

# THE $cl$ -core OF AN IDEAL

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**ABSTRACT.** We expand the notion of core to  $cl$ -core for Nakayama closures  $cl$ . In the characteristic  $p > 0$  setting, when  $cl$  is the tight closure, we give some examples of ideals when the core and the  $*$ -core differ. Moreover, we show that the  $*$ -core( $I$ ) = core( $I$ ), if  $I$  is an ideal in a one-dimensional domain with infinite residue field or if  $I$  is an ideal generated by a system of parameters in any Noetherian ring. More generally, we show the same result in a local Cohen–Macaulay normal domain with perfect infinite residue field, if the analytic spread,  $\ell$ , is equal to the  $*$ -spread and  $I$  is  $G_\ell$  and weakly- $(\ell - 1)$ -residually  $S_2$ . This last is dependent on our result that generalizes the notion of general reductions to general minimal  $*$ -reductions. We also determine that the  $*$ -core of a tightly closed ideal in certain one-dimensional semigroup rings is tightly closed and therefore integrally closed.

## 1. INTRODUCTION

The core of an ideal, the intersection of all reductions of the ideal, was introduced by Rees and Sally in [RS] in the 80's. Then over a decade past before Huneke and Swanson [HS1] analyzed the core of ideals in 2-dimensional regular local rings. Then a stream of papers came out within a decade by Corso, Polini and Ulrich [CPU1], [CPU2], [PU], Hyry and Smith [HyS1], [HyS2] and Huneke and Trung [HT] expanding the understanding and computability of core. As it is the intersection of reductions, in general it lies deep within the ideal. In fact, the core is related to the *Briançon-Skoda Theorem* [LS]: Let  $(R, \mathfrak{m})$  be a regular local ring, then  $\overline{I^d} \subseteq J$  for any reduction  $J$  of  $I$ . Hence,  $\overline{I^d} \subseteq \text{core}(I)$ . A very slick proof of the Briançon-Skoda Theorem was given in characteristic  $p > 0$ , using tight closure, [HH, Theorem 5.4]. We would like to expand the notion of core to other closure operations; in particular, Nakayama closure operations. Epstein defined the notion of Nakayama closure as follows:

**Definition 1.1.** ([Ep]) A closure operation  $cl$ , defined on a Noetherian local ring  $(R, \mathfrak{m})$  is a Nakayama closure if for all ideals  $J \subset I \subset (J + \mathfrak{m}I)^{cl}$ , then  $I \subset J^{cl}$ .

Note that integral closure, tight closure and Frobenius closure are examples of Nakayama closures, [Ep, Proposition 2.1]. Recall, that both the tight closure and the Frobenius closure are characteristic  $p > 0$  notions. It may be that we can formulate

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some Briançon-Skoda like Theorems for other cores such as tight closure using our new definition of  $cl$ -core.

Epstein's main reason for the definition of Nakayama closure was to expand the notion of reduction and spread to these other closure operations. With a well defined notion of reduction and spread, we can easily extend the notion of core to these other closure operations. In general, the  $cl$ -cores will not lie as deep in the ideal as the core itself. This will follow from the fact that the partial ordering of closure operations leads to a reverse partial ordering on the  $cl$ -cores. Our hope in studying these  $cl$ -cores is that tight closure methods may be used to compute the core in situations where the core and the  $*$ -core agree.

In Section 2, we provide some background information about the core and tight closure theory, along with a review of some central theorems that are used in this article. In Section 3, we review  $cl$ -reductions of ideals. We also discuss the  $cl$ -spread of an ideal and define both the  $cl$ -deviation and the second  $cl$ -deviation in terms of the  $cl$ -spread. We also introduce the notion of  $cl$ -core. In Section 4, we show different instances when the core and the  $*$ -core agree. Our main result, Theorem 4.5, shows that we can form general  $*$ -reductions. This allows us to show in particular that if  $(R, \mathfrak{m})$  is Gorenstein normal domain of positive characteristic with infinite perfect field with the test ideal equal to  $\mathfrak{m}$  and  $I$  is an  $\mathfrak{m}$ -primary tightly closed ideal then  $*\text{-core}(I) = \text{core}(I)$ . Also, when  $(R, \mathfrak{m})$  is a Cohen–Macaulay normal domain with perfect infinite residue field of prime characteristic and  $I$  is an ideal which is  $G_\ell$  and weakly residually  $(\ell - 1)\text{-}S_2$  with  $\ell^*(I) = \ell(I) = \ell$  then  $\text{core}(I) = *\text{-core}(I)$ . In Section 5, we discuss when the  $*$ -core is tightly closed in some one-dimensional semigroup rings. In Section 6, we give some examples of the  $*$ -core of an ideal and in each case we compare the core with the  $*$ -core.

## 2. BACKGROUND

In this section we recall some notions that we will use extensively in this article and also recall some results that we use.

**Definition 2.1.** Let  $R$  be a Noetherian local ring of prime characteristic  $p > 0$ . We denote positive powers of  $p$  by  $q$  and the set of elements of  $R$  which are not contained in the union of minimal primes by  $R^\circ$ . Then

- (a) For any ideal  $I \subset R$ ,  $I^{[q]}$  is the ideal generated by the  $q$ th powers of elements in  $I$ .
- (b) We say an element  $x \in R$  is in the *tight closure*,  $I^*$ , of  $I$  if there exists a  $c \in R^\circ$ , such that  $cx^q \in I^{[q]}$  for all large  $q$ .
- (c) We say an element  $x \in R$  is in the *Frobenius closure*  $I^F$  of  $I$  if  $x^q \in I^{[q]}$  for all large  $q$ .

Finding the tight closure of an ideal would be hard without test elements and test ideals. A *test element* is an element  $c \in R$  which is not in any minimal prime and

$cI^* \subset I$  for all  $I \subset R$ . Note that  $c \in \bigcap_{I \subset R} (I : I^*)$ . Since the intersection of ideals is an ideal we call the ideal  $\tau = \bigcap_{I \subset R} (I : I^*)$  *the test ideal*, i.e the ideal generated by all the test elements. We say that  $I$  is a *parameter ideal* if  $I$  is generated by part of a system of parameters. In a Gorenstein isolated singularity, the following theorem of Smith [Sm] gives a nice way to compute the tight closure of a parameter ideal using the test ideal.

**Theorem 2.2.** ([Sm, Lemma 3.6, Proposition 4.5]) *Let  $(R, \mathfrak{m})$  be a Gorenstein isolated singularity with  $\mathfrak{m}$ -primary test ideal  $\tau$ . Then for any system of parameters  $x_1, x_2, \dots, x_d$ ,*

$$(x_1, x_2, \dots, x_d) : \tau = (x_1, x_2, \dots, x_d)^*.$$

Related concepts are parameter test elements and parameter test ideals. A *parameter test element* is an element  $c \in R$  which is not in any minimal prime and  $cI^* \subset I$  for all parameter ideals  $I \subset R$ . Note that  $c \in \bigcap_{I \subset R} (I : I^*)$ . Let  $P(R)$  be the set of parameter ideals in  $R$ . We call  $\tau_{par} = \bigcap_{I \in P(R)} (I : I^*)$  the parameter test ideal. It is known in a Gorenstein ring that  $\tau = \tau_{par}$ . We can relax the Gorenstein assumption from the above theorem and we obtain:

**Theorem 2.3.** ([Va1]) *Let  $(R, \mathfrak{m})$  be a Cohen–Macaulay isolated singularity with  $\mathfrak{m}$ -primary parameter test ideal  $\tau_{par}$ . For any system of parameters  $x_1, x_2, \dots, x_d$ ,*

$$(x_1, x_2, \dots, x_d) : \tau_{par} = (x_1, x_2, \dots, x_d)^*.$$

Note, if the parameter test ideal is known to be  $\mathfrak{m}$ , even in a Cohen–Macaulay ring, the test ideal will also be  $\mathfrak{m}$ .

Another result that we will use repeatedly is the following due to Aberbach:

**Proposition 2.4.** ([Ab, Proposition 2.4]) *Let  $(R, \mathfrak{m})$  be an excellent, analytically irreducible local ring of characteristic  $p$ , let  $I$  be an ideal, and let  $f \in R$ . Assume that  $f \notin I^*$ . Then there exists  $q_0 = p^{e_0}$  such that for all  $q \geq q_0$  we have  $I^{[q]} : f^q \subset \mathfrak{m}^{[q/q_0]}$ .*

Notice that later on we will be assuming that  $R$  is an excellent normal local ring, which implies that  $R$  is analytically irreducible, since the completion of an excellent normal ring is again normal, and thus a domain. Hence one may use Proposition 2.4.

Let  $R$  be a Noetherian ring and  $I$  an  $R$ -ideal. We say that  $J \subset I$  is a *reduction* of  $I$  if  $I^{n+1} = JI^n$  for some nonnegative integer  $n$ . Northcott and Rees introduced this notion in [NR] in order to study multiplicities. The ideal  $I$  and its reduction  $J$  have the same multiplicity and thus one would want to shift the attention from  $I$  to such a simpler ideal  $J$ . If  $R$  is a Noetherian local ring with infinite residue field then  $I$  has infinitely many reductions ([NR]). A reduction  $J$  of  $I$  is called minimal if it is minimal with respect to inclusion. To facilitate this lack of uniqueness for minimal reductions, Rees and Sally introduced the core of an ideal:

**Definition 2.5.** ([RS]) Let  $R$  be a Noetherian local ring with infinite residue field. Let  $I$  be an  $R$ -ideal. Then  $\text{core}(I) = \bigcap_{J \subset I} J$  where  $J$  is a reduction of  $I$ .

This could be further refined by taking the intersection over all minimal reductions. There has been a significant effort by several authors to find efficient ways of computing this infinite intersection. One result in particular is of special interest to us.

**Theorem 2.6.** ([CPU1, Theorem 4.5]) *Let  $R$  be a local Cohen–Macaulay ring with infinite residue field and  $I$  an  $R$ -ideal of analytic spread  $\ell$ . Assume that  $I$  is  $G_\ell$  and weakly  $(\ell - 1)$ -residually  $S_2$ . Then  $\text{core}(I) = \mathfrak{a}_1 \cap \dots \cap \mathfrak{a}_t$  for  $\mathfrak{a}_1, \dots, \mathfrak{a}_t$  general  $\ell$ -generated ideals in  $I$  which are reductions of  $I$  and for some  $t$  finite integer.*

We now explain the conditions in the statement of Theorem 2.6.

The *analytic spread* of  $I$ ,  $\ell(I)$ , is the Krull dimension of the special fiber ring of,  $\mathcal{F}(I) := \bigoplus_{i \geq 0} I^i / \mathfrak{m}I^i$ , of  $I$ . It is well known that if  $R$  is a Noetherian local ring with infinite residue field then any minimal reduction  $J$  of  $I$  has the same minimal number of generators, namely  $\mu(J) = \ell(I)$ , [NR]. It is straightforward to see that in general  $\text{ht } I \leq \ell(I) \leq \dim R$ .

Following the definitions given in [CEU] we say that an ideal  $I$  satisfies the *property  $G_s$*  if for every prime ideal  $\mathfrak{p}$  containing  $I$  with  $\dim R_{\mathfrak{p}} \leq s - 1$ , the minimal number of generators,  $\mu(I_{\mathfrak{p}})$ , of  $I_{\mathfrak{p}}$  is at most  $\dim R_{\mathfrak{p}}$ . A proper ideal  $K$  is called an  *$s$ -residual intersection* of  $I$  if there exists an  $s$ -generated ideal  $\mathfrak{a} \subset I$  so that  $K = \mathfrak{a} : I$  and height of  $K$  is at least  $s \geq g = \text{ht } I$ . If the height of  $I + K$  is at least  $s + 1$ , then  $K$  is said to be a *geometric  $s$ -residual intersection* of  $I$ . If  $R/K$  is Cohen–Macaulay for every  $i$ -residual intersection (geometric  $i$ -residual intersection)  $K$  of  $I$  and every  $i \leq s$  then  $I$  satisfies  $AN_s$  ( $AN_s^-$ ). An ideal  $I$  is called  *$s$ -residually  $S_2$*  (weakly  $s$ -residually  $S_2$ ) if  $R/K$  satisfies Serre’s condition  $S_2$  for every  $i$ -residual intersection (geometric  $i$ -residual intersection)  $K$  of  $I$  and every  $i \leq s$ .

**Remark 2.7.** Let  $(R, \mathfrak{m})$  be a local Noetherian ring and  $I$  an  $R$ -ideal. Let  $g = \text{ht } I$ . The condition  $G_s$  is not difficult to be satisfied. If  $I$  is an  $\mathfrak{m}$ -primary ideal or in general an equimultiple ideal, i.e.  $\ell = \ell(I) = \text{ht } I$ , then  $I$  satisfies  $G_\ell$  automatically.

If  $(R, \mathfrak{m})$  is a local Cohen–Macaulay ring of dimension  $d$  and  $I$  an  $R$ -ideal satisfying  $G_s$ , then  $I$  is universally  $s$ -residually  $S_2$  in the following cases:

- (a)  $R$  is Gorenstein, and the local cohomology modules  $H_{\mathfrak{m}}^{d-g-j}(R/I^j)$  vanish for all  $1 \leq j \leq s - g + 1$ , or equivalently,  $\text{Ext}_R^{g+j}(R/I^j, R) = 0$  for all  $1 \leq j \leq s - g + 1$  ([CEU, Theorem 4.1 and 4.3]).
- (b)  $R$  is Gorenstein,  $\text{depth } R/I^j \geq \dim R/I - j + 1$  for all  $1 \leq j \leq s - g + 1$  ([U, Theorem 2.9(a)]).
- (c)  $I$  has sliding depth ([HVV, Theorem 3.3]).

Notice that condition (b) implies (a) and the property  $AN_s$  by [U, Theorem 2.9(a)]. Also the conditions (b) and (c) are satisfied by *strongly Cohen–Macaulay* ideals, i.e. ideals whose Koszul homology modules are Cohen–Macaulay. If  $I$  is a Cohen–Macaulay almost complete intersection or a Cohen–Macaulay deviation two ideal of a Gorenstein ring [AH, p. 259] then  $I$  is a strongly Cohen–Macaulay. Furthermore, if  $I$  is in the linkage class of a complete intersection [Hu1, Theorem 1.11] then  $I$  is again a strongly Cohen–Macaulay ideal. Standard examples include perfect ideals of height two and perfect Gorenstein ideals of height three.

### 3. $cl$ -REDUCTIONS AND THE DEFINITION OF $cl$ -CORE

Recall that  $J \subset I$  is a reduction of an ideal  $I$  if  $JJ^n = I^{n+1}$ . If  $J$  is a reduction of  $I$ , then  $J \subset I \subset \overline{J}$ . Epstein defines a  $cl$ -reduction of an ideal  $I$  to be an ideal  $J \subset I \subset J^{cl}$ . If  $cl$  is a Nakayama closure we have the following Lemma:

**Lemma 3.1.** ([Ep, Lemma 2.2]) *If  $cl$  is a Nakayama closure, then for any  $cl$ -reduction  $J$  of  $I$ , there is a minimal  $cl$ -reduction  $K$  of  $I$  contained in  $J$ . Moreover, in this situation any minimal generating set of  $K$  extends to a minimal generating set of  $J$ .*

This Lemma shows in particular that minimal  $cl$ -reductions exist. Following the idea in Definition 2.5 we now define the  $cl$ -core.

**Definition 3.2.** Let  $(R, m)$  be a Noetherian local ring and  $cl$  a closure defined on  $R$ . The  $cl$ -core of an ideal  $I$ ,  $cl\text{-core}(I) = \bigcap_{J \subset I} J$  where  $J$  is a  $cl$ -reduction of  $I$ .

Recall, an ideal is basic if it does not have any nontrivial reductions. We will say that an ideal is  $cl$ -basic if it does not have any nontrivial  $cl$ -reductions. Clearly if  $I$  is a basic ideal  $\text{core}(I) = I$ . If  $I$  is a  $cl$ -basic ideal then  $cl\text{-core}(I) = I$ . Note that we can restrict the intersection to the minimal  $cl$ -reductions of  $I$ . In [Va2], the second author has discussed the partial ordering on the set of closure operations of a ring defined as follows: If  $cl_1$  and  $cl_2$  are closure operations we say that  $cl_1 \leq cl_2$  if and only if  $I^{cl_1} \subset I^{cl_2}$ .

**Lemma 3.3.** *Let  $cl_1$  be a closure operation and  $cl_2$  be Nakayama closure operation defined on a Noetherian ring  $R$  with  $cl_1 \leq cl_2$ . Let  $I$  be an ideal. If  $J_1$  is a minimal  $cl_1$ -reduction of  $I$  then there exists a minimal  $cl_2$ -reduction  $J_2$  of  $I$  with  $J_2 \subset J_1$ .*

*Proof.* Notice that  $J_1 \subset I \subset J_1^{cl_1}$ , as  $J_1$  is a  $cl_1$ -reduction of  $I$ . Since  $cl_1 \leq cl_2$  then  $K^{cl_1} \subset K^{cl_2}$  for all ideals  $K \subset R$ . Hence  $J_1^{cl_1} \subset J_1^{cl_2}$  and  $J_1 \subset I \subset J_1^{cl_1} \subset J_1^{cl_2}$ . So  $J_1$  is a  $cl_2$ -reduction of  $I$  also. Now by Lemma 3.1, there is a minimal reduction of  $I$  contained in  $J_1$ . □

One consequence of Lemma 3.3 is the following:

**Proposition 3.4.** *Let  $cl_1$  be a closure operation and  $cl_2$  be Nakayama closure operation defined on a Noetherian ring  $R$  with  $cl_1 \leq cl_2$ . Let  $I$  be an ideal.  $cl_2\text{-core}(I) \subset cl_1\text{-core}(I)$ .*

*Proof.* We know that  $cl_1\text{-core}(I) = \bigcap_{J_1 \subset I} J_1$  where  $J_1$  is a  $cl_1$ -reduction of  $I$ . Now for every  $J_1$ , a  $cl_1$ -reduction of  $I$  there exists a minimal  $cl_2$ -reduction,  $J_2$  contained in  $J_1$  by Lemma 3.3. Clearly,  $cl_2\text{-core}(I) \subset \bigcap_{J_2 \subset J_1 \subset I} J_2 \subset \bigcap_{J_1 \subset I} J_1$  where  $J_2$  are minimal  $cl_2$  reductions of  $J_1$ .  $\square$

Note that  $I^F \subseteq I^* \subseteq \bar{I}$ . The first inclusion is clear as  $x \in I^F$  if  $x^q \in I^{[q]}$  for all  $q \gg 0$  implies that  $cx^q \in I^{[q]}$  for some  $c \in R^o$ . The second inclusion holds, by [HH, Theorem 5.2]. In particular, we have the following corollary regarding the Frobenius or  $F$ -core, the  $*$ -core and the core, which is a  $cl$ -core where  $cl$  is the integral closure.

**Corollary 3.5.** *Let  $R$  be an excellent analytically irreducible local domain of characteristic  $p$  then  $\text{core}(I) \subset * \text{-core}(I) \subset F\text{-core}(I)$  for all ideals  $I$  in  $R$ .*

Mimicking the following Proposition in [HS2, Proposition 17.8.9] we see:

**Corollary 3.6.** *Let  $R$  be a Noetherian local ring, then  $\sqrt{I} = \sqrt{cl\text{-core}(I)}$  for any  $cl \leq^-$ . In particular, if  $R$  is an excellent analytically irreducible local domain of characteristic  $p$ ,  $\sqrt{I} = \sqrt{* \text{-core}(I)} = \sqrt{F\text{-core}(I)}$  for all ideals  $I$  in  $R$ .*

To better understand these minimal  $cl$ -reductions, Epstein mimicked Vraciu's definition of  $*$ -independence in [Vr1] to define  $cl$ -independence. The elements  $x_1, \dots, x_n$  are said to be  $cl$ -independent if  $x_i \notin (x_1, \dots, \hat{x}_i, \dots, x_n)^{cl}$ , for all  $1 \leq i \leq n$ . Then he further refines the notion to that of strong  $cl$ -independence. An ideal is strongly  $cl$ -independent if every minimal set of generators is  $cl$ -independent. Epstein then showed in [Ep, Proposition 2.3] that when  $cl$  is a Nakayama closure,  $J$  is a minimal  $cl$ -reduction of  $I$  if and only if  $J$  is a strongly  $cl$ -independent ideal.

In a Noetherian local ring of characteristic  $p$  Vraciu [Vr1] defined the special tight closure,  $I^{*sp}$ , to be the elements  $x \in R$  such that  $x \in (\mathfrak{m}I^{[q_0]})^*$  for some  $q_0$ . Huneke and Vraciu show in [Vr1, Proposition 4.2] that  $I^{*sp} \cap I = \mathfrak{m}I$  if  $I$  is generated by  $*$ -independent elements. Note that the minimal  $*$ -reductions of  $I$  are generated by  $*$ -independent elements. Epstein showed in [Ep, Lemma 3.4] that  $I^{*sp} = J^{*sp}$  for all  $*$ -reductions of  $I$ .

An ideal  $I$  is said to have  $cl$ -spread,  $\ell^{cl}(I)$ , if all minimal  $cl$  reductions have the same size generating sets. As with the analytic spread, Epstein proves that if  $J$  is a minimal  $cl$ -reduction then  $\mu(J) = \ell^{cl}(I)$ . He also goes on to prove [Ep, Theorem 5.1] that the  $*$ -spread is well defined over an excellent analytically irreducible local domain of characteristic  $p$ . Now if the  $cl_1$  and the  $cl_2$  spread are defined for  $I$ , we have:

**Proposition 3.7.** *Let  $cl_1$  be a closure operation and  $cl_2$  be Nakayama closure operation defined on a Noetherian ring  $R$  with  $cl_1 \leq cl_2$ . Let  $I$  be an ideal with well-defined  $cl_1$ - and  $cl_2$ -spread then  $\ell^{cl_1}(I) \geq \ell^{cl_2}(I)$ .*

*Proof.* Let  $J_1$  be a  $cl_1$ -minimal reduction of  $I$ . Then  $\mu(J_1) = \ell^{cl_1}(I)$  ([Ep, Proposition 2.4]). Also  $J_1 \subset I \subset J_1^{cl_1} \subset J_1^{cl_2}$ , since  $cl_1 \leq cl_2$ . Therefore  $J_1$  is also a  $cl_2$  reduction of  $I$  (not necessarily minimal). Hence  $\mu(J_1) \geq \ell^{cl_2}(I)$  and equality holds if and only if  $J_1$  is a minimal  $cl_2$  reduction of  $I$ , according to [Ep, Proposition 2.4]. Hence  $\ell^{cl_1}(I) = \mu(J_1) \geq \ell^{cl_2}(I)$ .  $\square$

In particular, we have the following corollary regarding the Frobenius or  $F$ -spread, the  $*$ -spread and the spread of an ideal:

**Corollary 3.8.** *Let  $R$  be an excellent analytically irreducible local domain of characteristic  $p$  then  $\ell(I) \leq \ell^*(I) \leq \ell^F(I)$  for all ideals  $I$  in  $R$ .*

The spread is bounded by the dimension of the ring, but in principle, the  $cl$ -spreads can grow arbitrarily large. The  $cl$ -spread of an ideal  $I$  is however bounded by the minimal number of generators of  $I$ ,  $\mu(I)$ .

There are two invariants of a ring related to the spread: the analytic deviation and the second analytic deviation. Recall that in a Noetherian ring, the *analytic deviation* of an ideal  $I$  is  $ad(I) = \ell(I) - \text{ht } I$ . Note that  $I$  is equimultiple if  $ad(I) = 0$ . The *second analytic deviation* of  $I$  is  $ad_2(I) = \mu(I) - \ell(I)$ . We make the following definitions with respect to the  $cl$ -spread of an ideal  $I$ .

**Definition 3.9.** The  $cl$ -deviation of an ideal  $I$  in a Noetherian ring is  $cld(I) = \ell^{cl}(I) - \text{ht } I$ . The second  $cl$ -deviation of  $I$  is  $cld_2(I) = \mu(I) - \ell^{cl}(I)$ .

**Remark 3.10.** The following are straightforward from the definition above.

- (a) Note that every  $m$ -primary ideal  $I$  is equimultiple, i.e.,  $ad(I) = 0$ . In general, for  $cl \leq^-$ ,  $cld(I) \geq 0$ .
- (b) Note that in a Cohen–Macaulay ring, if  $I$  is generated by a system of parameters then  $I$  is equimultiple and we have  $cld(I) = 0$ .
- (c) Since  $\ell(I) \leq \ell^{cl}(I)$ , then  $cld_2(I) \leq ad_2(I)$ .

Note if  $I$  is  $cl$ -closed, then  $\ell^{cl}(I) = \mu(I)$ . If  $I$  is a basic ideal (i.e.  $^-$ -basic) and  $cl \leq^-$ , then  $\ell^{cl}(I) = \ell(I)$ . We would like to know how the  $\text{core}(I)$  and the  $cl$ - $\text{core}(I)$  are related when  $\ell(I) = \ell^{cl}(I)$ .

#### 4. WHEN $*$ -CORE AND CORE AGREE

First we record some straightforward cases when the core and the  $*$ -core agree. Since an ideal generated by a system of parameters is both basic and  $*$ -basic we obtain the following:

**Proposition 4.1.** *Let  $(R, m)$  be a Noetherian ring of characteristic  $p$  and  $I$  be an ideal generated by a system of parameters, then  $*$ - $\text{core}(I) = \text{core}(I)$ .*

*Proof.* A system of parameters is basic and  $*$ -basic, hence, the only reduction (and  $*$ -reduction) of  $I$  is  $I$ . Hence,  $*$ - $\text{core}(I) = \text{core}(I) = I$ .  $\square$

Note, when  $I$  is generated by a system of parameters, we may have  $I^* \subsetneq \overline{I}$ , but the core and the  $*$ -core are equal.

In a one-dimensional domain with infinite residue field, the integral closure and tight closure of any ideal agree [Hu2, Example 1.6.2]. Hence, we have the following:

**Proposition 4.2.** *Let  $(R, m)$  be a one-dimensional domain of characteristic  $p$  with infinite residue field, then  $*\text{-core}(I) = \text{core}(I)$  for all  $I \subset R$ .*

*Proof.* If  $I = 0$  then the assertion is clear. Suppose then that  $I \neq 0$  then  $\ell(I) = 1$ . By [Hu2, Example 1.6.2] it is known that for principal ideals  $\overline{(x)} = (x)^*$  and also that  $I^* = (x)^*$ , for some  $x \in R$ . Then every minimal reduction and hence minimal  $*$ -reduction of  $I$  is principal. Therefore we obtain equality of the core and the  $*$ -core.  $\square$

We would like to show that in an excellent normal local ring the core and the  $*$ -core agree for ideals of second  $*$ -deviation 1. Note that if  $(R, \mathfrak{m})$  is a Gorenstein local isolated singularity of characteristic  $p > 0$  with test ideal equal to the maximal ideal and  $I$  is an ideal generated by part of a system of parameters, then  $*d_2(I) = 1$  by Theorem 2.2 (since the tight closure is the socle in this case).

To show that the core and the  $*$ -core agree for ideals with  $*d_2(I) = 1$ , we will consider general minimal reductions. Recall:

**Definition 4.3.** Let  $R$  be a Noetherian local ring with infinite residue field  $k$ . Let  $I = (f_1, \dots, f_m)$  be an  $R$ -ideal and let  $t$  be a fixed positive integer. We say that  $b_1, \dots, b_t$  are  $t$  general elements in  $I$  if there exists a dense open subset  $U$  of  $\mathbb{A}_k^{tm}$  such that for  $1 \leq j \leq m$ , we have  $b_i = \sum_{j=1}^m \lambda_{ij} f_j$ , where  $\underline{\lambda} = [\lambda_{ij}]_{ij} \in \mathbb{A}_R^{tm}$ ,  $\overline{\underline{\lambda}} \in U$  vary in  $U$  and  $\overline{\underline{\lambda}}$  is the image of  $\underline{\lambda}$  in  $\mathbb{A}_k^{tm}$ . The ideal  $J$  is called a general minimal reduction of  $I$  if  $J$  is a reduction of  $I$  generated by  $\ell(I)$  general elements.

The next two Theorems show that general minimal  $*$ -reductions exist.

**Theorem 4.4.** *Let  $R$  be an excellent normal local ring of characteristic  $p > 0$  with perfect infinite residue field. Let  $I$  be an ideal with  $*d_2(I) = 1$ . Then any ideal generated by  $\ell^*(I)$  general elements of  $I$  is a minimal  $*$ -reduction of  $I$ .*

*Proof.* Let  $\ell^*(I) = s$ . Note that for some  $*$ -independent elements  $f_1, \dots, f_s \in I$ ,  $I^* = J^*$  where  $J = (f_1, \dots, f_s)$ . Thus  $J$  is a minimal  $*$ -reduction of  $I$ . By [Ep, Lemma 2.2] we know that this generating set of  $J$  can be extended to a generating set of  $I$ . In other words,  $I = (f_1, \dots, f_s, f_{s+1})$ .

Let  $T = R[X_{ij}]$  where  $1 \leq i \leq s$  and  $1 \leq j \leq s+1$ . Let  $a_i = \sum_{j=1}^{s+1} X_{ij} f_j$  for  $1 \leq i \leq s$  and consider the  $T$ -ideal  $\tilde{J} = (a_1, \dots, a_s)$ . Write  $\underline{X}$  for  $[X_{ij}]_{ij}$ .



Consider the  $R$ -homomorphism  $\pi_{\underline{\lambda}} : T \rightarrow R$  that sends  $\underline{X}$  to  $\underline{\lambda}$ , where  $\underline{\lambda} \in \mathbb{A}_R^{s(s+1)}$ . Notice that for  $\underline{\lambda}_0 = [\delta_{ij}]$  one has  $\pi_{\underline{\lambda}_0}(\tilde{J}) = J$ .

Let  $\mathfrak{m}$  denote the maximal ideal of  $R$  and  $k = R/\mathfrak{m}$  be the residue field of  $R$ . We need to find a dense open set  $U \subset \mathbb{A}_k^{s(s+1)}$ , such that  $\pi_{\underline{\lambda}}(\tilde{J})$  is also a  $*$ -reduction for  $\underline{\lambda} \in U$ . Let  $\overline{\lambda_{ij}}$  be the image of  $\lambda_{ij}$  in  $R/\mathfrak{m}$ . Then the generators of the  $R/\mathfrak{m}$  vector space  $\pi_{\underline{\lambda}}(\tilde{J})/\mathfrak{m}\pi_{\underline{\lambda}}(\tilde{J})$  are  $\overline{u_i} = \sum_{j=1}^{s+1} \overline{\lambda_{ij}} f_j$ .

Define  $L = [\overline{\lambda_{ij}}]_{ij}$  to be the matrix defined by the coefficients of the  $a_i$ ,  $i = 1, \dots, s$ .  $L$  is a  $s \times (s+1)$  matrix with coefficients in  $k = R/\mathfrak{m}$ . Suppose  $L_s$  is the  $s \times s$  submatrix of  $L$  obtained by omitting the last column. We define the open set  $U \subset \mathbb{A}_k^{s(s+1)}$  to be set of  $L$ 's satisfying  $\det(L_s) \neq 0$ . Since  $\{L \mid \det(L_s) = 0\}$  is closed, then clearly  $U$  is open. To see that  $U$  is dense, suppose  $U'$  is an open set containing a point  $\underline{\underline{\mu}} \notin U$ . We need to see that  $U \cap U'$  is not empty. Let  $M$  be the  $s \times (s+1)$  matrix with coefficients in  $k = R/\mathfrak{m}$  representing  $\underline{\underline{\mu}}$ . Suppose  $M_s$  is the  $s \times s$  submatrix of  $M$  obtained by omitting the last column. Since  $\underline{\underline{\mu}} \notin U$  then  $\det(M_s) = 0$ . Since the set of  $\underline{\underline{\mu}}$  with  $\det(M_s) = 0$  forms an ideal in  $\mathbb{A}_k^{s(s+1)}$ , then if  $U'$  is open, then  $U'$  has to contain elements  $\underline{\underline{\lambda}}$  with  $\det(L_s) \neq 0$ . Hence,  $U \cap U' \neq \emptyset$  and  $U$  is dense.

Since for any  $\underline{\underline{\lambda}} \in U$ ,  $\pi_{\underline{\lambda}}(\tilde{J})$  is a general reduction with  $\det(L_s) \neq 0$ , then  $V = \pi_{\underline{\lambda}}(\tilde{J})/\mathfrak{m}\pi_{\underline{\lambda}}(\tilde{J})$  is a  $s$ -dimensional  $k = R/\mathfrak{m}$ -vector space with basis  $\overline{a_1}, \dots, \overline{a_s}$ . Row reducing  $L$ , we obtain the following matrix:

$$\begin{pmatrix} 1 & 0 & 0 & \cdots & 0 & \beta_1 \\ 0 & 1 & 0 & \cdots & 0 & \beta_2 \\ 0 & 0 & 1 & \cdots & 0 & \beta_3 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & \beta_s \end{pmatrix}$$

where the  $\beta_i \in k$ . This implies that an alternate basis for  $V$  is  $\{f_1 + \beta_1 f_{s+1}, \dots, f_s + \beta_s f_{s+1}\}$ . Let  $J_{\text{gen}} = (f_1 + \beta_1 f_{s+1}, \dots, f_s + \beta_s f_{s+1}) = \pi_{\underline{\lambda}}(\tilde{J})$ .

Case 1: Suppose that for all  $1 \leq i \leq s$  we have that  $\beta_i \in \mathfrak{m}$ . Let  $K = J_{\text{gen}} + \mathfrak{m}I$ . Then we claim that  $K = J + \mathfrak{m}I$ . To see this consider a generator  $\alpha$  for  $K$ . Then  $\alpha = f_i + \beta_i f_{s+1} + \delta$ , where  $\delta \in \mathfrak{m}I$ . But as  $\beta_i \in \mathfrak{m}$  and  $f_i \in J$  then  $\alpha \in J + \mathfrak{m}I$ . Now let  $\alpha'$  be a generator of  $J + \mathfrak{m}I$ . Then  $\alpha' = f_i + \delta'$ , where  $\delta' \in \mathfrak{m}I$ . Since  $\beta_i \in \mathfrak{m}$  then  $\delta' - \beta_i f_{s+1} \in \mathfrak{m}I$ . Hence  $\alpha' = f_i + \beta_i f_{s+1} + (\delta' - \beta_i f_{s+1}) \in J_{\text{gen}} + \mathfrak{m}I = K$ .

Next we claim that  $(J + \mathfrak{m}I)^* = J^*$ . Notice that  $J \subset J + \mathfrak{m}I \subset I$ . Taking the tight closure we obtain  $J^* \subset (J + \mathfrak{m}I)^* \subset I^* = J^*$ . Thus,  $(J + \mathfrak{m}I)^* = J^*$ . Overall we have the following inclusions:

$$J_{\text{gen}} \subset I \subset (J + \mathfrak{m}I)^* = (J_{\text{gen}} + \mathfrak{m}I)^*$$

Now by [Ep, Proposition 2.1] we have that  $I^* \subset J_{\text{gen}}^*$ .

Case 2: If  $\beta_i \notin \mathfrak{m}$  for some  $i$  then without loss of generality we may assume that  $i = s$  and  $\beta_s = 1$ . Then  $J_{\text{gen}} = (f_1 + \beta_1 f_{s+1}, \dots, f_s + f_{s+1})$ . Hence  $f_1 - \beta_1 f_s \in J_{\text{gen}}$ . Let  $f'_1 = f_1 - \beta_1 f_s$  and replace  $f_1$  with  $f'_1$ . Continuing this way we may assume that  $J_{\text{gen}} = (f_1, \dots, f_{s-1}, f_s + f_{s+1})$ . Suppose that  $f_{s+1} \notin (f_1, \dots, f_{s-1}, f_s + f_{s+1})^*$ .

Since  $f_{s+1} \in I \subset J^*$ , then we may take  $c \in R^0$  such that  $cf_{s+1}^q \in J^{[q]}$  for every  $q = p^e$ . Hence  $cf_{s+1}^q = \sum_{i=1}^s r_{iq} f_i^q$ . Then  $cf_{s+1}^q + r_{sq} f_{s+1}^q = \sum_{i=1}^s r_{iq} f_i^q + r_{sq} f_{s+1}^q = \sum_{i=1}^{s-1} r_{iq} f_i^q + r_{sq} (f_s^q + f_{s+1}^q)$ . Let  $c_q = c + r_{sq}$ . Then  $c_q f_{s+1}^q = \sum_{i=1}^{s-1} r_{iq} f_i^q + r_{sq} (f_s^q + f_{s+1}^q)$  and in particular  $c_q f_{s+1}^q \in (f_1, \dots, f_{s-1}, f_s + f_{s+1})^{[q]}$ . Therefore by [Ab, Proposition 2.4]  $c_q \in \mathfrak{m}^{[N(q)]}$ . For every integer  $N$  there exists a  $q_N$  such that  $r_{sq_N} \in \mathfrak{m}^N$ . Fix  $N_0$  such that  $c \notin \mathfrak{m}^{N_0}$ . It then follows that for every  $N \geq N_0$  we have  $c + r_{sq_N} \in \mathfrak{m}^N$ . Since  $c \notin \mathfrak{m}^N$  then  $r_{sq_N} \notin \mathfrak{m}^N$  for all  $N \geq N_0$ .

Notice that  $cf_{s+1}^q - \sum_{i=1}^{s-1} r_{iq} f_i^q = r_{sq} f_s^q$ . Thus by [Ab, Proposition 2.4] we have that  $f_s \in (f_1, \dots, f_{s-1}, f_{s+1})^*$  since  $r_{sq_N} \notin \mathfrak{m}^N$ . Therefore  $(f_1, \dots, f_{s-1}, f_{s+1})^* = I^*$  and thus  $(f_1, \dots, f_{s-1}, f_{s+1})$  is a minimal  $*$ -reduction of  $I$ .

By [HV, Theorem 2.1] we have that  $J^* = J + J^{*sp}$ . Also by [Ep, Lemma 3.4] since  $J \subset I$  and  $J^* = I^*$  then  $J^{*sp} = I^{*sp}$ . Therefore  $I^* = J + I^{*sp}$ . Since  $f_{s+1} \in I^*$  and  $f_{s+1} \notin J$  then  $f_{s+1} \in I^{*sp}$ . Therefore  $(f_1, \dots, f_{s-1}, f_{s+1})$  is not a minimal  $*$ -reduction of  $I$ , by [Vr2, Proposition 1.12(b)], which is a contradiction. Therefore  $f_{s+1} \in J_{\text{gen}}^*$  and thus  $J_{\text{gen}}^* = I^*$ . □

We are able to generalize Theorem 4.4 and relax the condition on  $*d_2(I)$ .

**Theorem 4.5.** *Let  $R$  be an excellent normal local ring of characteristic  $p > 0$  with perfect infinite residue field. Let  $I$  be an ideal. Then any ideal generated by  $\ell^*(I)$  general elements of  $I$  is a minimal  $*$ -reduction of  $I$ .*

*Proof.* Let  $\ell^*(I) = s$ . Then there exists  $*$ -independent elements  $f_1, \dots, f_s \in I$  such that  $I^* = (f_1, \dots, f_s)^*$ . Let  $J = (f_1, \dots, f_s)$ . Hence  $J$  is a minimal  $*$ -reduction of  $I$ . By [Ep, Lemma 2.2] we know that this generating set of  $J$  can be extended to a generating set of  $I$ . In other words,  $I = (f_1, \dots, f_s, f_{s+1}, f_{s+2}, \dots, f_{s+n})$ .

As above we form an ideal generated by general elements and we may assume that  $J_{\text{gen}} = (f_1 + \beta_{11} f_{s+1} + \dots + \beta_{1n} f_{s+n}, \dots, f_s + \beta_{s1} f_{s+1} + \dots + \beta_{sn} f_{s+n})$ . Let  $\mathfrak{m}$  denote the maximal ideal of  $R$  and  $k = R/\mathfrak{m}$  be the residue field of  $R$ .

Case 1: Suppose that for all  $1 \leq i \leq s$  and for all  $1 \leq j \leq n$  we have that  $\beta_{ij} \in \mathfrak{m}$ . Let  $K = J_{\text{gen}} + \mathfrak{m}I$ . Then we claim that  $K = J + \mathfrak{m}I$ . To see this consider a generator  $\alpha$  for  $K$ . Then  $\alpha = f_i + \beta_{i1} f_{s+1} + \dots + \beta_{in} f_{s+n} + \delta$ , where  $\delta \in \mathfrak{m}I$ . But as  $\beta_{ij} \in \mathfrak{m}$  and  $f_i \in J$  then  $\alpha \in J + \mathfrak{m}I$ . Now let  $\alpha'$  be a generator of  $J + \mathfrak{m}I$ . Then  $\alpha' = f_i + \delta'$ , where  $\delta' \in \mathfrak{m}I$ . Since  $\beta_{ij} \in \mathfrak{m}$  for all  $1 \leq i \leq s$  and for all  $1 \leq j \leq n$  then  $\delta' - \beta_{i1} f_{s+1} + \dots + \beta_{in} f_{s+n} \in \mathfrak{m}I$ . Hence  $\alpha' = f_i + \beta_{i1} f_{s+1} + \dots + \beta_{in} f_{s+n} + (\delta' - \beta_{i1} f_{s+1} + \dots + \beta_{in} f_{s+n}) \in J_{\text{gen}} + \mathfrak{m}I = K$ .

Next we claim that  $(J + \mathfrak{m}I)^* = J^*$ . Notice that  $J \subset J + \mathfrak{m}I \subset I$ . Taking the tight closure we obtain  $J^* \subset (J + \mathfrak{m}I)^* \subset I^* = J^*$ . Thus,  $(J + \mathfrak{m}I)^* = J^*$ . Overall we have the following inclusions:

$$J_{\text{gen}} \subset I \subset (J + \mathfrak{m}I)^* = (J_{\text{gen}} + \mathfrak{m}I)^*$$

Now by [Ep, Proposition 2.1] we have that  $I^* \subset J_{\text{gen}}^*$ .

Case 2: Suppose  $\beta_{ij} \notin \mathfrak{m}$  for some  $1 \leq i \leq s$  and  $1 \leq j \leq n$ . Without loss of generality we may assume that  $i = s$  and  $j = n$  and that  $\beta_{sn} = 1$ . Hence  $J_{\text{gen}} = (f_1 + \beta_{11}f_{s+1} + \dots + \beta_{1n}f_{s+n}, \dots, f_s + \beta_{s1}f_{s+1} + \dots + f_{s+n})$ .

We will proceed by induction on  $n = *d_2(I) = \mu(I) - \ell^*(I)$ . If  $n = 0$  there is nothing to show and if  $n = 1$  then Theorem 4.4 gives the result. So we assume that  $n > 1$  and for the results holds for any ideal  $I'$  with  $*d_2(I') = n - 1$ .

Let  $g_i = f_i + \beta_{i1}f_{s+1} + \dots + \beta_{in}f_{s+n}$ . Then  $g_i - \beta_{in}g_s = (f_i - \beta_{in}f_s) + \sum_{j=1}^{n-1}(\beta_{ij} - \beta_{in}\beta_{sj})f_{s+j} \in J_{\text{gen}}$ . Notice that  $f'_i = f_i - \beta_{in}f_s \in J$  and let  $\beta'_{ij} = \beta_{ij} - \beta_{in}\beta_{sj}$ . Therefore, we can replace  $f_i$  with  $f'_i$  and  $\beta_{ij}$  with  $\beta'_{ij}$  to assume that  $J_{\text{gen}} = (f_1 + \beta_{11}f_{s+1} + \dots + \beta_{1(n-1)}f_{s+n-1}, \dots, f_s + \beta_{(s-1)1}f_{s+1} + \dots + \beta_{(s-1)(n-1)}f_{s+n-1}, f_s + \beta_{s1}f_{s+1} + \dots + f_{s+n})$ .

Let  $h_i = f_i + \beta_{i1}f_{s+1} + \dots + \beta_{i(n-1)}f_{s+n-1}$ . Then  $J_{\text{gen}} = (h_1, \dots, h_{s-1}, h_s + f_{s+n})$ . Let  $L = (h_1, \dots, h_s)$  and  $J_1 = (f_1, \dots, f_s, f_{s+1}, \dots, f_{s+n-1})$ .

Since  $g_i$  is a general element for all  $1 \leq i \leq s$  then there exists  $U \subset \mathbb{A}_k^{s(s+n)}$  a dense open such that the image of  $\beta_i = [0, \dots, 0, 1, 0, \dots, \beta_{i1}, \dots, \beta_{i(n-1)}, \beta_{in}]$  varies in  $U$ . Consider the natural projection map  $\pi : \mathbb{A}_k^{s(s+n)} \rightarrow \mathbb{A}_k^{s(s+n-1)}$  such that  $\pi((\underline{a_1}, \dots, \underline{a_{s+n-1}}, \underline{a_{s+n}})) = (\underline{a_1}, \dots, \underline{a_{s+n-1}})$  and  $\underline{a_i} \in \mathbb{A}_k^s$ . Let  $W = \pi(U)$ . As  $U$  is dense and open then  $U \neq \emptyset$  and thus  $W \neq \emptyset$  and  $W$  is also open, since  $\pi$  is an open map. Therefore  $W$  is a dense open set. As  $\beta_i$  is allowed to vary in  $U$  then  $\pi(\beta_i)$  varies in  $W$  and thus  $h_i$  is also a general element.

Notice that  $J \subset J_1 \subset I^*$  and thus  $J^* = J_1^*$ . Hence  $\ell^*(J_1) \leq s$ . Therefore  $*d_2(J_1) \leq n - 1$  and hence by our inductive hypothesis  $L$  is a  $*$ -reduction of  $J_1$  and  $L^* = J_1^* = J^* = I^*$ . We are claiming that  $J_{\text{gen}}^* = L^* = I^*$ . It is enough to show that  $f_{s+n} \in J_{\text{gen}}^*$ . Suppose that  $f_{s+n} \notin J_{\text{gen}}^*$ . Then as in the proof of Theorem 4.4 we obtain that  $h_s \in (h_1, \dots, h_{s-1}, f_{s+n})^*$ . By [HV, Theorem 2.1] we have that  $L^* = L + L^{*sp}$ . Also by [Ep, Lemma 3.4] since  $L \subset I$  and  $L^* = I^*$  then  $L^{*sp} = I^{*sp} = J^{*sp}$ . Therefore  $I^* = L + I^{*sp}$ . Since  $f_{s+n} \in I^*$  and  $f_{s+n} \notin L$  then  $f_{s+n} \in I^{*sp}$ . Therefore  $(h_1, \dots, h_{s-1}, f_{s+n})$  is not a minimal  $*$ -reduction of  $I$ , by [Vr2, Proposition 1.12(b)], which is a contradiction. Hence  $f_{s+n} \in J_{\text{gen}}^*$  and  $J_{\text{gen}}^* = L^* = I^*$ . □

**Corollary 4.6.** *Let  $(R, \mathfrak{m})$  be a Gorenstein isolated singularity of characteristic  $p > 0$  with perfect infinite residue field. Suppose that the test ideal of  $R$  is  $\mathfrak{m}$ . Let  $I$  be a tightly closed ideal and suppose that  $I = J^*$  where  $J$  is a parameter ideal minimally generated by  $s$  elements. Then any ideal generated by  $s$  general elements of  $I$  is a minimal  $*$ -reduction of  $I$ .*

*Proof.* Suppose  $J = (f_1, \dots, f_s)$  is a parameter ideal. Then  $J$  is a minimal  $*$ -reduction of  $I = J^* = (J : \mathfrak{m})$ , where the last equality is obtained by Theorem 2.2. Since  $R$  is Gorenstein then the socle  $(J : \mathfrak{m})/J$  is a one dimensional vector space. Hence  $I = (f_1, \dots, f_s, f_{s+1})$ , where  $f_{s+1} \notin J$ . Therefore  $\mu(I) = s + 1$  and  $*d_2(I) = 1$ . Thus by Theorem 4.4, any ideal generated by  $s$  general elements is a minimal  $*$ -reduction of  $I$ .  $\square$

**Corollary 4.7.** *Let  $(R, \mathfrak{m})$  be a  $d$ -dimensional Gorenstein isolated singularity of characteristic  $p > 0$  with perfect infinite residue field. Suppose that the test ideal of  $R$  is  $\mathfrak{m}$ . Let  $I$  be an  $\mathfrak{m}$ -primary tightly closed ideal and suppose that  $I = J^*$  where  $J$  is a parameter ideal. Then  $\text{core}(I) = * \text{-core}(I)$ .*

*Proof.* Since  $I$  is  $\mathfrak{m}$ -primary then  $\ell(I) = \ell^*(I) = d$ . By Corollary 4.6 any ideal generated by  $d$  general elements is a general minimal  $*$ -reduction. Notice that these minimal general  $*$ -reductions are also minimal reductions of  $I$ , since  $\ell(I) = d$ .

Also, since  $I$  is  $\mathfrak{m}$ -primary then by Theorem 2.6 ([CPU1, Theorem 4.5]) we have that  $\text{core}(I)$  is a finite intersection of general minimal reductions. Since each general minimal reduction is also a minimal  $*$ -reduction then  $* \text{-core}(I) \subset \text{core}(I)$ . On the other hand  $\text{core}(I) \subset * \text{-core}(I)$ , by Corollary 3.5.  $\square$

**Theorem 4.8.** *Let  $R$  be a local Cohen–Macaulay normal domain of characteristic  $p > 0$  with perfect infinite residue field. Let  $I$  be an ideal with  $\ell^*(I) = \ell(I) = s$ . We further assume that  $I$  satisfies  $G_s$  and is weakly  $(s - 1)$ -residually  $S_2$ . Then  $\text{core}(I) = * \text{-core}(I)$ .*

*Proof.* We know that  $\text{core}(I) \subset * \text{-core}(I)$  by Corollary 3.5. According to Theorem 2.6 the core is a finite intersection of general minimal reductions. Since every general minimal reduction is a minimal  $*$ -reduction by Theorem 4.5, we obtain the opposite inclusion.  $\square$

## 5. THE $*$ -CORE IN COMPLETE ONE DIMENSIONAL SEMIGROUP RINGS

In Proposition 4.1, we saw that the core and the  $*$ -core agree for all ideals in a one dimensional domain of characteristic  $p > 0$  with infinite residue field. In Huneke and Swanson’s paper [HS2], one of the first questions that they ask is: if  $I$  is integrally closed, is  $\text{core}(I)$  integrally closed? They settle this question in the setting of a two-dimensional regular local ring. Corso, Polini and Ulrich in [CPU2, Theorem 2.11] showed that if  $R$  is a local Cohen–Macaulay normal ring with infinite residue field then  $\text{core}(I)$  is integrally closed, when  $I$  is a normal ideal of positive height, universally weakly  $(\ell - 1)$ -residually  $S_2$  and satisfies  $G_\ell$  and  $AN_{\ell-1}^-$ , where  $\ell = \ell(I)$ . A related question is: if  $I$  is tightly closed, is  $* \text{-core}(I)$  tightly closed? We will consider this question now for complete one-dimensional semigroup rings with test ideal equal to the maximal ideal. The second author showed the following:

**Theorem 5.1.** ([Va1]) *Let  $(R, \mathfrak{m})$  be a one-dimensional domain. The test ideal of  $R$  is equal to the conductor, i.e.  $\tau = \mathfrak{c} = \{c \in R \mid \phi(1) = c, \phi \in \text{Hom}_R(\overline{R}, R)\}$ .*

Note, in a one-dimensional local semigroup ring, the semigroup is a sub-semigroup of  $N_0$ . For each sub-semigroup  $S$  of  $N_0$ , there is a smallest  $m$  such that for all  $i \geq m, i \in S$ . The conductor of such a one dimensional semigroup ring is  $\mathfrak{c} = \langle t^m, t^{m+1}, t^{m+2}, \dots \rangle$ , [Ei, Exercise 21.11]. Hence, the test ideal in a one-dimensional semigroup ring is the maximal ideal, if the conductor is the maximal ideal. This can only happen if the semigroup has the form  $\{n + i \mid i \geq 0\}$  for some  $n \geq 0$ . Hence, if  $R$  is complete the ring is of the form  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$ . As in [Va2, Proposition 4.1], we will show that the principal ideals are of the form  $(t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ :

**Proposition 5.2.** *Each nonzero nonunit principal ideal of  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  can be expressed in the form  $(t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ ,  $a_i \in k, m \geq n$ .*

*Proof.* Suppose  $0 \neq f \in R$ . Thus, after multiplying by a nonzero element of  $k$ ,  $f = t^m + a_1 t^{m+1} + a_2 t^{m+2} + \dots$  for  $m \geq n$ . We will show that  $t^r \in (f)$  for  $r \geq m+n$ . Hence,  $t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1} \in (f)$ . Similarly,  $t^r \in (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$  for  $r \geq m+n$ . Hence,  $f \in (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ .

Let  $g \in k[[t]]$ . Note that  $t^{r-m}g \in k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$ . Hence, if  $g$  is a unit in  $k[[t]]$ , then  $t^{r-m}g^{-1} \in k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  also. In  $k[[t]]$ ,

$$f = t^m(1 + a_1 t + a_2 t^2 + \dots) = t^m g.$$

Note that  $t^{r-m}g^{-1}f = t^r$ . Similarly  $t^r \in (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ . Since  $f - (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1}) = a_n t^{2n} + a_{n+1} t^{2n+1} + \dots$  which is an element of  $(f) \cap (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ , we see that  $(t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1}) = (f)$ . Hence, all principal ideals of  $k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  have the form

$$(t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1}).$$

□

**Proposition 5.3.** *Let  $k$  be an infinite field of characteristic  $p > 0$ . Any tightly closed ideal in  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  is of the form  $(t^m, t^{m+1}, \dots, t^{m+n-1})$  for some  $m \geq n$ .*

*Proof.* Suppose  $I$  is a tightly closed ideal in  $R$ . Since  $R$  is a one dimensional domain, there is a principal ideal  $(f) \in I$ , with  $(f)^* = I$ . By Proposition 5.2,

$$(f) = (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$$

for some  $m \geq n$  and  $a_i \in k$ . Using Theorem 2.2 and the arguments we followed in Proposition 5.2,  $I = (f)^* = (f) : \mathfrak{m} = (t^m, t^{m+1}, \dots, t^{m+n-1})$ . □

**Proposition 5.4.** *Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  with  $k$  an infinite field of characteristic  $p > 0$ . If  $I \subset R$  is tightly closed, then  $*\text{-core}(I)$  is tightly closed.*

*Proof.* If  $I = (0)$ , then clearly  $\ast\text{-core}(I) = (0)$  and thus the assertion is clear. Since  $R$  is a one-dimensional domain then  $\text{core}(I) = \ast\text{-core}(I)$ . If  $I$  is basic then  $I$  is also  $\ast$ -basic and again the assertion is clear. So suppose  $I$  is not basic, nonzero and tightly closed. Then  $I = (t^m, t^{m+1}, \dots, t^{m+n-1})$  for some  $m \geq n$ , by Proposition 5.3. Since  $I$  is non-zero then  $I$  is  $\mathfrak{m}$ -primary, where  $\mathfrak{m}$  is the maximal ideal of  $R$ . Hence by Theorem 2.6 we have that  $\text{core}(I) = \bigcap_{i=1}^s (f_i)$ , for some positive integer  $s$  and  $(f_i)$  general minimal reductions of  $I$  for all

$1 \leq i \leq s$ . Let  $(f_i)$  be such a general minimal reduction. Then  $(f_i) = (t^m + a_{i1}t^{m+1} + \dots + a_{i(n-1)}t^{m+n-1})$  for some  $a_{ij} \in k$ , since  $f_i$  is a general element in  $I$ . As in the proof of Proposition 5.2, we see that  $t^r \in (t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1})$  for all  $r \geq m+n$ . Hence,  $(t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1}) \subset (f_i)$  for all  $i$  and thus  $(t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1}) \subset \ast\text{-core}(I)$ .

On the other hand let  $g \in \ast\text{-core}(I)$ . Hence  $g \in \bigcap_{i=1}^s (f_i)$ . It is clear that  $(g) \neq (f_i)$  for some  $i$ . Then  $g = a(t^m + a_{i1}t^{m+1} + \dots + a_{i(n-1)}t^{m+n-1})$  for some  $a \in R$  and  $a_{ij} \in k$ . If  $a$  is a unit then  $(g) = (f_i)$ , which is a contradiction. Hence we may assume that  $a$  is not a unit. Thus  $a = \beta_1t^n + \beta_2t^{n+1} + \dots$  and  $g = \gamma_0t^{m+n} + \gamma_1t^{m+n+1} + \dots + \gamma_{n-1}t^{m+2n-1} + t^n(\gamma_n t^{m+n} + \gamma_{n+1}t^{m+n+1} + \dots + \gamma_{2n-1}t^{m+2n-1}) + \dots$ . Therefore  $g \in (t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1})$  and thus  $\ast\text{-core}(I) \subset (t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1})$ . Finally notice that  $(t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1})$  is a tightly closed ideal and  $\ast\text{-core}(I) = (t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1})$ . □

Note, since the  $\text{core}(I) = \ast\text{-core}(I)$  by Proposition 4.1 and the tight closure of an ideal agrees with the integral closure in a one dimensional domain with infinite residue field, we obtain:

**Corollary 5.5.** *Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  with  $k$  an infinite field of characteristic  $p > 0$ . If  $I \subset R$  is integrally closed, then  $\text{core}(I)$  is integrally closed.*

**Remark 5.6.** The question of whether the core of an integrally closed ideal is integrally closed as well was first addressed by Huneke and Swanson, [HS1]. They answer this question positively when the ring is a 2-dimensional regular ring, [HS1, Corollary 3.12]. This question was also addressed by several other authors later, (see [CPU2, Theorem 2.11, Corollary 3.7], [PU, Corollary 4.6], and [HyS1, Proposition 5.5.3]).

We note here that Corollary 5.5 is not covered by any of the results mentioned above. In [CPU2, Corollary 3.7] and [PU, Corollary 4.6] it is required that the ring  $R$  is Gorenstein. The ring  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  with  $k$  an infinite field of characteristic  $p > 0$  is not Gorenstein unless  $n = 2$ . In [CPU1, Theorem 2.11] the Gorenstein condition can be relaxed to Cohen–Macaulay rings, but in addition the Rees algebra of  $I$  and  $I$  are assumed to be normal and  $J : I$  is independent of  $J$  for

every minimal reduction  $J$  of  $I$ . Notice that the ideal  $I$  in Corollary 5.5 is normal and  $J : I = \tau = \mathfrak{m}$  is independent of the minimal reduction  $J$ . However, the Rees algebra of  $I$  is not normal, since  $R$  is not normal. Finally, in [HyS1, Proposition 5.5.3] it is assumed that the ring  $R$  is Cohen–Macaulay,  $R$  contains the rational numbers and the Rees algebra of  $I$  is Cohen–Macaulay whereas the ring in Corollary 5.5 does not contain the rational numbers.

## 6. EXAMPLES

Since, the tight closure of an ideal is much closer to the ideal than the integral closure, we expected to find examples of ideals  $I$  where the  $*$ -core( $I$ )  $\supsetneq$  core( $I$ ). The following example gives a family of rings where  $*$ -core( $\mathfrak{m}^2$ )  $\neq$  core( $\mathfrak{m}^2$ ).

**Example 6.1.** Let  $R = \mathbb{Z}/p\mathbb{Z}(u, v, w)[[x, y, z]]/(ux^p + vy^p + wz^p)$ . Then  $R$  is a normal domain, [Ep]. In [VV], Vraciu and the second author computed the test ideal of  $R$  to be  $\mathfrak{m}^{p-1}$ , where  $\mathfrak{m}$  is the maximal ideal of  $R$ .

For  $p = 2$ , we compute the  $*$ -core of  $\mathfrak{m}^2$ . In this case the test ideal is  $\mathfrak{m}$ . Note that the  $*$ -spread of  $\mathfrak{m}^2$  is 3. For example  $J = (y^2, yz, z^2)$  is a minimal  $*$ -reduction of  $\mathfrak{m}^2$ . To see this notice that  $x^2 = \frac{v}{u}y^2 + \frac{w}{u}z^2 \in J$  and  $(xz)^2 = (\frac{v}{u}y^2 + \frac{w}{u}z^2)z^2 = \frac{v}{u}(yz)^2 + \frac{w}{u}(z^2)^2$ . Hence  $xz \in J^F \subset J^*$ . Similarly  $xy \in J^*$  and thus  $\mathfrak{m}^2 = J^*$ . On the other hand  $I = (y^2, z^2)$  is not a  $*$ -reduction of  $\mathfrak{m}^2$  since  $I^* = I : \mathfrak{m} = (y^2, z^2, xyz) \neq \mathfrak{m}^2$ . Similarly  $(y^2, yz)$  and  $(yz, z^2)$  are not  $*$ -reductions of  $\mathfrak{m}^2$  and thus  $\ell^*(\mathfrak{m}^2) = 3$ .

In addition we note that  $(x^2, xy, y^2)$ ,  $(x^2, xz, z^2)$ ,  $(y^2, yz, z^2)$ , and  $(yz, xz, xy)$  are all minimal  $*$ -reductions of  $\mathfrak{m}^2$ . Hence  $*$ -core( $\mathfrak{m}^2$ )  $\subset (x^2, xy, y^2) \cap (x^2, xz, z^2) \cap (y^2, yz, z^2) \cap (yz, xz, xy) = (x^2, y^2, z^2, xyz) \cap (yz, xz, xy) = \mathfrak{m}^3$ . Note that  $\mathfrak{m}^3 = \mathfrak{m}J^* \subset J$  for all  $J$  minimal  $*$ -reductions of  $\mathfrak{m}^2$ , since  $\mathfrak{m}$  is the test ideal. Hence,  $*$ -core( $\mathfrak{m}^2$ ) =  $\mathfrak{m}^3$ .

For  $p \geq 3$ , the computation of the  $*$ -core of  $\mathfrak{m}^2$  is as follows: The  $*$ -spread of  $\mathfrak{m}^2$  is 3. Once again,  $J = (y^2, yz, z^2)$  is a minimal  $*$ -reduction of  $\mathfrak{m}^2$ . Notice that  $(x^2)^p = (x^p)^2 = (\frac{v}{u}y^p + \frac{w}{u}z^p)^2 = \frac{v^2}{u^2}(y^2)^p + 2\frac{vw}{u^2}(yz)^p + \frac{w^2}{u^2}(z^2)^p \in J^F$  and  $(xz)^p = (\frac{v}{u}y^p + \frac{w}{u}z^p)z^p$ . Thus  $xz \in J^F \subset J^*$ . Similarly  $xy \in J^*$  and therefore  $J^* = \mathfrak{m}^2$ . Note that  $I = (y^2, z^2)$  is not a  $*$ -reduction of  $\mathfrak{m}^2$  since  $I^* = I : \mathfrak{m}^{p-1} = (y^2, z^2, x^{p-1}yz) : \mathfrak{m}^{p-2} = (y^2, z^2, x^{p-1}y, x^{p-1}z, x^{p-2}yz) : \mathfrak{m}^{p-3} = \dots = (y^2, z^2) + \mathfrak{m}^3 \neq \mathfrak{m}^2$ . As the test ideal is  $\mathfrak{m}^{p-1}$ , we see that  $\mathfrak{m}^{p-1}J^* = \mathfrak{m}^{p+1} \subset J$  for all minimal  $*$ -reductions  $J$  of  $\mathfrak{m}^2$ . Notice also that  $(x^2, xy, y^2)$ ,  $(x^2, xz, z^2)$ ,  $(y^2, yz, z^2)$ , and  $(yz, xz, xy)$  are all minimal  $*$ -reductions of  $\mathfrak{m}^2$ . Therefore  $*$ -core( $\mathfrak{m}^2$ )  $\subset (x^2, xy, y^2) \cap (x^2, xz, z^2) \cap (y^2, yz, z^2) \cap (yz, xz, xy) = \mathfrak{m}^{p+1} + (xyz, x^2y^2, x^2z^2, y^2z^2)$ . Hence  $\mathfrak{m}^{p+1} \subset *$ -core( $\mathfrak{m}^2$ )  $\subset \mathfrak{m}^{p+1} + (xyz, x^2y^2, x^2z^2, y^2z^2)$ .

We also note that the  $\ell(\mathfrak{m}^2) = 2$ , and that  $H = (x^2, yz)$  is a minimal reduction of  $\mathfrak{m}^2$  in any characteristic.

If  $p = 2$  then the reduction number of  $\mathfrak{m}^2$  with respect to  $H$  is 1. Since char  $k = 2 > 1$  then we may use the formula for the core as in [PU, Theorem 4.5]. Hence

$\text{core}(\mathfrak{m}^2) = H^2 : \mathfrak{m}^2 = \mathfrak{m}^4$ , where the last equality follows from calculations using the computer algebra program Macaulay 2, [M2]. Therefore  $\text{core}(\mathfrak{m}^2) \subsetneq *-\text{core}(\mathfrak{m}^2)$ .

If  $p = 3$  then the reduction number of  $\mathfrak{m}^2$  with respect to  $H$  is 2. Since now  $\text{char } k = 3 > 2$  we may again use the formula as in [PU, Theorem 4.5]. Thus  $\text{core}(\mathfrak{m}^2) = H^3 : \mathfrak{m}^4 = \mathfrak{m}^5$ , where the last equality is again obtained using the computer algebra program Macaulay 2, [M2]. Notice that since  $\mathfrak{m}^4 \subset *-\text{core}(\mathfrak{m}^2)$  then  $\text{core}(\mathfrak{m}^2) \subsetneq *-\text{core}(\mathfrak{m}^2)$  again.

When the spread and the  $*$ -spread agree, it is not necessarily the case that all reductions of an ideal are  $*$ -reductions. However, the following example exhibits that even so, the core and the  $*$ -core agree for the maximal ideal in the following ring. In some sense, the following example prompted us to prove Theorem 4.4, Theorem 4.5 and Theorem 4.8.

**Example 6.2.** Let  $R = k[[x, y, z]]/(x^2 - y^3 - z^7)$ , where the  $k$  is an infinite field and  $\text{char } k > 7$ . Let  $\mathfrak{m} = (x, y, z)$  denote the maximal ideal of  $R$ . We observe first that  $\mathfrak{m}$  is the test ideal, [Val].

We will show that  $*$ -spread of  $\mathfrak{m}$  is 2,  $\ell(\mathfrak{m}) = 2$  and  $\text{core}(\mathfrak{m}) = \mathfrak{m}^2 = *-\text{core}(\mathfrak{m})$ .

First note that  $R$  is a 2-dimensional Gorenstein local ring and hence  $\ell(\mathfrak{m}) = 2$ . Let  $J = (y, z)$ . Then  $J$  is a reduction of  $\mathfrak{m}$  with reduction number 1. Since  $\text{char } k > 1$  then  $\text{core}(\mathfrak{m}) = J^2 : \mathfrak{m} = \mathfrak{m}^2$  by [PU, Theorem 4.5]. Notice that this does not agree with the formula in Hyry-Smith [HyS2, Theorem 4.1] or Fouli-Polini-Ulrich [FPU, Theorem 4.4] since  $a = 42 - 21 - 14 - 6 = 1$  and  $\text{core}(\mathfrak{m}) \neq \mathfrak{m}^{2+a+1} = \mathfrak{m}^4$ . The hypothesis that  $\mathfrak{m}$  is generated by elements of degree 1 is important in their formula.

On the other hand,  $J$  is also a minimal  $*$ -reduction of  $\mathfrak{m}$ . Note that  $y, z$  form a system of parameter, hence by Theorem 2.2  $(y, z)^* = (y, z) : \mathfrak{m} = (x, y, z) = \mathfrak{m}$ . Therefore  $\ell^*(\mathfrak{m}) = 2 = \ell(\mathfrak{m})$ . We claim that  $J_1 = (x + z, y)$  and  $J_2 = (x + y, z)$  are also minimal  $*$ -reductions. Denote  $p_n(x, y) = x^n + x^{n-1}y + \dots + xy^{n-1} + y^n$ . Note that if  $n$  is odd,

$$x^n + y^n = (x + y)p_{n-1}(x, -y).$$

Now we can see that  $(x + z)p_6(x, -z) + y^3 = x^7 + z^7 + x^2 - y^3 + y^3 = x^2(1 + x^5)$ . Since  $(1 + x^5)$  is a unit in  $R$ , then  $x^2 \in (x + z, y)$ . Since  $x(x + z) = x^2 + xz$  we also observe that  $xz \in (x + z, y)$  and similarly, we see that  $z^2 \in (x + z, y)$ . Hence  $\mathfrak{m}^2 \subset (x + z, y)$  and thus  $\mathfrak{m} \subset (x + z, y) : \mathfrak{m} = (x + z, y)^* \subset \mathfrak{m}$ , i.e.  $(x + z, y)^* = \mathfrak{m}$ . Using the same argument exchanging  $y$  and  $z$  and exchanging the powers 3 and 7, we see that  $J_2$  is a minimal  $*$ -reduction of  $\mathfrak{m}$ .

Let  $K$  be a minimal  $*$ -reduction of  $\mathfrak{m}$ . Then  $\mathfrak{m} = K^*$ . For all minimal reductions  $K$ ,  $\mathfrak{m}^2 = \mathfrak{m}K^* \subset K$ . Thus  $\mathfrak{m}^2 \subset *-\text{core}(\mathfrak{m})$ . We can easily see that  $\mathfrak{m}^2 = J \cap J_1 \cap J_2$ . We can then conclude that  $\mathfrak{m}^2$  in fact is  $*-\text{core}(\mathfrak{m})$  and  $\text{core}(\mathfrak{m}) = *-\text{core}(\mathfrak{m})$ .



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