

# Preaching to the Converted? From Constructivism to Neuroconstructivism

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**1** **2** ABSTRACT—*Xx xxxxxx*.

**3** KEYWORDS—*xxx; xxx; xxx; xxx; xxx; xxxxx*.

**4** Spencer and colleagues (p. xxx) have produced an article that all students of developmental neuroscience should read. Their ideas resonate with Piaget's constructivism (Piaget, 1967) and more recent versions of neuroconstructivism (Bates et al., 1998; Elman et al., 1996; Johnson, 2001; Karmiloff-Smith, 1992, 1998, 2007; Karmiloff-Smith, Plunkett, Johnson, Elman, & Bates, 1998; Mareschal et al., 2007). Even though I believe that Piaget was wrong about stages (Karmiloff-Smith, 1992), his was truly a middle-ground epistemological position, which stressed that nativism was a theoretical cop-out—he was particularly critical of Plato, Descartes, and Kant—and that the search for a start state was a static enterprise giving rise to infinite regress because, in the deepest sense, there is no absolute “beginning” (Piaget, 1967). And neuroconstructivists have consistently argued for Spencer and colleagues' central theme—for an epigenetic process that entails cascades of interactions across multiple levels of causation from genes to environments (e.g., Cornish, Scerif, & Karmiloff-Smith, 2007; Elman et al., 1996; de Haan, Humphreys, & Johnson, 2002; Johnson, 2001; Johnson, Halit, Grice, & Karmiloff-Smith, 2002; Johnson & Morton, 1991; Karmiloff-Smith, 1998, 2006, 2007). Although the converted will agree with much of the content of Spencer et al. interesting position, the article may seem obvious to them, and it may come across as frustrating to the as-yet-unconverted because, although it rightly criticizes others' claims of innateness, it runs the risk of appearing to nativists as yet another example of empiricism in disguise. Indeed, despite its accent on dynamical systems and its

excellent discussions of examples from ethology and human psychology, the article seems to end up opting for two domain-general learning mechanisms of equivalent status: statistical learning and associative learning. Are these the only mechanisms that enable a system like the brain to reach its emergent adult state through the dynamic processes of development?

Other human examples might have strengthened Spencer et al. case. For example, nativists have frequently used genetic disorders in children to bolster their claims (e.g., Baron-Cohen, 1998; Castles & Coltheart, 1993; Duchaine, 2006; Gopnik, 1997; Leslie, 1992; Temple, 1997; Young & Ellis, 1989). The notion that the mind/brain comprises relatively independently functioning modules may be to some extent true for the adult brain once it has become fully specialized, a position illustrated by cases of acquired domain-specific deficits when focal damage has occurred. However, the extension by nativists of this type of thinking to typically and atypically developing infants in terms of innately specified, intact, or impaired modules, is unwarranted. Despite this, many researchers in the field of genetic syndromes continue to explain developmental disorders in terms of the “boxology” model of adult neuropsychology, in which the brain's functioning is represented by a series of boxes and arrows, with impaired boxes crossed through. Uneven neuropsychological profiles are divided into separated boxes for number, face processing, space, semantics, syntax, and so forth, each processed within a purported specialized region of the brain. This ignores the dynamic processes of development—how the adult brain *becomes* the way it is. In line with Spencer et al. arguments, it is clear that human intelligence is not a state, that is, not a collection of static, built-in modules that start out intact or impaired, but a process, that is, the emergent property of dynamic multidirectional interactions between genes, brain, cognition, behavior, and environment (see discussion in Karmiloff-Smith, 1998).

Timing also plays a critical role in the cascading, interacting processes that characterize gradual developmental change. For example, infants and toddlers with Williams syndrome (WS) are very impaired early on in planning saccadic (quick and

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1 simultaneous) eye movements (Brown et al., 2003), which affects  
 2 their subsequent ability to follow pointing (Laing et al., 2002),  
 3 which in turn reduces their ability to use parental referential  
 4 pointing to learn vocabulary. So, although later in development  
 5 their language becomes proficient (not “intact,” Karmiloff-Smith  
 6 et al., 1997; as some claim), language in toddlers with WS is  
 7 initially extremely delayed with an atypical developmental  
 8 trajectory (Annaz, Karmiloff-Smith, & Thomas, in press; Pater-  
 9 son, Brown, Gsodl, Johnson, & Karmiloff-Smith, 1999). Thus, a  
 10 problem with the *visual* system, together with other contributing  
 11 factors (Masataka, 2001; Nazzi, Paterson, & Karmiloff-Smith,  
 12 2003), dynamically influences the way in which *auditory* stimuli  
 13 are acquired. Because a critical vocabulary mass is necessary  
 14 before syntax can take off, this in turn seriously delays grammat-  
 15 ical development. Moreover, the failure to plan efficient saccadic  
 16 eye movements affects more than just the early acquisition of  
 17 language. Individuals with WS also turn out to be predominantly  
 18 featural processors, which is obvious from both brain and  
 19 behavioral studies (Grice et al., 2001, 2003; Karmiloff-Smith  
 20 et al., 2004; Mills et al., 2000). A possible explanation for this is  
 21 that, in the typical case, rapid configural processing emerges  
 22 from REM planning, whereas, in the atypical WS case, the fact  
 23 that infants remain fixated on stimuli such as faces leads to a  
 24 focus on featural detail.

25 In addition to supporting their claims of core knowledge and  
 26 built-in modules with atypical development of genetic origin,  
 27 nativists also depend on data from early typical development.  
 28 Indeed, when researchers detect a behavioral proficiency in typi-  
 29 cally developing infants within the first few months of life, they  
 30 rarely seek an explanation in terms of an early capacity for learn-  
 31 ing. Rather, they claim that infants are born with innately speci-  
 32 fied core knowledge or domain-specific principles for that  
 33 particular competence: number (Gelman & Butterworth, 2005),  
 34 face processing (Duchaine, 2006), language (Pinker, 1999), spa-  
 35 tial cognition (Hermer & Spelke, 1996), knowledge of the con-  
 36 straints governing the physical world (Spelke, 2005), and so  
 37 forth. Some (e.g., Piatelli-Palmarini, 2001) totally deny that  
 38 learning has any explanatory power. For others (e.g., Spelke &  
 39 Kinzler, 2007), learning does occur, but it is highly constrained  
 40 and can take place only if it is based on earlier core, domain-  
 41 specific *knowledge*, or what we have elsewhere termed “representa-  
 42 tional innateness” (Elman et al., 1996). Yet, as Spencer  
 43 and colleagues’ article eloquently shows, detection of statistical  
 44 regularities and learned associations from processing the input  
 45 can go a long way toward getting a system off the ground without  
 46 the need for specific, built-in knowledge.

47 Unlike Spencer and colleagues, I personally find it difficult to  
 48 congratulate Spelke and Kinzler (2007) for having moved to a  
 49 middle ground. After all, Spelke and Kinzler continue to argue  
 50 for a nativist stance, that is, “domain-specific separable systems  
 51 of core knowledge” (p. 257), even if the number of these innately  
 52 specified core knowledge systems is now small. However, with  
 53 their dichotomous reading of current theories (either a blank

slate or innate core knowledge; see also Pinker, 2006), we might  
 forgive Spencer et al. for judging Spelke and colleagues’ position  
 to be one that actually embraces a form of empiricism: Two dual  
 learning mechanisms of equivalent status (statistical and associa-  
 tive learning) invoked to explain all learning. Has nothing chang-  
 ed over evolutionary time or across species? Does the human  
 infant start out with no biases that could lend themselves to dif-  
 ferential processing of different types of input? Is ontogenesis  
 entirely unchanneled?

I and my colleagues have been advocating a position that is,  
 in our view, a truly middle ground (Elman et al., 1996; Karmil-  
 off-Smith, 1992) that the infant brain is neither a learning  
 device of equivalent status nor one containing a number of  
 built-in, domain-specific, core knowledge systems. Rather,  
 beyond the common six-layer structure across regions of cere-  
 bral cortex, we argue for tiny regional differences in type, den-  
 sity, and orientation of neurons, in neurotransmitters, in firing  
 thresholds, in rate of myelination, lamination, ratio of gray mat-  
 ter to white matter, and so forth. These differences make certain  
 networks of the brain somewhat more relevant to the processing  
 of certain types of input than others (Elman et al., 1996; Kar-  
 miloff-Smith, 1998). In our view, many cortical regions initially  
 attempt to process all incoming inputs. With time—with  
 repeated processing—some networks turn out to be more profi-  
 cient than others and start to fine tune and specialize in pro-  
 cessing a particular input type. Our argument is now borne out  
 by empirical data from typically developing infants showing that  
 the whole cortex starts out being much more active in attempts  
 to process incoming input, but gradually some areas show  
 reduced activity and others increased activity as processing  
 becomes more localized and specialized in the fine-tuning of  
 the type of inputs processed. Of course, there are many exam-  
 ples of activity across the whole cortex even in adults, but the  
 issue here is developmental *change* in the differing levels of  
 activity across different regions of cortex. Indeed, infant brains  
 start out displaying more widespread activity when processing,  
 say, faces, than those of older children whose brains, with  
 development, *become* increasingly localized and specialized  
 (Cohen-Kadosh & Johnson, 2007; Giedd et al., 1996, 1999;  
 Huttenlocher & Dabholkar, 1997; Johnson, 2001; Johnson  
 et al., 2002; Karmiloff-Smith, 1998). In other words, what was  
 “domain relevant” to processing faces *becomes* domain specific  
 as a result of repeated processing of certain types of input like  
 faces, as competition between regions wins out over develop-  
 mental time. It is not the mere fact that the infant brain initially  
 shows widespread activity in response to a certain type of input  
 that demonstrates progressive cortical specialization. It is the  
 developmental changes that occur over time. And it is precisely  
 this form of progressive developmental localization and special-  
 ization of cerebral function that is lacking in some syndromes  
 in which brains continue to show widespread activity across  
 both hemispheres even in adulthood, despite the existence of  
 proficient overt behavior (Karmiloff-Smith, 1997, 2007).

Indeed, rather than invoking impaired and intact built-in core knowledge, genetic syndromes point to altered constraints on neural plasticity in a *developing* organism, often affecting plasticity itself (Karmiloff-Smith, 1998; Karmiloff-Smith & Thomas, 2003). Although some see plasticity as a response solely to injury (Wexler, 1996), for others it is the rule for development, normal or atypical (Bates et al., 1998; Cicchetti & Tucker, 1994; Elman et al., 1996; Huttenlocher & Dabholkar, 1997; Johnson, 2001; Karmiloff-Smith & Thomas, 2003). For instance, visual cortex processes visual input, but it is not predestined solely to do so. Several experiments with the blind reveal that primary visual cortex can process tactile input (Braille reading) or auditory input (Sadato et al., 1998). Moreover, the ventral and dorsal pathways play different roles in cerebral processing, but these differences could have emerged from development. A demonstration in principle is provided by a neural network model that fed identical inputs to two pathways with identical architecture except for a small difference in the rate of activation changes. After repeated processing of inputs, the slower pathway ended up processing the features of objects (the “what channel”) and the faster pathway ended up processing the location of objects (the “where” pathway) (O’Reilly & McClelland, 1992). In other words, this model showed that differences like those in the ventral and dorsal pathways could in principle emerge from a developmental process as long as (a) there were tiny domain-relevant differences in their start state, and (b) the environment provided species-typical stimuli like objects and their movements across space. If the tiny difference in activation levels is not part of the initial state of, say, an atypical brain, a single mechanism may attempt to process both where and what objects are, but may do so less efficiently than brains endowed with that small difference in firing thresholds. Once the two systems emerge developmentally, they can be subsequently dissociated in adult brain injury, without the dissociation automatically implying innately specified specializations (Karmiloff-Smith, Scerif, & Ansari, 2003). But plasticity is of course not totally unconstrained, and developmental disorders may turn out to be very informative about the constraints on plasticity.

Finally, what about the issue of evolution and core knowledge systems? I agree with Spencer et al. criticism of the school of evolutionary psychology that compares the human brain to a Swiss army knife, each tool exquisitely fashioned and dedicated to carrying out very circumscribed tasks, passed on by evolution from our hunter-gatherer ancestors (Duchaine, Cosmides, & Tooby, 2001). Instead, I would argue that evolution seems to have endowed species with increasing flexibility for learning rather than increasing complexity of built-in domain-specific core knowledge. However, in my view it continues to be worth exploring the intricate balance between prespecification and plasticity for learning (Karmiloff-Smith, 1992). In fact, the degree of prespecification varies in nonrandom ways across species (Quartz & Sejnowski, 1997), with the highest degree of prespecification in animals most distal from humans and the lowest degree of

prespecification in our closest relative, the chimpanzee. Yet, a high degree of prespecification allows for some adaptive learning, and a low degree of prespecification does not necessarily mean no biases whatsoever in a system. In other words, it is worth exploring the extent to which ontogenesis channels development to some extent via the dynamic competition between domain-relevant learning mechanisms and the highly structured species-typical inputs that they process, such that over developmental time, domain specificity becomes an emergent rather than built-in property of the human cognitive system.

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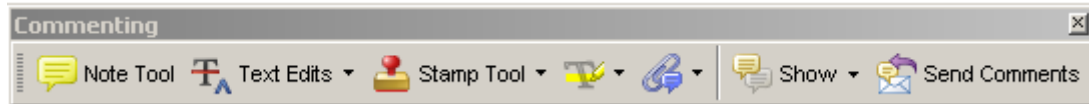
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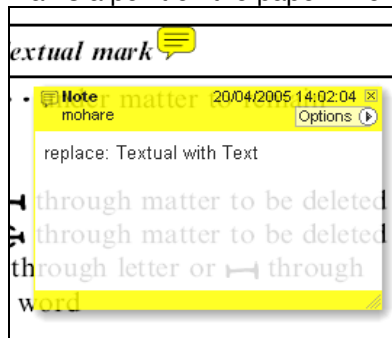
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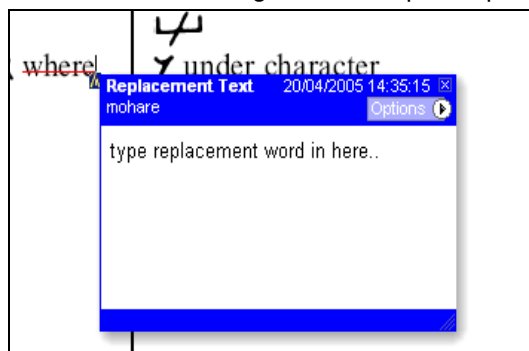


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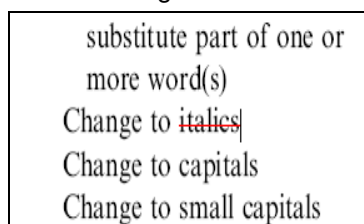


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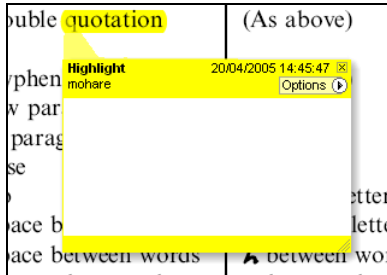


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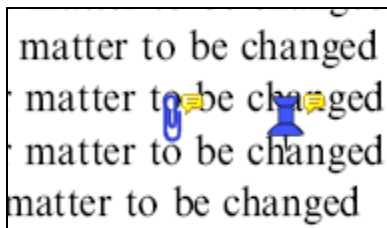


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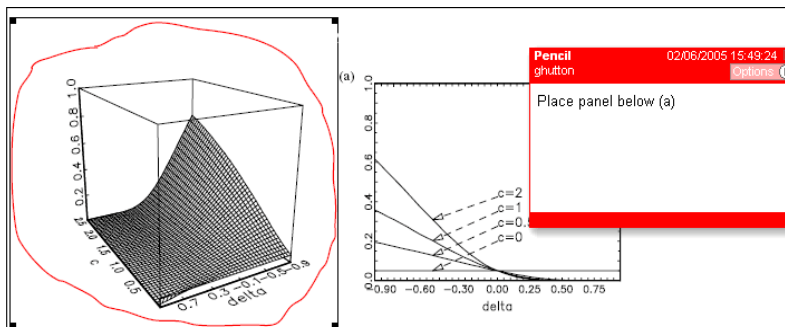


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1. Select Tools > Drawing Markups > Pencil Tool
2. Draw with the cursor
3. Multiple pieces of pencil annotation can be grouped together
4. Once finished, move the cursor over the shape until an arrowhead appears and right click
5. Select Open Pop-Up Note and type in a details of required change
6. Click the X in the top right hand corner of the note box to close.

## Help

For further information on how to annotate proofs click on the Help button to activate a list of instructions:

