AN AUSLANDER-TYPE RESULT FOR GORENSTEIN-PROJECTIVE MODULES

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ABSTRACT. An artin algebra A is said to be CM-finite if there are only finitely many, up to isomorphisms, indecomposable finitely generated Gorenstein-projective A-modules. We prove that for a Gorenstein artin algebra, it is CM-finite if and only if every its Gorenstein-projective module is a direct sum of finitely generated Gorenstein-projective modules. This is an analogue of Auslander's theorem on algebras of finite representation type ([\[3,](#page-6-0) [4\]](#page-6-1)).

1. INTRODUCTION

Let A be an artin R-algebra, where R is a commutative artinian ring. Denote by A-Mod (resp. A-mod) the category of (resp. finitely generated) left A-modules. Denote by A-Proj (resp. A-proj) the category of (resp. finitely generated) projective A-modules. Following [\[21\]](#page-7-0), a chain complex P^{\bullet} of projective A-modules is defined to be *totally-acyclic*, if for every projective module $Q \in A$ -Proj the Hom-complexes $Hom_A(Q, P^{\bullet})$ and $Hom_A(P^{\bullet}, Q)$ are exact. A module M is said to be *Gorenstein*projective if there exists a totally-acyclic complex P^{\bullet} such that the 0-th cocycle $Z^{0}(P^{\bullet}) = M$. Denote by A-GProj the full subcategory of Gorenstein-projective modules. Similarly, we define finitely generated Gorenstein-projective modules by replacing all modules above by finitely generated ones, and we also get the category A-Gproj of finitely generated Gorenstein-projective modules [\[17\]](#page-7-1). It is known that A-Gproj = A-GProj ∩ A-mod ([\[14\]](#page-6-2), Lemma 3.4). Finitely generated Gorensteinprojective modules are also referred as maximal Cohen-Macaulay modules. These modules play a central role in the theory of singularity $[11, 12, 10, 14]$ $[11, 12, 10, 14]$ $[11, 12, 10, 14]$ $[11, 12, 10, 14]$ and of relative homological algebra [\[9,](#page-6-6) [17\]](#page-7-1).

An artin algebra \tilde{A} is said to be *CM-finite* if there are only finitely many, up to isomorphisms, indecomposable finitely generated Gorenstein-projective modules. Recall that an artin algebra A is said to be of finite representation type if there are only finitely many isomorphism classes of indecomposable finitely generated modules. Clearly, finite representation type implies CM-finite. The converse is not true, in general.

Let us recall the following famous result of Auslander [\[3,](#page-6-0) [4\]](#page-6-1) (see also Ringel-Tachikawa [\[26\]](#page-7-2), Corollary 4.4) :

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Auslander's Theorem An artin algebra A is of finite representation type if and only if every A-module is a direct sum of finitely generated modules, that is, A is left pure semisimple, see [\[31\]](#page-7-3).

Inspired by the theorem above, one may conjecture the following Auslander-type result for Gorenstein-projective modules: an artin algebra A is CM-finite if and only if every Gorenstein-projective A-module is a direct sum of finitely generated ones. However we can only prove this conjecture in a nice case.

Recall that an artin algebra A is said to be Gorenstein [\[19\]](#page-7-4) if the regular module A has finite injective dimension both at the left and right sides. Our main result is

Main Theorem Let A be a Gorenstein artin algebra. Then A is CM-finite if and only if every Gorenstien-projective A-module is a direct sum of finitely generated Gorenstein-projective modules.

Note that our main result has a similar character to a result by Beligiannis ([\[9\]](#page-6-6), Proposition 11.23), and also note that similar concepts were introduced and then similar results and ideas were developed by Rump in a series of papers [\[28,](#page-7-5) [29,](#page-7-6) [30\]](#page-7-7).

2. Proof of Main Theorem

Before giving the proof, we recall some notions and known results.

2.1. Let A be an artin R-algebra. By a subcategory $\mathcal X$ of A-mod, we mean a full additive subcategory which is closed under taking direct summands. Let $M \in A$ -mod. We recall from [\[8,](#page-6-7) [6\]](#page-6-8) that a right X-approximation of M is a morphism $f: X \longrightarrow M$ such that $X \in \mathcal{X}$ and every morphism from an object in X to M factors through f. The subcategory X is said to be *contravariantly-finite in A*-mod if each finitely generated modules has a right \mathcal{X} -approximation. Dually, one defines the notions of left \mathcal{X} -approximations and covariantly-finite subcategories. The subcategory \mathcal{X} is said to be functorially-finite in A-mod if it is contravariantly-finite and covariantlyfinite. Recall that a morphism $f : X \longrightarrow M$ is said to be *right minimal*, if for each endomorphism $h: X \longrightarrow X$ such that $f = f \circ h$, then h is an isomorphism. A right \mathcal{X} -approximation $f: X \longrightarrow M$ is said to be a *right minimal X-approximation* if it is right minimal. Note that if a right approximation exists, so does right minimal ones; a right minimal approximation, if in existence, is unique up to isomorphisms. For details, see [\[8,](#page-6-7) [6,](#page-6-8) [7\]](#page-6-9).

The following fact is known.

Lemma 2.1. Let A be an artin algebra. Then

(1). The subcategory A-Gproj of A-mod is closed under taking direct summands, kernels of epimorphisms and extensions, and contains A-proj.

(2). The category A-Gproj is a Frobenius exact category [\[22\]](#page-7-8), whose relative projective-injective objects are precisely contained in A-proj. Thus the stable category A-Gproj modulo projectives is a triangulated category.

(3). Let A be Gorenstein. Then the subcategory A-Gproj of A-mod is functoriallyfinite.

(4). Let A be Gorenstein. Denote by $\{S_i\}_{i=1}^n$ a complete list of pairwise nonisomorphic simple A-modules. Denote by $f_i: X_i \longrightarrow S_i$ the right minimal A-Gprojapproximations. Then every finitely generated Gorenstein-projective module M is a

direct summand of some module M', such that there exists a finite chain of submodules $0 = M_0 \subseteq M_1 \subseteq \cdots \subseteq M_{m-1} \subseteq M_m = M'$ with each subquotient M_i/M_{i-1} lying $in \{X_i\}_{i=1}^n$.

Proof. Note that A-Gproj is nothing but \mathcal{X}_{ω} with $\omega = A$ -proj in [\[6\]](#page-6-8), section 5. Thus (1) follows from [\[6\]](#page-6-8), Proposition 5.1, and (3) follows from [6], Corollary 5.10(1) (just note that in this case, $_A A$ is a cotiling module).

Since A-Gproj is closed under extensions, thus it becomes an exact category in the sense of [\[22\]](#page-7-8). The property of being Frobenius and the characterization of projectiveinjective objects follow directly from the definition, also see [\[14\]](#page-6-2), Proposition 3.1(1). Thus by [\[18\]](#page-7-9), chapter 1, section 2, the stable category A-Gproj is triangulated.

By (1) and (3) , we see that (4) is a special case of $[6]$, Proposition 3.8.

Let R be a commutative artinian ring as above. An additive category $\mathcal C$ is said be to R -linear if all its Hom-spaces are R -modules, and the composition maps are R-bilinear. An R-linear category is said to be hom-finite, if all its Hom-spaces are finitely generated R-modules. Recall that an R -variety C means a hom-finite R-linear category which is skeletally-small and idempotent-split (that is, for each idempotent morphism $e: X \longrightarrow X$ in C, there exists $u: X \longrightarrow Y$ and $v: Y \longrightarrow X$ such that $e = v \circ u$ and $\text{Id}_Y = u \circ v$). It is well-known that a skeletally-small R-linear category is an R-variety if and only if it is hom-finite and Krull-Schmidt (i.e., every object is a finite sum of indecomposable objects with local endomorphism rings). See [\[27\]](#page-7-10), p.52 or [\[15\]](#page-6-10), Appendix A. Then it follows that any factor category ([\[7\]](#page-6-9), p.101) of an R-variety is still an R-variety.

Let C be an R-variety. We will abbreviate the Hom-space $\text{Hom}_{\mathcal{C}}(X, Y)$ as (X, Y) . Denote by $(\mathcal{C}^{op}, R\text{-Mod})$ (resp. $(\mathcal{C}^{op}, R\text{-mod})$) the category of contravariant R-linear functors from $\mathcal C$ to R-Mod (resp. R-mod). Then $(\mathcal C^{op}, R\text{-Mod})$ is an abelian category and $(\mathcal{C}^{op}, R\text{-mod})$ is its abelian subcategory. Denote by $(-, X)$ the representable functor for each $X \in \mathcal{C}$. A functor F is said to be *finitely generated* if there exists an epimorphism $(-, C) \longrightarrow F$ for some object $C \in \mathcal{C}$; F is said to be finitely presented (= coherent) [\[2,](#page-6-11) [3\]](#page-6-0), if there exists an exact sequence of functors $(-, C_1) \longrightarrow (-, C_0) \longrightarrow$ $F \longrightarrow 0$. Denote by $fp(\mathcal{C})$ the subcategory of $(\mathcal{C}^{op}, R\text{-Mod})$ consisting of finitely presented functors. Clearly, $fp(\mathcal{C}) \subseteq (\mathcal{C}^{op}, R\text{-mod})$. Recall the duality

$$
D = \text{Hom}_{R}(-, E) : R\text{-mod} \longrightarrow R\text{-mod},
$$

where E is injective hull of $R/\text{rad}(R)$ as an R-module. Therefore, it induces duality $D: (\mathcal{C}^{op}, R\text{-mod}) \longrightarrow (\mathcal{C}, R\text{-mod})$ and $D: (\mathcal{C}, R\text{-mod}) \longrightarrow (\mathcal{C}^{op}, R\text{-mod})$. The Rvariety C is called a *dualizing R-variety* [\[5\]](#page-6-12), if this duality preserves finitely presented functors.

The following observation is important.

Lemma 2.2. Let A be a Gorenstein artin R-algebra. Then the stable category A-Gproj is a dualizing R-variety.

Proof. Since A-Gproj \subseteq A-mod is closed under taking direct summands, thus idempotents-split. Therefore, we infer that A-Gproj is an R-variety, and its stable category A-Gproj is also an R-variety. By Lemma $2.1(3)$, the subcategory A-Gproj is functorially-finite in A-mod, then by a result of Auslander-Smalø $([8]$ $([8]$, Theorem 2.4(b)) A-Gproj has almost-split sequences, and thus theses sequences induce

Auslander-Reiten triangles in A-Gproj (Let us remark that it is Happel ([\[19\]](#page-7-4), 4.7) who realized this fact for the first time). Hence the triangulated category A-Gproj has Auslander-Reiten triangles, and by a theorem of Reiten-Van den Bergh ([\[25\]](#page-7-11), Theorem I.2.4) we infer that A-Gproj has Serre duality. Now by [\[20\]](#page-7-12), Proposition 2.11 (or [\[13\]](#page-6-13), Corollary 2.6), we deduce that A-Gproj is a dualizing R-variety. Let us remark that the last two cited results are given in the case where R is a field, however one just notes that the results can be extended to the case where R is a commutative artinian ring without any difficulty.

For the next result, we recall more notions on functors over varieties. Let $\mathcal C$ be an R-variety and let $F \in (\mathcal{C}^{op}, R\text{-Mod})$ be a functor. Denote by $\text{ind}(\mathcal{C})$ the complete set of pairwise nonisomorphic indecomposable objects in $\mathcal C$. The *support* of F is defined to supp $(F) = \{C \in \text{ind}(\mathcal{C}) \mid F(C) \neq 0\}$. The functor F is simple if it has no nonzero proper subfunctors, and F has finite length if it is a finite iterated extension of simple functors. Observe that F has finite length if and only if F lies in $(\mathcal{C}^{op}, R\text{-mod})$ and $\text{supp}(F)$ is a finite set. The functor F is said to be *noetherian*, if its every subfunctor is finitely generated. It is a good exercise to show that a functor is noetherian if and only if every ascending chain of subfunctors in F becomes stable after finite steps (one may use the fact: for a finitely generated functor F with epimorphism $(-, C) \longrightarrow F$, then for any subfunctor F' of F , $F' = F$ provided that $F'(C) = F(C)$). Observe that a functor having finite length is necessarily noetherian by an argument on its total length (i.e., $l(F) = \sum_{C \in \text{ind}(C)} l_R(F(C))$, where l_R denotes the length function on finitely generated R-modules).

The following result is essentially due to Auslander (compare [\[3\]](#page-6-0), Proposition 3.10).

Lemma 2.3. Let C be a dualizing R-variety, $F \in (\mathcal{C}^{\text{op}}, R\text{-mod})$. Then F has finite length if and only if F is finitely presented and noetherian.

Proof. Recall from [\[5\]](#page-6-12), Corollary 3.3 that for a dualizing R -variety, functors having finite length are finitely presented. So the "only if" follows.

For the "if" part, assume that F is finitely presented and noetherian. Since F is finitely presented, by [\[5\]](#page-6-12), p.324, we have the filtration of subfunctors

$$
0 = \mathrm{soc}_0(F) \subseteq \mathrm{soc}_1(F) \subseteq \cdots \subseteq \mathrm{soc}_{i+1}(F) \subseteq \cdots
$$

where $\operatorname{soc}_1(F)$ is the socle of F, and in general soc_{i+1} is the preimage of the socle of $F/\text{soc}_i(F)$ under the canonical morphism $F \longrightarrow F/\text{soc}_i(F)$. Since F is noetherian, we get soc_{io} $F = \text{soc}_{i_0+1}(F)$ for some i_0 , and that is, the socle of $F/\text{soc}_{i_0}(F)$ is zero. However, by the dual of [\[5\]](#page-6-12), Proposition 3.5, we know that for each nonzero finitely presented functor F , the socle soc (F) is necessarily nonzero and finitely generated semisimple. In particular, $\operatorname{soc}(F)$ has finite length, and thus it is finitely presented. Note that $fp(C) \subseteq (C^{op}, R\text{-mod})$ is an abelian subcategory, closed under extensions. Thus $F/\text{soc}_1(F)$ is finitely presented. Applying the above argument to $F/\text{soc}_1(F)$, we obtain that $\operatorname{soc}_2(F)$, as the extension between the socles of two finitely presented functors, has finite length. In general, one proves that $F/\mathrm{soc}_i(F)$ is finitely presented and soc_{i+1}(F) has finite length for all *i*. Hence $\operatorname{soc}(F/\operatorname{soc}_{i_0}(F)) = 0$ will imply that $F/\mathrm{soc}_{i_0}(F) = 0$, i.e., $F = \mathrm{soc}_{i_0}(F)$, which has finite length.

Let us consider the category A-GProj. Similar to Lemma $2.1(1),(2)$, we recall that A-GProj \subseteq A-Mod is closed under taking direct summands, kernels of epimorphisms and extensions, and it is a Frobenius exact category with (relative) projective-injective objects precisely contained in A-Proj. Consider the stable category A-GProj, which is also triangulated and has arbitrary coproducts. Recall that in an additive category $\mathcal T$ with arbitrary coproducts, an object T is said to be *compact*, it the functor $\text{Hom}_{\mathcal{T}}(T,-)$ commutes with coproducts. Denote the full subcategory of compact objects by \mathcal{T}^c . If we assume further that $\mathcal T$ is triangulated, then \mathcal{T}^c is a thick triangulated subcategory. We say that T is a *compactly generated* [\[23,](#page-7-13) [24\]](#page-7-14), if the subcategory \mathcal{T}^c is skeletally-small and for each object $X, X \simeq 0$ provided that $\text{Hom}_{\mathcal{T}}(T, X) = 0$ for every compact object T.

Note that in our situation, we always have an inclusion A -Gproj $\hookrightarrow A$ -GProj, and in fact, we view it as A -Gproj $\subseteq (A$ -GProj)^c. Next lemma, probably known to experts, states the converse in Gorenstein case. It is a special case of [\[14\]](#page-6-2), Theorem 4.1 (compare [\[10\]](#page-6-5), Theorem 6.6). One may note that in the artin case, the category A-Gproj is idempotent-split.

Lemma 2.4. Let A be an Gorenstein artin algebra. Then the triangulated category A-GProj is compactly generated and A-Gproj $\subseteq (A$ -GProj)^c is dense (i.e., surjective up to isomorphisms).

2.2. Proof of Main Theorem: Assume that A is an artin R-algebra. Set $C =$ A-Gproj, by Lemma 2.2, $\mathcal C$ is a dualizing R-variety. For a finitely generated Gorensteinprojective module M, we will denote by $(-, M)$ the functor $\text{Hom}_{\mathcal{C}}(-, M)$; for an arbitrary module X, we denote by $(-, X)|_{\mathcal{C}}$ the restriction of the functor $\underline{\text{Hom}}_A(-, X)$ to C.

For the "if" part, we assume that each Gorenstein-projective module is a direct sum of finitely generated ones. It suffices to show that the set $ind(\mathcal{C})$ is finite. For this end, assume that M is a finitely generated Gorenstein-projective module. We claim that the functor $(-, M)$ is noetherian. In fact, given a subfunctor $F \subseteq (-, M)$, first of all, we may find an epimorphism

$$
\oplus_{i\in I}(-,M_i)\longrightarrow F,
$$

where each $M_i \in \mathcal{C}$ and I is an index set. Compose this epimorphism with the inclusion of F into $(-, M)$, we get a morphism from $\bigoplus_{i \in I} (-, M_i)$ to $(-, M)$. By the universal property of coproducts and then by Yoneda's Lemma, we have, for each i , a morphism $\theta_i : M_i \longrightarrow M$, such that F is the image of the morphism

$$
\sum_{i\in I}(-, \theta_i): \oplus_{i\in I}(-, M_i)\longrightarrow (-, M).
$$

Note that $\bigoplus_{i\in I} (-, M_i) \simeq (-, \bigoplus_{i\in I} M_i)|_{\mathcal{C}}$, and the morphism above is also induced by the morphism $\sum_{i \in I} \theta_i : \bigoplus_{i \in I} M_i \longrightarrow M$. Form a triangle in A-GProj

$$
K[-1] \longrightarrow \bigoplus_{i \in I} M_i \stackrel{\sum_{i \in I} \theta_i}{\longrightarrow} M \stackrel{\phi}{\longrightarrow} K.
$$

By assumption, we have a decomposition $K = \bigoplus_{j \in J} K_j$ where each K_j is finitely generated Gorenstein-projective. Since the module M is finitely generated, we infer that ϕ factors through a finite sum $\oplus_{j\in J'} K_j$, where $J' \subseteq J$ is a finite subset. In other words, ϕ is a direct sum of

$$
M \xrightarrow{\phi'} \oplus_{j \in J'} K_j
$$
 and $0 \longrightarrow \oplus_{j \in J \setminus J'} K_j$.

By the additivity of triangles, we deduce that there exists a commutative diagram

$$
\oplus_{i \in I} M_i \xrightarrow{\sum_{i \in I} \theta_i} M
$$

\n
$$
M' \oplus (\oplus_{j \in J \setminus J'} K_j)[-1] \xrightarrow{(\theta', 0)} M
$$

where the left side vertical map is an isomorphism, and M' and θ' are given by the triangle $(\bigoplus_{j\in J'} K_j)[-1] \longrightarrow M' \stackrel{\theta'}{\longrightarrow} M \stackrel{\phi'}{\longrightarrow} \bigoplus_{j\in J} K_j$. Note that $M'\in \mathcal{C}$, and by the above diagram we infer that F is the image of the morphism $(-, \theta') : (-, M') \longrightarrow$ $(-, M)$, and thus F is finitely-generated. This proves the claim.

By the claim, and by Lemma 2.3, we deduce that for each $M \in \mathcal{C}$, the functor $(-, M)$ has finite length, in particular, supp $((-, M))$ is finite. Assume that $\{S_i\}_{i=1}^n$ is a complete list of pairwise nonisomorphic simple A-modules. Denote by $f_i: X_i \longrightarrow S_i$ the right minimal A-Gproj-approximations. By Lemma 2.1(4), the module M is a direct summand of M' and we have a finite chain of submodules of M' with factors being among X_i 's. Then it is not hard to see that $\text{supp}((-, M)) \subseteq \text{supp}((-, M')) \subseteq \bigcup_{i=1}^{n} \text{supp}((-, X_i))$ for every $M \in \mathcal{C}$. Therefore we deduce that $\text{ind}(\mathcal{C}) = \bigcup_{i=1}^n \text{supp}((-X_i))$, which is finite.

For the "only if" part, assume that A is a CM-finite Gorenstein algebra. Then the set ind(C) is finite, say $ind(C) = \{G_1, G_2, \cdots, G_m\}$. Let $B = \text{End}_{\mathcal{C}}(\bigoplus_{i=1}^m G_i)^{\text{op}}$. Then B is also an artin R-algebra. Note that for each $C \in \mathcal{C}$, the Hom-space $\text{Hom}_{\mathcal{C}}(\bigoplus_{i=1}^m G_i, C)$ has a natural left B-module structure, moreover, it is a finitely generated projective B-module. In fact, we get an equivalence of categories

$$
\Phi = \text{Hom}_{\mathcal{C}}(\bigoplus_{i=1}^{m} G_i, -): \ \mathcal{C} \longrightarrow B\text{-}\mathrm{proj}.
$$

Then the equivalence above naturally induces the following equivalences, still denoted by Φ

$$
\Phi: \mathbf{fp}(\mathcal{C}) \longrightarrow B\text{-mod}, \quad \Phi: (\mathcal{C}^{op}, R\text{-Mod}) \longrightarrow B\text{-Mod}.
$$

In what follows, we will use these equivalences. By [\[24\]](#page-7-14), p.169 (or [\[13\]](#page-6-13), Proposition 2.4), we know that the category $fp(\mathcal{C})$ is a Frobenius category. Therefore, via Φ , we get that B is a self-injective algebra. Therefore by [\[1\]](#page-6-14), Theorem 31.9, we get that B-Mod is also a Frobenius category, and by [\[1\]](#page-6-14), p.319, every projective-injective B-module is of form $\oplus_{i=1}^{m} Q_{m}^{(I_i)}$, where $\{Q_1, Q_2, \cdots, Q_m\}$ is a complete set of indecomposable projective B-modules such that $Q_i = \Phi(G_i)$, and each I_i is some index set, and $Q_i^{(I_i)}$ $i^{(i)}$ is the corresponding coproduct.

Take $\{P_1, P_2, \cdots, P_n\}$ to be a complete set of pairwise nonisomorphic indecomposable projective A-modules. Let $G \in A$ -GProj. We will show that G is a direct sum of some copies of G_i 's and P_j 's. Then we are done. Consider the functor $(-, G)|_{\mathcal{C}}$, which is cohomological, and thus by [\[13\]](#page-6-13), Lemma 2.3 (or [\[24\]](#page-7-14), p.258), we get $Ext^1(F, (-, G)|_{\mathcal{C}}) = 0$ for each $F \in \textbf{fp}(\mathcal{C})$, where the Ext group is taken in $(C^{op}, R\text{-Mod})$. Via Φ and applying the Baer's criterion, we get that $(-, G)|_{\mathcal{C}}$ is an injective object, and thus by the above, we get an isomorphism of functors

$$
\bigoplus_{i=1}^m (-,T_i)^{(I_i)} \longrightarrow (-,G)|_{\mathcal{C}},
$$

where I_i are some index sets. As in the first part of the proof, we get a morphism $\theta: \bigoplus_{i=1}^m T_i^{(I_i)} \longrightarrow T$ such that it induces the isomorphism above. Form the triangle in A-GProj

$$
\oplus_{i=1}^m G_i^{(I_i)} \xrightarrow{\theta} T \longrightarrow X \longrightarrow (\oplus_{i=1}^m G_i^{(I_i)})[1].
$$

For each $C \in \mathcal{C}$, applying the cohomological functor $\text{Hom}_{A\text{-GProj}}(C, -)$ and by the property of θ , we obtain that

$$
\operatorname{Hom}_{A\operatorname{-GProj}}(C, X) = 0, \quad \forall C \in \mathcal{C}.
$$

By Lemma 2.4, the category A-GProj is generated by \mathcal{C} , and thus $X \simeq 0$, and hence θ is an isomorphism in the stable category A-GProj. Thus it is well-known (say, by [\[16\]](#page-7-15), Lemma 1.1) that this will force an isomorphism in the module category

$$
\oplus_{i=1}^m G_i^{(I_i)} \oplus P \simeq G \oplus Q,
$$

where P and Q are projective A-modules. Now by [\[1\]](#page-6-14), p.319, again, P is a direct sum of copies of P_i 's. Hence the combination of Azumaya's Theorem and Crawlay-Jønsson-Warfield's Theorem ([\[1\]](#page-6-14), Corollary 26.6) applies in our situation, and thus we infer that G is isomorphic to a direct sum of copies of G_i 's and P_j 's. This completes the proof.

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