# Land-atmosphere energy exchange in Arctic tundra and boreal forest: available data and feedbacks to climate

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#### Abstract

This paper summarizes and analyses available data on the surface energy balance of Arctic tundra and boreal forest. The complex interactions between ecosystems and their surface energy balance are also examined, including climatically induced shifts in ecosystem type that might amplify or reduce the effects of potential climatic change.

High latitudes are characterized by large annual changes in solar input. Albedo decreases strongly from winter, when the surface is snow-covered, to summer, especially in nonforested regions such as Arctic tundra and boreal wetlands. Evapotranspiration  $(Q<sub>F</sub>)$  of high-latitude ecosystems is less than from a freely evaporating surface and decreases late in the season, when soil moisture declines, indicating stomatal control over  $Q_{E}$ , particularly in evergreen forests. Evergreen conifer forests have a canopy conductance half that of deciduous forests and consequently lower  $Q_E$ and higher sensible heat flux  $(Q_H)$ . There is a broad overlap in energy partitioning between Arctic and boreal ecosystems, although Arctic ecosystems and light taiga generally have higher ground heat flux because there is less leaf and stem area to shade the ground surface, and the thermal gradient from the surface to permafrost is steeper.

Permafrost creates a strong heat sink in summer that reduces surface temperature and therefore heat flux to the atmosphere. Loss of permafrost would therefore amplify climatic warming. If warming caused an increase in productivity and leaf area, or fire caused a shift from evergreen to deciduous forest, this would increase  $Q_{\rm E}$  and reduce  $Q_H$ . Potential future shifts in vegetation would have varying climate feedbacks, with largest effects caused by shifts from boreal conifer to shrubland or deciduous forest (or vice versa) and from Arctic coastal to wet tundra. An increase of logging activity in the boreal forests appears to reduce  $Q_E$  by roughly 50% with little change in  $Q_H$ , while the ground heat flux is strongly enhanced.

Keywords: Arctic tundra, boreal forest, circumpolar high-latitudes, climate feedbacks, eddy covariance flux data, surface energy balance

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## 1 Introduction

The energy exchange between land, sea ice, and the atmosphere drives the Earth's climate system on local, regional, and ultimately, global scales. In order to assess the susceptibility and vulnerability of ecosystems to climate change, it is essential to understand the energy exchange processes at the Earth's surface and how they feed back to climate.

More than 15 years ago Ohmura (1982a,b,c,d) reviewed studies on the energy balance of Arctic tundra and concluded that the radiative exchange of the tundra region was relatively well understood, but its climate was not. Furthermore, Ohmura (1982a) suggested that the development of accurate boundary-layer models, which could be driven by synoptic or climatological data, would be an important step toward a better understanding of the tundra regional climate. Today such regional scale models exist (Pielke et al. 1992; Walsh et al. 1993; Lynch et al. 1995; Dethloff et al. 1996). However, these regional models require scenario input for modelling the changing climate of a region. This information can be supplied either as output from Global Climate Models (GCMs), or produced independently based on a range of changes in driving variables, which can be used as boundary conditions in regional models (e.g. Gyalistras & Fischlin 1999). In addition, scenarios of future regional climate changes in land surface properties caused by climate-driven vegetation change (Kittel et al. 2000) can be used to assess the susceptibility and vulnerability of ecosystems to such changes (e.g. Raupach et al. 1999).

The availability and reliability of the GCMs with which regional models can be integrated has improved in recent times. In general, the accuracy with which modern GCMs are able to represent current Arctic surface air temperatures, although regionally variable, is encouraging. For example, a comparison by Tao et al. (1996) of 10 years of data (1979-88) simulated by 19 GCMs, found that Arctic surface air temperatures can be predicted for North America with an accuracy of  $2^{\circ}C$  regardless of season. Nonetheless, some crucial refinements to GCM parameterization schemes remain to be identified and implemented: (i) GCMs exhibit considerable underestimation of solar input at high latitudes (Wild et al. 1995) compared to observations by the global energy balance archive stations (Ohmura et al. 1989, 1993b; Ohmura & Gilgen 1993a). This underestimation is a result of the inadequate parameterization of cloud radiative properties (Wild et al. 1995; Rinke et al. 1997). The errors in the simulated fluxes under present climate are currently of a similar or larger magnitude than the simulated changes of these quantities with simulations of climate change (Wild et al. 1997). (ii) The cold bias of Arctic surface air

temperatures in spring is a problem common to all GCMs, and is strongest in the models that do not account for vegetative masking of the high-albedo snow (Tao et al. 1996). Consequently, the credibility of GCM scenarios is lowest for the season which is most critical for the development of the plants at high latitudes, and where effects of a warming climate have already been identified (Keeling et al. 1996; Keyser et al. 2000). (iii) Land-surface parameterization schemes typically used in GCMs are sensitive in a nonlinear way to parameters which are aggregated from high-resolution data to the coarser resolution of the GCM. For example, GCMs are sensitive to an initial increase in forest cover in a transition from a simulation of homogeneous tundra to one of homogeneous coniferous forest (Pitman 1995). This problem can be minimized by incorporating secondary vegetation types in GCM grid cells instead of using only the model parameters of the dominant vegetation (Pitman 1995).

The aims of this paper are therefore: (i) to identify the necessary information to help assess the susceptibility and vulnerability of high-latitude ecosystems to climate change; (ii) to summarize available field data from surface energy balance studies that describe northern ecosystems; (iii) to characterize the surface energy balance of the circumpolar Arctic and boreal biomes; and (iv) to examine how possible changes in climate and ecosystem distribution may feed back to climate, based on a susceptibility-vulnerability approach. Section 2 describes the unique physical attributes of high-latitude ecosystems, and the consequences thereof. Section 3 summarizes available knowledge about the components involved in the surface energy balance of the boreal and Arctic climate zone. Section 4 presents a compilation of available data for summer conditions. In Section 5 potential feedbacks to local and regional climate are discussed, and an attempt is made to identify the shifts in vegetation type that would most strongly feed back to climatic change via the associated changes in surface energy partitioning.

## 2 Climatic conditions in the boreal and Arctic zones

The following analysis focuses on the large circumpolar terrestrial zone in the northern hemisphere at latitudes greater than  $\approx 50^{\circ}$ N, which consists of three regions: (i) the boreal zone, which ranges from close-crowned to open-canopy forest; (ii) the subarctic zone near the Arctic treeline, in which the forest is very open and trees are stunted or absent; and (iii) the Arctic zone, which consist of treeless tundra. Within this geographically diverse region there is a broad range of climate and physical characteristics of the land surface.

Table 1 Average climate data for North American stations in the Boreal zone (compiled from Hare & Hay 1974; Hare & Thomas 1979). K $\downarrow$ : solar radiation (W m<sup>-2</sup>), average of the years 1962–76 (Canadian stations) or 1956–64 (US stations); T<sub>m</sub>: mean temperature (°C); P: precipitation 1942-72 (mm); Sn: snowfall 1941-62 (cm); Cl: cloudiness 1942-72 (tenths); Dir: most frequent wind direction 1942–72; u: mean wind speed 1942–72 (m s<sup>-1</sup>)

		$\rm F$	M	А	M		J	А	S	$\circ$	N	D	year
<b>Boreal Zone</b>													
Anchorage (61°10' N, 199°59' W)													
K↓	16	56	130	189	213	224	201	154	98	54	21	8	114
$\rm T_m$	$-10.9$	$-7.8$	$-4.8$	2.1	7.7	12.5	13.9	13.1	8.8	1.7	$-5.4$	$-9.8$	1.8
$\mathbf P$	20	18	13	11	13	25	47	65	64	47	26	24	374
Sn	27	25	21	8	$\mathbf{1}$	$\Omega$	$\mathbf{0}$	$\Omega$	$\mathbf{0}$	14	25	31	156
C <sub>1</sub>	6.7	6.8	6.7	6.9	7.6	7.6	7.5	7.8	7.8	7.8	7.2	7.3	7.3
Dir	NE	N	N	N	S	S	S	<b>NW</b>	<b>NE</b>	N	N	NE	N
u	2.3	2.6	2.6	2.5	2.9	2.8	2.5	2.3	2.3	2.4	2.3	2.2	2.5
Edmonton (53°34' N, 113°31' W)													
K↑	42	81	146	203	240	253	261	209	147	92	47	31	146
$T_{\rm m}$	$-14.1$	$-11.6$	$-5.5$	4.2	11.2	14.3	17.3	15.6	10.8	5.1	$-4.2$	$-10.4$	2.7
$\mathbf{P}$	24	20	21	28	46	80	85	65	34	23	22	25	473
Sn	24	19	20	15	3	$\Omega$	$\mathbf{0}$	$\overline{0}$	2	10	19	24	137
Cl	6.5	6.5	6.4	6.4	6.4	6.7	5.8	5.7	5.8	5.9	6.3	6.4	6.2
Dir	S	S	S	S	S	<b>NW</b>	<b>NW</b>	S	S	S	S	S	S
$\mathbf u$	3.5	3.6	4.0	4.8	4.7	4.4	4.0	3.7	4.0	4.0	3.6	3.3	4.0
Goose Bay (53°19' N, 60°25' W)													
K↓	39	81	136	190	209	221	212	174	126	76	38	30	128
$\rm T_m$	$-16.6$	$-14.9$	$-8.4$	$-1.6$	5.1	11.9	16.3	14.7	10.1	3.2	$-4.4$	$-12.9$	0.2
$\mathbf P$	72	63	68	62	56	72	84	91	76	63	67	63	837
Sn	70	61	64	48	18	$\overline{2}$	$\Omega$	$\Omega$	3	25	51	59	400
Cl	6.3	6.3	6.3	7.3	7.5	7.6	7.5	7.1	7.1	$7.4\,$	7.3	6.4	7.0
Dir	W	W	W	NE	<b>NE</b>	<b>NE</b>	SW	W	W	W	W	W	W
u	4.8	4.4	4.5	4.4	4.2	3.9	3.8	3.8	4.2	4.5	4.2	4.4	4.3

## 2.1 Regional climate

In North America, excluding the ice cap areas in the eastern Queen Elizabeth Islands (Canada), annual mean temperature spans  $21^{\circ}C$  (-18 to +3 $^{\circ}C$ ), annual precipitation (in the few places it is measured) ranges from 60 to 460 mm, the frost-free period from 10 to 125 days, the median snow-free period from 80 to 245 days, and the annual average global radiation from 90 to 160 W  $m^{-2}$ (Hare & Thomas 1979). Annual average net radiation at the surface varies from 3 to 53 W  $m^{-2}$  (Rouse 1993).

A range of climatic parameters for typical North American stations, ranging from west to east in each of the three geographical zones, is given in Tables  $1-3$ . Eurasia is much more continental with colder winters and warmer summers in central Siberia. There is a strong gradient from West to East in continentality, especially in rainfall, while the Hudson Bay moderates the climate at comparable latitudes in North America (Rouse 2000). Progressing northward from the boreal to the Arctic zone, there is a steady decrease in solar insolation, a marked decrease in mean annual temperatures, an increase in the number of winter months, a marked decline in precipitation, with a higher proportion occurring in the three summer months, and an increase in wind speeds (Tables 1-3). For all regions the average annual cloud cover is greater than 6/10 and exceeds 7/10 in the three summer months.

For most of the study area, snow comprises 40-80% of the annual precipitation, the majority of which is stored on the ground for six to nine months of the year. Actual snowfall may be two to three times that measured by standard snow collectors at weather stations, due to undercatch during windy periods, as well as to large numbers of trace events (Goodison 1981; Woo et al. 1983). Both of these factors are enhanced in the windswept tundra.

## 2.2 Physical characteristics of northern ecosystems

The magnitude and pattern of snow accumulation in high latitudes is poorly understood, but is strongly influenced by canopy and topographic heterogeneity at a variety of scales (Section 5.3). Intercepted snow within Table 2 As Table 1 but for the Subarctic zone



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forest canopies, and blowing snow in tundra areas, enhance sublimation and reduce total snow on the ground at the end of winter. In regions of low precipitation, such as most of the tundra and the drier northern regions of the boreal forest, sublimation limits water availability at the start of the growing season. In dense coniferous canopies, interception can result in up to 40% sublimation, while in open or deciduous forests it may be less than 10% (Pomeroy & Gray 1994). Wind controls snow cover distribution, producing highly variable cover in open tundra and a more uniform distribution in forested areas (Liston & Sturm 1998). Cold, high-latitude snowpacks behave very differently to warm, temperate snowpacks during snowmelt (Marsh 1991; Liston 1995). In temperate areas, ground heat flux is seldom important, with small fluxes from the ground to the snowpack helping to increase melt. In northern permafrost soils, the ground heat flux is from the snow to the ground in spring. This increases the amount of energy required to melt the snowpack and delays melt.

The hydraulic conductivity of permafrost soils is significantly lower than for unfrozen soils, thus limiting groundwater flows. Consequently, the occurrence of permafrost is important in controlling drainage, and therefore the areal extent and spatial distribution of wetlands (Rouse et al. 1997; Rouse 2000). The permafrost that underlies Arctic and subarctic regions varies in thickness along temperature, latitudinal and altitudinal gradients. Where the annual mean temperature is higher than  $-6$  °C or the annual mean ground temperature hovers around  $0^{\circ}C$ , permafrost is sensitive to warming and may disappear. Warming over a long period would therefore move the permafrost boundaries poleward (Woo et al. 1992), reducing the area of permafrost coverage. The most profound physical impact in wetlands would be melting of near-surface ground ice resulting in massive terrain slumping (thermokarst) which would affect all surface features, most prominently in areas of discontinuous permafrost. Ground ice occupies about 70% of the volume of loess soils on the Siberian plains, and warming and thermokarst in this region is causing extensive ecosystem conversion in both tundra and boreal forest (Zimov et al. 1997).

In the snow-free season, evaporation and transpiration often exceed precipitation, resulting in a negative water balance (Woo et al. 1992). Any increase in the length of the snow-free period or in summer temperatures, would increase evapotranspiration. Unless these changes are accompanied by an increase in precipitation, summer water balances will become increasingly negative (Rouse et al. 1992; Rouse 2000), reducing both lake levels and ground water recharge. Thus the impact of environmental change on the water balance depends on the magnitude of changes in both surface temperature and

the precipitation regime. Warming of the permafrost can increase liquid water storage, if the water balance is positive, or reduce water storage, if the water balance is negative (Rouse 2000). A large increase in the depth of the active layer would threaten the existence of wetlands. And changes in soil moisture would also strongly affect decomposition and carbon balance (Gorham 1991; Oechel et al. 1993).

The flora is more or less in equilibrium with the regional atmospheric and soil climates (e.g. Jacobs et al. 1997). Summer temperature, the length of the growing season, and intensity of summer warmth show the greatest correlation with vegetation distribution and species diversity (e.g. Young 1971; Edlund & Alt 1989; Walker 2000). Seasonal snow cover and soil moisture availability also influence the distribution of species and communities. By maintaining a high water table, permafrost can promote anaerobic conditions within rooting zones, restricting the growth of vascular plants, especially trees, and favouring the development of nonvascular plants.

The high latitudes thus present a number of unique features that strongly influence their energy and water balances and their feedback to climate and ecological processes. They also make the system highly sensitive to climate change. The most significant features are the shortness of the growing season, long summer days, permafrost, massive ground ice and cold soils, extensive wetlands and shallow lake systems, open-canopied boreal woodlands and forests, and a nonvascular ground vegetation in both tundra and forest. Because of this ecoclimatic diversity across the circumpolar region, the patterns of energy exchange and climate feedback that are discussed in the following sections exhibit greater variance than in many other biomes.

## 3 Land-atmosphere energy exchange in northern ecosystems

Solar radiation is the driving force of the Earth's climate. The net radiative forcing at the surface dictates the total amount of energy that is available to be partitioned into secondary surface energy exchanges which, over long time periods, maintain the surface thermal equilibrium. The radiation-budget describes the net radiative forcing at the surface (e.g. Oke 1987),

$$
Q^* = (K\downarrow - K\uparrow) + (L\downarrow - L\uparrow) \tag{1}
$$

This budget represents the balance between the incident  $(K\downarrow)$  and reflected  $(K\uparrow)$  visible short-wave radiation, and incoming  $(L\downarrow)$  and outgoing  $(L\uparrow)$  longwave thermal radiation, where  $Q^*$  represents the 'net radiation'. Neglecting the typically minor effects of heat storage within canopy air, photosynthetic activity, organic decomposition and geothermal influences, the key surface energy exchange processes that act collectively to dissipate the available net radiation are: sensible  $(Q_H)$ , latent  $(Q_E)$  and ground  $(Q_G)$  heat fluxes. This process of energy redistribution is most conveniently summarized by the surface energy balance which, in the case of a snow/ice-free surface, is represented as (e.g. Oke 1987)

$$
Q^* = Q_H + Q_E + Q_G
$$
 (2)

If the surface is covered with vegetation, then an additional storage term S may appear in (2) to account for energy storage in the canopy if the reference level for  $Q_G$  is not identical with the one for  $Q_H$  and  $Q_E$ . Frequently the need arises to make comparisons between sites and ecosystems that experience different absolute values of  $Q^*$ . A less subjective form of the surface energy balance presented in (2) can be obtained by normalizing with respect to the net radiation, resulting in

$$
Q_H/Q^* + Q_E/Q^* + Q_G/Q^* = 1
$$
 (3)

The relative partitions of energy flux at a given site are fairly constant over the diurnal cycle, as has been shown for both the boreal zone (Hurtalová 1997) and the Arctic zone (Eugster et al. 1997), although it often varies over the growing season due to changes in weather (Rouse 2000), soil moisture, and stomatal conductance (Baldocchi et al. 2000).

#### 3.1 Energy balance studies and data

Recent energy balance data come from long-term studies in the Arctic and boreal zone (e.g. Lafleur & Rouse 1995), intensive field campaigns in the boreal forest of North America (BOREAS, Sellers et al. 1997), Scandinavia (NOPEX, Halldin et al. 1995; Grelle 1997), and the North American Arctic (ARCSS LAII Flux Study, Weller et al. 1995). Additional information comes from Siberian forests (Schulze et al. 1995; Arneth et al. 1996; Kobak et al. 1996; Kelliher et al. 1997; Vygodskaya et al. 1997; Schulze et al. 1999). Most of these studies provide information from single 'representative' sites throughout the growing season for one or more years, although some information is available for short time-periods for replicate sites with a given vegetation type (Eugster et al. 1997; Schulze et al. 1999). Most early estimates of energy partitioning used the Bowen ratio-energy balance method (Bowen 1926). Many recent studies measure these fluxes directly by eddy covariance (Chahuneau et al. 1989). All data available to the authors are tabulated in Table4. Where sufficient long-term data were available, the July mean data were selected. Data from short measuring cam-

paigns during the growing season, and one winter measurement that has been published were also included. To provide a clearer understanding of the potential seasonal and climatic influences on the surface radiation-budget, the following sections describe the typical behaviour, and variations in, the individual components of (1), using the data set in Table 4.

## 3.2 Solar radiation

After the long winter, the solar input in the high latitudes quickly reaches levels in May that exceed the solar input in the mid-latitudes. While Arctic tundra is exposed to 24h of daylight over the summer months, the boreal forest zone generally experiences a short period of darkness or dusk, depending on latitude. The daily maximum and amplitude of incoming solar radiation is greatest at the southern extreme of the boreal zone and decreases with increasing latitude. The daily total of solar energy received at the surface in summer is, however, more strongly determined by length of day than by the daily maximum or amplitude (Fig. 1). A summertime local minimum of mean daily global radiation is therefore found in the northern boreal or southern Arctic zone (Fig. 1). In June this minimum ranges from 200 to  $225 \text{ W m}^{-2}$  in the far north of western and central Siberia, and the eastern Hudson Bay region. Values are higher near the Arctic circle in Alaska and central Canada (225–250 W m<sup>-2</sup>), and near the Arctic circle in eastern Siberia (250–275 W m<sup>-2</sup>).

The maximum high-latitude radiation occurs over Greenland where the June average exceeds  $350 \,\mathrm{W\,m}^{-2}$ (Fig. 1). This is due to the Greenland ice sheet, over which atmospheric transmission is extremely high because of the low atmospheric moisture over permanent ice at a high altitude. Over surfaces that are free of snow and ice, mean daily global radiation is well below  $300 \,\mathrm{W\,m}^{-2}$ (Fig. 1). This observed uneven distribution of solar radiation over the northern hemisphere also leads to regional differences in the surface energy balance at identical northern latitudes.

Net short-wave radiation at the surface: the effect of albedo. A considerable fraction of the solar radiation which passes through the atmosphere to the surface is reflected directly back into space. The proportion of incident radiation which is reflected from the surface varies diurnally and seasonally as a function of the reflectivity and roughness of the surface, and solar elevation angle. The difference between the incident and reflected radiation is termed the net short-wave radiation,  $K^* = K \downarrow - K \uparrow$ . The ratio of reflected to incident radiation is referred to as the albedo  $\alpha = K \hat{I}/K \hat{I}$  where actual albedo  $\alpha \ge \alpha_{\min}$ . Typically, the minimum albedo value for a surface occurs shortly before



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Description of column contents 1 Internal classification code used for reference in text and figures. 2 Ecosystem type. 3 Locality of measuring site in geographical coordinates (latitude and longitude). 4 Time period covered by measurements, day of year and year (e.g. 191-198 1995) or month and years (only for long-term sites where selection of monthly data from several years was possible). 5 Reference for data (see below). The References generally point to a description of the site and data set. 6 Area estimates are for circumpolar land regions (oceans excluded) north of 40°N, but excluding coastal forest, grasslands/crops, and desert in the south (i.e. areas of nonboreal or tundra vegetation). Data are based on preliminary 1-km AVHRR vegetation classification of the Arctic (Jan 1998), by M. Fleming, USGS/EROS Field Office and UC Berkeley. 7 Average global radiation during the month of July (where applicable) or during the measuring period given in column 4. 8 Average net radiation during the month of July (where applicable) or during the measuring period given in column 4. 9 Average air temperature during the month of July (where applicable) or during the measuring period given in column 4. See 10–12 footnotes above. Energy partitioning values for  $Q_F/Q^*$ ,  $Q_H/Q^*$ , and  $Q_G/Q^*$ , respectively (derived from daily flux averages). 13 Maximum hourly  $Q<sub>F</sub>$  measured during the time period given in column 4. 14 Maximum hourly  $Q<sub>H</sub>$ measured during the time period given in column 4. 15 Priestley–Taylor  $\alpha_{PT}$  (ratio of actual evapotranspiration  $Q_F$  to equilibrium evaporation  $Q_{E,eq}$ ). 16 Maximum canopy conductivity  $G_{s,max}$  for daytime conditions (typically determined from the data obtained during the 6 h centred at local noon). This value was derived by solving the Penman-Monteith equation (e.g. Jarvis & McNaughton 1986) for  $G_s$ . 17–18 Intercept Q<sup>\*</sup> and slope b of the regression of net radiation Q<sup>\*</sup> as a function of global radiation K↓. 19 Minimum daytime albedo during overcast conditions, or average daytime albedo (estimated from Betts & Ball 1997) where minimum daytime albedo was not available. 20 Thawing degree days (sum of all days with air temperatures above  $0^{\circ}C$ , times the average daily temperature in $^{\circ}C$ ). 21 Measuring method used for energy budget components measured, and project-specific site identification (in brackets).

#### References and additional experimental details Remark: leaf area index (LAI) is one-sided green leaf area of vascular plants per unit of ground area.

R01: W. Eugster and F. S. Chapin, III, unpublished data. Measuring equipment and accuracy is found in reference R03 (below). All sites that were not included in R03 are referenced here with R01. Ground heat flux was derived from four heat flux plates and soil temperature sensors that measured the average temperature of the soil layer on top of the heat flux plates. Soil heat capacity (volumetric contents of mineral soil, organic matter, and water) was measured at the end of the measuring period for the exact soil slabs where the ground heat flux measurements were performed.  $Q_G$  was derived by area-weighted averaging of the four measurements at each site according to a microsite classification obtained by D. A. Walker (pers. comm.). R02: Nelson *et al.* (1997), and R02<sup>a</sup>: additional data prepared by Kolja Shiklomanov (pers. comm.). R03: Eugster et al. (1997) and Walker et al. (1998). Details identical to R01. R04: McFadden et al. (1998). R05: Vourlitis & Oechel (1997). R06: Harazono et al. (1996). R07: Vourlitis & Oechel (1998). The data displayed in Fig. 8(f) were extracted from the NSIDC database (July data of 1994 and 1995). R08: Fitzjarrald & Moore (1992). R09: Ohmura (1981). R09<sup>a</sup>: data were compiled from Thornthwaite (1957, 1958), Maykut & Church (1973), and Weller & Holmgren (1974). R10: Yoshimoto et al. (1996). R11: Harazono et al. (1995). R12: Ohmura (1984). R13: Scherer (1992), and Scherer et al. (1993). Vegetation description after Thannheiser (1992): Salix polaris-Drepanocladus uncinatus community (`Schneebodenvegetation'). Data from 14 July to 23 August 1990. Slope and intercept of  $Q^* = a + bK\downarrow$  regression estimated from their Fig. 3;  $Q_{\text{H,max}}$  and  $Q_{\text{E,max}}$  estimated from their Fig. 8; albedo estimated from their Fig. 2. R14: Harding & Lloyd (1998). Values determined from their Fig. 2(a) (temperature) and Fig. 7(a) for 1±31 July 1995. R15: Rott & Obleitner (1992).  $Q_{E, max}$  and  $Q_{H, max}$  estimated from figures in the paper. Observation period: 19 May to 13 June 1988. R16: Boudreau & Rouse (1995). Shallow subarctic lake, about 1 m deep and 1 km in diameter. R17: Dr P. Blanken, pers. comm. to W. R. Rouse. Location: near centre of Great Slave Lake. Depth of water: 60 m. Lake storage is determined calorimetrically from temperature profiles.  $Q_{\rm E}$ employs eddy correlation. R18: Lafleur et al. (1992). R19: Tchebakova et al. (1992), communicated by E. Vaganov. R20: Lafleur & Rouse (1995). R21: Rouse (1998). R22: Jarvis et al. (1997). Canopy height: 11 m, LAI = 4.5. R23: Betts & Ball (1997). R24: Pattey et al. (1997). Same site as Bfc1. R25: Baldocchi & Vogel (1996). Canopy height: 13.5 m; LAI = 1.9-2.2. Data are bin averaged by time for 19-day periods from Julian day 143-162. R26: Baldocchi et al. (1997). R27: Amiro & Wuschke (1987). Jack pine forest site: upland, sparse Pinus banksiana canopy and rock outcrops cover about 75% of its area; aspen/willow forest site: flat, low-lying region vegetated by Salix spp. and Populus tremuloides. R28: D. R. Fitzjarrald and K. E. Moore, unpubl. data, pers. comm. to J. P. McFadden. R29: Harding & Pomeroy (1996). Height:  $16-22$  m. R30: Dennis D. Baldocchi and Christer Johansson, unpubli. data. Tree age: 135 years. Stand density: 350 trees ha<sup>-1</sup>. Understorey: Calluna vulgaris, Vaccinium vitis-idaea and Cladonia rangifera. LAI=3.3. R31: Kelliher et al. (1998). Tree age: 215 years. Average tree height: 16 m. Tree density: 290 ha<sup>-1</sup>, tree LAI: 1.5, lichen surface area index: 6.0. R32: Grelle (1997). R33: Black et al. (1996). R34: Bonan & Davis (1997). Canopy height: 21 m; LAI = 5.1 (1.8 aspen plus 3.3 hazel understorey). Averaged by hour for days 209-220 1994. R35: Blanken & Rouse (1995). R36: Blanken et al. (1997). LAI = 5.6. Storage term (not included in Table 4): 0.08  $Q^*$  (preleaf) and 0.11 (full-leaf), respectively. R37: Kelliher et al. (1997), Hollinger et al. (1998). Canopy height: 12 m. LAI = 1.5. Tree density: 1750 ha<sup>-1</sup>. Understorey: Vaccinium, Arctostaphylos. Soil: inceptisol, pergelic cryochrept. R38: Valentini et al. (1999a). Values extracted from their table. R39: Valentini et al. (1999b). 12-yr-old regenerating forest: LAI = 0.2; canopy height: 2.5 m; tree density: 1700 ha<sup>-1</sup>. R40: Fitzjarrald & Moore (1994). Tree density: 616 ha<sup>-1</sup>. Tree composition: 79% black spruce, 6% white spruce, 13% tamarack, 2% mountain alder. Average height of spruce: 6.5 m. Understorey: lichen (Cladonia sp.) with scattered Labrador tea (Ledum groenlandicum). Adjusted values are reported in this table. R41: den Hartog et al. (1994). 9-23% water cover; 19-28% lichen and Sphagnum mosses. R42: Moore et al. (1994). On discontinuous permafrost. R43: Rebmann et al. (Submitted). Canopy height: 1m; LA1 = 0.2.



Fig. 1 Monthly mean global radiation for the northern hemisphere north of 50°N during June. Units in W  $m^{-2}$  (redrawn from Ohmura & Gilgen 1993b; copyright by the American Geophysical Union).

solar noon and increases with decreasing solar elevation angle. In GCMs the diurnal course of  $\alpha$  is typically described empirically (e.g. a quadratic polynomial fit, Fennessy & Xue 1997), or by a simple linear regression between  $\alpha$  and K $\downarrow$  (Betts & Ball 1997).

Effects of clouds on albedo. Under clear-sky conditions, the timing of minimum albedo reflects both sun angle and the diurnal course of soil moisture (Ohmura 1981). As the soil dries during the day, the albedo increases under clear sky conditions. This effect is less pronounced in vegetated tundra or boreal forest, where changes in the wetness of plant leaves and leaf angle relative to the sun influence the diurnal course of albedo, but soil moisture has negligible influence.

Clouds reduce minimum albedo by roughly 0.02 in both vegetated and unvegetated tundra, due to the better penetration of diffuse light into the plant canopy and soil (Fig. 2). The minimum albedo, either measured or estimated over some northern ecosystems during overcast conditions, is presented in Table 4 for modelling purposes. Because few studies specify the difference between clear-sky albedo and albedo during overcast conditions, it is suggested that the tabulated minimum albedo (Table 4) be increased by 0.02 for modelling clearsky conditions, if no better information is available.



Fig. 2 Diurnal course of short-wave albedo over bare tundra on Axel Heiberg Island during 14 selected days with (a) clear sky or trace clouds (open symbols) and (b) overcast conditions (filled symbols). Minimum albedo is at  $06.00$  hours true solar time during clear sky conditions ( $\alpha$  = 0.096) and at 11.00 hours with overcast sky ( $\alpha$  = 0.076). Data from Ohmura (1981).

Seasonal changes in albedo. Seasonal albedo changes are more pronounced in Arctic tundra than boreal forest. This is due primarily to the comparatively large stature of the boreal forest canopy, which protrudes through the snow cover, reducing the effect of the presence or absence of snow (Betts & Ball 1997; Baldocchi et al. 2000). The degree to which snow cover affects the albedo of boreal ecosystems is a function of both the interception of snow (which differs between deciduous and evergreen species), and winter wind speeds. During the growing season, coniferous forest albedos are consistently low. Deciduous forests, however, have a relatively low albedo during early spring between snow melt and leaf-out, and again in autumn when the trees are bare but the surface is not yet snow-covered. In midsummer, deciduous forests have a higher albedo than the darker coniferous forests. Albedo tends to increase over the growing season (Ohmura 1981; 1982b; Blanken & Rouse 1994; Moore et al. 1994; Harding & Lloyd 1998), especially in sparsely vegetated ecosystems. The reason for this is that the albedo of bare soils, lichen and Sphagnum understoreys increases significantly when they dry out.

Largely due to predicted changes in albedo, it is estimated that the Earth's climate would be 2.6 °C warmer without snow and ice cover (Oerlemans & Bintanja 1995). The duration and location of snow cover and sea ice change K\* at the surface, and are the dominant factors that govern the seasonal course of the radiation-budget (see (1)). In the northern hemisphere, the mean monthly land area covered by snow ranges from 7% to 40% during the annual cycle, making snow cover the most rapidly varying large-scale surface feature on Earth (Hall 1988).

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Albedo differences among ecosystems. In addition to differences in winter albedo between tundra and boreal forest reported in the literature (e.g. Bonan et al. 1992; Foley et al. 1994), albedo differences between ecosystems in summer may be large enough to influence the surface energy balance, and ultimately climate. This would feed back to climate on the local, and possibly global, scale. The strongest summertime albedo differences exist between boreal forest with dark conifers (albedo around 0.09) and vegetated tundra (typically in the range  $0.14-$ 0.18, extremes within 0.10 and 0.22) (Table 4). Although this difference seems small, the net climate-forcing due to differences in absorbed global radiation between forest tundra and shrub tundra of northern Alaska are in the order of  $5.5 W m^{-2}$  (Chapin *et al.* 1999), which is comparable to the effect of a doubling of global atmospheric  $CO<sub>2</sub>$ concentration  $(4.4 \text{ W m}^{-2})$ . Wuebbles 1995). Fires and extensive logging activity have an even stronger impact on regional albedo differences as they dramatically decrease surface albedo.

## 3.3 Net radiation Q\*

The net radiation at a surface, resulting from contributions by both the net short- and long-wave components (eqn 1) can be derived for large areas from satellite imagery with an accuracy between 8 and  $41 \text{ W m}^{-2}$  (Key et al. 1997b). The errors in estimating net radiation by remote sensing are primarily due to errors in the retrieval of surface temperature (which are accurate to within 0.3-2.1 K; Key et al. 1997a) and surface albedo. Net radiation estimates of similar or better accuracy can be obtained in small-scale ecosystem studies using a simple empirical model (Young & Woo 1997). Alternatively, since  $K\downarrow$  is more frequently measured than  $Q^*$ , it is useful to develop regression relationships between net and global radiation where the data are available,  $Q^* = a + b K \downarrow$  (e.g. Davies 1967; Granger & Gray 1990; Saunders & Bailey 1994). The intercept  $a$  is a function of  $L^*$ . It differs strongly among sites (Table 4) due to regional differences in surface  $temperatures$  and cloudiness. Values range from  $-16$  to  $-68$  W m<sup>-2</sup> in low Arctic tundra, and are generally less negative in the boreal forest, ranging from  $-3$  to  $-29$  W m<sup>-2</sup>. The slope *b* is primarily a function of surface type: high values of 0.8-0.9 were found over dark surfaces like Toolik Lake or Jack pine forest, with typical values for tundra and nonconiferous boreal ecosystems between 0.6 and 0.7. Forest tundra falls between these two classes, and the slope for dry heath in Arctic tundra was lowest (Table 4).

The effect of clouds on  $Q^*$ , the cloud forcing, can be positive or negative, and arises through their contribution to L\*. A positive cloud forcing exists when the increase in greenhouse 'trapping' of long-wave radiation exceeds the reduction in short-wave radiation. High clouds with ice crystals have a net warming effect, while low clouds typically lead to a cooling. Satellite image analyses of the ERBE (Earth radiation budget experiment, e.g. Harrison et al. 1990) indicate that the boreal and Arctic regions north of 50° latitude show a negative radiative cloud forcing similar to the tropical region, i.e. clouds cool the surface, in contrast to the mid-latitudes, where clouds, on average, warm the surface.  $L^*$  is only slightly affected by clouds at high latitudes in contrast to the tropical zone. However, a substantial problem is that northern latitudes exhibit the largest errors in satellitederived radiation fluxes, so that the precise role of cloud feedbacks in polar regions is uncertain (Curry et al. 1996).

## 4 Influences on energy partitioning and surface energy fluxes

An important question is, to what extent observed differences in energy partitioning and surface energy fluxes are due to differences in measurement conditions (e.g. specific weather conditions, time of season) rather than ecosystem properties. Differences in energy partitioning among ecosystem types in Table 4 are assumed to reflect both ecosystem properties and climate, whereas the spread of data within an ecosystem type is more likely due to interannual or intraseasonal variation in weather (cloudiness; advection of cold or warm air; see Rouse 2000) and correlated changes in soil moisture and temperature.

### 4.1 Influences of weather conditions

Differences between clear-sky conditions and cloudy or rainy weather permit an evaluation of ecosystem response to weather conditions. For example, water vapour pressure deficit is an important driving force for potential evapotranspiration (Penman 1948), and, during clear-sky conditions, it is always much higher than under cloudy conditions with low  $Q^*$ . However the sensitivity of fluxes to weather differs among ecosystem types. This is very evident when we compare alder steppe (Table 4, Ls5) with adjacent tussock tundra (Table 4, Lu10) in Alaska under sunny and cloudy conditions (Fig. 3). Measurements at these two sites were made simultaneously with similar instrumentation (Eugster et al. 1997) and similar topographic conditions, but the alder steppe had a greater abundance of shrubs.

Under cloudy conditions absolute values of  $Q_H$  and  $Q_E$ (Fig. 3) were similar between the two sites during the first half of the day, and differed only slightly during the second half. Under sunny conditions the absolute difference between sites was much greater. Alder steppe had significantly higher  $Q_H$  than tussock tundra throughout



Fig. 3 Energy-partitioning differences between cloudy conditions (16 July 1996, left panels) and sunny conditions (17 July 1996, right panels) at an alder steppe site (Table 4, Ls5) and a tussock tundra site (Table 4, Lu10). Both sites are located within 750 m distance on a gentle slope in the foothills of the North Slope, Alaska. (a-f) Net radiation  $Q^*$ , sensible  $(Q_H)$  and latent  $(Q_E)$  heat flux densities; (g-h) Flux ratios of  $Q^*$ ,  $Q_H$  and  $Q_E$ between the two sites for data pairs when absolute fluxes  $> 10 W m^{-2}$  at both sites. Local noon is at 14.00 hours.

the day (Fig. 3d), while  $Q_E$  remained similar between sites until two hours before local noon (Fig. 3f). After that, alder steppe showed a reduction in  $Q_E$  to 50% of the value of tussock tundra. Despite this great difference in flux densities, the ratio of fluxes between the two sites was almost independent of cloudiness (Fig. 3g-h).

## 4.2 Cold and warm air advection effects in coastal zones

The movement of a maritime air mass onto the adjacent land surface imports a mesoscale advective influence onto the terrestrial area. Whereas the Earth's surface is still responding primarily to local radiative effects and antecedent heating conditions, the advected air mass has its own characteristics. In northern coastal zones, these characteristics usually entail cold and moist air. This imposes steep temperature gradients between the terrestrial surface and overlying air and weak vapour pressure gradients. The result is an enhanced  $Q_H$  and diminished Q<sup>E</sup> (Kozo 1982; Rouse 1984; 1991b; Weick & Rouse 1991a; Harazono et al. 1996; Rouse 2000).

Because ambient temperature has a strong influence on plant physiology, there is an important potential for feedbacks, especially during cold events where the vegetation temporarily becomes less active. This may reduce transpiration from plants and increase  $Q_H/Q^*$ . During warm events, the same vegetation type may show water stress that also results in stomatal closure, a reduction in transpiration and an increase in  $Q_H/Q^*$ . Thus at both temperature extremes we expect an increase in the fraction of available energy that is dissipated into  $Q_H$  rather than  $Q_E$ .

## 4.3 Seasonal trends and interannual variability

At present, there are insufficient data to identify any consistent regional differences in the seasonal trends of



Fig. 4 Seasonal course of the ratio of the latent heat flux to net radiation  $(O_{\rm E}/O^*)$ . filled circles,  $n = 113$ ) over an old Jack pine stand (data from Baldocchi et al. 1997). The full line is the quadratic fit  $0.19 + (0.003 \times \text{DOY}) - (1.03 \times 10^{-5} \times \text{DOY}^2);$ the broken line indicates the linear trend  $0.60 - (0.0011 \times \text{DOY}).$ 

the energy balance and energy partitioning in the Arctic and boreal zones. There was a clear drying out of the tundra in interior Canada (Blanken & Rouse 1994, 1995), and a less pronounced drying of boreal jack pine forest (Baldocchi et al. 1997; Fig. 4), shown by a decrease in  $Q_{\rm E}/Q^*$  over the summer. In contrast, energy partitioning was rather constant on Svalbard (Harding & Lloyd 1998) during the snow-free period, and Ohmura (1984) reported a seasonal increase in  $Q_{\rm E}/Q^*$ . Decreasing QE/Q\* (Blanken & Rouse 1994; Baldocchi et al. 1997; Fig. 4) are indicative of a strong control of surface and soil moisture over evapotranspiration, while Ohmura's (1984) results are best explained by atmospheric processes: warm air advection is more frequent in late season because surface temperatures are warmest in the second half of the growing season, which leads to warmer air temperatures than would be expected from concurrent net radiative input. This may explain why  $Q_H$ decreases over the season while  $Q_E$  increases in Ohmura's (1984) data.

The seasonal decrease of soil moisture appears to be an important factor in all cases considered here, leading to a seasonal decrease in  $Q_E/Q^*$ . This can be overridden by the opposite effect of warm air advection in sites close to the coast and depending on frequency of occurrence of such events.

## 4.4 Vegetation controls over transpiration

Energy partitioning at high latitudes is particularly sensitive to the proportion of the net energy that reaches the surface and is available for  $Q_G$ . The fraction  $Q_G/Q^*$  is heavily controlled by leaf area index (LAI) and stem area, which both influence the shading of the surface, and by surface albedo. Of the remaining energy, the second control is surface conductance  $G<sub>s</sub>$ , or canopy resistance  $R_c = 1/G_s$ . The values for  $G_{s,max}$  given in Table 4 were obtained by solving the Penman-Monteith equation (e.g. Jarvis & McNaughton 1986; Monteith & Unsworth 1990) for  $G_s$  during the approximately 6h around mid-day

when plant stomata are open. Priestley & Taylor (1972) used an empirical scaling factor to relate  $Q_E$  to equilibrium evaporation ( $Q_{\rm E}$ ; see Baldocchi et al. 2000). Stewart & Rouse (1977) found that the theoretical value  $Q_{\rm E}/Q_{\rm E,eq}$  = 1.26 is generally applicable to saturated surfaces in high latitudes. The data compiled in Table 4, however, show that  $Q_{\rm E}/Q_{\rm E,eq}$  is clearly below this value in most Arctic and boreal ecosystems and that  $Q_{\rm E}/Q_{\rm E,eq}$ decreases dramatically with increasing canopy resistance  $R_c$  (Fig. 5). The logistic fit (Fig. 5) to the data set yields a maximum value of  $Q_{E}/Q_{E,eq}$  of 1.22 at low  $R_c$ , and a lower limit of 0.40 at high  $R_c$ . This confirms the high value supported by Stewart & Rouse (1977), but also reveals that Arctic and boreal ecosystems are generally much 'drier' than saturated surfaces without stomatal control of evaporation  $(Q_E/Q_{E,eq} = 1.26)$ . Deciduous forest and bog show latent heat fluxes that correspond to equilibrium evaporation  $(Q_E/Q_{E,eq} = 1)$ , while coniferous forests reduce transpiration by 50-75% of potential losses under calm conditions ( $Q_{\rm E}/Q_{\rm E,eq}$  = 0.50–0.25 in Fig. 5). These energy savings are compensated by increased  $Q_H$ , which directly feeds back to air temperature and therefore also to the height of the atmospheric boundary layer (Baldocchi et al. 2000). Even the best numerical weather prediction models consistently overpredicted the transpiration rates over the boreal region before the BOREAS experiment (Sellers et al. 1997; Baldocchi et al. 2000) and thus led to an underestimation of local air temperatures, the depth of the atmospheric boundary layer, and turbulent convective mixing over boreal forest. A similar problem exists in tundra (Lynch, pers. comm.).

## 4.5 Energy partitioning of high-Arctic ecosystems

Energy fluxes of high-Arctic ecosystems cover a wide range (Fig. 6), from the wet and cool deep lakes with very small  $Q_H/Q^*$  to the dry low Arctic heath and the light taiga (pine and larch forests) where  $Q_H/Q^*$  is dominating the energy balance with values around 0.5. Figure 6 shows



Fig. 5 Ratio between measured evapotranspiration  $(Q_E)$  and equilibrium evapotranspiration  $(Q_{E,eq})$  as a function of minimum canopy resistance for selected Arctic and boreal ecosystems.

the statistical range of the relative energy fluxes for each vegetation class (Table 4), while Figs 7 and 8 display averaged diurnal cycles for selected representative sites in the boreal and tundra zones, respectively. The energy partitioning does not obey simple scaling laws (Fig. 6), although surface and soil moisture appears to be the most significant axis for describing the surface energy balance, followed by leaf area index (LAI, Fig. 6).  $Q_H/Q^*$  is strongly anticorrelated with the moisture gradient, while  $Q_G/Q^*$  is anticorrelated with LAI which shades the ground and thus reduces  $Q_G/Q^*$ .  $Q_E/Q^*$  reveals a complex pattern determined by both the moisture gradient, the LAI, and plant physiological controls over  $R_{c,max}$  (Fig. 5). Thus, the highest values of  $Q_{E}/Q^{*}$  of highlatitude ecosystems are found in deciduous vegetation with low  $R_{c,max}$  on sufficiently moist ground, while both wetter and drier conditions have less  $Q_{\rm E}/Q^*$ .

Ellenberg (1996) uses moisture and soil pH as the two primary axes for explaining the optimum range of plant species and ecosystem types, an approach we were unable to follow due to the lack of information on soil pH for most sites. But it is known that soil pH is an important controller also in the Arctic (Walker et al. 1998). Additionally, the disturbance regime also plays an important role in managed ecosystems (Ellenberg 1996), which is also true for the boreal forest where the vegetation composition changes more rapidly due to logging activity than due to climatic change (Schulze, pers. comm.) or natural changes in fire frequency.

The ranges of energy partitioning are similar for Arctic and boreal ecosystems (Fig. 6) despite the differences in climate (Tables  $1-3$ ), therefore it is expected that the regional variation in energy partitioning and its feedback to climate are as important in the Arctic tundra as they are in the boreal forest, although this variation results from different ecosystem types in the two climate zones.

For example, the energy partitioning of coastal wetlands in the Arctic is more similar to dark taiga (spruce and fir forest) than other wetlands, which do not differ between the two climate zones (Fig. 6). The available moisture of coastal wetlands is restricted by the shallow active layer over the permafrost, and  $Q_{E}/Q^{*}$  is further restricted by cold surface temperatures, while other Arctic and boreal wetlands behave more like freely evaporating surfaces (Fig. 5).

#### 4.6 Comparison with other climate zones

The Bowen ratio,

$$
\beta = Q_H / Q_E
$$

is widely used for comparing the surface energy balance of climate zones and vegetation types with differing Q\*. The widest range of  $\beta$  was found for the light taiga and is comparable to the range found for grasslands in the FIFE study, which partially overlaps with the values found for semiarid areas (Table 5). The lowest  $\beta$  were found in deciduous boreal forests and noncoastal wetlands, and the values are comparable to the range of agricultural crops. Tropical oceans, tropical wet jungles with  $\beta < 0.2$ , and arid areas with  $\beta$  > 3.8 are the only ecosystem types that do not have a counterpart in the high-latitudes with similar energy partitioning characteristics (Table 5).

Again, as a special case, the energy partitioning of high and low Arctic coastal tundra differs considerably from real 'wetlands' (Table 5); it is comparable to a waterlimited semiarid ecosystem, despite the high water table and the large fraction of open water that is present.

In temperate forest ecosystems the contribution of water loss from the forest floor is largely neglected because it contributes less than 10% to total  $Q_{\rm E}$  in a temperate coniferous forest (Ellenberg 1996) and less than



Fig. 6 Energy partitioning of northern ecosystems. Values are grouped according to the classification used in Table 4 and are sorted along the gradients of surface or soil moisture and leaf area index (LAI). The boxes of  $Q_{\rm E}/Q^*$ ,  $Q_{\rm H}/Q^*$  and  $Q_{\rm G}/Q^*$ show the interquartile range with the median value in the middle. Whiskers extend to the upper and lower adjacient values, and the outside values are plotted individually as ellipses.

5% of peak canopy evaporation fluxes in a temperate deciduous forest (Baldocchi & Vogel 1996). At high latitudes, however, the forest floor contribution is important (e.g. Lafleur 1992; Kelliher et al. 1997) and may be the dominant source of moisture flux (Baldocchi et al. 2000). Because wet moss surfaces can evaporate more water than open water surfaces (Firbas 1931), the dense moss or lichen covers typical of many boreal and Arctic ecosystems are an important controller of ecosystem water losses to the atmosphere (Rouse 2000; Arneth et al. 1996).

In summary, most ecosystems that occur across a broad climatic gradient (e.g. wet and shrub ecosystems) do not differ strikingly across this climatic gradient, suggesting that vegetation type exerts at least as strong an effect on energy partitioning as does climate.

## 5 Feedbacks to climate

Regional and local climate are strongly influenced by the energy partitioning at the surface, and local microclimatic conditions often differ considerably from the largescale zonal climate. There is a complex system of interactions between local-scale energy exchange processes and larger-scale climate variables (Fig. 9). In this section the most important feedbacks between the surface energy balance and relevant components of the climate system are identified. Following this discussion, two examples of how landscape patchiness feeds back to microclimate are presented. The role of vegetation shifts and their potential feedbacks to climate are discussed at the end of this section, together with considerations about how the regional climate of high-latitudes may feed back to the global climate.

#### 5.1 Interactions between albedo and soil moisture

Although it is well known that the presence of snow or ice has a great potential to feed back to local, regional and global climate (e.g. Gallimore & Kutzbach 1996) due to increased surface albedo (Curry et al. 1996), the effect of albedo is also significant during the snow-free season. Following snow-melt, increasing  $Q_{\rm E}$  decreases the soil moisture content, which increases the surface albedo (Section 3.2; Fig. 9). Consequently, there is a decrease in  $Q^*$  at the surface as a result of reduced  $K^*$ . In regions of exposed unvegetated soil or sparsely vegetated areas, water vapour losses via  $Q_E$  decrease under such conditions. The remaining net radiation is then partitioned into  $Q_H$  rather than  $Q_G$ , due to the poor thermal and hydraulic conductivity of the parched surface. This results in a feedback loop that preserves soil moisture at depth when the surface dries out. Conversely, in the case of substantially vegetated deciduous regions, the leaf-out process increases albedo, since the leaves are more reflective than the moist soil surface which they obscure. Although the impact on net radiation due to the increased albedo is similar to that of a drying unvegetated surface, the plant roots penetrate beyond the immediate dry surface, providing a link to subsurface moisture. Consequently, the rate at which moisture reserves are depleted, i.e. the relative partitioning of  $Q^*$ into  $Q_E$  is controlled more strongly by canopy conductance than by changes in albedo.

In summary, although there are discernable albedo related feedbacks to soil moisture availability in



Fig. 7 Diurnal cycles of surface energy fluxes for selected boreal forest ecosystems: (a) deciduous forest; (b-f) evergreen coniferous forest; (g) deciduous coniferous forest; and (h) forest tundra. Site identifications correspond with Table 4.

unvegetated and vegetated ecosystems, neither ecosystem type is likely to suffer immediate desiccation.

## 5.2 Feedbacks to temperature and moisture

The role of permafrost. A change in the relative partitioning of  $Q^*$  into  $Q_H$  is the most direct pathway to change the temperature of the atmospheric boundary layer.  $Q_H$  is driven by the temperature gradient between the surface and the overlying air. Consequently, any process that increases this gradient will also warm the atmosphere. For example,  $Q_G$  increases the surface temperature, depending on the physical properties of the soil. However, if there is permafrost, a considerable amount

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of  $Q_G$  is used for melting permafrost during summer, which increases the depth of the active layer. This energy flux absorbed by the melt of ground ice is therefore not available for increasing surface temperatures. Hence a negative feedback from seasonal melt of permafrost to surface and soil temperature (Fig. 9), which also reduces the temperature gradient that drives  $Q_H$ .

This implies that the energy partitioning at the surface is buffered against changes in climatic forcing by changes in melting of permafrost. Therefore, the Arctic and Subarctic may not experience an immediate change in air temperature during the transition phase where permafrost disappears. However, by the time when permafrost has disappeared or is significantly deeper,



Fig. 8 Same as in Fig. 7 but for selected tundra ecosystems: (a-b) coastal tundra;  $(c-e)$  shrub tundra;  $(f)$  tussock tundra;  $(g)$ tundra heath; and (h) Arctic lake.

this important controlling mechanism would no longer be active. It is even possible that such a transition is nonreversible.

The deep planetary boundary layers over boreal forest. In the southern part of the boreal zone, there is no permafrost to buffer the influence that changes in surface energy partitioning impose on air temperatures. Therefore, as a result of reduced  $Q_E$  over coniferous forests in this region (Fig. 5), limited nutrient supply, leaf area and soil moisture (Baldocchi et al. 2000) the greatest proportion of  $Q^*$  is converted to  $Q_H$ . This increases air temperature (Fig. 9) and drives thermal convection that supports a

deep planetary boundary layer. This deep convection exists over the whole boreal forest zone and might be important in controlling the summer position of the polar front (Pielke & Vidale 1995).

The role of clouds and water vapour. In substantially vegetated regions it is most likely that a change in the partitioning of available radiation into  $Q_H$  will be counteracted by a larger relative influence on  $Q_{\rm E}$  than Q<sup>G</sup> (Fig. 9). Therefore, it is possible that an ecosystem change that results in a reduction of  $Q_H$  would also cause an increase in atmospheric moisture content. Assuming that there is still sufficient mixing within the atmospheric boundary layer to bring the moister air to its lifting





Fig. 9 Feedbacks between energy fluxes and relevant components of the climate system at high latitudes during the snowfree season. Positive feedbacks are indicated with full lines, negative feedbacks with broken lines.

condensation level, increased cloudiness would result. However, it is not known whether increased cloudiness also means higher or lower  $Q^*$  in the Arctic (Curry et al. 1996).

## 5.3 Feedbacks to microclimate in patchy terrain

Because of the complexity of the interactions between various components of the climate system (e.g. Fig. 9), and the additional complexity of landscape, it is necessary to use numerical models to integrate the nonlinear behaviour of this system and assess the Table 5 Ranges of Bowen ratios of Arctic and boreal ecosystem types in comparison with ranges typical of other climate zones

importance of any of the feedback mechanisms that might exist. Two examples of results from numerical model simulations of the Arctic and the boreal zone show how local surface conditions can influence regional climate.

The patchiness of the land cover in tundra regions is due to topographically controlled variation in soil moisture availability and vegetation stature (Fig. 6) on spatial scales of < 100 m (Fig. 10a). As described in Section 2, such treeless tundra regions experience significant redistribution of snow by the wind, leading to spatially heterogeneous snow covers of varying depth and density. During snow melt, the variation in snow depth leads to a patchy mosaic of dark surfaces and bright snow patches that diminish as the snow melts (Fig. 10b-e). At the beginning of snow melt (Fig. 10b), snow accumulations are found in the valleys, and the thinnest snow layers exist along the wind-exposed western slopes. Snow melts first where it is most thinly distributed, on the vegetation-free surfaces (Fig. 10c), while deeper snow packs persist where shrubland or wet tundra vegetation had traped the snow (Liston 1995). The landscape pattern is determined by the combination of the instantaneous surface energy balance and the seasonal history of snow accumulation and redistribution during this period.

Variations in  $Q_H$  as a result of spatial variability in land surface type can produce mesoscale circulations if the surface heterogeneity occurs at horizontal scales between twice the convective boundary layer (CBL) height and twice the local Rossby radius (see Vidale et al. 1997). Differences in sensible heat fluxes of over  $250 \text{ W m}^{-2}$  were found on summer afternoons between



Fig. 10 Influence of the patchiness on snow melt: (a) vegetation and topography of Imnavait Creek, Arctic Alaska; (b) end-of-winter snow distribution for the Imnavait Creek Basin in arctic Alaska; (c-e) depletion of snow cover every five days during the snow-melt period (Liston & Sturm 1998). Solid lines are topographic contours (10 m interval). Prevailing wind direction in this region is from the south-west.

lakes and surrounding vegetation in both aircraft data (Sun et al. 1997) and model results (Vidale et al. 1997). The mesoscale flows generated in a patchy landscape are structurally similar to sea and land breezes (Vidale et al. 1997). Figure 11 shows a daytime lake breeze generated around Candle Lake in Canada under  $\approx\!10\,\mathrm{m\,s}^{-1}$  synopticscale winds. Significant mesoscale fluxes of heat, moisture and momentum are associated with these circulations, which can affect the overall atmospheric budgets even above the atmospheric boundary layer. Similarly, Taylor et al. (1998) found evidence of mesoscale flow between snow-covered lakes and surrounding forest in the boreal region of Canada.

The latitudinal extent of the boreal forest is strongly influenced by the fire regime at the southern limit (Hogg 1994). Schulze et al. (1999) and Valentini et al. (1999b) argue that the contrast between high evaporation from peat bogs in the neighbourhood of logging areas with low  $Q_E$  may lead to increased frequency of convective storms. These will increase fire frequency due to lightening, and thus disturb the southern limit of the boreal forest and its contribution to the continental water balance of Siberia.

### 5.4 Feedbacks between vegetation shifts and climate

Although numerical models are needed for assessing the importance of feedback processes in a complex system, it is often not known which level of detail such a model needs to represent. In order to identify the vegetation shifts that strongly change the energy balance of the surface, a set of vegetation change scenarios were generated based on reasonable assumptions of largescale changes in climate forcing (Table 6) that were used to assess the relative potential for feedbacks in the surface energy budget (Fig. 12). For example, vegetation shift scenario 1, the conversion of high Arctic upland to low Arctic upland tundra (Table 6) does not change the



Fig. 11 Mesoscale circulation induced by a boreal lake. Horizontal cross-section of vertical velocity at 1250 m a.s.l. over Candle Lake, Canada (bold outline) for RAMS grid 3 at 19 UTC, 21 July 1994 (contour interval is  $0.06 \text{ m s}^{-1}$ ). Positive values are updrafts, negative values are downdrafts. A strong circulation cell exists, e.g. along the north-western lake shore with an updraft area over the land (dark shading) and an adjacent area (bright shading) with strong downdraft. Tick marks are in decimal degrees.

surface energy budget and is plotted in the centre of Fig. 12 where the unity lines cross. Deviations from this point indicate an amplification or a reduction of the imposed climate forcing by local feedback processes.

The most important changes in surface energy partitioning, and hence in the feedback to larger scales, is expected from a combined decrease in precipitation and in fire frequency (Fig. 12) which has the potential of converting deciduous forest to coniferous forest types (Table 6), and which would more than double  $Q_H$  by reducing  $Q_E$  to roughly 70% of today's value. If there is no decrease in precipitation, then both an increase and a decrease in fire frequency would damp the assumed temperature increase via a cooling feedback and make the atmosphere wetter by reducing  $Q_H$  and increasing  $Q_{\rm E}$ . However, it has to be noted that if fire frequency increases, then an important transition period with a strong albedo feedback and thus warmer and drier conditions, may result before the new vegetation canopy is fully developed (see Section 3.2).

Although increased logging in forested areas is a factor that has a much more direct and measurable impact on the carbon budget of the boreal zone (Schulze et al. 1999) comparable to an increase in fire frequency, the energy

balance feedbacks of logging appear to be very different from that of fires: the removal of the canopy increases the relative values of  $Q_G$  (Fig. 6) and decreases  $Q_E$ , while there is no siginificant effect on  $Q_H$  (Fig. 12). The reason for this is that  $Q_H/Q^*$  is already large in coniferous forests. Thus, the most important feedback to climate from logging can be expected in the atmospheric moisture budget and the hydrological balance, not in a direct feedback to air temperatures.

The spread of the data in Fig. 12 shows that there is little room for speculations of simultaneous reinforcements of both the temperature and the moisture feedback. It is much more likely that the feedback processes of vegetation shifts strongly influence the way how largescale climate forcings are absorbed by these changes, or diverted from the temperatur axis to the moisture axis in Fig. 12, and vice-versa. It is essential to realize that an imposed temperature increase and change in precipitation, as is prediced by GCMs may become invisible due to counteracting changes in the surface energy budget, or they may be strongly amplified depending on the type of ecosystem. The vegetation shifts that revealed to be important controllers of these feedbacks are indicated in Table 6.

## 5.5 Implications for the energy redistribution on the globe

There is no doubt that the energy-balance feedbacks to climate discussed above are relevant on the local and regional scale. However, their significance for the global scale is not yet clearly understood. Already under current conditions there is a large energy flux from the warm equatorial zone toward the poles that drives the climate of the Earth. Overland et al. (1996) analysed 25 years of radiosonde data from the North Polar region north of 55°N and confirmed this generally known energy transport. Furthermore, their analyses showed that during summer large areas of the low Arctic and boreal zone are actually a heat source rather than a sink. For example, heat flows from Alaska in both northerly and easterly directions, and the energy flux from Siberia and Fennoscandia amplifies the general south-to-north flow and leads to stronger heat convergence in the eastern high Arctic north of Siberia than in the western high Arctic.

This redistribution of energy from certain regions in the Arctic and boreal zone to northern areas which is observed under current conditions may be increased under a warming climate whenever  $Q_H$  or  $Q_E$  increases. This is the case for most vegetation shift scenarios except the one with increased logging in forested areas (Fig. 12), which affects the hydrological cycle more significantly than the atmospheric energy transports.

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Table 6 Energy-partitioning feedbacks of selected vegetation shifts for different climate forcing scenarios. Scenario assumptions: T+: increase in growing-season temperature and/or duration;  $P + (P-)$ : increase (decrease) in growing-season precipitation;  $F + (F-)$ : increase (decrease) in fire frequency; L +: increase in logging activity. Feedbacks: + T (-T): amplification (reduction) of the temperature feedback; + M ( $-M$ ): amplification (reduction) of the moisture feedback; NS: not significant

ID	Current ecosystem	Replacement ecosystem	Climate forcing	Energy partitioning feedbacks to climate
1	High Arctic upland	Low Arctic upland	$T_{+}$	<b>NS</b>
2	High Arctic coastal	Low Arctic coastal	$T+$	$+T$ , $-M$ , small
3	Low Arctic upland	Low Arctic shrub	$T+(P+)$	$-T$
4	Low Arctic upland	Low Arctic dry	$T+(P-)$	$+T$ , $-M$ , small
5	Low Arctic dry (heath)	Boreal upland	$T+$	$-T$ , + $M$
6	Low Arctic shrub	Forest tundra	$T+$	$+T$
7	Low Arctic coastal	Low Arctic upland	$T_{+}$ , P-	$-T$ , + $M$
8	Low Arctic coastal	Low Arctic wet	$T_{+}$ , $P_{+}$	$-T$ , +M, important
9	Low Arctic wet	Low Arctic upland	$T+(P-)$	+T, -M, important
10	Low Arctic wet	Boreal wet (bogs & mires)	$T_{+}$ , $P_{+}$	$+T$
11	Boreal upland	Boreal shrub	$P+$	$+M$
12	Boreal shrub	Forest tundra	$T+$	$+T$ , $-M$
13	Forest tundra	Spruce & fir forest	$T+$	$+T$ , $-M$
14	Boreal wet (bog & mires)	Boreal shrub	$T+(P-)$	<b>NS</b>
15	Boreal wet (bog & mires)	Boreal shallow lake	$P_{+}$	$-T$ , +M, important
16	Spruce & fir forest	Deciduous forest	$T+(P+), F-$	$-T$ , +M, important
17	Pine forest	Deciduous forest	$T+(P+), F-$	$-T$ , +M, important
18	Spruce & fir forest	Boreal shrub	$T_{+}$ , $F_{+}$	$-T$ , +M, important
19	Pine forest	Boreal shrub	$T_{+}$ , $F_{+}$	$-T$ , +M, important
20	Deciduous forest	Spruce & fir forest	T+, P-, F-	$+T$ , $-M$ , important
21	Deciduous forest	Pine forest	$T+$ , P-, F-	$+T$ , $-M$ , important
22	Deciduous forest	Boreal wet (bog & mires)	$T+, P+, F-$	$+T$ , $-M$
23	Deciduous forest	Boreal shrub	$T_{+}$ , $F_{+}$	$+T$
24	Larch forest	Boreal shrub	$T_{+}$ , $F_{+}$	$-T$ , +M, important
25	Spruce & fir forest	Regenerating forest	$L+$	$-M$ , important
26	Pine forest	Regenerating forest	$L+$	$-M$ , important
27	Larch forest	Regenerating forest	$L+$	$-M$ , important



## 6 Conclusions

Data on the surface energy balance from a variety of ecosystems representative of Arctic and boreal biomes were compiled from recent field experiments to describe Fig. 12 Sensitivity of sensible  $(Q_H)$  and latent  $(Q_E)$  heat flux to the land-cover change scenarios in Table 6. The relative changes of the median values and the interquartile ranges are given for all cases in Table 6 (grey square and grey whiskers). The isolines encompass the cases with identical scenario assumptions.

the vegetation controls and influences on surface-energy partitioning. Interactions and feedbacks between the surface energy balance of ecosystems and summer climate were then discussed to assess the role that ecosystem properties and shifts in vegetation distribution

may have on amplifying or damping climatic change in the Arctic and boreal regions, and what implications this might have for the global summer climate.

Great variation in relative fluxes of sensible heat, latent heat, and ground heat were observed, even between ecosystems that experience similar climate. The range of energy partitioning as a function of ecosystem type was found to be of similar order of magnitude in the Arctic and boreal zones.

Vegetation shift scenarios for the low Arctic and the boreal zone were found to play an important role for regional climate feedbacks, namely:

• low Arctic coastal tundra that is converted to wet tundra;

• low Arctic wet tundra if converted to upland (mesic) tundra;

<sup>d</sup> evergreen coniferous forest if converted to deciduous forest (and vice-versa);

<sup>d</sup> evergreen coniferous forest if converted to shrubland;

• the vegetation changes that result from logging the boreal forest.

The most important uncertainties for assessment of susceptibility and vulnerability of the boreal and Arctic ecosystems to climate change are (i) the uncertainty in cloud-feedback mechanisms (ii) the unknown magnitudes of changes in energy partitioning and whether vegetation shifts are likely to happen with the same time scale or not (iii) the complete lack of long-term energybalance data from Siberia and the poor representation in the rest of the circumpolar boreal and Arctic zones, and (iv) the problems associated with the great variety of measuring and analyses techniques employed to obtain flux data.

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