# **A Dynamic Scalable Service Model for SIP-based Video Conference**

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## **Abstract**

*As an extensible signaling protocol, SIP (Session Initiation Protocol) can be applied in deveioping video conference system. Traditionally, for SIP-based centralized video conference system, conferencing scale is limited by both the capabiliq of conference server and the availobilily of banahidth. The paper focuses on how to keep conferencing when the number of conference users increases. Based on the study of the SIP Protocol, we analyze the drawback of the existing*  video conference model, and propose a dynamic *scalable service model for SIP-based video conference. By this model, the extra service requests cun be transferred and served in the cooperated conference servers. The paper presents the conferencing control policy of the model in detail. We developed a prototype of video conference system based on the above model. Experimental resulfi show thb service model works well.*  .. ~, .

**Keywords: SIP,** Video Conference, Scalability, Service **Model.** 

# **1. Introduction**

The fast development *of* Internet technologies has provided the basis for multimedia video conference in **IP** network. With the function of transmitting multimedia information, such as text, graphics, audio and video, video conference breaks the limit of human communications due to the geographical location of participants.

**At** present, there are two **standards** to support the development **of** video conference systems, i.e. **ITU-T H.323 [Z] and** the Session Initiation Protocol (SIP)[l] recommended by **the IETF.** Because **H.323** follows the traditional telephony signal model, **it** is difficult to control and be extended. However, SIP is flexible and open. Thus, in this paper, **SIP is** adopted as the basis to study the dynamic **scalable** video conferencing system.

There are three models for conferencing controls: loosely coupled conference, fully distributed multiparty conferencing and tightly coupled conference **[3].** Their

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common drawback is absence of dynamic extension, i.e., they are not able to dynamically increase the capacity of conference system during the conferencing. To overcome the shortcoming, this paper proposes a dynamic scalable service model for the SIP-based video conference. Under the precondition of no negative effects on the conferencing, the requirements on extending the system's capability can be met by dynamic increasing of conference servers and by transferring **the** subsequent services to the cooperated conference servers.

The rest of the paper is organized as follows: In section **2,** we discuss the related work. Section **3**  presents a scalable service model *for* SIP-based video conferencing architecture. In section **4,** we describe the SIP flows **of** the service model. In section *5,* we introduce the implementation of video conference system based on the scalable service model. **Finally,** we make some concluding remarks in section 6.

# **2. Related works**

#### **2.1. SIP Protocol**

SIP [I] was developed by the **IETF** multiparty multimedia session control working **group** and has been applied broadly **[4,5,6,7,8,9].** SIP is **an** applicationlayer control protocol **that** can establish, modify and terminate multimedia sessions such **as** Internet conferencing, telephony, events notification and instant messaging. SIP is similar in **syntax** and grammar to other Internet protocols such **as SMTP** (Simple Mail Transfer Protocol) used **for** e-mail and HTTP used for the World Wide Web. **The** architecture of **SIP** follows the client-server model, the underlying **transport** of SIP . messages can either be in UDP, TCP or **Stream** Control Transmission Protocol **(SCTP).** 

However, **SIP** is not a vertically integrated communications system, and a complete multimedia architecture cannot be built only by using SIP. Usually, **SIP** is rather a component that can be used with other IETF protocols to build a complete multimedia architecture. Typically, these architectures include protocols such as the Real-time Transport Protocol (RTP) [lo] for transporting real-time data and providing *QoS* feedback, the Real-Time Streaming Protocol (RTSP) [Ill for controlling delivery of streaming media, and the Session Description Protocol (SDP) [I21 which is used to describe multimedia sessions.

There are **six** request methods mainly defined in **SIP:**  INVITE, ACK, **BYE,** OPTION, CANCEL and **REGISTER.** Certainly, **SIP** has also been extended to generate event notifications and instant messages [ **131.** 

#### **2.2, SIP-based video conference system**

Efforts to implement **SIP** features for video conference system have been going on for the past few years [6,8,9,14,15,16,17,1S]. Typically, there are three models for conferencing control: loosely coupled conference, fully distributed multiparty conference and tightly coupled conference **[3].** Due to *the* absence of a widely available multicast service on Internet at present, the model of our implementation is based on the currently more common centralized framework and tightly controlled session model. IETF describes a framework in some drafts **([3, 14, 15,** 161) for how such SIP-based tightly coupled conferences can be held, including the overall architecture, protocol components needed for multi-party conferencing and the flows of the conference. The IETF framework provides **us** with the research basis. However, **IETF** framework has a drawback: there is only one conference server acting as central control unit, whose conference service capability limits the scale of conference, so that the conference lacks of extensibility. We overcome the shortcoming by dynamically increasing **the** number of conference servers and transferring the subsequent service **to** the increased conference server without stopping the conference. We adopt the object oriented method to manage conferences, which means the realization of conference dynamic extension depends on **the accession of the members under the case that** each conference server joining the conference becomes one member **of** the conference objects. We also define some special conference policies that embody the above idea **and** these policies can be implemented by **SIP.** 

# **3. The scalable service model for SIP-based video conference**

**As** shown in Figure **1,** the scalable service model **for**  SIP-based video conference system consists of three kinds of **SIP** entities: User **Agent (UA),** Conference Server (CS) and Manager Server **(MS).** The UA and CS are called by a joint name, conference member. In subsection 3.1, we introduce conference members and their basic functions. Subsection *3.2* defines the concept of conference object and discusses its intra-structure. **In**  subsection **3.3,** we describe the structure of manager server **and its** functions, and present the conference control policy in detail in subsection **3.4.** 

## **3.1. Conference member**

The model includes two kinds of conference members: **UA** and CS. Conference members are the basic elements of conference and act as the participants and the entities of implementation.

User Agent (UA): Each UA consists of a User Agent Client **WAC)** and **a** User Agent Server (UAS). **A UAC**  is a logical entity that creates a new request, and then uses the client transaction state machinery to send it. **A UAS** is also a logical entity that generates a response to <sup>a</sup>**SP** request. In this paper, a **UA** also represents a client of video conference system.

Conference Server (CS): The main functions **of CS**  are as follows: (1) **SIP** signal interaction with **UAs** and MS; **(2)** receiving, handling and redistributing the media streams. Each **CS** is not only a logical entity, but also corresponding to a physical entity, *e.g.,* a computer.

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### **3.2. Conference object**

As a logic entity, a conference object, created and managed by MS, creates and manages the conference members of the conference. The relationship between conference and conference object **is** one-to-one.

**As** shown in Figure **2,** there **are** two tables in **the**  conference object: User Agent Table (UAT) and Conference Server Table (CST). The **two** tables Iist the related information of **UAs** and CSs in the conference system, respectively. The virtual **line linking** the two tables means that one user **has** logged into some conference server. For example, the virtual line linking **UA1** to CSl indicates the **UAI has** logged into the **CSI.**  Furthermore, **we** note that the Resource Request Module (RRM), **as** a **part** of the conference object, is devoted *to* **checking the load status of every CS existing**  in the CST and requesting new *CS* to join the conference.

#### **3.3. Manager server**

In the model, we design a single MS which collectively implements the functions of register server, redirect server and proxy server. In addition, the additional functions **of MS** are **as** follows: (1) creating and managing the conference object, **(2)** resource management and scheduling.

The **MS is** composed *of* five modules: Communication Interface (CI), Conference Object Queue (COQ), Resource Scheduler **(RS),** User Agent Management Table *(UAMT)* and Conference Server Management Table (CSMT) (see Figure **1).** 

**CI** takes **charge** *of* the MS communication with CSs and UAs. Creating, managing and deleting the conference object are the responsibilities taken by COQ. RS services resource management and scheduling. The



Figure 1. The scalable service model for SIP-based video conference





UAMT and CSMT manage the information of all the registered CSs and UAs. The UAT and CST of each conference object are regarded as subsets of UAMT and CSMT, respectively.

## 3.4. Conference control policies

At first, we introduce the conception of load ratio. The load ratio is the performance index of CS. In the paper, we take the resource utilizations of CS as the load ratio of CS because it shows an approximately linear positive correlation between the resource utilization and the load ratio.

In order to implement the dynamic scalability, we make some conference control policies for the model.

(a) Once the UA or CS logs into the network, it registers into the MS immediately. The register information of UAs and CSs are stored into the UAMT and CSMT, respectively. As a CS registering into the MS successfully, the MS asks the CS to report its load ratio every a certain time (e.g. 5s). The load ratio is written into the CSMT and is also stored into the CST of a conference if the CS has joined the conference.

(b) Before a conference holding, a conference object will be created and inserted into COQ by MS, and the RRM of the conference object will request the RS to select a CS as the initial CS of the conference. Then, the conference object will copy the relative item from the CSMT to its CST. At the same time, the MS invites the CS to join the conference.

(c) UA will send a request to MS for the CS address, if it expects to join a conference. After the MS receives the request, the UAT of the conference object will copy the relative item from the UAMT. Then, the RRM chooses the CS with the least load among all CSs of the conference to interact the conference signals with the UA. Finally, the conference object will build up the relationship between the UA and CS. Once a UA exits a conference or fails to log into CS, the conference object will cancel the relationship between the UA and CS and delete the related items of the UA from the UAT.

(d) During a conference, the RRM of the conference object will check the load status of every CS in CST. Once the load of each CS of the conference reaches the utmost, the RRM will send a request for a new CS to

the RS. Then the RS will select CS with the least load among those who haven't joined the conference from the CSMT, and then the selected **CS** will be inserted into the CST. At the same time, the MS sends a request to invite the **CS** to join the conference. When this step is accomplished, the service requests of the subsequent UAs will be transferred to the CS according to (c).

(e) When a conference is over, the MS will send a message to **CS** and delete the conference object corresponding to the conference from the *COQ.* 

# **4. The SXF flows of the model**

In this section, we will look at the STP flows **of** some interesting phases in the conference, where the **SIP**  flows conform to the conference control policies of the model.

# **4.1. The UA flows**

When a user **(UA)** using any terminal logs on to a network, the REGISTER messages are sent to the **MS.**  These messages record the related information, such **as**  address and URI, are stored **into** the **UAMT** (see the conference control policy (a)).

The **Figure** 3 depicts the **SIP** flows of **UA** entering and exiting a conference, which follow the conference control policy **(c).** The flow of **UA** joining the conference is **as** follow. First, after receiving the **INVITE** request sent by **WA** for getting **the** address of **CS,** MS responses the **ADDRESS** [I91 message which includes the information about the address **of** CS, and then UA retums ACK to MS. Second, UA joins the conference as shown in step **4,** *5,* 6 of the Figure **3.**  Finally, the **UA sends** MS such multiple **SUBSCRIBE**  requests **as** to ask to download the participants **information** of the conference, **or** to **ask to get the audio**  streams or video **streams** of some users. The SUBSCRTBE request contains **an** "event" header indicating the type of event the **UA** is subscribing to and an "expires" header specifying the duration of the subscription. The request can be refreshed whenever the subscription has **expired.** If a subscriber wants to unsubscribe, it can send a SUBSCRTBE message with an expiration time of **zero.** The SUBSCRIBE (event = download) request **asking** to download the participant **list** of the conference will be sent to the **MS as soon** as **a UA** joins the conference. After receiving this request, MS will intermittently (e.g. **5s)** send the **NOTIFY**  response to the UA **in order** to **inform** it the participant list. At the same time, this response can also be triggered when other **UAs** enter or exit. After joining a conference, the **UA** wil1 send media streams to the **CS**  on which it has logged. Then, the **UA** will receive media streams from the logged CS or other **CSs** if it requests the media streams of other **UAs** which have logged on the other **CSs.** 

Furthermore, there are other **SIP** flows of **UA** such **as**  a **UA** inviting another **UA** to **join** the conference. For example, if **UA1, as** a conference user, expects to invite UAZ to join the conference, it will send REFER **[20]** to MS which will select the least load **CSi** among **all CSs**  of the conference. The **MS** will subsequently send  $MESSAGE$  [21] to  $CS_i$  which will send INVITE signal to WA2, in order to invite UA2 to entry **the** conference.

When a UA exits the conference, it will send BYE message to MS and CS.



**Figure 3. The basic SIP flows of UA** 

# **4.2. The CS flows**

The CS will send REGISTER message to MS as soon **as** it **logs** on the network. After receiving the **first REGISTER** from **CS, MS will send** SUBSCRIBE (event = query) for checking **the** CS load ratio. **At** the **same** time, CS intermittently (e.g. **5s)** responds NOTIFY message to MS reporting its load situation. This step follows the conference control policy (a).

The SIP flow **of CS** dynamically joining a conference is **as** follows. According to the conference control policy (d), when each conference *CS* has overloaded, the MS will send MVITE message **to** the least load CS from the CSMT. Then the selected CS will respond **200**  OK to the MS, if it agrees **on** joining the conference.

When the conference is over, **the MS** will send BYE message to the **CS** which responses 200 OK to the MS. After having received the response, the MS will delete **the** conference object according to the conference control policy (e).

# **5. A prototype system and performance evaluation**

According to our model, we designed and implemented **a** SIP-based video conference system. The development of the system used the multimedia middleware proposed in *[8,9].* The interfaces of UA, CS, MS are shown in Figure **4,** *5* and 6, respectively.

In the system, each **UA** (per participant during a video conferencing) executes **on** a separate host. We used 50 **UAs,** one MS and two CSs **(CSI** and CS2) to evaluate the performance of **our** implementation under **the** precondition that there is **only** one conference existed.

Initially there was only CS1 joining the conference **and** the number of UAs was increased one by one. We measured **the** load **ratio** of CS1 and CS2 every **5s.**  According to the discussion above, the load ratio of CS was recorded in the CSMT, and if the CS had joined the conference, it was **also** recorded in the CST of the conference abject.

In Figure 7, **we** show **the** load ratios of **CS1** and CS2 **as** each user joins the conference. **As** the number of UAs increases from 0 to **33,** the load ratio of **CS1**  increases from 0 to **82%** approximately linearly, while that of **CS2 still** keeps 0. **However,** if the load ratio **of**  CS1 **is** over **SO%, and** the subsequent **UAs** keep on logging into CSI, the resource of CS1 would be exhausted **and** the conference would end abnormally. To avoid the emergent situation, the MS corresponsively sends INVITE request to CS2 and invites it to join the conference acting **as** the receiver **for** the sequent service requests. **As** the number of **UAs**  increases from **33** to 50, the load ratio of **CS1** keeps **under** *90%,* **while** that of *CS2* increases from 0 to *58%.* 

The above results show that our service model is characterized with the dynamic scalability without any negative effects on the conference.



**Figure 4. The interface of UA** 

# *6.* **Conclusion**

This paper provides a service model **to** support dynamic scalability of SIP-based video conference service. Based on the study **of** the SIP Protocol, we analyzed the drawback of the existing video conference models, and proposed a dynamic scalable service model for SIP-based video conference system. We also presented the structure, the control policies and *SP*  signal **flows** of the model. By **this** model, **the** extra service requests can be transferred **and** served in the cooperated conference servers. Furthermore, we implemented a prototype system based on the model, and the experimental results show the service model works well.



**Figure 5. The interface of CS** 

NetViedo-ManageServerV1.0 - <del>n≸</del> lent o ak s <del>o</del> man	
Connect to Database succeed! 2004-12-08 19:32:08	
Telcome into the SIP-based Video Conference	
Current Time: 2004-12-08 19:32:08	
The Hanager Server is Listening the Port: 5008	
Receive the connection from Conference Server	
202.112.253.165	
Receive the Connection from Client 202.112.10.37	
Receive the Connection from Client 202.112.10.38	
Becaive the Connection from Client 202.112.1.170	
Beceive the Connection from Client 202.112.10.124	
Receive the Connection from Client 202.112.10.23	
Receive the Connection from Client 202.112.10.32	

**Figure 6. The interface of MS** 



**number of UAs** 

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