.0	13/08/12	Baselined for Feb/April 2013
5.1	25/10/2012	CR722: Data Items 1, 2, 3 - Added messages for when actor tries to submit AFT which has been deleted.
6.0	20/11/2012	Baselined for April 2013
6.a	26/06/2013	Registration Change Change to text of response message
6.b	28/06/2013	Change to text of messages at 10 6.2 and 11.7
7.0	11/07/2013	Baselined for October 2013 Release.
7.a	25/07/2013	Error Message Number (701917) added to messages changed – see 6.b
7.b	23/09/2013	Text of error message 701914 revised to reflect user's requirement.

Related Documentation:

Version	Form Version	Schema Version	Form Name
7.b		4.0	