

An Empirical Study on Software Development with Open Source Components in the Chinese Software Industry●

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Research Section

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Chinese software companies are increasingly using open source software (OSS) components in software development. Development with OSS components faces challenges with respect to component selection, component integration, licensing compliance, and system maintenance. Although these issues have been investigated in the industry in other countries, few similar studies have been performed in China. It is therefore difficult for Chinese software companies to be aware of their special issues and to make the necessary improvements. This article describes a questionnaire-based survey of software development with OSS components in Chinese software companies. Data from 47 completed development projects in 43 companies were collected. The results show that the main motivation behind using OSS components was their modifiability and low license cost. Using a web search engine was the most common method of locating OSS components. Local acquaintance and compliance requirements were the major decisive factors in choosing a suitable component. To avoid legal exposure, the common strategy was to use components without licensing constraints. The major cost of OSS-based projects was the cost to learn and understand OSS components. Almost 84% of the components needed bug fixing or other changes to the code. However, close participation with the OSS community was rare.

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KEY WORDS: component-based software engineering; open source software component; empirical study

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	1. INTRODUCTION	9
		10
	Developing new software systems using pre-made	11
	components is an attractive way to achieve lower	12
	cost, shorter time-to-market, and better system qual-	13
	ity (Li <i>et al.</i> 2005a). It is increasingly common to	14
	develop with open source software (OSS) com-	15
	ponents (Brown and Booch 2002, Fitzgerald and	16



1 Kenny 2004, Norris 2004, Spinellis and Szyper-
 2 ski 2004). Reusing OSS components (and 'exter-
 3 nal' components in general) creates challenges for
 4 their appropriate selection and proper integration
 5 (Madanmohan and De 2004). If an OSS-based sys-
 6 tem is going to be distributed or sold to the general
 7 market, another challenge is how to comply with
 8 the terms of licensing for the OSS components that
 9 have been used (Brown and Booch 2002, Ruffin and
 10 Ebert 2004).

11 Several theoretical studies (especially around
 12 component selection) (Brown and Booch 2002, Gia-
 13 como 2005) and industrial case studies (Fitzgerald
 14 and Kenny 2004, Norris 2004) have been performed
 15 on OSS-based development. One industrial survey
 16 investigated the state of the practice of OSS-based
 17 development in three European countries (Li *et al.*
 18 2005a). Although China has become a major actor
 19 in employing OSS in industry, especially regard-
 20 ing software platforms such as Linux, little research
 21 has been performed on the challenges of reusing
 22 OSS components efficiently in the Chinese software
 23 industry.

24 We performed an industrial survey to investigate
 25 why Chinese companies decide to use OSS compo-
 26 nents, how they select and integrate them, and how
 27 they maintain the OSS-based system. A structured
 28 questionnaire was used to collect data. Name lists
 29 from a membership-based organization for Chinese
 30 software companies were used to get a represen-
 31 tative subset of companies. Data from 47 finished
 32 projects in 43 companies were collected.

33 The results show that Chinese software compa-
 34 nies are similar to the European companies inves-
 35 tigated (Li *et al.* 2005a) in the following respects:
 36 the motivations for using OSS component, the pro-
 37 cesses used to locate components, and the criteria
 38 adopted to evaluate them. The results also show
 39 the following. Chinese software companies did not
 40 consider possible support from OSS communities to
 41 be an important issue when selecting components.
 42 Few Chinese companies have actively participated
 43 in OSS communities, although they want to. The
 44 cost of learning OSS components is one of the major
 45 costs of OSS-based projects. Using these results as
 46 a basis, we offer four recommendations on how
 47 to facilitate OSS-based development in China and
 48 worldwide.

49 The rest of this article is organized as follows.
 50 Section 2 describes related work. Section 3 presents
 51

the research design. Section 4 describes the partici- 52
 pants. Section 5 illustrates answers for each research 53
 question, followed by detailed discussions. Section 54
 6 contains a general discussion. Section 7 concludes 55
 and presents possible avenues for further work. 56

2. RELATED WORK 58

There have been two main kinds of empirical studies 59
 of OSS: 60

- *Cultural-oriented studies* concentrate on how to 61
 develop new OSS and its components. The 62
 focuses are the OSS participators' motivation 63
 and the evolution of OSS projects (Lakhani and 64
 Wolf 2005). 65
- *Technical-oriented studies*, such as the one 66
 reported herein, concentrate on process issues 67
 in reusing and integrating existing OSS com- 68
 ponents to develop new software (Ruffin and 69
 Ebert 2004, Merilinna and Matinlassi 2006). Rel- 70
 evant work with respect to the technical-oriented 71
 studies is described below. 72

2.1. Motivation of Using OSS Component 73

74 The use of OSS components in system develop- 75
 ment is growing rapidly, because of its well-known 76
 advantages. One study shows that one major moti- 77
 vation for using OSS components is to produce 78
 software at 'zero cost or as cheap as possible' 79
 (Fitzgerald and Kenny 2004). Another study illus- 80
 trates that the main motivation for using either 81
 commercial-off-the-shelf (COTS) or OSS compo- 82
 nents in European countries is to achieve shorter 83
 time-to-market, less development effort, and better 84
 system quality (Li *et al.* 2005a). 85

2.2. OSS Component Selection 86

87 Selecting an appropriate component is a central 88
 factor for the success of OSS-based development. 89
 In recent years, the number of OSS components 90
 has increased dramatically. More than 159,000 OSS 91
 projects had been registered at *sourceforge.net* by 92
 October 2007. Faced with so many alternatives, it is 93
 difficult to select the 'best' one to use (Ncube and 94
 Maiden 2004). 95

96 Typically, the process of selecting components 97
 includes identifying candidate components (search- 98
 ing), evaluating them on the basis of predefined 99
 100



1 criteria, and deciding upon one or several com- 52
2 ponents (Madanmohan and De 2004, Ncube and 53
3 Maiden 2004). Most previous studies on compo- 54
4 nent selection focus on selecting COTS components 55
5 (Ncube and Maiden 2004, Briand 1998). Due to dif- 56
6 ferences between OSS and COTS components, the 57
7 proposed process for selecting COTS components 58
8 may not be suitable for selecting OSS components 59
9 (Giacomo 2005). In practice, 'ad hoc' processes for 60
10 selecting OSS components are performed, under 61
11 considerable risk and uncertainty (Li *et al.* 2005a). 62

12

13 **2.3. OSS Component Integration and OSS** 64 14 **Licensing Issues** 65

15
16 After the OSS components have been selected, 66
17 the next step is to integrate them into the target 67
18 system. To ensure the success of integration, the 68
19 integrators need to consider both technical issues 69
20 and the terms of licensing of the selected OSS 70
21 components. There are more than 50 different 71
22 OSS licenses (Open Source Initiative 2005). Some 72
23 licenses have strict constraints on the distribution 73
24 or resale of the system that is derived from 74
25 OSS components. For example, the GNU Public 75
26 License (GPL) type licenses do not give the licensee 76
27 unlimited redistribution rights. (Madanmohan and 77
28 De 2004, Ruffin and Ebert 2004). Although major 78
29 legal aspects of using OSS components and related 79
30 strategies for mitigating risks have been discussed 80
31 (Ruffin and Ebert 2004), few follow-up studies have 81
32 been performed to examine how the licensing issues 82
33 are managed in practice. 83

34

35 **2.4. Maintenance of the OSS-Based System** 84

36
37 After the OSS components have been integrated into 87
38 a software system, it is important to maintain and 88
39 update those components properly for long-term 89
40 use. Given that support from OSS communities is 90
41 provided mainly by loosely organized volunteers, 91
42 it is difficult to control the quality of support. 92
43 To receive high-quality and long-term support, 93
44 one proposed strategy is to establish a long- 94
45 term working relationship with the corresponding 95
46 OSS communities (Norris 2004). According to this 96
47 proposal, the users of OSS components not only 97
48 download software from the OSS community but 98
49 also upload the modified software to it (Norris 99
50 2004, Merilinna and Matinlassi 2006). Such a 100
51 relationship between users and the OSS community 101

is supposed to benefit both OSS communities 52
and users (Brown and Booch 2002). However, the 53
software industry has not supported this proposed 54
practice. 55

To maintain OSS-based systems more effectively, 56
another proposal is to build an internal OSS reuse 57
repository, which includes the source code, docu- 58
mentation, and previous users' feedback about OSS 59
components (Morad and Kuflik 2005). However, 60
some researchers regard having such an internal 61
comprehensive repository of OSS components as 62
unrealistic (Dagdeviren *et al.* 2005). 63

64

65 **2.5. Cost Distribution of the OSS-Based Project** 66

67
68 One important issue for the success of OSS-based 67
development is the lifecycle cost (Abts *et al.* 2000). 68
Although OSS components can be acquired free 69
or at very low cost, there are indirect costs, such 70
as costs related to component selection, learning, 71
adaptation, and maintenance. For most IT projects, 72
indirect costs can increase the total cost of a project 73
dramatically (Wang and Wang 2001, Giacomo 2005). 74
The imprecise estimation of the effort required 75
for selecting and integrating OSS components is 76
a problem that occurs frequently in OSS-based 77
development (Li *et al.* 2005b). A cost-estimation 78
model can help avoid the imprecise cost estimation. 79
However, there are still no well-formulated cost 80
models for OSS-based development (Madanmohan 81
and De 2004). 82

83

84

85 **3. RESEARCH DESIGN** 85

86
87 China is a major user of OSS components in 87
information systems. The Chinese government 88
has played an important role in promoting the 89
Chinese OSS movement. For example, the Japan- 90
China-Korea (JCK) open alliance was announced 91
in November 2003 to promote OSS by mutual 92
cooperation (Kshetri 2005). Owing to the Chinese 93
government's encouragement regarding the use of 94
Linux and OSS, more and more Chinese software 95
companies are starting to use OSS components 96
when developing software. No other country comes 97
even close to the level of advancement that China 98
has achieved in deploying OSS, particularly Linux 99
(Kshetri 2005). The current scale of OSS-based 100
development is large enough to be noticed at 101
the global level. However, there are few empirical 102



1 studies on OSS-based development in the Chinese
2 software industry. *The aim of this study is therefore to*
3 *establish empirically based guidelines to ease OSS-based*
4 *software development.*

5 The study focused on development based on OSS
6 components. A software component is defined as in
7 Li *et al.* (2004): Software components are executable
8 units of independent production, acquisition, and
9 deployment that can be used to build a functioning
10 system. An OSS component is defined as a soft-
11 ware component that is: (a) provided by the OSS
12 community; (b) subject to licensing constraints; and
13 (c) not a software platform (e.g. Linux, Mysql, or
14 similar).

15

16

17

3.1. Research Questions

18 We formulated five research questions and corre-
19 sponding subquestions with respect to the issues
20 presented in Section 2. First, we want to know why
21 Chinese developers decided to use OSS compo-
22 nents. The research question RQ1 was formulated
23 as following:

24 RQ1: Why do Chinese developers decide to use OSS
25 components in software development?

26 We were also interested in how Chinese developers
27 selected OSS components, how they dealt with OSS
28 licensing terms when integrating OSS components,
29 and how they maintained and updated the OSS-
30 based system. Thus, our research questions RQ2 to
31 RQ4 were formulated as following:

32 RQ2: How do Chinese developers select OSS
33 components?

34 • RQ2.1. What methods are used to locate candi-
35 date OSS components?

36 • RQ2.2. What evaluation criteria are used to
37 evaluate and compare OSS candidates?

38 RQ3: How do Chinese developers deal with OSS
39 licensing terms?

40 • RQ3.1. How well do developers understand OSS
41 licenses?

42 • RQ3.2. Do developers read related OSS licensing
43 terms?

44 • RQ3.3. Do developers encounter problems
45 caused by OSS licenses?

46 • RQ3.4. What strategies are used to avoid possible
47 problems with OSS licensing?

48 RQ4: How do Chinese developers maintain and
49 update OSS-based system?

50

51

• RQ4.1. Does engagement with the OSS com- 52
munity facilitate the maintenance of OSS-based 53
systems? 54

• RQ4.2. Are any internal knowledge repositories 55
being used to facilitate the maintenance of OSS- 56
based systems? 57

58

In addition, we were interested in the cost dis- 59
tribution among possible activities of OSS-based 60
projects. The research question RQ5 was therefore 61
formulated as following: 62

RQ5: What is the cost distribution of OSS-based 63
projects? 64

65

66

67

3.2. Sample Selection and Data Collection

68 To collect data that could be used to answer the
69 research questions, we used a survey. First, we
70 consulted the literature and used it as a basis for
71 designing a preliminary questionnaire with both
72 open-ended and closed questions. Second, we per-
73 formed a prestudy to validate the quality of the
74 questions in the preliminary questionnaire and to
75 get answers to the open-ended questions. After
76 assessing the results of the prestudy, we reform-
77 ulated most of the open-ended questions in the
78 preliminary questionnaires as closed questions. In
79 addition, we revised any questions in the prelimi-
80 nary questionnaire that we found to be problematic.
81 Then, we used the revised questionnaire to collect
82 data in a main study. Details of the procedures for
83 selecting samples and collecting data are described
84 in Chen *et al.* (2007). 85

86

87

4. BACKGROUND INFORMATION OF THE

•PARTICIPATING COMPANIES AND

PROJECTS

89

90

91

92 *Participating companies.* The companies include

93 large, medium, and small ones (see Figure 1 in

94 Chen *et al.* (2007) for details). The main applications

95 or services offered by these companies are shown

96 in Figure 1. 97

98 *Projects.* It was found that 53% of the projects used

99 one or two different OSS components and 6% used

100 more than five components. And 47% of the projects

101 used Java, 32% used C/C++, and the remaining

102 projects used other programming languages.

AQ2

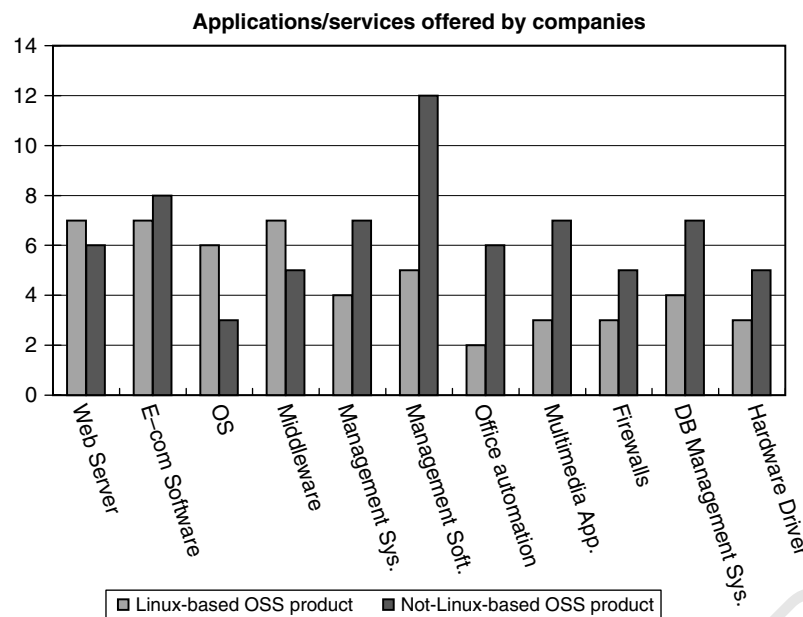


Figure 1. The main applications or services offered by the participating companies

1 *Human respondents.* Most respondents had a relevant
 2 education background. Twenty-two of the respon-
 3 dents had a bachelor's degree, 22 a master's degree,
 4 and the remaining three a Ph.D. degree. Thirty-five
 5 respondents studied computer science and 12 stud-
 6 ied other subjects. The detailed information of the
 7 respondents' working experience is presented in
 8 Chen *et al.* (2007).
 9

10 **5. RESULTS AND DISCUSSION OF**
 11 **RESEARCH QUESTIONS**

12 We now present the results for each research
 13 question, followed by a detailed discussion.
 14

15 **5.1. Investigating RQ1: Why do Chinese**
 16 **Developers Decide to Use OSS Components?**

17 *5.1.1. Results of RQ1*

18 The following motivations for using OSS compo-
 19 nents were taken from the literature Dagdeviren
 20 *et al.* (2005) and Li *et al.* (2005a) and our pre-
 21 study:
 22 (a) have better potential applications (extendable,
 23 easier to update etc.); (b) OSS was already involved
 24 in one of the products; (c) reduce development cost;
 25 (d) more standardized function and architecture;
 26 (e) reduce learning cost; (f) existing knowledge in
 27 the company about the OSS; (g) become a part
 28

of OSS community and share values of the OSS
 movement; (h) gain access to products which are
 not available on the brand-name market. The sur-
 vey questions were based on the above motiva-
 tions.

The answers were measured by a five-point Likert
 scale as 'Don't agree at all', 'Hardly agree', 'Agree
 somewhat', 'Mostly agree', 'Strongly agree', plus
 'Do not know'. We assigned an ordinal number 1
 to 5 to the above alternatives (5 means strongly
 agree, 0 means do not know). The results are shown
 in Figure 2 and show that changeable applications,
 compliance with existing components, and low cost
 were the major motivations (with median value 4
 and upward skewness) for using OSS components.
 Reusing OSS-related knowledge, participating in
 the OSS community, and acquiring special compo-
 nents were the least important motivations (with
 median value 3).

5.1.2. Discussion of RQ1

Our results support the conclusion that the motiva-
 tions for using OSS components are the changeable
 source code (Li *et al.* 2005a) and low cost of licens-
 ing (Fitzgerald and Kenny 2004, Madanmohan and
 De 2004). Our results reveal that mingling with the
 OSS community and sharing the ideology of the

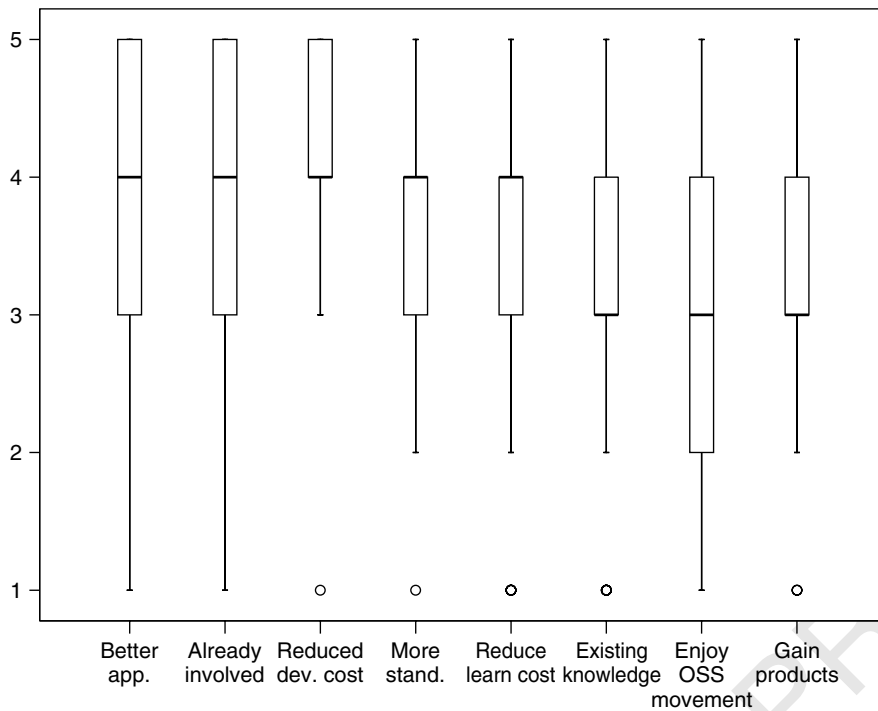


Figure 2. Motivations for using OSS components

1 OSS movement were not regarded as important by
2 Chinese developers.

4 5.2. Investigating RQ2: How do Chinese 5 Developers Select OSS Components?

6 5.2.1. Results of RQ2.1

7 The survey questions assessed the following meth-
8 ods of locating component candidates: (a) have
9 used it (them) before; (b) from colleagues of the
10 same company; (c) from friends of other compa-
11 nies; (d) through reading related magazines (e.g.
12 programmer magazine); (e) through visiting trade
13 shows and exhibitions; (f) using search engines (e.g.
14 Google); (g) visiting OSS project portals (e.g. source-
15 forge.net).

16 The respondents were asked to state whether
17 they had performed such activities to locate OSS
18 candidates. The results reveal that locating OSS
19 candidates was mostly based on either search
20 engines (e.g. Google or the search feature in
21 Sourceforge.net) or internal experience (e.g. having
22 used the components before, reading magazines,
23 getting advice from internal colleagues). External
24 information channels, such as getting advice from
25 persons in other companies, were rarely used.
26

5.2.2. Results of RQ2.2

27 Possible criteria for evaluating and comparing com-
28 ponent candidates are taken from Madanmohan
29 and De (2004) and Dagdeviren et al. (2005), as fol-
30 lows: (a) requirements compliance; (b) architectural
31 compliance; (c) quality of components (security,
32 reliability, usability etc.); (d) functionality; (e) OSS
33 licensing terms; (f) licensing price; (g) reputation
34 of components or supplier; (h) quality of doc-
35 umentation; (i) expected support from the OSS
36 community (updates, bug fixing, clarification etc.);
37 (j) environment or platform. The survey questions
38 were based on the above criteria. We used the
39 same scales as for RQ1. The results illustrate that
40 requirements compliance (with median value 4 and
41 upward skewness) was regarded as the most impor-
42 tant criterion to be considered, while licensing price
43 and support were regarded as the least impor-
44 tant criteria (with median value 3 and downward
45 skewness).
46

5.2.3. Discussion of RQ2

47
48
49 Our results support the conclusion that most
50 companies use a manual (brute force) method
51 (Madanmohan and De 2004), e.g. searching with
52



1 Google or Sourceforge.net, to locate component
 2 candidates. However, our results show that the
 3 developers used Google more frequently than OSS
 4 project portals. Although a previous study observed
 5 that companies were willing to listen to experience
 6 from other companies and were also willing to
 7 share their experience with others (Merilinna and
 8 Matinlassi 2006), our results reveal that experience
 9 sharing between persons in different organizations
 10 was not common. One possible reason is that
 11 there is a lack of potential channels to share
 12 experience of using OSS components between
 13 different organizations.

14 Our results support the conclusion that one of
 15 the most important criteria to be considered when
 16 evaluating OSS component is requirements compli-
 17 ance, rather than architecture compliance, as was
 18 proposed in Madanmohan and De (2004). Although
 19 previous studies have claimed that technical sup-
 20 port was very important for OSS-based systems
 21 (Fitzgerald and Kenny 2004, Tuma 2005), our data
 22 provide evidence against that claim and show
 23 that the possibility of receiving support from the
 24 OSS community was not considered by Chinese
 25 developers as critical when they were evaluating
 26 components.

27
 28 **5.3. Investigating RQ3: How do Chinese**
 29 **Developers Deal with OSS Licensing Terms?**
 30

31 **5.3.1. Results of RQ3.1–RQ3.3**

AQ3 32 RQ3.1 and RQ3.3 were measured using Likert
 33 scales, as for RQ1. Answers to these research
 34 questions are presented in Table 1.
 35

36 **5.3.2. Results of RQ3.4**

37 Possible actions that might be taken to avoid
 38 license-related problems were derived from Brown
 39 and Booch (2002), Norris (2004), Madanmohan
 40

and De (2004), Ruffin and Ebert (2004): (a) use 41
 other components without licensing constraints; 42
 (b) consult legal experts for help; (c) encapsulate 43
 GPL-regulated modules with public application 44
 interfaces; (d) package the proprietary code sep- 45
 arately to avoid GPL restrictions; (e) contact the 46
 owner of the OSS license and agree on a certain 47
 license to reduce the effect of licensing; (f) upload 48
 all ‘derived programs’ that are affected by licensing 49
 terms back to the OSS community. The survey ques- 50
 tions were based on the above courses of action. 51
 We used the same scale as for RQ1. The results 52
 show that using OSS components without license 53
 constraints was the most commonly used strategy, 54
 while uploading all ‘derived programs’ back to the 55
 OSS community was the least used strategy. 56

57
 58 **5.3.3. Discussion of RQ3**

59 The OSS integrators’ main concern regarding the
 60 terms of licensing is whether the system reusing
 61 OSS components is defined as ‘a derived program’
 62 (Brown and Booch 2002). If so, according to
 63 many OSS licenses, the ‘derived work’ should be
 64 published. However, the source code of a system is
 65 the private property of a company, which will hide
 66 its intellectual property (IP) from its competitors
 67 and make profits on IP investments (Madanmohan
 68 and De 2004). This concern about IP probably
 69 explains our findings that Chinese companies
 70 would rather select components without strong
 71 licensing constraints, in order to avoid making their
 72 entire code public.
 73

74 **5.4. Investigating RQ4: How do Chinese**
 75 **Developers Manage to Maintain and Update**
 76 **OSS-Based Systems?**

77 **5.4.1. Results of RQ4.1**

78 We first investigated whether developers needed
 79 to fix bugs and to change the source code of OSS
 80

Table 1. Results of RQ3.1–RQ3.3

RQs	Questions in the questionnaire	Results
RQ3.1	How well do developers understand OSS licenses?	Most respondents do not understand OSS licensing terms very well.
RQ3.2	Do developers read related OSS licensing terms?	Respondents have only partly read OSS licensing terms.
RQ3.3	Do developers encounter problems caused by OSS licenses?	Twenty-one percent of the respondents had never encountered OSS license-related troubles. The remaining respondents rarely encountered such problems.



1 components. If the answer was 'Yes', the follow-
 2 up questions were directed toward what they did
 3 and the effort they expended. The results show that
 4 45% of the respondents needed to fix bugs and 39%
 5 needed to change code for other reasons. When
 6 developers took steps to fix bugs or change code,
 7 most of them did it by themselves, rather than ask
 8 for help from developers of the OSS community. The
 9 developers who fixed bugs by themselves expended
 10 more effort (with mean value 40 person-hours)
 11 than developers who asked for help from the OSS
 12 community (with mean value 11 person-hours). One
 13 possible reason is that the OSS community is much
 14 more familiar with the code than the developers.
 15 The developers who performed general change by
 16 themselves expended less effort (with mean value
 17 35 person-hours) than those who asked for help
 18 from the OSS community (with mean value 60
 19 person-hours). One possible reason is that an OSS
 20 community needs a long time to accept and carry
 21 out suggested changes.

22 To answer RQ4.1 we also investigated whether
 23 there were local developers, during the investi-
 24 gated project, who participated in the OSS com-
 25 munity. Only four respondents said 'Yes'. For the
 26 respondents with 'No' answers, we suggested the
 27 following reasons and asked the respondents for
 28 their opinions: (a) there was no need to take part in
 29 the community; (b) there were insufficient resources
 30 (such as time and human resources); (c) it was dif-
 31 ficult to participate due to the hierarchy of the OSS
 32 community. The results show that most developers
 33 thought it is necessary to take part in the OSS com-
 34 munity and it is not difficult to join corresponding
 35 OSS projects. However, limited time and person-
 36 nel prevented them from participating actively in
 37 the OSS communities.

AQ4

39 5.4.2. Results of RQ4.2

40 The survey question asked whether the investi-
 41 gated projects have a repository for component
 42 knowledge. The respondents were asked to answer
 43 'Yes' or 'No'. The results show that 40% of the
 44 respondents answered 'Yes' and the remaining
 45 respondents said 'No'. We asked those respon-
 46 dents who answered 'Yes', who is responsible for
 47 the repository. The results show that two compa-
 48 nies had dedicated teams to maintain the knowl-
 49 edge repository. Other companies had only one
 50 senior developer or architect to manage the knowl-
 51 edge related to OSS components. We asked those

respondents, who answered 'No', the reasons for 52
 not having such a repository, using open ques- 53
 tions. The answers can be classified into three 54
 categories. (a) There is no need to support such 55
 a repository. (b) Human resources and the bud- 56
 get are limited. (c) The quality of components is 57
 generally good and components are not overly 58
 complex, so tracking components' further main- 59
 tenance did not require a person dedicated to 60
 the task. 61

62 5.4.3. Discussion of RQ4

63 Contributing to OSS projects and getting contribu- 64
 tions published are helpful for OSS users (Merilinna 65
 and Matinlassi 2006). Our results reveal that most 66
 respondents would like to participate in the OSS 67
 community. However, few respondents have actu- 68
 ally contributed to the OSS community due to 69
 limited time and personnel resources. Other ways 70
 of participating in the OSS community, such as pro- 71
 viding feedback and reporting bugs (Holck et al. 72
 2005, Merilinna and Matinlassi 2006) or propos- 73
 ing new features and trial implementations of 74
 these features (Tuma 2005, Merilinna and Matin- 75
 lassi 2006), may be more cost-effective for such 76
 respondents. Our results support the observations 77
 that most projects did not have a managed, com- 78
 prehensive component repository (Dagdeviren et al. 79
 2005). 80

81 5.5. Investigating RQ5: What was the Cost 82 Distribution? 83

84 5.5.1. Results of RQ5 85

86 The survey questions regarding the possible costs 87
 of OSS-based projects assessed the following 88
 factors: (a) selection; (b) learning; (c) consulting; 89
 (d) developing gluecode; (e) adaptation and config- 90
 uration; (f) maintenance; (g) upgrade; and (h) license 91
 fee. We used the same Likert scale as for RQ1. The 92
 results are shown in Figure 3.

93 The results show that learning, developing glue- 94
 code, adaptation, and maintenance are the highest 95
 costs (with median value 3 and upward skewness), 96
 while consulting costs and license fees are the lowest 97
 (with median value 2). 98

99 5.5.2. Discussion of RQ5

100 Learning and understanding OSS components is 101
 a new activity in OSS-based software develop- 102
 ment (Li et al. 2006). Although the available source

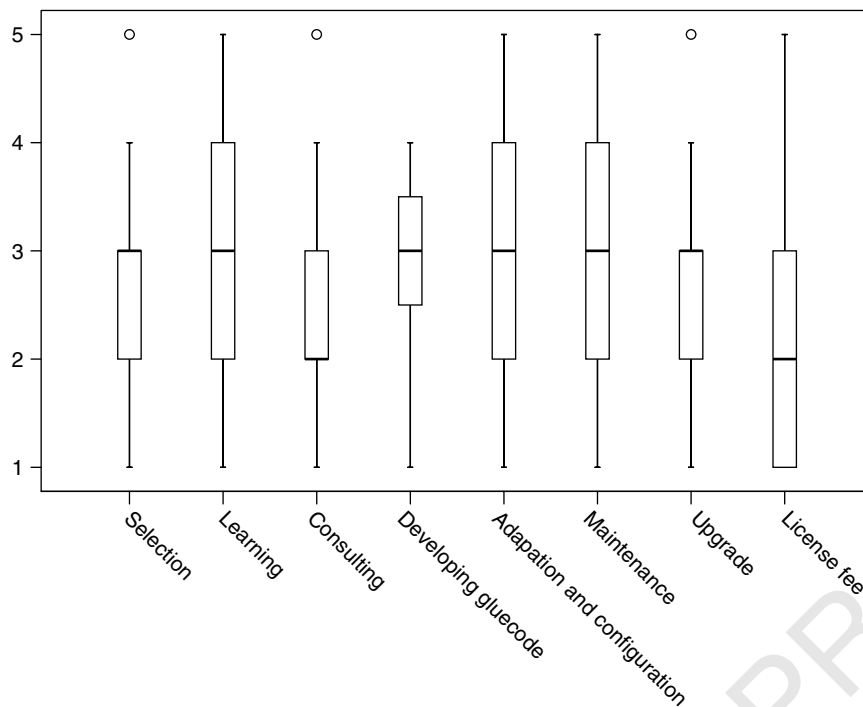


Figure 3. The cost distribution of the investigated projects

1 code of OSS components may ease the burden
 2 of learning and understanding, our results reveal
 3 that the effort required to learn components still
 4 needs to be considered seriously in effort estimation,
 5 as proposed for COTS-based development
 6 in Boehm *et al.* (2003). Our results also reveal
 7 that consulting costs and license fees are lower
 8 than other kinds of cost. A possible explanation
 9 is that the source code of OSS is available and
 10 there are a lot of valuable resources in the OSS
 11 community. Therefore, integrators can, to a large
 12 degree, learn and understand OSS components
 13 themselves, which means that consulting costs
 14 can be saved. The reuse of OSS did not require
 15 additional licenses as an installation grows, which
 16 may help save license costs (Madanmohan and De
 17 2004).

20 6. GENERAL DISCUSSION

22 In this section, we first offer four recommendations
 23 to facilitate OSS-based development, using our
 24 results as a basis. We then discuss possible threats
 25 to validity of this study.
 26

27 6.1. Recommendation 1: Improve the OSS Search Engine 28

29 Although several methods can be used to locate
 30 OSS components, our findings regarding RQ2 show
 31 that the most commonly used methods are web
 32 search engines and OSS project portals, as reported
 33 in Madanmohan and De (2004). Using web search
 34 engines is simple and fast. However, the search
 35 results may be imprecise and huge. OSS projects
 36 in OSS project portals are properly classified.
 37 However, one OSS project portal cannot include
 38 all OSS projects. People have to search in several
 39 portals to get all possible component candidates.
 40 Although the new 'Google Code Search' helps solve
 41 the above shortcomings by combing portals of the
 42 open-source domain, greater effort is needed to
 43 facilitate the search for OSS components beyond
 44 the source code level.
 45

46 6.2. Recommendation 2: Understand and Comply 47 with OSS Licensing Terms 48

49 To develop commercial software with OSS compo-
 50 nents, it is important for OSS users to carefully read,
 51 understand, and comply with the licensing terms
 52



1 of the OSS component being used (Madanmohan
 2 and De 2004). Our results regarding RQ3 show that
 3 most respondents did not read and understand the
 4 OSS licensing terms properly. There are more than
 5 50 OSS licenses approved by opensource.org. How-
 6 ever, five major license types (i.e. GPL, LGPL, BSD,
 7 AL, and MIT) cover 90% of OSS projects (Tuma
 8 2005, Ueda 2005). It may be wise for OSS users
 9 to learn and understand these major license types
 10 before they start to select and integrate OSS compo-
 11 nents.

12
 13 **6.3. Recommendation 3: Participate More**
 14 **Actively in the OSS Community**
 15

16 During the maintenance phase of an OSS-based
 17 project, project developers may need to fix bugs
 18 in OSS components and to add or revise the
 19 components' functionalities. Our results regarding
 20 RQ4 show that our respondents used more effort, on
 21 average, to fix bugs than did developers of the OSS
 22 community. Thus, a better way to get bugs fixed
 23 might be to report bugs and ask for help from the
 24 OSS community. One study shows that 83% of OSS
 25 community participants live in Western countries
 26 and 55% of them contribute to OSS projects during
 27 working hours (Lakhani and Wolf 2005). However,
 28 our results show that only 9% of the investigated
 29 projects had dedicated developers taking part in an
 30 OSS community during the project. Thus, one of
 31 the primary tasks of Chinese users should be to
 32 mingle with the OSS community (Wang and Zhang
 33 2004).

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34
 35 **6.4. Recommendation 4: Facilitate the Sharing of**
 36 **Internal and External Experience**
 37

38 Our results regarding RQ5 show that learning cost
 39 is one of the major costs of OSS-based projects. The
 40 proper reuse of previous experience and knowl-
 41 edge will reduce the later learning cost. Although
 42 the results regarding RQ2 show that internal exper-
 43 tise is consulted when selecting and evaluating
 44 OSS components, the results regarding RQ4 show
 45 that most companies do not have a systematic
 46 mechanism for managing knowledge so that devel-
 47 opers and maintainers can share experience. In
 48 addition, the results regarding RQ2 show that
 49 knowledge sharing between companies is rare. To
 50 facilitate knowledge sharing internally, one pos-
 51 sible strategy is to have an internal component

'knowledge keeper' (Li *et al.* 2006). Such a per- 52
 son can collect thorough knowledge of relevant 53
 OSS components and evaluate the newest version 54
 of the components. Another possible remedy is 55
 to create a human (and/or computer supported) 56
 knowledge repository (Morad and Kuflik 2005). To 57
 facilitate the sharing of experience between dif- 58
 ferent companies, a centralized experience portal 59
 for sharing OSS component-related knowledge 60
 between organizations, probably using a global 61
 OSS Wiki (Ayala *et al.* 2007), could be a solu- 62
 tion. 63

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64
 65 **6.5. Possible Threats to Validity**
 66

67 Our unit of study was a finished project. Thus, a
 68 possible threat to the internal validity of this study is
 69 that the respondents may have failing memory on
 70 past events. Since China has no comprehensive,
 71 national database of software companies, it is
 72 difficult to select a random sample of participants
 73 in such surveys, even if the present one is maybe as
 74 good as we can get. This may bring external validity
 75 threats to our conclusions. 76

77
 78 **7. CONCLUSIONS AND FUTURE WORK**
 79

80 More and more software companies in China and
 81 elsewhere are reusing OSS components as part
 82 of their software development. Such companies
 83 need empirically based guidelines for OSS-based
 84 development. The main findings from our survey
 85 are these: 86

- 87 • Developers who use an OSS component focus on
 88 its potential application, such as being extensible
 89 and updating easily. However, mingling with
 90 the OSS community to share the value of the
 91 OSS movement and to gain brand products was
 92 not regarded as being as important as potential
 93 application. 94
- 95 • The selection of OSS components is based mainly
 96 on existing web search engines, followed by local
 97 expertise for evaluation and decision. 98
- 99 • OSS licensing terms are not a barrier to software
 100 companies when reusing OSS components in
 101 system development. 102
- 103 • In 84% of the development projects, system
 104 maintenance leads to bug fixing or other code
 105 changes in the selected OSS components and 106



1 involves the OSS community on a case-to-
 2 case basis.
 3 • The learning cost is one major expense when
 4 reusing OSS components. We recommend that
 5 the experience and knowledge pertaining to rele-
 6 vant OSS components are handled by an internal
 7 ‘knowledge keeper’, a global OSS Wiki, and
 8 more active participation in the OSS commu-
 9 nity. This last course of action is also expressed
 10 by the developers themselves, but not followed
 11 up, perhaps for cultural and organizational rea-
 12 sons.

14 By 2011, at least 80% of commercial software
 15 will contain significant amounts of open source
 16 code, according to Gartner (Computerworld 2007).
 17 Although we were the first to perform such an
 18 empirical study in the industry in China, we have,
 19 thus far, collected a small amount of data. We will
 20 perform further studies to align ourselves with the
 21 latest progress in this field.

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