

1 **ANALYSIS OF LARGE TRUCK CRASH SEVERITY USING HETEROSCEDASTIC**
2 **ORDERED PROBIT MODELS**

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26 **ABSTRACT**

27
28 Long-combination vehicles have significant potential to increase economic productivity by
29 decreasing the number of truck trips and thus reducing costs. However, size and weight
30 regulations imposed due to safety concerns and, in some cases, infrastructure investment
31 concerns have prevented large-scale adoption of such vehicles. Information on actual crash
32 performance is needed. To this end, this work uses standard and heteroscedastic ordered probit
33 models to study the impact of vehicle, occupant, driver and environmental characteristics on
34 injury outcomes for those involved in crashes with heavy-duty trucks. Results suggest that the
35 likelihood of fatalities and severe injury is estimated to rise with the number of trailers, but the
36 presence of an LCV can more than compensate for this trend. In addition, injury severity was
37 found to decrease when driving under well lit conditions, on freeways and in the absence of
38 grades.

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41 **Keywords:** Long-combination vehicles, crash severity, heavy duty truck safety,
42 heteroskedasticity, ordered probit

43
44 **INTRODUCTION**

45
46 Larger trucks can increase economic productivity by increasing cargo capacity per trip. This is
47 believed to result in reduced overall transportation and fuel costs and emissions due to fewer

48 truck trips (Caltrans, 2009). As a result, use long combination vehicles (LCVs) – defined as
49 large trucks with two or more cargo spaces (and at least one such cargo space longer than 28
50 feet) – is increasing, both in terms of total vehicle miles travelled (VMT) as well as proportion of
51 vehicles on U.S. highways (Abdel-Rahim et al., 2006). Nevertheless, truck size and weight
52 regulations, in large part motivated by safety concerns, have greatly limited the large scale
53 adoption of larger vehicles. The 1991 Intermodal Surface Transportation Efficiency Act (ISTEA)
54 froze LCV operations on interstates to only those authorized by state government before June 1,
55 1991. Currently, operation of three LCV configurations¹ is permitted on designated routes in
56 twelve states: Alaska, Arizona, Colorado, Idaho, Indiana, Kansas, Montana, Nevada, North
57 Dakota, Oklahoma, South Dakota and Utah. Other, specific configurations are permitted in on
58 selected routes in six other states (AASHTO, 1995; GAO, 1993).

59 Identifying factors which affect large-truck safety is essential for developing policies and
60 regulations that enable LCV operations without compromising safety and efficiency. The number
61 of large trucks involved in fatal and non fatal crashes increased by 5.9% from 2004 to 2007
62 (FMCSA, 2009), while VMT for these vehicles increased by 135% (FMCSA, 2009). In general,
63 analysis of LCV safety relative to other heavy-duty trucks (HDTs) has been difficult, due to a
64 lack of data involving LCVs (US GAO, 1992; US DOT, 2000; Craft, 1999).

65 This work examined hundreds of factors affecting crash severity for persons involved in
66 HDT crashes by analyzing records in the Large Truck Crash Causation Study Data (LTCCS),
67 provided by the Federal Motor Carrier Safety Administration (FMCSA) and National Highway
68 Traffic Safety Administration (NHTSA). Standard and heteroscedastic ordered probit (OP and
69 HOP) models were used to illuminate the impact of various truck, environmental and occupant
70 characteristics on injury outcomes.

71 The next section provides a detailed overview of related research and motivates the need
72 for this work. The model structure of the OP and HOP models is then discussed, along with
73 formulae for calculating marginal effects of control variables and data sets used. Finally, model
74 results and conclusions are provided.

75

76 **LITERATURE REVIEW**

77 Researchers have adopted two approaches to the study of large truck and LCV safety. The first
78 approach emphasizes operational characteristics and large truck design requirements, as
79 compared to other trucks and roadway geometry, in order to anticipate real-world safety impacts
80 (Caltrans, 1983, Harkey et al., 1996, Hanley et al. 2005, Glaeser et al., 2006, Debauche et al.,
81 2007, Renshaw, 2007, Knight et al., 2008). The second approach to large truck and LCV safety
82 evaluation involves analysis of actual crash rates and outcomes, in order to identify general
83 trends and relationships.

84 Based on the crash histories of multiple-trailer trucks, a USDOT (2000) study concluded
85 that trucks pulling more than two trailers are likely to be involved in 11% more crashes per mile
86 traveled than single trailer trucks, when both trucks are operated under similar conditions (US
87 DOT, 2000). However, LCVs carry more cargo, so their crash-rate per *ton-mile* can be
88 significantly lower. And crash-severity differences can go either way, as discussed below.

¹ The three LCV configurations operating in the U.S. are the Rocky Mountain Double (two trailers, with the first 48 feet long and second trailer 28.5 ft long), the Turnpike Double (two 48' trailers), and the Triple (three 28.5' trailers).

89 Truck length is a key variable. Vierth et al. (2008) conducted an analysis of 2003 to 2005
90 accident data in Sweden to check if the presence of longer trucks results in more overtaking-
91 related crashes and concluded that the increase in accident risk is not statistically significant and
92 is offset by truck-miles reductions (thanks to bigger cargos).

93 Campbell et al. (1989) surveyed 12 western states where LCV operations were permitted
94 and identified around 550 police-reported crashes involving LCVs. The accident rates were
95 found to be lower than what was expected for combination vehicles, either due to under-
96 reporting or the presence of operational restrictions on LCVs. Using the general estimates system
97 (GES) data from the National Highway Traffic Safety Administration (NHTSA) for the years
98 1989-1993, Wang et al. (1999) concluded that combination-unit-trucks enjoy significantly lower
99 crash rates as compared to passenger vehicles and single-unit trucks (at rates of 226
100 combination-unit crashes per 100 million miles traveled, versus 556 for passenger cars, 416 for
101 light-duty trucks, and 289 for single-unit trucks).

102 Using Alberta, Canada data from 1995 to 1998, Woodrooffe (2001) compared LCV
103 safety to that of other vehicle classes. He determined that the LCVs enjoy the lowest collision
104 rates (per mile-traveled) among all vehicle classes in that region, with fewer than 14 involved
105 LCVs per year. The number of LCV collisions that occurred in rural areas was roughly twice the
106 number of such incidents in Alberta's urban areas. Montufar et al. (2007) conducted a similar
107 study in the Alberta region from 1999 to 2005, to compare and contrast safety performance over
108 the study periods. Their work revealed LCVs to be the safest among all vehicle types, with just
109 40 collisions for every 100 million miles traveled plus the lowest injury and fatality rates.
110 Driving actions such as improper turning and lane change maneuvers and unsafe roadway
111 conditions such as presence of snow, ice, slush or rain where the major causes of LCV related
112 incidents (Montufar et al., 2007)). Rahim et al. (2006) obtained similar results from analyzing
113 LCV crash data in Idaho, Montana, Oregon and Utah.

114 Several European countries have been studying the feasibility of using Longer, Heavier
115 Vehicles (LHVs)² for freight transport. Debauche et al.'s (2007) safety survey of roughly 100
116 LHVs for the Dutch Ministry of Transport estimated LHVs to have similar levels of safety when
117 compared to heavy goods vehicles (HGVs)³ but slightly lower fatal injury crash counts (totaling
118 just 4 to 25 such crashes a year in the Netherlands). Motorists also did not report any decrease in
119 perceived safety level in the presence of a LHV, as opposed to a regular HGV.

120 While crash rates may be significantly lower, LCVs and combination trucks have been
121 found to result in higher casualty rates, per crash (Vierth et al., 2008; Zaloshnja et al., 2000), and
122 higher crash costs per incident (Zaloshnja et al., 2000; Wang et al., 1999). Forkenbrock et al.
123 (2003) used multiple classification analysis and automatic interaction detectors for a 1995-1998
124 Trucks Involved in Fatal Accidents (TIFA) data file, as maintained by the University of
125 Michigan Transportation Research Institute (UMTRI). They concluded that multiple-trailer
126 trucks have a higher likelihood of crash involvement when compared to a single-trailer trucks
127 under difficult driving conditions. Such conditions include darkness, snow on the road, and
128 moderate traffic volumes on reasonably high-speed facilities.

129 In the United Kingdom, Knight et al. (2008) found that 18.3% of traffic fatalities
130 involved one HGV, even though they accounted for less than 6% of VMT. The three main
131 factors affecting fatal-outcome likelihood were found to be collision speed, mass of the two

² LHVs are vehicles longer than 54 ft. and heavier than 44 metric tonnes (RoadTransport, 2009).

³ HGVs are those vehicles weighing more than 3500 kg, or 7714 lbs (ERSO, 2009).

132 vehicles, and type of impact. Of course, the higher the collision speed, the more severe the crash.
 133 Interestingly, as the ratio of vehicle masses increases beyond 50:1 (as is generally the case with
 134 LHVs), there was no significant change in incident severity for the passenger vehicle occupants
 135 – assuming there are no secondary incidents. The likelihood of death for an HGV occupant is
 136 low, as long as the truck can absorb some of the crash impact (as is the case with most HGV-
 137 passenger car accidents). Knight et al. (2008) noted that the presence of Collision Mitigating
 138 Braking Systems (CMBS) has the potential to reduce heavy vehicle crash frequencies by up to
 139 75%, and an even greater percentage for LHVs (Grover et al., 2007; Knight et al., 2008).

140 By extrapolating the UK casualty rate data, Knight et al. (2008) concluded that casualty
 141 risks will increase with the number of axles. However, they acknowledge that the methodology
 142 they adopted significantly overestimates LHV risks. No trends were observed when fatality rates
 143 were extrapolated over gross vehicle weights. They also concluded that LHVs are more likely to
 144 be involved (around 5 to 10% more) in severe accidents as compared to standard trucks,
 145 assuming that no additional safety measures are employed in LHV use.

146 Recently, Knipling et al. (2008) used the U.S.'s Large Truck Crash Causation Study
 147 (LTCCS), which contains information on 963 crashes involving 1,241 trucks between 2001 and
 148 2003, to compare combination-truck and single-unit truck crashes. They examined 44 variables
 149 characterizing crash type, driver characteristics, driving environment and vehicle type. The
 150 percentage of crashes in dark conditions was found to be three times higher for combination
 151 trucks when compared to single-unit trucks.

152 In general, no study has been able to conclusively determine whether larger trucks
 153 decrease safety levels overall. Much analysis has been based on simple rate comparisons and
 154 univariate or bivariate cross-tabulations. This paper uses ordered probit models to analyze injury
 155 severity for crashes involving at least one truck with a gross vehicle weight rating over 10,000
 156 pounds. Such models have been used to analyze crash severity of automobile crashes (Khattak et
 157 al., 1998, 2002; Kockelman and Kweon, 2002, 2003; Abdel Aty, 2003; Khattak and Rosa, 2003),
 158 with O'Donnell and Connor (1996) and Wang and Kockelman (2005) using heteroscedastic
 159 ordered probit and logit models to analyze injury severity. The next section describes the model
 160 specification used in this study.

161 **MODEL STRUCTURE**

162 A standard ordered probit (OP) model assumes that ordinal discrete responses can be modeled
 163 using a latent continuous variable expressed as a function of explanatory variables and an error
 164 term, as follows:

$$165 \quad U_i = X_i' \beta + \xi_i \quad \forall i = 1, \dots, N \quad (1)$$

166 where i is an index for an observation or individual, U_i represents the latent continuous
 167 dependent variable, X_i is vector of explanatory variables, β is a column vector of coefficients (to
 168 be estimated), and ξ_i is an error term representing all unobserved characteristics affecting the
 169 crash outcome. In OP models, ξ_i is modeled as a random variable following an i.i.d standard
 170 normal distribution for all observations.

171 The observed variable y_i for the i^{th} observation can take ordinal discrete values ranging
 172 from 1 to S . The observed variable y_i is related to the continuous latent variable U_i as follows:

$$173 \quad y_i = s \quad \text{if} \quad \mu_{s-1} < U_i < \mu_s \quad s = 1, \dots, S \quad (2)$$

174 Where μ_s denotes the boundary points or thresholds for the latent continuous variable U_i such
 175 that $\mu_0 < \mu_1 < \dots < \mu_s$. For the purpose of statistical identification and assuming that the

177 explanatory variables contain a constant term, $\mu_0 = -\infty$, $\mu_1 = 0$ and $\mu_s = \infty$. The probability of
 178 observed variable y_i taking an outcome value s is given by:

$$179 \quad P(y_i = s) = \Phi(\mu_s - X_i' \beta) - \Phi(\mu_{s-1} - X_i' \beta) \quad (3)$$

180 where $\Phi(\cdot)$ represents the standard-normal cumulative distribution function.

181 In many cases, error terms may not be homoscedastic, and their variance may be
 182 parameterized as a function of covariates. In such cases, a heteroscedastic ordered probit (HOP)
 183 model is used, where the variance of observation i 's error term, σ_i^2 , is expressed as follows:

$$184 \quad \sigma_i^2 = [\exp(Z_i' \gamma)]^2 \quad (4)$$

185 In the above equation, Z_i' and γ represent vectors of explanatory variables and their
 186 associated coefficients, respectively. The probability of observed variable y_i taking an outcome
 187 value s is given by:

$$188 \quad P(y_i = s) = \phi\left(\frac{\mu_s - X_i' \beta}{\sigma_i}\right) - \phi\left(\frac{\mu_{s-1} - X_i' \beta}{\sigma_i}\right) \quad (5)$$

189 The coefficients of either model (OP or HOP) can be estimated by maximizing the
 190 likelihood function shown below:

$$191 \quad L = \prod_{s=1}^S \prod_{i=1}^n \left(\phi\left(\frac{\mu_s - X_i' \beta}{\sigma_i}\right) - \phi\left(\frac{\mu_{s-1} - X_i' \beta}{\sigma_i}\right) \right)^{w_{is}} \quad (6)$$

192 where w_{is} represents the population expansion factor (or crash-record weight, as provided by the
 193 LTCCS data) for the i^{th} observation having an outcome s . All $\sigma_i = 1$ in the OP case.

194 This paper looks at factors affecting the maximum injury severity level associated with a
 195 crash and the injury severity of all affected individuals. For both the models the Maximum
 196 Abbreviated Injury Scale (MAIS) is used to describe the outcomes.

$$197 \quad y_i = 0 \quad (\text{no injury}) \text{ if } U_i \leq \mu_1 = 0$$

$$198 \quad y_i = 1 \quad (\text{no visible injury, only pain reported}) \text{ if } 0 \leq U_i \leq \mu_2$$

$$199 \quad y_i = 2 \quad (\text{non-incapacitating injury}) \text{ if } \mu_2 \leq U_i \leq \mu_3$$

$$200 \quad y_i = 3 \quad (\text{incapacitating injury}) \text{ if } \mu_3 \leq U_i \leq \mu_4$$

$$201 \quad y_i = 4 \quad (\text{death}) \text{ if } U_i \geq \mu_4 \quad (7)$$

202 In the standard OP model positive coefficient estimates (β) indicate that increases in the
 203 associated explanatory variable increase the likelihood of a fatal outcome (and reduce the
 204 likelihood of a no-injury outcomes), whereas negative coefficients suggest the reverse. The
 205 marginal effect of each variable (x_i) on individual probabilities of all five outcomes can be
 206 calculated as follows:

$$207 \quad \frac{\partial P(y = s)}{\partial x_i} = -[f(\mu_s - \bar{X}\beta) - f(\mu_{s-1} - \bar{X}\beta)]\beta_i \quad (8)$$

208 where \bar{X} is the population-weighted average vector of all explanatory variables, f is the
 209 probability density function for the standard normal distribution, and β_i is the coefficient
 210 interacted with variable x_i . For indicator variables ($x_i = [0,1]$), marginal affect is the difference
 211 in probabilities at $x_i = 1$ versus $x_i = 0$, and all other variables fixed at their average values.

212 In the case of an HOP model, variables can affect the spread of the latent variable U_i , not
 213 just its location, so positive versus negative signs on coefficients (β) are not as telling, in terms
 214 of the likelihood of fatal versus no-injury outcomes. One must evaluate the standard deviation
 215 implied by changes in x_t , before characterizing the overall impact. Marginal effects can be
 216 estimated as follows:

$$217 \quad \frac{\partial P(y = s)}{\partial x_t} = \left[f\left(\frac{\mu_s - \bar{X}\beta}{\bar{\sigma}}\right) - f\left(\frac{\mu_{s-1} - \bar{X}\beta}{\bar{\sigma}}\right) \right] \frac{\beta_t}{\bar{\sigma}} (\gamma_t \bar{x}_t - 1) - \left[\mu_s f\left(\frac{\mu_s - \bar{X}\beta}{\bar{\sigma}}\right) - \mu_{s-1} f\left(\frac{\mu_{s-1} - \bar{X}\beta}{\bar{\sigma}}\right) \right] \frac{\gamma_t}{\bar{\sigma}} \quad (9)$$

218 In the above expression $\bar{\sigma}$ is the weighted average of variance and γ_t represents the
 219 coefficients of variable x_t in explaining the variance (as per Eq. 4). If the variable x_t is not a
 220 covariate in explaining response variance, then the marginal effect expression reduces to the
 221 following:

$$222 \quad \frac{\partial P(y = s)}{\partial x_t} = \left[f\left(\frac{\mu_s - \bar{X}\beta}{\bar{\sigma}}\right) - f\left(\frac{\mu_{s-1} - \bar{X}\beta}{\bar{\sigma}}\right) \right] \frac{\beta_t}{\bar{\sigma}} \quad (10)$$

223 The effect of binary indicator variables is calculated the same way as in OP, by
 224 evaluating simple differences in probabilities.

225 Of course, the HOP model specification is more flexible than the OP, since it allows the
 226 variance term to vary for each observation. The OP is a special case, where all γ_t are effectively
 227 zero (other than a constant). Wang and Kockelman (2005) used a similar specification for
 228 heteroscedastic ordered logit models of crash outcomes (with mostly light-duty vehicles) and
 229 found outcome variance (and thus outcome uncertainty) to rise with speed limit, and vary as a
 230 function of vehicle weight and vehicle type (with pickup trucks exhibiting higher uncertainty in
 231 all contexts, but weight and other vehicle types having different impacts depending on whether
 232 the crash involved one or two vehicles). O'Donnell and Conner (1996) found speed limit to
 233 increase variance and thus outcome uncertainty.

234

235 DATA DESCRIPTION

236 The data used here come from the Large Truck Crash Causation Study Data (LTCCS), collected
 237 by the United States' Federal Motor Carrier Safety Administration (FMCSA) and National
 238 Highway Traffic Safety Administration (NHTSA). These include crashes involving at least one
 239 truck with a gross vehicle weight rating over 10,000 pounds. Trained staff from NHTSA's
 240 National Automotive Sampling Scheme (NASS) and state truck inspectors collected the LTCCS
 241 crash data in 24 data collection sites across 17 states between 2001 and 2003. The data collection
 242 efforts involved interviews with drivers, passengers and witnesses.

243 Two collection sites were selected from each of the nation's 12 geographic areas. These
 244 areas were defined by four broad regions (northeast, midwest, south and west), each broken into
 245 three central city, large county and county-group categories (as described in the LTCCS
 246 Codebook⁴). Analysts estimated a weight for each crash record to indicate how the data set can
 247 be expanded to provide a reasonably representative sample of the nation's large-truck crashes.
 248 These weights are included in the likelihood functions (Eq. 6) maximized here.

⁴ The Codebook can be found at http://152.122.44.126/ltccs/data/documents/LTCCS_Codebook.pdf.

249 Two response variables were of interest here, resulting in two different data sets. The first
 250 was crash-based, and used to analyze the *maximum* injury severity suffered by any person
 251 involved in that crash. The second was person-based and used to study the injury severity of each
 252 involved person. Explanatory variables include a great variety of driver, environmental, and
 253 vehicle attributes. When multiple trucks were involved in a crash, the variables associated with
 254 the “largest truck” and its driver were used. Largest truck was defined as the truck having the
 255 most trailers (and then, in the event of a tie, the longest truck, and then the heaviest truck
 256 [according to GVWR]). In studying the maximum injury severity, 785 observations were used
 257 (after deleting 26% records for which variables were missing). In the model of occupant injury
 258 severity, 2236 observations were used, after removing 26% of the records due to missing data.

259 As Table 1 values indicate, in the first data set (maximum injury in a crash), 86% of all
 260 cases experienced an injury, with fatalities for 19.2 % of records. In the second data set, injuries
 261 were observed for 60.7% of the observations, and fatalities for 8.6 % fatalities (unweighted
 262 proportions). Tables 2 and 3 provide summary statistics for all variables used in the study.

263 Data on driver, occupant, truck and environmental characteristics were examined in a
 264 variety of initial model formulations. Interesting variables which had a significant number of
 265 missing values include truck weight and speed (preceding crash). Removing such records (up to
 266 50% of the data set) generally lead to weaker model specifications, where a number of other
 267 variables became statistically insignificant (due to reduced sample size). Other variables
 268 considered, but found to be statistically insignificant, include truck length, driver height and
 269 weight, driver familiarity with the road and truck being driven, work-pressure related variables,
 270 existence of sight restrictions and blind spots, and presence of a horizontal curve (at or preceding
 271 the crash location). Note that the effect of truck length and weight can be correlated, and these
 272 effects were to some extent captured by the number of trailer variables.

273

274 ANALYSIS OF RESULTS

275 The final OP and HOP models (each with same set of explanatory variables were compared
 276 using Likelihood Ratio (LR) tests (Green, 2002), to ascertain whether the HOP’s added
 277 flexibility was not useful to prediction, as follows:

278

$$279 \quad LR_{MaxInj} = -2(\ln L_{OP} - \ln L_{HOP}) = -2(-907.542 - (-891.23)) = 32.62 > \chi_8^2$$

$$280 \quad LR_{MaxInj} = -2(\ln L_{OP} - \ln L_{HOP}) = -2(-3173.64 - (-3196.73)) = 46.18 > \chi_8^2$$

281

282 Thus, the null hypothesis ($\gamma = 0$) can be rejected at a significance level of 0.05 for both
 283 the maximum injury severity model and the injury severity model. The effect of
 284 heteroscedasticity cannot be neglected, and the HOP model specifications are preferred here.
 285 Their results are discussed at length below.

286

287 Maximum Injury Severity Model

288 Table 4 shows all parameter estimates for both the HOP and OP models of maximum injury
 289 severity. The signs on coefficients are same for both sets of explanatory variables, but
 290 magnitudes do differ. In the HOP model almost all variables enjoy higher statistical significance
 291 than their OP-model counterparts.

292 Higher truck counts, and more lanes are estimated to shift the latent injury response
 293 variable (U_i) to lower severity, and both these variables are associated with higher response

294 variance. While number of trailers are associated with more severe latent response mean value,
295 it brings down outcome variance. Non-bright conditions are estimated to raise both severity and
296 variance, while freeway crash location is estimated to reduce both. One possible explanation for
297 such results is that higher speed variations emerge at nighttime and along freeways, resulting in
298 greater uncertainty in crash outcomes (e.g., Kockelman and Ma, 2004). Interestingly, wet
299 conditions are associated with less severe crashes and sag curves with more severe crashes, with
300 neither exhibiting a statistically significant effect on response variance. Wang and Kockelman
301 (2005) and Khattak et al. (2002) have made similar observations for the wetness condition.

302 To appreciate the magnitude of effect of each of these variables, as well as the net result
303 of all variables having effects on both mean and variance, one can turn to Eq. 9's estimates.
304 Table 5 provides estimates of the marginal effect of all explanatory variables. According to
305 Table 5 values, the necessity of speeding (SpeedingValid=1) and bright light crash conditions
306 appear to be the most practically significant, and severe-injury reducing, variables (with fatality
307 probability reductions of 94% and 151%, respectively). Others have found such results for
308 lighting (see, e.g., Khattak et al., 2002; and Abdel-Aty et al., 2003).

309 As shown, incapacitating injury and fatal outcomes are less likely with more involved
310 trucks perhaps due to better balance in vehicles' sizes and weights. The risk of severe injury and
311 fatality rise with the number of trailers on the largest truck, yet such probabilities fall (by 18%
312 and 46%, respectively) when the largest truck is an LCV. The LCV indicator appears to be so
313 helpful, in fact, that its fatality-reducing effect is only offset by having at least two trailers on the
314 truck. This may be due to LCVs traveling at more regulated speeds, on higher-design and pre-
315 approved facilities, with better-trained drivers than the average HDT.

316 As one might expect, the likelihoods of incapacitating injury and fatality rise rather
317 significantly (by 20% and 82%, respectively) when drugs is involved (i.e., at least one of the
318 involved persons had tested positive). The likelihood of an incapacitating injury was also
319 estimated to rise significantly (by 48%) when the driver of the largest truck reported being
320 emotionally stressed (due to family, health or other reasons).

321 The likelihood of fatalities is estimated to increase by 52% when the road is an
322 undivided, two-way facility. Similar observations have been made in other crash studies (Wang
323 and Kockelman, 2005) for crashes of all types, where the presence of barriers decreased injury
324 severity. The probability of fatality also is estimated to fall as the number of lanes increase
325 (28%) and when the roadway is an urban or rural freeway (57% and 53%, respectively). This is
326 probably more lanes and freeway status accompany higher design standards (including wider
327 clear zones, better pavements, and the like).

328 Finally, the presence of sag or a crest curve is estimated to increase the likelihood of a
329 fatality by 54%. This may be because sight distances are reduced on vertical curves, and HDTs
330 and LCVs have difficulty keeping speeds in synch with nearby vehicles. Similar results have
331 been observed in Wang and Kockelman (2005) and Dissanayake and Lu (2002), where grades
332 were found to increase injury severity in both one- and two-vehicle crashes.

333

334 **Injury Severity Model**

335 Table 6 provides estimates for the injury severity model for all involved persons, with marginal
336 effects in Table 7. As in the maximum injury severity model, the number of involved HDTs is
337 predicted to increase injury severity, while the likelihood of fatality falls with an increase in
338 number of lanes and when the facility is a freeway. However, the variance of the outcome
339 increases with number of lanes and decreases if it is a freeway – in contrast to the effects

340 observed in the maximum injury severity model results. LCV involvement was found to
341 increase the probability of fatality yet reduce outcome variance. This also stands in contrast to
342 effects anticipated in the maximum injury severity model.

343 Similar to the maximum injury severity model, the likelihood of fatality increases when
344 the crash occurs at a crest or sag, or lighting is inadequate. As shown in Table 7, the presence of
345 a sag or crest is estimated to decrease the probability of no injury by 0.13 (or 32%) and increase
346 the probability of incapacitating injuries by 0.10 (or 48%) and fatality by 0.04 (a striking 132%).
347 The probabilities of fatal injury and incapacitating injury are estimated to rise by 0.01 (or 80%)
348 and 0.14 (or 20%), respectively, when there is inadequate lighting.

349 In terms of new variables found in this form of the model (versus a focus on maximum
350 injury sustained), there are several to highlight. For example, the likelihood of incapacitating and
351 fatal injuries falls significantly (by 32%) with use of ITS equipment such as headway detection,
352 side object detection and rollover warning. This is consistent with results by those who
353 recommend using technology to increase truck safety (e.g., Knight et al, 2008; and Debauche et
354 al., 2007). However, an increase in ITS equipment use is estimated to increase outcome variance,
355 perhaps because much of the technology goes unexploited?

356 Crash-involved males also enjoy lower likelihoods of injury and death, as compared to
357 women (e.g., 66% lower likelihood of no injury), and as confirmed in various other studies (e.g.,
358 Wang and Kockelman, 2005; Farmer et al., 1997; and Bedard et al., 2002). However, a male's
359 outcome tends to be more variable. Interestingly, the presence of flow restrictions (such as work
360 zones, prior crash conditions, and existing congestion) was found to decrease risk of fatality by
361 51%, perhaps due to greater driver care and lower speeds (and more synchronized speeds, across
362 vehicles) in such sections. Finally, wet conditions are associated with less severe crashes and do
363 not seem to have a statistically significant effect on response variance. Wang and Kockelman
364 (2005) and Khattak et al. (2002) have made similar observations for the wetness condition.

365

366 **CONCLUSIONS**

367 The paper analysis examines the impact of environmental, driver and truck related factors on
368 injury severities resulting from large truck crashes by analyzing the Large Truck Crash
369 Causation Study Data (LTCCS). Two statistical regression models were developed studying both
370 the maximum injury severity from a crash and the injury severity of all affected persons. Ordered
371 probit (OP) and heteroscedastic ordered probit (HOP) were examined, with likelihood ratio test
372 results favoring the HOP specifications.

373 The results of the two models were often at odds. For example, the likelihood of a
374 crash's maximum sustained injury being a fatality was estimated to fall with the number of
375 involved trucks and with an LCV in the mix, while injury severity of any involved person was
376 found to increase. These probabilities were estimated to rise and fall with number of trailers (on
377 longest/largest crash-involved truck). A driver's emotional state and presence of drugs increased
378 risks. Presence of a median or other barrier (to separate opposing flows) and freeway designation
379 reduced injury risks, while the inadequate lighting and the presence of vertical curves increased
380 these. Flow restrictions and wet driving conditions also were found to reduce injury severity.

381 Various researchers have found that LCVs enjoy lower crash rates than other HDTs (e.g.,
382 Woodrooffe,, 2001; and Montufar, 2007). Once one conditions on crash likelihood, one can
383 evaluate the severity of such crashes, as done here. While the maximum-injury model results
384 provided here suggest that LCVs are not associated with more severe and fatal injury crashes,

385 such vehicles are associated with more severe injuries overall (once a crash has occurred), across
386 all crash involved persons, ceteris paribus.

387 In addition, injury severity is found to fall under well lighted conditions, on limited
388 grades, and along well designed highways (such as freeways). Such design conditions as well as
389 a number of other attributes are estimated to more than offset any LCV-associated increases in
390 outcome severity, once a crash has occurred. Taken all together, the literature and these results
391 suggest that LCVs vehicles deserve closer consideration, particularly if they offer opportunities
392 for lowered transport costs and energy use without negatively impacting pavements, bridges, and
393 other infrastructure elements.

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TABLE 1: Injury Severity

Outcome	y	Max. Injury Severity Model		Injury Severity Model	
		Frequency	Percentage	Frequency	Percentage
No Injury	0	10	1.3%	917	39.3%
Injury - Not Visible	1	100	12.7	367	15.7
Injury – Non-Incapacitating	2	262	33.4	490	21
Injury - Incapacitating	3	262	33.4	360	15.4
Death	4	151	19.2	202	8.6

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TABLE 2: Descriptive Statistics of Variables Used in Maximum Injury Severity Model

Variable Name	Variable Description	Min	Max	Mean	Std Dev
TruckCount	Number of trucks involved in Crash	1	5	1.16561	0.495
iCrashDrug	Indicator variable to denote involvement of drugs	0	1	0.61656	0.48653
NumTrailer	Number of trailers of the largest truck	0	2	0.81146	0.515
iLCV	largest truck is an LCV where an LCV is defined as a truck with two or more trailers and longer than 25 m	0	1	0.02166	0.14565
iEmotional	Indicator variable to denote if the truck driver was emotionally distressed	0	1	0.01274	0.11222
iUnDividedTwoWay	Indicator variable to denote if the crash occurred on an undivided two way	0	1	0.4051	0.49122
iNumLanes	Number of lanes of the facility	1	7	3.1172	1.31185
iNotBrightLight	Indicator variable to denote if the crash did not occur in bright daylight	0	1	0.26242	0.44023
iRuralFreeway	Indicator variable for rural freeway	0	1	0.13248	0.33923
iUrbanFreeway	Indicator variable for urban freeway	0	1	0.42803	0.49511
SpeedingValid	Indicator variable to denote if the driver had a valid reason for speeding	0	1	0.20764	0.40588
iSag	Indicator variable to denote if the crash occurred on a sag curve	0	1	0.02293	0.14978

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542**TABLE 3: Descriptive Statistics of Variables Used in Injury Severity Model**

Variable Name	Variable Description	Min	Max	Mean	Std Dev
iMale	Indicator for male driver	0	1	0.75257	0.495
TruckCount	Number of trucks involved in crash	1	5	1.22517	0.48653
NumTrailer	Number of trailers of the largest truck	0	2	0.81293	0.14565
iLCV	Indicator variable to denote if the largest truck is an LCV where an LCV is defined as a truck with two or more trailers and longer than 25 m	0	1	0.01969	0.11222
NumITSCount	Number of ITS equipments on the largest truck	0	3	0.03682	0.49122
iFlowRestriction	Indicator variable to denote if there was any flow restriction on the facility	0	1	0.18193	1.31185
iNumLanes	Number of lanes of the facility	1	6	3.24015	0.44023
iNotBrightLight	Indicator variable to denote the accident did not occur in daylight	0	1	0.25043	0.33923
iFreeway	Indicator variable for freeway	0	1	0.58776	0.49511
iWet	Indicator variable to denote wet conditions	0	1	0.16182	0.40588
iSag	Indicator variable to denote sag or crest curve	0	1	0.02183	0.14978

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562**TABLE 4: Results of the Maximum Injury Severity Model**

Variable	HOP		OP	
	Coeff.	t-ratio	Coeff.	t-ratio
Constant	2.19448		2.33662	
TruckCount	-0.19441	1.17	-0.17553	1.17
iCrashDrug	0.25557	.6166	0.27937	.6166
NumTrailer	0.24033	.8115	0.27015	.8115
iLCV	-0.25108	.0217	-0.3532	.0217
iEmotional	0.31632	.0127	0.41878	.0127
iUnDividedTwoWay	0.18168	.4051	0.16662	.4051
iNumLanes	-0.03843	3.1172	-0.04112	3.1172
iNotBrightLight	0.18454	.2624	0.19944	.2624
iRuralFreeway	-0.35049	.1325	-0.32095	.1325
iUrbanFreeway	-0.50801	.4280	-0.56743	.4280
SpeedingValid	-0.48772	.2076	-0.5175	.2076
iSag	0.69812	.0229	0.84766	.0229
Variance				
TruckCount	0.07335	1.17		
NumTrailer	-0.07064	.8115		
iEmotional	-0.41861	.0127		
NumLanes	-0.04419	3.1172		
iNotBrightLight	0.16051	.2624		
iUrbanFreeway	0.114	.4280		
SpeedingValid	-0.29315	.2076		
ISag	-0.45181	.0229		
Thresholds				
μ_0	0	-	0	-
μ_1	0.95969	13.95	1.00556	17.92
μ_2	1.91485	29.643	2.08192	40.21
μ_3	3.52671	31.901	3.8323	49.24
Log L	-891.23		-907.54	
Num Observation	785			

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TABLE 5: Marginal Effects for HOP Model of Maximum Injury Severity

Variable	Difference in Probabilities				
	p(y=0)	p(y=1)	p(y=2)	p(y=3)	p(y=4)
TruckCount	0.00742	0.01793	-0.009	-0.02458	0.00823
iCrashDrug	-0.01158	-0.05099	-0.04916	0.08699	0.02473
NumTrailer	-0.01061	-0.03337	-0.00806	0.05154	0.00051
iLCV	0.01397	0.05408	0.04151	-0.08921	-0.02035
iEmotional	-0.01558	-0.10557	-0.08618	0.23198	-0.02465
iUnDividedTwoWay	-0.00732	-0.03468	-0.03732	0.06018	0.01914
NumLanes	0.00183	0.01851	0.03587	-0.0359	-0.0203
iNotBrightLight	0.00604	-0.00954	-0.06739	0.02097	0.04992
iRuralFreeway	0.02007	0.07579	0.05652	-0.12426	-0.02812
iUrbanFreeway	0.03584	0.11489	0.06874	-0.18684	-0.03264
SpeedingValid	-0.00204	0.07005	0.17322	-0.17849	-0.06274
iSag	-0.01661	-0.12055	-0.24192	0.35491	0.02417

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TABLE 6: Results of the Injury Severity Model

Variable	HOP		OP	
	Coeff.	t-ratio	Coeff.	t-ratio
Constant	1.47662	25.4706	0.95577	10.5266
iMale	-0.53246	-21.3743	-0.33452	-6.42869
TruckCount	-0.24324	-9.46928	-0.12576	-3.24762
NumTrailer	0.12015	4.18252	0.06688	1.38027
iLCV1	0.38336	3.80239	0.21414	1.26683
NumITSCount	-0.94744	-8.09042	-0.44407	-2.51474
iFlowRestriction	-0.57633	-17.4541	-0.40862	-6.18125
NumLanes	-0.12342	-11.4386	-0.073	-3.85189
iNotBrightLight	0.2125	6.61476	0.16286	3.0109
iFreeway	-0.11435	-4.58303	-0.09849	-2.00747
iWet	-0.307	-11.6764	-0.22048	-3.76836
iSag	0.52297	10.7601	0.35845	2.54166
Variance				
iMale	0.27108	6.06745		
TruckCount	0.08873	2.36851		
iLCV1	-0.14967	-1.2351		
NumITSCount	0.24303	1.31963		
NumLanes	0.02415	1.30231		
iNotBrightLight	0.06425	1.23372		
iFreeway	-0.11012	-2.37037		
Thresholds				
μ_0	0	-	0	-
μ_1	0.45006	13.52	0.31678	18.89
μ_2	1.27388	16.94	0.90564	35.59
μ_3	2.98778	19.13	2.14353	42.45
Log L		-3173.64		-3196.73
Num Observation	2236			

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TABLE 7: Marginal Effects of HOP Model for Injury Severity

Variable	Difference in Probabilities				
	p(y=0)	p(y=1)	p(y=2)	p(y=3)	p(y=4)
iMale	0.18348	-0.0245	-0.08274	-0.08375	0.00751
TruckCount	0.05978	-0.01028	-0.02652	-0.0252	0.00222
NumTrailer	-0.13473	-0.10958	0.02856	0.0857	0.02249
iLCV1	-0.12809	0.01109	0.05	0.0666	0.0004
NumITSCount	0.25889	-0.02674	-0.09281	-0.12955	-0.00979
iFlowRestriction	0.16072	-0.00411	-0.05305	-0.08985	-0.01371
NumLanes	0.03137	-0.00257	-0.01042	-0.01646	-0.00192
iNotBrightLight	-0.05129	-0.00928	-0.00054	0.04146	0.01965
iFreeway	0.02219	0.0141	0.01264	-0.02932	-0.01961
iWet	0.08553	-0.00072	-0.03271	-0.04668	-0.00543
iSag	-0.13624	-0.0099	0.00517	0.10097	0.04

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