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**CLUSTERING OF VISUAL
INFORMATION USING
SPECTRAL METHODS**

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CLUSTERING OF VISUAL INFORMATION USING SPECTRAL METHODS

ABSTRACT: Clustering is widely used in the computer vision community as a first step of content organisation, resulting in many different algorithms available in the literature. We propose a self adjustable clustering approach based on the Markov Random walk interpretation of pairwise data similarities. The proposed algorithm makes no assumption on the number of clusters present in the dataset, instead it recursively uncovers clusters in the data until all groups have been found. The algorithm is capable of automatically adjusting its parameters to new data, using properties of the graph-based data representation. The main advantage of the proposed approach is the algorithm's ability to cluster data with no user interaction. By applying the proposed clustering algorithm to video material, we propose solutions for different problems in the field of video analysis. A novel shot boundary detection, and key frame extraction method based on the self adjustable recursive clustering is proposed. It utilises the information about cluster properties to find different types of shot changes. By extending the shot boundary detection approach and including the temporal information, a method for scene detection and redundancy removal is created. Scenes are found by letting each frame belong to a number of different clusters instead of assigning each frame with one cluster label. The coverage of each cluster is defined to describe fuzzy clusters, in order to merge clusters that belong to the same scene. Detected scenes are then used to find similar patterns in the eigenvector space by the proposed redundancy removal algorithm. These patterns are used to detect repetitive segments, which are used to remove redundant information from the original video. Finally by introducing the context-based domain information we propose a clustering based summarisation technique for news and surveillance videos.

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Chapter 1

INTRODUCTION

As we enter the 21st century the process of acquiring the right information at the right moment can be seen as the most important prerequisite of a successful and efficient everyday life. With the number of interconnected users increasing every day, growing stocks of available data lead to significant research efforts in the task of organising, storing and delivering information. Over the years a need for efficient information management has resulted in a move from text based to content based information systems. Modern multimedia documents use pictures, texts, and audio signals to carry specific information. Development of information systems able to find and retrieve documents by content is a goal of many research initiatives in the field of information retrieval. The work described in this thesis is motivated by the fact that the current state of these systems is still in its infancy, and that there are many challenges, and open questions still waiting to be answered.

1.1 Information Management Systems

From the early stages of human progress, people looked for efficient ways to communicate as the possession of useful knowledge often meant a difference between life and death. During the aeons of human evolution the means of sharing information evolved from primitive cave paintings to modern information systems. Even though means of communication changed over time the main purpose was the same: to pass information between people. Historically, communication systems started with sounds and movements and over time included signs and pictures. Early communication systems resulted in historical records on papyrus, temple and tomb walls or clay tablets. Together with technological progress, means of communication improved too, resulting in the creation of printing machines in the industrial era. The invention of printing machines automated the process of saving and passing information in the form of books and printed documents. Over a long period of time,

since the first written documents were made, the written documents were the main source of information. Except in everyday activities communication systems were also used for knowledge-sharing purposes. Either by saving important information for future generations, or by distributing available knowledge to different groups, a critical mass of educated people is created, a process which can be seen as the main initiator of human progress. Another great technological breakthrough, the invention of transistors in the middle of 20th century, marks the key point in the technological progress of information-management systems. From that point on, the technology is advancing at high speed using many different tracks, accelerating the use of radio, telegraph, television and computers. The start of the digital era meant all information could be processed using a computer, opening doors for automatic data analysis. Instead of using conventional multimedia systems such as TV, video and audio cassettes, visual data could now be processed, stored and consumed using a computer. Together with existing textual archives, new storage mediums such as magnetic tapes, floppy disks and hard drives were used for information storing. Initially, all information stored in such a way had to be manually indexed to prevent the loss of useful information. Clearly this task becomes highly impractical when working with sheer volumes of data available in modern information-management systems. Another key point in the technological progress of information technologies is the emerging of the Internet. The Internet provided better means for information sharing, than any of the existing systems. This resulted in the fast development of tools used over the network. The most important property of the Internet is the big number of users, which steers the progress of information technologies.

1.2 Problem Definition

These technological advances made available huge amount of data to every person sitting in front of a computer and surfing the web. Huge stocks of multimedia items make it more difficult for users to find right information. Searching for a specific piece of content in a traditional multimedia database is almost impossible using available browsing tools, due to the semantic-gap. The semantic-gap is a barrier between content-representation readable to computers, and actual semantic meaning perceived by humans. One way of narrowing the gap between two types of representation is to use an army of human annotators to annotate each piece of content, and then apply text-based information-management techniques. However this is neither practical nor efficient, since the amount of multimedia content is huge nowadays. Content based information systems, developed on the foundations of traditional text-base information retrieval techniques, and are used to provide

more complete understanding of data than traditional information systems. Images and videos contain much more information than related textual meta-data, due to the way humans understand and convey visual information. In order to save information present in images and videos, and use it to create semantic relations between different pieces of content, modern information systems should extract the meaning from a signal-based representation. At the current level of technology this is still not possible. The main reason for this is that the low-level based representation of media items is not sufficient to capture the high-level meaning of the content. Even though it is not possible to achieve high levels of content understanding it is possible to improve the performance of conventional information management systems using different techniques for visual content analysis. Detecting groups with similar layout in the low-level representation space improves a system capability to provide users with the right piece of content. Content based information systems are developing in many different directions nowadays. The analysis of a video material can be seen as one of the most challenging research tasks, since video data connect different modalities such as images, sounds and text. The main problem in video data organisation is the huge amount of visual material that needs to be processed. Efficient organisation and retrieval of visual information depends on the capability to break down a video into its elementary units, extract some information and present the extracted information in the proper way. We focus on two main problems in this thesis: the problem of efficient information extraction from a video and the problem of information presentation to the user.

1.3 Contributions of the Thesis

1.3.1 Proposed Self-Adjustable Clustering Approach

Clustering of visual information is the first step towards the semantic based indexing of multimedia items [1]. The main goal of the proposed work is to cluster information and extract meaning from clusters using a low level data representation. We propose a novel clustering approach which is based on well known Normalised Cuts originally used in image segmentation. The main contribution of the proposed approach is its capability to automatically select parameters which are usually set by the user. The basic step in all spectral clustering algorithms is the creation of the similarity matrix. The structure of the similarity distribution is defined by the parameter σ . We propose a novel way for automatic σ selection using random walk interpretation of clusters. By interpreting the resulting random walk for different values, σ is automatically set to the value that successfully finds clusters in a data set. Another parameter which usually has to be known in advance, the number of

clusters, increases the need for human interaction. In our approach this problem is solved by defining stopping criteria based on the geometrical interpretation of eigenvectors. The geometrical interpretation of eigenvectors is based on the fact that the structure of an eigenvector depends directly on the structure of a data set. By analysing the structure of eigenvectors we give a new interpretation of clusters and create a framework for adjustable recursive clustering of data. Together with proposed solutions we give an intuitive explanation of the proposed approach. The adjustable recursive clustering is used in different problems of visual information analysis.

1.3.2 Clustering of Video Data

It is extended to find shot boundaries in a video by localising the clustering in a sliding window, and introducing a temporal dimension to the process of eigenvectors interpretation. A method for representation of visually informative segments is used as a first step of the video summarisation. Another application of the adjustable recursive clustering is the problem of scene detection and redundancy removal. By assigning each frame to a number of different clusters and by using a proposed cluster merging procedure a video is broke down into scenes which are used for fast video browsing. The cluster merging procedure based on temporal properties of clusters is used to build scenes by putting together different clusters. The proposed geometrical eigenvector model is used to find similar temporal patterns in scenes and remove redundant information. This is used in the summary creation where only selected segments from scenes are presented to the user. Finally context-based domain information is used to cluster a video and to build a summary using clusters as basic building blocks.

1.4 Structure

The thesis is organised as follows. Content based retrieval systems are described in the following chapter focusing on open issues and problems. The overview is a result of the literature reading, and investigation of the state of the art techniques in the area of: content based retrieval, data clustering and content based video retrieval. The state of the art overview is followed with an overview of spectral clustering algorithms. In the chapter III we describe the proposed recursive clustering approach. The adjustable choice of input parameters and eigenvector based stopping criteria are explained with main focus being on practical applications. Experiments on both synthetic and real world examples give more detail about proposed approach. They are also used to evaluate the performance of different clustering algorithms. In the

chapter V a shot detection method based on the proposed clustering approach is presented. The proposed method for scene detection and redundancy removal is presented in the chapter VI. It is shown how scene and redundancy detection can be used for Rushes summarisation and present results achieved in 2008 Trecvid. In chapter VII contextual information is introduced to summarise different types of video material. It is shown how the summarisation procedure depends on an interpretation of clusters found in a video. In chapter VIII we give directions for future work and conclude the thesis.

Chapter 8

CONCLUSION

8.1 Main Accomplishments

In this thesis we presented a novel clustering approach based on Normalised Cuts, a well known spectral clustering method. Spectral clustering emerged in recent years as a promising clustering tool which was mainly applied to the problem of image segmentation. Our approach was based on the fact that a real world problems algorithm should be able to produce proper results with no human interaction. We showed in chapter 3 solutions for two important problems in data clustering. The automatic choice of the parameter σ used in building the similarity, based on the Markov random walk interpretation, not only produced better results when compared to different clustering methods proposed in the literature, but also gave an intuitive explanation on why such a choice is made. By selecting σ that introduced the most information to the system, a graph representing data is connected maximising the ratio between connections inside clusters and connections between different clusters. Another important issue when clustering data is to automatically select the number of clusters. We proposed a solution for this problem based on the geometric interpretation of the eigenvector structure. By applying the recursive clustering defined with the proposed stopping criteria, a data set is clustered with no need for the number of clusters. The stopping criteria is defined to distinguish between different cases when there are more than one cluster in a data set and when all points belong to the same clusters. In order to compare the proposed approach to different clustering methods available in the literature experiments are done on 2D synthetic data sets, gene expression data and on a set of images.

By introducing a temporal dimension to the clustering problem we applied the proposed clustering algorithm to a problem of shot detection. We introduced a sliding window in which frames are clustered in order to localise the clustering. The proposed stopping criteria was extended so it used the temporal information in the

decision process. We showed how different types of transitions can be found using modified eigenvector interpretations, followed by the process of key frame extraction. We classified frames into two groups. One group contained frames which were part of a static scene and which are understood to hold visually important information. The second group of frames are frames that are part of a transition or a change in the video content. Both groups are found by looking at the eigenvector temporal structure.

The next logical step in applying clustering to the content based video retrieval, is to detect scenes and redundant information in the task of video summarisation. Our approach is based on the fact that similar frames which are close in time should be put in the same scene. A scene is defined as a basic semantic video block used to build a summary. By clustering frames defining the coverage of each cluster, a number of different clusterings are produced. By merging clusters with overlapping coverage regions, scenes are found as unions of overlapping clusters. In order to select segments which are representing each scene redundant material needs to be removed from the summary. Our approach for redundancy removal is based on the fact that regions of similar visual layout results in the areas of similar patterns in an eigenvector space. By detecting these patterns and selecting only one segment to represent sets of repetitive intervals, a summary representing the original video is built. Such a summary is made of segments representing each scene with the level of detail depending on the time available for the summary. Such a summarisation approach is evaluated in the 2008 Trecvid resulting in a high ranking in terms of redundancy removal and pleasantness and rhythm of the summary.

Finally by introducing a context in the task of video summarisation it is possible to extend the proposed clustering approach to find clusters for a specific application. For two different video types, news and surveillance, we showed how recursive clustering can be used in combination with contextual information to build both static and dynamic summaries. Since news and surveillance videos both have a specific structure and different purposes, a summary of such videos is built taking into account different properties of video. For news videos the main purpose is to present the most important news in a pleasant way so a user gets interested for the original content of a video. A news summary is built by detecting story segments in a video and by looking at the dominant cluster of each story segment using it for summary building. On the other hand surveillance videos are made of short and rare events which are of interest to the user. In order to build a surveillance summary the difference between energy levels in consecutive frames is used to find intervals of strong change in a video and then use these intervals in the clustering and summary building process. By clustering only rare events detected in the event

detection process the set of static and dynamic summaries are built. We presented both approaches together with experimental evaluation done for two types of video material. Interesting theoretical and practical issues based on spectral recursive clustering have been tackled and solved, such that the contributions presented in this work are manifold, as enumerated in the following:

1. The automatic choice of parameter σ is only free parameter used in spectral clustering. We proposed a way to automatically select this parameter using the Markov random walk interpretation of the clustering problem. Also the proposed approach gives an intuitive explanation why such a choice is made.
2. The stopping criterion is defined based on the second smallest eigenvector structure. Based on the expected eigenvector structure the decision is made whether the clustering should be continued or stopped. In order to initialise parameters used in the decision process, a learning phase may be introduced. Depending on data that is clustered, the user may create a set of clusters and use them to initialise parameters so they represent data structure better. Since the basic step in spectral clustering is the creation of the similarity matrix, which maps distance value to an unit interval, eigenvectors will have the same structure independently of data. This is the reason why the set of parameters used in the stopping criteria may be initialise with one type of data and used in various applications.
3. Shot boundaries are found using clustering of frames in the interval defined by a sliding window. The eigenvector structure model is extended to take into the account the temporal dimension of the problem, and different types of shot boundaries are found.
4. By clustering frames of a video and by defining the coverage area of each cluster a set of different clusterings of a single video is created. We defined a process of merging together clusters which have overlapping areas.
5. Once the scenes are found each scene is used as a building block for a final summary. By looking at similar patterns in an eigenvector space, we proposed a way to find repetitive intervals within each scene. Each repetition is then used as a source of a number of segments that are used to build a summary. Scene detection and redundancy removal was tested on 2008 Trecvid and resulted in the highest ranking in redundancy removal and the third highest result in terms of pleasantness and rhythm.
6. By introducing a context in the summarisation process, we proposed the summarisation of two different video types. Surveillance and news video have

different structures and purposes and it is common for both video types that contextual information can be used to lead the clustering process towards the final goal. For news video different importance measures are defined and used to define stories over the time line. By clustering shots each story is assigned its cluster label and then used to build a summary. In the surveillance domain we used the energy difference between consecutive frames to quantify the amount of change in a frame, and then used this information to find frames holding rare events. By clustering events and analysing the cluster structure two types of summaries are built.

8.2 Future Work

With respect to the future work a challenging task is to improve the ability of the proposed clustering approach to adjust itself to new data. In terms of selecting the optimal σ it would mean the creation of a stable model for choosing sigma based on two critical σ values. The stopping criteria will be extended so the decision process depends on parameters which are automatically learnt from positive and negative examples rather than using a fixed thresholds.

For the shot boundary detection problem an interesting direction for future work is to define a distance between two frames as a commute distance defined in Markov random walks [147]. The commute distance is also highly connected to the graph based methods for electrical circuit solutions [148]. Defining a random walk over set of frames and calculating the commute distance between each pair of frames will be the basis of the improved shot boundary approach.

For video summarisation using scene detection and redundancy removal the main challenge is to improve the algorithm capability to detect important events in the scene. By extracting different low level and mid level features such as face detection and motion activity estimation and by combining these features with features that are already used in our approach, we will try to improve the algorithm performance in terms of ground truth inclusions.

Summarisation of news and surveillance videos can be improved by introducing more contextual information. For the news videos face detection can be used to find anchor person shots and other shots with visually less important information. The results of speech recognition could be used as an additional source of information in video analysis and may be used to define a set of textual annotation for news videos. Textual information can be then combined with textual captions detected in the shots, and used to create the semantic relations between different stories in the news. For the surveillance videos the main challenge is to identify interesting events

properly. By describing events more completely and by using these descriptions to cluster events more intuitively better summaries may be created. Finally it is worth noting that the proposed clustering approach can be used on any type of data. Since the proposed clustering algorithm chooses parameters independently on data type a minimal level of human interaction is needed.

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