# Channel Allocation Scheme for Cognitive Radio Systems

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*Abstract*— Cognitive radio (CR) technology has attracted dramatic attentions recently because it has an ability to dynamically adapt to local spectrum availability and improves the radio spectrum utilization. In this paper, we propose a channel allocation algorithm where the base station allocates a channel to the secondary user by using the primary user arrival pattern. The proposed scheme selects a channel where the primary user arrives least frequently and limits the secondary user's allocation time to reduce interference to the primary user. Performance analysis shows that the proposed channel allocation algorithm can limit the secondary users' interference to the primary user up to 80%.

**Keywords**— Cognative radio, CR, channel allocation algorithm, base station, primary user, and secondary user.

#### I. INTRODUCTION

Radio resource allocation policies in the world including the United States have issued the spectrum right referred to as *license* by which licensed users can be protected from interference since only the licensed users can use the allocated spectrum band. Since currently most of spectrum bands have license, it is inevitable to compete unlicensed spectrum bands for new wireless technologies.

Recently, J. Mitola [1] has proposed cognitive radio (CR) concept where a CR user share its own spectrum resources with other users by switching its frequency band through SDR (Software Defined Radio). The SDR technique controls communication parameters in radio devices using software. In the CR networks, the licensed user is referred to as primary while unlicensed user is called as secondary. The secondary user monitors spectrum environment timely and spatially, senses idle spectrum holes, and then communicates through the spectrum holes. If the secondary user detects the primary user, the secondary user must move from its spectrum bands to other within the pre-specified time. Since the cognitive radio can reuse the radio spectrum multi-dimensionally, it is taken into account as a solution to unequal spectrum allocation. Consequently, various research organizations and industries pose great efforts on cognitive radio technology [1][2]. Although the research topics and organizations are different, the basic concept is same as follows:

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- When a wireless device wants to communicate, it searches spectrum opportunity in frequency bands.
- If there is an idle frequency channel, the secondary user may use the channel under the condition that the secondary user should not interfere with the primary user.

The cognitive radio technology will lead wireless device revolution and it will improve the inefficient allocation of wireless resources. Through radio regulative relaxation of various groups such as FCC of the United States, the cognitive radio technology will play an important role and will lead a radio communication market[1][2].

Although various research groups have researched on various topics of cognitive radio technique, there are few research proposals of channel allocation aiming primary user's seamless services. In this paper, we propose cognitive radio channel allocation scheme which aims primary user's seamless services by monitoring the primary user arrival pattern. The proposed scheme selects a channel where the primary user's allocation time to reduce interference to the primary user.

The rest of this paper is organized as follows. In section 2, we introduce the primary user arrival model. Section 3 presents a channel allocation algorithm, and in section 4 the throughput performance evaluation of our proposed algorithm is analyzed. Finally, we draw conclusions and suggest future directions in section 5.

## II. THE CHANNEL OCCUPANCY MODELOF THE PRIMARY USER

In this section, we describe the channel occupancy model of the primary user.

## A. Assumption

We assume here that the primary user exploits FDD (Frequency Division Duplexing) with N frequency bands and occupies each of the frequency band at random amount of time. We further assume that the occupancy time and arrival/departure times of the primary user at each of the frequency band are independent. Although the occupancy time of the primary users are continuous, we segment the time duration with very short time slot (it is referred to as mini-slot in this paper). Then, the continuous occupancy time can be modeled by discrete on/off model.

#### B. The Primary User Arrival Model

Fig. 1 depicts the discrete channel usage pattern of the primary user as an ON/OFF model where the primary user consecutively arrives or departs at the *i* th band among N frequency bands ( $1 \le i \le N$ ). In the ON/OFF model, ON state represents occupancy of the channel while OFF state stands for vacancy from the channel by the primary user. At the OFF state, the secondary user can opportunistically occupy the channel. Let  $\alpha_i$  denote the state transition probability from ON state to OFF state at the *i* th channel while  $\beta_i$  denote the state transition probability from OFF state to ON state. Fig. 2 represents state

Channel <u>i</u> ON OFF ON OFF TIME

Figure 1. The i th seamless channel occupancy model of the primary user

## C. Probability of the primary user appearance

transition diagram using Markov chain model [3].

Let  $T_B^i$  is sum of the primary user's occupancy times at channel *i* and let  $T_I^i$  is sum of the primary user's idle time. At channel *i*, the number of the primary user's occupied mini-slots  $(S_B^i)$  and the number of idle mini-slot  $(S_I^i)$  given by

$$S_B^i = \frac{T_B^i}{\delta} \tag{1}$$

and

$$S_I^i = \frac{T_I^i}{\delta} \quad . \tag{2}$$

Let  $\mu_i$  denote the number of transitions from ON state to OFF state at the *i*th channel while  $\lambda_i$  denote the number of transitions form OFF state to ON state. Then,  $\alpha_i$  and  $\beta_i$  in Figure 2 are given by



Figure 2. ON-OFF channel using model of the primary user

$$\alpha_i = \frac{\mu_i}{S_B^i} \tag{3}$$

$$\beta_i = \frac{\lambda_i}{S_I^i} \quad . \tag{4}$$

#### III. CHANNEL ALLOCATION ALGORITHM

In this section, we describe channel allocation algorithm for centralized cognitive radio system based on primary user's arrival pattern. If the base station allocates a channel to the secondary user without any policy, there would be more interference to the primary user and seamless communication of the primary user is not possible. So, we can utilize an efficient channel allocation technique using probability model as the above.



Figure 3. The secondary user structure of centralized cognitive radio.

## A. Construction of networks

The secondary user network is constructed from a base station (BS) and it forms a centralized cognitive radio system (see Figure 3[1][5] as an example). The secondary user is an entity which does not interfere with the primary user using cognitive radio technique. The base station manages the secondary users who participate in the network. The secondary user periodically collects information of frequency utilization, and it reports appearance of the primary user to the BS. The base station receives the condition of the spectrum from each secondary user, and it collects statistics of primary user's arrival pattern. In the centralized cognitive radio network, if the secondary user wants to use resources, they have to follow the next procedure:

- Step 1: The secondary user requests a channel for communication service to the base station.
- Step 2: The base station runs the channel allocation algorithm (in subsection B) and allocates a channel to the secondary user.

and

- Step 3: The secondary user starts communication using the allocated channel.

If the primary user wants to use the channel at which the secondary user communicates, the secondary user has to stop transmission and then to search other channels for data communication.

#### B. Channel allocation algorithm

The base station allocates a channel by forecasting when the primary user appears for each of frequency band. In this case, base station uses probability model mentioned in section 2. (5) calculates how long the ON period remains with respect to threshold  $\rho$ .  $\tau_i$  denotes the number of consecutive minislots in OFF state at channel *i*. Since  $\beta_i$  represents transition probability from OFF state to ON state at channel  $i_{,}(1-\beta_i)$ denotes transition probability remaining in OFF state. From this reasoning,  $(1-\beta_i)^{\tau_i}$  is the probability that  $\tau_i$  mini-slots are idle at a certain point.

If we set a threshold  $\rho$  which satisfies the following:

$$\rho \le (1 - \beta_i)^{\tau_i} \tag{5}$$

we can obtain  $\tau_i$  mini-slots which is available for secondary users. Then, the channel allocation algorithm converges to the solution to find

$$k = \underset{v_i}{\operatorname{argmax}}(\tau_i) \tag{6}$$

In other words, the channel allocation algorithm is to search the maximum number of OFF mini-slots for all channels.

By using (5) and (6), the base station can allocate anticipated number of channels to the secondary user to provide seamless communication of the secondary user.

#### IV. PERFORMANCE EVALUATION AND ANALYSIS

In this section, we evaluate and analyze the performance of the proposed channel allocation algorithm.

## A. Simulation Setup

We develop simulation with event-driven method in C++ for evaluating performance. The major entities of the simulation are as follows:

- Frequency Channel: Composed with 5 frequency channels for communicating among other entities such as primary user, secondary user, and base station.
- Secondary user: We assume the secondary user arrives to the system whose inter-arrival time follows exponential distribution. It searches idle channel using frequency sensing, and requests channel allocation to the base station. If it could not sense any empty channels, it restarts next channel scan after exponentially distributed random amount of waiting time.

By continuous sensing for channel, the secondary user reports the primary user's appearance to the base station. If the primary user is detected at the channel at which the secondary user communicates, the secondary user has to stop transmission and then to search other channels for data communication after exponentially distributed random amount of waiting time.



Figure 4. Performance evaluation graph of channel allocation algorithm.

- Primary user: Each channel has the only one primary user. The inter-arrival time of the primary user for each channel is exponentially distributed. When the channel is being used, the primary user measures statistics of the interference from the secondary user.
- Base Station: When receiving channel allocation request from the secondary user, the base station allocates a channel to the secondary user depending upon channel allocation policy. The base station collects information of the primary user's appearance from the secondary user's channel sensing report.

The proposed algorithm mentioned in section 3 is implemented at the base station entity, and then the base station reports statistics of the channel usage time to the secondary user after analyzing each channel status.

In this paper, we measure the secondary user's interference frequency  $\eta$  from the perspective of the primary user's appearance.  $\eta$  is defined by the number of busy mini-slots when the primary user arrives, divided by the number of primary user's arrivals.

#### B. Performance Comparison

We implement the following comparative models for analyzing performance of the proposed channel allocation algorithm.

- Scheme 1: When the base station allocates a channel, it allocates an idle channel randomly. The secondary user can use the channel until the primary user appears.
- Scheme 2: This scheme collects average idle time of each channel. When the base station receives a channel request, it allocates the longest average idle channel. The secondary user can use the channel for the average idle time of the channel.
- Proposed Scheme ( $\rho = 0.6$ ): When the base station allocates channel, it allocates channel using proposed algorithm with threshold  $\rho$  of 0.6.
- Proposed Scheme ( $\rho = 0.9$ ): When the base station allocates channel, it allocates channel using proposed algorithm with threshold  $\rho$  of 0.9.

### C. Analysis

Figure 4 shows performance comparison of the proposed channel allocation algorithm with comparative models. The value of  $\eta$  varies with an appearance of the secondary user proportionately. We observe that scheme 1 shows the dramatic changes with respect to the secondary user arrival rate. The proposed scheme with  $\rho = 0.6$  shows the value of  $\eta$  gives less changes than scheme 1 and scheme 2. The proposed scheme with  $\rho = 0.9$  shows very slight changes with respective to value of  $\eta$ . The rationale for this can be interpreted as follows. As  $\rho$  increases,  $\tau_i$  will decrease as in (5). The reduction of  $\tau_i$  means that channels are allocated to the secondary user for shorter period of time. Hence, there will be more likelihood that the secondary user does less interfere with the primary user.

The value of  $\eta$  using the proposed channel allocation algorithm with  $\rho = 0.6$  is reduced about 40% compared with scheme 1 and about 25% compared with scheme 2. The value of  $\eta$  using the proposed channel allocation algorithm with  $\rho = 0.9$  is reduced about 80% compared with scheme 1 and about 75% compared with scheme 2.

## V. CONCLUSION

To solve inefficient allocation problems of frequency bands, there have been huge demands for new radio technology to use radio resources efficiently. Cognitive radio is such a technology to bring significant attentions in area of wireless communication.

For this reason, various research groups have researched on various topics of cognitive radio technique; there are few research proposals of channel allocation aiming primary user's seamless services.

In this paper, we propose cognitive radio channel allocation scheme which aims primary user's seamless services by monitoring the primary user arrival pattern. The proposed scheme selects a channel where the primary user arrives least frequently and limits the secondary user's allocation time to reduce interference to the primary user.

We have evaluated our proposed allocation scheme with existing techniques, and we show that the secondary user's interference to the primary user can be reduced up to about 80%.

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