

# An Extension of the Cobham-Semënov Theorem

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## Abstract

Let  $\theta, \theta'$  be two multiplicatively independent Pisot numbers, and let  $U, U'$  be two linear numeration systems whose characteristic polynomial is the minimal polynomial of  $\theta$  and  $\theta'$ , respectively. For every  $n \geq 1$ , if  $A \subseteq \mathbb{N}^n$  is  $U$ - and  $U'$ -recognizable then  $A$  is definable in  $\langle \mathbb{N}; + \rangle$ .

## 1. Introduction

The Cobham-Semënov theorem [6, 19] states that for  $k, l$  multiplicatively independent integers, any set  $X \subseteq \mathbb{N}^n$  which is  $k$ - and  $l$ -recognizable is definable in  $\langle \mathbb{N}; + \rangle$ .

Alternative proofs of this result were proposed in [12] and [17]; Michaux and Villemaire presented in [16] a new proof involving the Büchi-Bruyère theorem, which provides a logical characterization of  $k$ -recognizable sets and enables to deal with problems on words by means of definability arguments. In [1] we use some results of [16] to solve related definability and decidability questions, from which we derived a new proof of the Cobham-Semënov theorem.

The study of non-classical numeration systems led to the notion of  $U$ -recognizable set, which naturally extends the one of  $k$ -recognizable set. Bruyère and Hansel have shown recently [3] that most of the computation models for  $k$ -recognizability can be generalized to the case of linear numeration systems whose characteristic polynomial is the minimal polynomial of a Pisot number. In particular the Büchi-Bruyère theorem can be extended to these numeration systems. Thanks to this result, we adapt here some ideas of [1] to prove that the Cobham-Semënov theorem still holds for two numeration systems satisfying the previous assumption:

**Theorem 3.1.** *Let  $\theta, \theta'$  be two multiplicatively independent Pisot numbers, and let  $U, U'$  be two linear numeration systems whose characteristic polynomial is the minimal polynomial of  $\theta$  and  $\theta'$ , respectively. For every  $n \geq 1$ , if  $A \subseteq \mathbb{N}^n$  is  $U$ - and  $U'$ -recognizable then  $A$  is definable in  $\langle \mathbb{N}; + \rangle$ .*

This answers positively a conjecture by Michaux and Villemaire [15, p.377], and improves results by Fabre [8] and Point-Bruyère [18] where Theorem 3.1 is proved in case one of the Pisot numbers is an integer. In [15] it is shown that it is sufficient to prove Theorem 3.1 for the case where  $n = 1$  and  $A$  is

a subset of  $\mathbb{N}$  which is expanding. This reduction step strongly relies on the results obtained by Michaux and Villemaire on (non)definability in Presburger Arithmetic (see [14, 15, 16]). Our proof involves this reduction step. Theorem 3.1 has been proved independently by Fagnot [9] and Hansel [13], both using Michaux-Villemaire’s reduction step, but with quite different methods. Finally let us mention that Durand [7] proved a similar result for the case  $n = 1$ , with different assumptions.

In Section 2 we recall definitions and results related to finite automata, linear numeration systems and  $U$ -recognizability. Section 3 deals with the proof of the main result.

## 2. Preliminaries

This section provides the basic definitions, notations, results and tools that will be used in the paper.

### 2.1 Finite automata

Let  $\Sigma$  be a finite alphabet. We denote by  $\Sigma^*$  the set of finite words over  $\Sigma$ , including the empty word denoted by  $\lambda$ . For every  $u \in \Sigma^*$  we denote by  $\mu(u)$  the length of  $u$ . For all  $u, v \in \Sigma^*$ , we say that  $u$  is a *right factor* (resp. *left factor*) of  $v$  if there exists  $w \in \Sigma^*$  such that  $v = w \cdot u$  (resp.  $v = u \cdot w$ ). We speak of *strict factor* in the case where  $w \neq \lambda$ .

We shall work with deterministic finite  $\Sigma$ -automata reading words from right to left. Our notation for such an automaton  $\mathcal{A}$  will be  $\mathcal{A} = (Q, q_0, \delta, Q')$ , where  $Q$  is the finite set of states,  $q_0 \in Q$  is the initial state,  $Q' \subseteq Q$  is the set of accepting states, and  $\delta$  is the transition function. We denote by  $\delta^*$  the function from  $Q \times \Sigma^*$  to  $Q$  which extends  $\delta$  as follows:

$$\begin{aligned} \delta^*(q, \lambda) &= q \text{ for every } q \in Q; \\ \delta^*(q, a) &= \delta(q, a) \text{ for all } q \in Q, a \in \Sigma; \\ \delta^*(q, aw) &= \delta(\delta^*(q, w), a) \text{ for all } a \in \Sigma, w \in \Sigma^*. \end{aligned}$$

A word  $w \in \Sigma^*$  is said to be *accepted* by the finite  $\Sigma$ -automaton  $\mathcal{A}$  if  $\delta^*(q_0, w) \in Q'$ . We say that a state  $q \in Q$  is *visited* during the computation of  $w$  by  $\mathcal{A}$  if there exists a right factor  $v$  of  $w$  such that  $\delta^*(q_0, v) = q$ . A subset  $X$  of  $\Sigma^*$  is said to be  $\Sigma$ -*recognizable* if it is the set of accepted words of some finite  $\Sigma$ -automaton.

### 2.2 Linear numeration systems

We call *numeration system* any strictly increasing sequence of integers  $U = (U_n)_{n \in \mathbb{N}}$  such that  $U_0 = 1$  and  $\{\frac{U_{n+1}}{U_n} : n \in \mathbb{N}\}$  is bounded. Every positive integer  $x$  can be represented as

$$x = a_n U_n + a_{n-1} U_{n-1} + \dots + a_0 U_0$$

using the Euclidian algorithm: let  $n$  be such that  $U_n \leq x < U_{n+1}$ , and let  $x_n = x$ . For  $i = n, n-1, \dots, 1$  we compute the Euclidean division  $x_i = a_i U_i + x_{i-1}$ . Doing this we obtain a word  $a_n a_{n-1} \dots a_0$  over the *canonical alphabet*  $\Sigma_U = \{0, 1, \dots, c\}$ , where  $c$  is the greatest integer less than  $\sup\{\frac{U_{n+1}}{U_n} : n \in \mathbb{N}\}$ . The word  $a_n a_{n-1} \dots a_0$  is called the *normalized U-representation* of  $x$ , and denoted by  $\rho_U(x)$ . We denote by  $\mathcal{N}_U$  the set of normalized U-representations of integers:

$$\mathcal{N}_U = \{\rho_U(x) : x \in \mathbb{N}\}.$$

By convention 0 is represented by the empty word.

Conversely for every word  $w = b_n b_{n-1} \dots b_0$  over  $\Sigma_U$  we call *numerical value* of  $w$  the integer

$$\pi_U(w) = \sum_{i=0}^m b_i U_i.$$

Let  $\prec$  denote the lexicographical ordering. The elements of  $\mathcal{N}_U$  satisfy the following property:

**Proposition 2.1.** *For all  $u, v \in \mathcal{N}_U$ ,*

$$\pi_U(u) < \pi_U(v) \iff u \prec v.$$

A *linear numeration system* is a numeration system  $U = (U_n)_{n \in \mathbb{N}}$  defined by a linear recurrence relation

$$U_n = d_{k-1} U_{n-1} + \dots + d_0 U_{n-k}$$

for all  $n \geq k$ , with  $d_i \in \mathbb{Z}$  for  $i = 0, 1, \dots, k-1$ , and  $d_0 \neq 0$ . The polynomial

$$P_U(X) = X^k - d_{k-1} X^{k-1} - \dots - d_1 X - d_0$$

is called the *characteristic polynomial* of the system  $U$ .

For generalities about linear numeration systems we refer the reader to [10]. In the sequel we will be concerned with linear numeration systems whose characteristic polynomial is the minimal polynomial of a Pisot number; they behave closely to classical numeration systems with respect to recognizability by finite automata (see e.g. [3, 11]). Recall that a *Pisot number* is an algebraic integer  $\theta > 1$  such that the roots of its minimal polynomial, distinct from  $\theta$ , have modulus less than 1.

For the rest of the paragraph  $U = (U_n)_{n \in \mathbb{N}}$  will denote a linear numeration system whose characteristic polynomial  $P_U$  is the minimal polynomial of a Pisot number  $\theta$ .

Under these assumptions, the roots  $\theta_1 = \theta, \theta_2, \dots, \theta_k$  of  $P_U$  are simple and

$$|\theta_j| < 1 \quad \text{whenever} \quad j \neq 1. \tag{1}$$

Moreover there exist complex constants  $c_1, \dots, c_k$  such that

$$\forall n \in \mathbb{N} \quad U_n = \sum_{i=1}^k c_i \theta_i^n . \quad (2)$$

Let us define the function  $\pi_\theta : \Sigma_U^* \rightarrow \mathbb{C}$  which maps every  $w = a_n \cdots a_0 \in \Sigma_U^*$  to

$$\pi_\theta(w) = c_1 \sum_{i=0}^n a_i \theta^i .$$

From (1) and (2) one easily deduces the following:

**Proposition 2.2.** *There exists a constant  $e$  such that*

$$\forall w \in \Sigma_U^*, \quad |\pi_U(w) - \pi_\theta(w)| < e .$$

Now let  $u, v, w$  be words over  $\Sigma_U$  such that  $uvw \in \mathcal{N}_U$  and  $v \neq \lambda$ . It is easily seen that  $\pi_U(uv^n w) \rightarrow +\infty$  as  $n \rightarrow +\infty$ . From this fact and the above proposition we get:

**Proposition 2.3.** *Let  $u, v, w \in \Sigma_U^*$  be such that  $uvw \in \mathcal{N}_U$  and  $v \neq \lambda$ . Then*

$$\lim_{n \rightarrow +\infty} \frac{\pi_U(uv^n w)}{|\pi_\theta(uv^n w)|} = 1 .$$

The next proposition follows easily from the previous one and the definition of  $\pi_\theta$ .

**Proposition 2.4.** *Let  $u, v, w$  be words over  $\Sigma_U$  such that  $uvw \in \mathcal{N}_U$  and  $v \neq \lambda$ . There exists  $\kappa \in \mathbb{R}$  such that*

$$\lim_{n \rightarrow +\infty} \frac{\pi_U(uv^n w)}{\theta^{n\mu(v)\kappa}} = 1 .$$

### 2.3 Logic and $U$ -recognizable sets

The notion of  $U$ -recognizable set naturally extends the one of  $p$ -recognizable set, which concerns representations in an integer base  $p \geq 2$ , to arbitrary numeration systems.

Since we have to deal with subsets of  $\mathbb{N}^n$  for an arbitrary integer  $n \geq 1$ , we shall extend our definition of  $\rho_U$ . Let  $n$  be a positive integer; for every  $n$ -tuple  $x = (x_1, x_2, \dots, x_n) \in \mathbb{N}^n$  we define  $\rho_U(x)$  as the word (of  $n$ -tuples)

$$(0^{l-l_1} \rho_U(x_1), 0^{l-l_2} \rho_U(x_2), \dots, 0^{l-l_n} \rho_U(x_n))$$

over  $\Sigma_U^n$ , where  $l_i = \mu(\rho_U(x_i))$  and  $l = \max\{l_1, \dots, l_n\}$ . Moreover we will denote by  $0$  the  $n$ -tuple  $(0, 0, \dots, 0)$ .

**Definition 2.5.** Let  $n$  be a positive integer and  $U$  be a numeration system. A set  $X \subseteq \mathbb{N}^n$  is said to be  $U$ -recognizable if the set  $\rho_U(X)$  is  $\Sigma_U^n$ -recognizable.

For every integer  $p \geq 2$ ,  $p$ -recognizability corresponds to  $U$ -recognizability for  $U = (p^n)_{n \in \mathbb{N}}$ .

The Büchi-Bruyère theorem ([2], see [4]) states that for every integer  $k \geq 2$  a set  $X \subseteq \mathbb{N}^n$  is  $k$ -recognizable if and only if  $X$  is definable<sup>1</sup> in the structure  $\langle \mathbb{N}; +, V_k \rangle$  (where  $V_k(x)$  denotes the greatest power of  $k$  which divides  $x$ ). In [3], Bruyère and Hansel generalized this result to the case of linear numeration systems whose characteristic polynomial is the minimal polynomial of a Pisot number.

For any numeration system  $U = (U_n)_{n \in \mathbb{N}}$ , one defines the function  $V_U : \mathbb{N} \rightarrow \mathbb{N}$  as follows:  $V_U(0) = U_0 = 1$ , and for every positive integer  $x$ , if  $\rho_U(x) = a_n \dots a_j 0^j$  with  $a_j \neq 0$  then  $V_U(x) = U_j$  (that is,  $V_U(x)$  is the least  $U_i$  appearing in the normalized  $U$ -representation of  $x$  with a non-zero coefficient).

**Theorem 2.6. (Bruyère, Hansel)** *Let  $U$  be a linear numeration system whose characteristic polynomial is the minimal polynomial of a Pisot number. For every  $n \geq 1$  a set  $X \subseteq \mathbb{N}^n$  is  $U$ -recognizable if and only if  $X$  is definable in the structure  $\langle \mathbb{N}; +, V_U \rangle$ .*

## 2.4 The Cobham-Semënov theorem

**Definition 2.7.** Two reals  $k, l > 1$  are said to be *multiplicatively dependent* if there exist two positive integers  $a, b$  such that  $k^a = l^b$ . Otherwise  $k, l$  are said to be *multiplicatively independent*.

Büchi proved [5] that for all multiplicatively dependent integers  $k, k'$ , and every set  $X \subseteq \mathbb{N}$ ,  $X$  is  $k$ -recognizable if and only if  $X$  is  $k'$ -recognizable. On the other hand, it is easily shown that any set  $X \subseteq \mathbb{N}$  which is ultimately periodic (i.e. definable in  $\langle \mathbb{N}; + \rangle$ ) is  $k$ -recognizable for every integer  $k \geq 2$ . The Cobham-Semënov theorem specifies the base-dependence of the notion of  $k$ -recognizable set.

**Theorem 2.8. (Cobham, Semënov)** *Let  $k, l$  be two multiplicatively independent integers. For every  $n \geq 1$  and every set  $X \subseteq \mathbb{N}^n$ , if  $X$  is  $k$ - and  $l$ -recognizable then  $X$  is definable in  $\langle \mathbb{N}; + \rangle$ .*

<sup>1</sup>by *definable* we will always mean *first-order definable*

The case  $n = 1$  was proved by Cobham in [6]; Semënov extended the result to higher dimensions in [19].

### 3. The main result

In this section we prove the following theorem.

**Theorem 3.1.** *Let  $\theta, \theta'$  be two multiplicatively independent Pisot numbers, and let  $U, U'$  be two linear numeration systems whose characteristic polynomial is the minimal polynomial of  $\theta$  and  $\theta'$ , respectively. For every  $n \geq 1$ , if  $A \subseteq \mathbb{N}^n$  is  $U$ - and  $U'$ -recognizable then  $A$  is definable in  $\langle \mathbb{N}; + \rangle$ .*

**Remark:** it follows from theorem 2.6 that if  $A$  is  $U$ - and  $U'$ -recognizable then  $A$  is definable in  $\langle \mathbb{N}; +, V_U \rangle$  and  $\langle \mathbb{N}; +, V_{U'} \rangle$ . Thus every relation definable in  $\langle \mathbb{N}; +, A \rangle$  is definable in  $\langle \mathbb{N}; +, V_U \rangle$  and  $\langle \mathbb{N}; +, V_{U'} \rangle$  too, and therefore is  $U$ - and  $U'$ -recognizable (by virtue of the same theorem).

We shall make use of the two following theorems, due to Michaux and Villemaire [16].

**Definition 3.2.** Let  $(l_n)_{n \in \mathbb{N}}$  be a strictly increasing sequence of integers, and let  $L = \{l_n : n \in \mathbb{N}\}$ . We say that  $L$  is *expanding* if the set  $\{l_{n+1} - l_n : n \in \mathbb{N}\}$  is not bounded.

**Theorem 3.3. (Michaux, Villemaire)** *Let  $K \subseteq \mathbb{N}$ . If  $K$  is not definable in  $\langle \mathbb{N}; + \rangle$  then there exists an expanding set  $L \subseteq \mathbb{N}$  which is definable in  $\langle \mathbb{N}; +, K \rangle$ .*

**Theorem 3.4. (Michaux, Villemaire)** *A set  $A \subseteq \mathbb{N}^n$  is definable in  $\langle \mathbb{N}; + \rangle$  if and only if every subset of  $\mathbb{N}$  which is definable in  $\langle \mathbb{N}; +, A \rangle$  is definable in  $\langle \mathbb{N}; + \rangle$ .*

The proof of theorem 3.1 is organized as follows: assuming for a contradiction that there exists  $A \subseteq \mathbb{N}^n$  which is  $U$ - and  $U'$ -recognizable and not definable in  $\langle \mathbb{N}; + \rangle$ , we use theorems 3.3 and 3.4 to define in  $\langle \mathbb{N}; +, A \rangle$  a set  $M = (m_n)_{n \in \mathbb{N}}$  such that  $m_{n+1} - m_n \geq n$  for every  $n \in \mathbb{N}$ . From this property of  $(m_n)_{n \in \mathbb{N}}$  we then deduce (lemmas 3.5 and 3.6) that  $\rho_U(M)$  is a finite disjoint union of a finite set and of sets of the form  $\{av^nb : n \in \mathbb{N}\}$ . Now using the remark of the beginning of the section,  $M$  should be  $U'$ -recognizable too; we prove (by an application of the *pumping lemma*) that this contradicts the previous property on  $\rho_U(M)$ .

**Proof of theorem 3.1.**

Let  $\theta$  and  $\theta'$  be two multiplicatively independent Pisot numbers. Assume for a contradiction that there exists  $A \subseteq \mathbb{N}^n$  which is  $U$ - and  $U'$ -recognizable, and not definable in  $\langle \mathbb{N}; + \rangle$ , for  $U$  and  $U'$  two linear numeration systems whose characteristic polynomial is the minimal polynomial of  $\theta$  and  $\theta'$ , respectively. By theorem 3.4 there exists a set  $K \subseteq \mathbb{N}$  which is definable in  $\langle \mathbb{N}; +, A \rangle$  and not definable in  $\langle \mathbb{N}; + \rangle$ . Then by theorem 3.3 we get an expanding set  $L$  which is definable in  $\langle \mathbb{N}; +, K \rangle$ . Let  $(l_n)_{n \in \mathbb{N}}$  be the sequence of elements of  $L$  arranged in increasing order. Consider the function  $f : L \rightarrow \mathbb{N}$  which maps every  $l_n$  to  $(l_{n+1} - l_n)$ . Now let  $M$  be the subset of  $L$  defined by

$$M = \{l_n : \forall i < n, f(l_i) < f(l_n)\}.$$

The set  $L$  is expanding, thus  $M$  is infinite. Let  $(m_n)_{n \in \mathbb{N}}$  be the sequence of elements of  $M$  arranged in increasing order. From the definition of  $f$  and  $M$  one checks that

$$\forall n \in \mathbb{N}, \quad m_{n+1} - m_n \geq f(m_n) \geq m_n \geq n. \quad (P)$$

Moreover  $M$  is definable in  $\langle \mathbb{N}; +, L \rangle$  by the formula

$$M(x) \iff \left[ L(x) \wedge \exists x' \{ L(x') \wedge x < x' \wedge \neg \exists z [x < z < x' \wedge L(z)] \wedge \forall y \{ [y < x \wedge L(y)] \implies \exists y' [L(y') \wedge x < y' \wedge \neg \exists z [y < z < y' \wedge L(z)] \wedge y' + x < x' + y] \} \} \right]$$

(the relation  $x < y$  is obviously definable in  $\langle \mathbb{N}; + \rangle$ ).

Therefore  $M$  is definable in  $\langle \mathbb{N}; +, A \rangle$ , and thus  $U$ -recognizable. Hence  $\rho_U(M)$  is  $\Sigma_U$ -recognizable. For the remainder of the proof let

$$\mathcal{A} = (Q, q_0, \delta, Q')$$

be a deterministic  $\Sigma_U$ -automata that recognizes  $\rho_U(M)$ .

The following lemma states an interesting consequence of property (P) for the set  $\rho_U(M)$ .

**Lemma 3.5.** *Let  $u, v, w_1, w_2 \in \Sigma_U^*$ ,  $v \neq \lambda$ . If  $\mu(w_1) = \mu(w_2)$ , and furthermore if for every  $n \in \mathbb{N}$ ,  $uw^n w_1$  and  $uw^n w_2$  belong to  $\rho_U(M)$ , then  $w_1 = w_2$ .*

**Proof.** Assume for a contradiction that  $w_1 \neq w_2$ . By our hypothesis  $uw_1$  and  $uw_2$  belong to  $\rho_U(M)$ , thus to  $\mathcal{N}_U$ ; since  $w_1 \neq w_2$  by proposition 2.1 we have  $\pi_U(uw_1) \neq \pi_U(uw_2)$ . Now  $\mu(w_1) = \mu(w_2)$  thus  $\pi_U(w_1) \neq \pi_U(w_2)$ . Assume, for example,  $\pi_U(w_2) > \pi_U(w_1)$ . Let  $a = \pi_U(w_2) - \pi_U(w_1)$ , and let  $N$  be an integer such that  $\pi_U(uw^N w_1) \geq m_{a+1}$  (such an integer exists since  $v \neq \lambda$ ). There exist

$i_1, i_2 \geq a + 1$  such that  $\pi_U(uv^N w_1) = m_{i_1}$  and  $\pi_U(uv^N w_2) = m_{i_2}$ . Then if  $c$  denotes the length of  $w_1$  and  $w_2$ ,

$$\begin{aligned}
m_{i_2} - m_{i_1} &= \pi_U(uv^N w_2) - \pi_U(uv^N w_1) \\
&= (\pi_U(uv^N 0^c) + \pi_U(w_2)) - (\pi_U(uv^N 0^c) + \pi_U(w_1)) \\
&= \pi_U(w_2) - \pi_U(w_1) \\
&= a
\end{aligned}$$

Now

$$m_{i_2} - m_{i_1} \geq m_{i_1+1} - m_{i_1}$$

and it follows from (P) that

$$m_{i_1+1} - m_{i_1} \geq i_1 \geq a + 1$$

which cannot be true.  $\square$

We now intend to show that the set  $\rho_U(M)$  is a finite disjoint union of a finite set and of sets of the form  $\{av^nb : n \in \mathbb{N}\}$ . To this end let us introduce some notations.

Let  $S \subseteq \Sigma_U^*$  be the set of words  $w$  such that

- (1)  $w$  is accepted by  $\mathcal{A}$
- (2) There do not exist two distinct right factors of  $w$ , say  $w_1$  and  $w_2$ , such that

$$\delta^*(q_0, w_1) = \delta^*(q_0, w_2)$$

(that is, no state is visited several times during the computation of  $w$  by  $\mathcal{A}$ ).

It is easily seen that  $S$  is nonempty, and finite. Now let  $B \subseteq \Sigma_U^*$  be the set of words  $w$  such that

- (1)  $w$  is a right factor of some element in  $S$ ;
- (2) there exists a word  $u \neq \lambda$  such that  $\delta^*(q_0, w) = \delta^*(q_0, uw)$ ;
- (3) there is no strict right factor of  $w$ , say  $w'$ , for which there exists a word  $u' \neq \lambda$  such that

$$\delta^*(q_0, w') = \delta^*(q_0, u'w').$$

The set  $\rho_U(M)$  is infinite, thus  $B$  is nonempty. We denote by  $b_1, \dots, b_\zeta$  the distinct elements of  $B$ . For  $i = 1, \dots, \zeta$ , let  $v_i$  be a word of minimal length such that

$$\delta^*(q_0, b_i) = \delta^*(q_0, v_i b_i).$$

We denote by  $\phi(i)$  the number of distinct words  $a$  such that  $ab_i \in S$ ; these words will be denoted by  $a_{i,1}, a_{i,2}, \dots, a_{i,\phi(i)}$ .



For all integers  $i, j$  such that  $1 \leq i \leq \zeta$  and  $1 \leq j \leq \phi(i)$ , let us introduce the set

$$E_{i,j} = \{a_{i,j}v_i^n b_i : n \in \mathbb{N}\}.$$

We denote by  $E_{0,0}$  the elements  $s$  of  $S$  for which there are no words  $w, u$ , with  $u \neq \lambda$ , such that  $w$  is a right factor of  $s$  and  $\delta^*(q_0, w) = \delta^*(q_0, uw)$ .

Finally let  $\mathcal{I} = \{(i, j) : i = j = 0 \vee 1 \leq i \leq \zeta, 1 \leq j \leq \phi(i)\}$ , and  $t = \sum_{i=1}^{\zeta} \phi(i)$ .

**Lemma 3.6.** *The family  $(E_{i,j})_{(i,j) \in \mathcal{I}}$  is a partition of  $\rho_U(M)$ .*

**Proof.** We first proceed to show that for all distinct couples  $(q, r), (q', r') \in \mathcal{I}$  the sets  $E_{q,r}$  and  $E_{q',r'}$  are disjoint. The result is obvious in the case where one of the couple is  $(0, 0)$ . Now if  $q, q'$  are positive, assume that  $E_{q,r} \cap E_{q',r'}$  is nonempty. In this case there exist two integers  $n, n'$  such that

$$a_{q,r}v_q^n b_q = a_{q',r'}v_{q'}^{n'} b_{q'}. \quad (3)$$

This equality implies that among the words  $b_q$  and  $b_{q'}$ , one is a right factor of the other; but it cannot be a strict right factor since it would contradict point (3) in the definition of  $B$ . Thus  $b_q = b_{q'}$ , that is  $q = q'$ . Assume now that  $n \geq n'$ ; from the previous equality it follows that

$$a_{q,r}v_q^{n-n'} = a_{q,r'}; \quad (4)$$

The word  $a_{q,r}v_q^{n-n'} b_q$  belongs to  $S$ , since the word  $a_{q,r'} b_q$  does; it follows from the definition of  $S$  that we must have  $n - n' = 0$ . Therefore  $n = n'$ , and  $a_{q,r} = a_{q,r'}$ ; thus  $r = r'$ , which cannot be.

Now there remains to prove that

$$\bigcup_{(i,j) \in \mathcal{I}} E_{i,j} = \rho_U(M).$$

If  $w \in E_{0,0}$  then  $w \in S$ , hence  $w \in \rho_U(M)$ . Now suppose that  $w$  belongs to some  $E_{q,r}$  with  $q > 0$ . There exists  $n \in \mathbb{N}$  such that  $w = a_{q,r}v_q^n b_q$ . We have

$$\delta^*(q_0, a_{q,r}v_q^n b_q) = \delta^*(q_0, a_{q,r} b_q),$$

thus the fact that  $a_{q,r} b_q$  belongs to  $S$  yields  $a_{q,r}v_q^n b_q \in \rho_U(M)$ . We have proved the inclusion  $\bigcup_{(i,j) \in \mathcal{I}} E_{i,j} \subseteq \rho_U(M)$ .

For the converse inclusion, let  $u \in \rho_U(M)$ . If there is no right factor  $u'$  of  $u$  for which there exists a word  $v' \neq \lambda$  such that

$$\delta^*(q_0, v' u') = \delta^*(q_0, u'),$$

then  $u \in E_{0,0}$ . Otherwise let  $b$  be a right factor of  $u$  of minimal length such that there exists some word  $v' \neq \lambda$  for which

$$\delta^*(q_0, v'b) = \delta^*(q_0, b).$$

The word  $u$  belongs to  $\rho_U(M)$ , thus  $b$  must be a right factor of some word of  $S$ ; it follows that  $b \in B$ , that is  $b = b_j$  for some positive integer  $j \leq \zeta$ . Now there exist  $a \in \Sigma_U^*$  and  $n \in \mathbb{N}$ , such that  $u = av_j^n b_j$  and  $v_j$  is not a right factor of  $a$ . Let us show that  $ab_j$  belongs to  $S$ , which will ensure us that  $u$  belongs to some  $E_{j,k}$ . The word  $av_j^n b_j$  is accepted by  $\mathcal{A}$ , and

$$\delta^*(q_0, av_j^n b_j) = \delta^*(q_0, ab_j);$$

therefore  $ab_j$  is accepted by  $\mathcal{A}$ . Thus there remains to show that no state is visited several times during the computation of  $ab_j$  by  $\mathcal{A}$ . Assume the contrary. Let  $b'$  be the smallest right factor of  $ab_j$  for which there exists  $w$  right factor of  $ab_j$  and distinct from  $b'$  such that

$$\delta^*(q_0, b') = \delta^*(q_0, w).$$

Then there exist  $a', z \in \Sigma_U^*$ ,  $z \neq \lambda$  such that  $ab_j = a'zb'$  and

$$\delta^*(q_0, b') = \delta^*(q_0, zb').$$

The word  $b_j$  is a right factor of  $b'$ , otherwise  $b'$  would be strict right factor of  $b_j$ , and the fact that

$$\delta^*(q_0, b') = \delta^*(q_0, zb')$$

would imply  $b' \in B$ , which contradicts the minimality of  $b_j$ . By setting  $b' = a''b_j$  we then have  $ab_j = a'za''b_j$  with

$$\delta^*(q_0, a''b_j) = \delta^*(q_0, za''b_j).$$

Moreover

$$\delta^*(q_0, b_j) = \delta^*(q_0, v_j b_j).$$

Thus for all  $m_1, m_2 \in \mathbb{N}$ , the word  $a'z^{m_1}a''v_j^{m_2}b_j$  is accepted by  $\mathcal{A}$ . Let  $r = \mu(v_j)$  and  $s = \mu(z)$ . For every  $m \in \mathbb{N}$ , the words  $a'z^m z^r a''b_j$  and  $a'z^m a''v_j^s b_j$  are accepted by  $\mathcal{A}$ . But

$$\mu(z^r a''b_j) = s r + \mu(a'') + \mu(b_j) = \mu(a''v_j^s b_j). \quad (5)$$

From lemma 3.5 we get  $z^r a''b_j = a''v_j^s b_j$ , that is

$$z^r a'' = a''v_j^s \quad (6)$$

If  $\mu(v_j) > \mu(za'')$  then from (6) the word  $za''$  is a strict right factor of  $v_j$ ; but

$$\delta^*(q_0, a''b_j) = \delta^*(q_0, za''b_j),$$

and this contradicts the minimality of  $v_j$ . It follows that  $\mu(v_j) \leq \mu(za'')$  and by (6),  $v_j$  is a right factor of  $za''$ , *a fortiori* of  $a = a'za''$ , which contradicts the hypothesis on  $a$ .  $\square$

The set  $A$  is  $U'$ -recognizable and  $M$  is definable in  $\langle \mathbb{N}; +, A \rangle$ , hence  $M$  is  $U'$ -recognizable too. We shall prove that this contradicts the property on  $\rho_U(M)$  expressed by the previous lemma.

The set  $\rho_{U'}(M)$  is infinite thus by the pumping lemma there exist  $u, v, w \in \Sigma_{U'}^*$  such that  $v \neq \lambda$  and for every  $n \in \mathbb{N}$  the word  $uv^nw$  belongs to  $\rho_{U'}(M)$ . Set  $X = \{\pi_{U'}(uv^nw) : n \in \mathbb{N}\}$ .  $X$  is an infinite subset of  $M$ ; moreover if  $(x_n)_{n \in \mathbb{N}}$  denotes the strictly increasing sequence of elements of  $X$  then by Proposition 2.4 we get

$$\lim_{n \rightarrow +\infty} \frac{x_{n+1}}{x_n} = \theta'^e \quad (7)$$

where  $e = \mu(v)$ .

Let us recall that  $\mathcal{I} = \{(i, j) : i = j = 0 \vee 1 \leq i \leq \zeta, 1 \leq j \leq \phi(i)\}$ , and  $t = \sum_{i=1}^{\zeta} \phi(i)$ .

Set  $\epsilon = \frac{\min\{|\theta'^i - \theta^j| : 1 \leq i \leq et, j \geq 1\}}{2}$ . We have  $\epsilon > 0$  since  $\theta$  and  $\theta'$  are multiplicatively independent. Moreover let  $q$  be an integer such that  $\theta^q > \theta'^{et} + 2\epsilon$ .

From (7) we can deduce the existence of an integer  $N_0$  such that

$$\forall n \geq N_0 \quad \forall d \leq t \quad \left| \frac{x_{n+d}}{x_n} - \theta'^{ed} \right| < \epsilon. \quad (8)$$

On the other hand by Proposition 2.4 we have

$$\forall (i, j) \in \mathcal{I} \setminus (0, 0) \quad \forall k \leq q \quad \lim_{n \rightarrow +\infty} \frac{\pi_U(a_{i,j}v_i^{n+k}b_i)}{\pi_U(a_{i,j}v_i^n b_i)} = \theta^{k\mu(v_i)}$$

thus there exists  $N_1 \in \mathbb{N}$  such that

$$\forall n \geq N_1 \quad \forall (i, j) \in \mathcal{I} \setminus (0, 0) \quad \forall k \leq q \quad \left| \frac{\pi_U(a_{i,j}v_i^{n+k}b_i)}{\pi_U(a_{i,j}v_i^n b_i)} - \theta^{k\mu(v_i)} \right| < \epsilon. \quad (9)$$

Let  $R = \max\{\pi_U(x) : x \in E_{0,0}\}$  and  $R' = \max\{\pi_U(a_{i,j}v_i^n b_i) : (i, j) \in \mathcal{I} \setminus (0, 0), n \leq N_1\}$ . Fix an integer  $n$  such that  $n > N_0$  and  $x_n > \max(R, R')$ . By lemma 3.6 and using the hypothesis  $x_n > R$ , every word among  $\rho_U(x_n), \rho_U(x_{n+1}), \dots, \rho_U(x_{n+t})$  belongs to a unique set among  $(E_{i,j})_{(i,j) \in \mathcal{I} \setminus (0,0)}$ ; thus by the pigeon-hole principle there exist at least two distinct elements among  $\rho_U(x_n), \rho_U(x_{n+1}), \dots, \rho_U(x_{n+t})$ , say  $\rho_U(x_{n+l_1})$  and  $\rho_U(x_{n+l_2})$  with  $0 \leq l_1 < l_2 \leq t$ , which belong to the same set  $E_{i,j}$  for some  $(i, j) \in \mathcal{I} \setminus (0, 0)$ . That is,

$$x_{n+l_1} = \pi_U(a_{i,j}v_i^{n'} b_i)$$

and

$$x_{n+l_2} = \pi_U(a_{i,j}v_i^{n'+k}b_i)$$

where  $k \in \mathbb{N}$  and  $n' > N_1$ .

Now  $n > N_0$  thus by (8)

$$\left| \frac{x_{n+l_2}}{x_{n+l_1}} - \theta'^{e(l_2-l_1)} \right| < \epsilon. \quad (10)$$

Let us now prove that  $k \leq q$ . Assume the contrary; we have

$$\frac{x_{n+l_2}}{x_{n+l_1}} = \frac{\pi_U(a_{i,j}v_i^{n'+k}b_i)}{\pi_U(a_{i,j}v_i^{n'}b_i)} > \frac{\pi_U(a_{i,j}v_i^{n'+q}b_i)}{\pi_U(a_{i,j}v_i^{n'}b_i)}.$$

Now  $x_{n+l_1} > R'$  thus  $n' > N_1$ , hence by (9)

$$\left| \frac{\pi_U(a_{i,j}v_i^{n'+q}b_i)}{\pi_U(a_{i,j}v_i^{n'}b_i)} - \theta^{q\mu(v_i)} \right| < \epsilon$$

therefore

$$\frac{x_{n+l_2}}{x_{n+l_1}} > \theta^{q\mu(v_i)} - \epsilon \geq \theta^q - \epsilon > \theta'^{et} + \epsilon \geq \theta'^{e(l_2-l_1)} + \epsilon$$

which contradicts (10).

Thus  $k \leq q$ , so that we deduce from (9) and  $n' > N_1$  that

$$\left| \frac{x_{n+l_2}}{x_{n+l_1}} - \theta^{k\mu(v_i)} \right| < \epsilon. \quad (11)$$

Finally (10) and (11) contradict the very definition of  $\epsilon$ .  $\square$

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