

Body mass index and asthma severity in the National Asthma Survey

B Taylor,¹ D Mannino,² C Brown,³ D Crocker,³ N Twum-Baah,¹ F Holguin¹

¹ Emory University, Atlanta, Georgia, USA; ² University of Kentucky, Lexington, Kentucky, USA; ³ Centers for Disease Control and Prevention, Atlanta, Georgia, USA

Correspondence to: Dr F Holguin, Clinical Research Center, Emory Crawford Long Hospital, 550 Peachtree St, Davis-Fischer Building, Room 2331, Atlanta, GA 30308, USA; fch5@cdc.gov

Received 13 April 2007
Accepted 5 July 2007

ABSTRACT

Background: The association between obesity and asthma severity remains controversial and limited to small studies.

Methods: We determined the association of body mass index (BMI) and asthma severity in the National Asthma Survey. We included adults (age ≥ 18 years) who self-reported symptoms of asthma in the past 5 years. A total of 3095 patients were divided into the following BMI categories: 1080 (35%) non-overweight (BMI < 25), 993 (32%) overweight (BMI ≥ 25 and < 30) and 1022 (33%) obese (BMI ≥ 30). Asthma severity measures included respiratory symptoms, healthcare utilisation, medication use, missed work days and the Global Initiative for Asthma (GINA) severity classification. Models were adjusted for: gender, race, age, education, income, employment status, smoking status, family history of asthma, state of residence and residence in a metropolitan statistical area.

Results: Compared with non-overweight subjects, obese subjects with asthma were more likely to report continuous symptoms (OR 1.66, 95% CI 1.09 to 2.54), miss more work days (OR 1.35, 95% CI 1.01 to 1.81), use short acting beta agonists (OR 1.36, 95% CI 1.06 to 1.75), use inhaled corticosteroids (OR 1.34, 95% CI 1.01 to 1.79) and use any controller medication according to GINA guidelines (OR 1.37, 95% CI 1.01 to 1.85). Also, obese respondents were less likely to be in asthma remission (OR 0.56, 95% CI 0.38 to 0.82) and were more likely to have severe persistent asthma (GINA IV) (OR 1.42, 95% CI 1.05 to 1.90).

Conclusions: In a large, diverse sample of adults with asthma, obesity was associated with measures of asthma severity after adjusting for potential confounders.

Approximately 65% of adults in the USA aged 20 years or older are either overweight or obese,¹ which is a 10% increase in obesity prevalence from the previous decade.² Similar to the obesity epidemic, there has also been a substantial increase in the prevalence of asthma in the USA.³⁻⁴ Concurrent with the parallel increase in asthma and obesity prevalence, many studies have reported associations between obesity and higher asthma prevalence rates.⁵⁻¹¹ This association is substantiated by evidence showing obesity as a risk factor for incident asthma,¹²⁻¹⁷ data which were recently strengthened by a meta-analysis confirming this association.¹⁸ Further, obesity has also been associated with bronchial hyperresponsiveness.¹⁹⁻²¹ Finally, a number of studies have demonstrated that weight loss can improve both physiological and clinical parameters of asthma.²²⁻²⁴

More recently, obesity has been recognised as a potential risk factor for asthma severity,²⁵⁻²⁹ poor

asthma control and lower asthma related quality of life.³⁰ However, this association remains controversial because of the limited patient populations included in earlier studies,²⁵⁻²⁹ inconsistent definitions for asthma severity²⁹ and lack of statistical significance after adjusting for potential confounders.³⁰

Preliminary data further suggest that obese patients with asthma demonstrate both different asthma genotypes compared with patients of normal weight²⁶ and diminished response to current asthma therapy.³¹⁻³² Definitive demonstration of an association between obesity and asthma severity would lend further support to the notion of a different phenotype among obese patients with asthma and may lead researchers to consider novel approaches to asthma therapy in this population.

Therefore, to further understand how obesity may impact asthma severity, we examined the association of body mass index (BMI) with the following measures of severity: respiratory symptoms, healthcare utilisation, medication usage, missed work days and asthma severity classification. We conducted this study using data from the four state sample of the National Asthma Survey (NAS), one of the largest, diverse surveys of all asthma patients in the USA.

METHODS

The NAS, sponsored by the National Center for Environmental Health, Centers for Disease Control and Prevention, is divided into two samples: a national sample consisting of 8621 respondents (only 934 of whom reported asthma) designed to primarily examine the prevalence of asthma and a four state sample consisting of 5741 respondents (all of whom reported asthma) designed to investigate factors related to asthma control. We utilised the four state survey of self-reported, physician diagnosed patients with asthma. Conducted between 1 March 2003 to 10 March 2004 in four target states, Alabama, California, Illinois and Texas, the project used a State and Local Area Integrated Telephone Survey (SLAITS) design, using the same sampling frame as the CDC's National Immunisation Survey. Data were collected using a computer assisted telephone interview system. SLAITS used a complex multi-stage, stratified survey of civilian, non-institutionalised populations with telephones. The response rate of the Council of American Survey Research Organisations (CASRO) reported for the four state NAS sample was 49.6% (<http://www.casro.org/resprates.cfm>). This was calculated as the product

of the resolution, screener completion and interview completion rates.

Participating households were screened for the presence of any members with asthma. In asthma positive households, a maximum of one adult and one child per household were selected, with adults and children sampled separately. Because the definitions of overweight and obese differ between children and adults, we restricted our analysis to adult participants (age ≥ 18 years) who self-reported height and weight. We also excluded patients who reported neither asthma symptoms nor asthma medication use in the past 5 years in order to minimise the impact of patients with a distant history of asthma, in particular those with asthma as a child but not as an adult.

Questionnaire

From the NAS questionnaire we obtained the following variables.

Asthma

Our case definition of asthma consisted of a positive response to the following question: "Including yourself, has anyone living in your household ever been told by a doctor or other health professional that they have asthma?" We excluded patients who demonstrated prolonged remission, defined as neither asthma symptoms nor medication use in the past 5 years.

Body mass index

BMI was defined as weight in kilograms divided by height in metres squared and was categorised into three groups: non-overweight (BMI < 25), overweight (BMI ≥ 25 and < 30) and obese (BMI ≥ 30). As only 52 respondents (1.7%) included in our analysis fell into the underweight classification (defined as BMI < 18.5), we did not further separate out this subgroup.

Covariates

We included gender, race/ethnicity (white (non-Hispanic), black (non-Hispanic), Hispanic and other), age group (18–24, 25–44, 45–64, 65+ years), smoking status (current, former, never), education level (< 12 th grade, high school graduate, some college, college graduate or higher), income ($< US\$25k$, $US\$25k–44\,999$, $US\$45k–74\,999$, $\geq US\$75k$), employment status (full time, part time, and unemployed—excluding participants unemployed by choice), family history of asthma (defined as a parent with asthma), state of residence (Alabama, California, Illinois, Texas) and residence in a metropolitan statistical area (area with a population of at least 500 000, based on each participant's home ZIP code).

Asthma control and severity measures

Outcomes measures for asthma control and severity were divided into the following categories: respiratory symptoms (daytime and night-time symptoms in the past 30 days, asthma attacks in the past 90 days and presence of continuous respiratory symptoms in the 30 days prior to the interview), healthcare utilisation (emergency room, urgent care centre and other unscheduled doctor visits for asthma in the past 12 months), missed work days and medication use. From these questions, we also derived each participant's GINA (Global Initiative for Asthma, symptoms only) severity class.³³ If respondents reported no medication use or symptoms of asthma in the past year, they were classified as in remission.³⁴ Medication use was evaluated for the 90 day period immediately preceding the survey and was divided into the following

categories: short acting β_2 agonist, long acting beta agonist, anticholinergic, methylxanthine, leucotriene modifier, cromolyn, inhaled corticosteroid and oral corticosteroid. As we also had information on corticosteroid dosing, we classified patients on inhaled corticosteroids into low dose (≤ 400 mg/day budesonide equivalent), medium dose (401–800 mg/day budesonide equivalent) or high dose (> 800 mg/day budesonide equivalent).³³ This allowed us to further classify each respondent's medication regimen by step of therapy according to GINA guidelines.³³ We were unable to accurately differentiate between GINA steps 3 and 4 because dosing information was not available for respondents taking medications that combined fluticasone and salmeterol; therefore, these steps were grouped together in our analysis.

Analysis

Initially, we compared the distribution of the covariates and outcomes across BMI categories. To test for differences in the distribution of proportions, we used the χ^2 test and for continuous normally distributed data, we used the one way ANOVA test. Next, we developed a multivariable logistic regression analysis to test the association of BMI categories with measures of asthma control and severity using non-overweight subjects as the reference. We adjusted the models for the following potential confounders: age, gender, smoking status, race, income category, education category, employment status, family history of asthma, state of residence and metropolitan statistical area. Because prior studies have suggested gender differences in the association of obesity and asthma severity,^{29–30} we included a BMI and gender interaction term in the multivariable analysis.

Variance estimates were adjusted for the complex survey design (Proc Survey logistic; SAS, Cary, North Carolina, USA) by using weighted data provided with NAS, using state of residence for strata and identification number for cluster. The weights used to arrive at these estimates were person-level final weights for only sample adults 18 years of age. These final weights incorporated a base sampling weight, an adjustment for multiple telephone lines within a household and various adjustments for non-response. Each final weight was then post-stratified so that the sum of weights for each state equalled the selected population control totals from the 2003 Behaviour Risk Factor Surveillance System data for that state (<http://www.cdc.gov/brfss/>). We used SAS 9.1.3 to perform these analyses.

RESULTS

Out of a total of 5741 participants, 2646 respondents were excluded for the following reasons: 10 lacked data for age, 2003 respondents were children under the age of 18 years, 371 were adults who reported prolonged remission and 262 did not provide height and/or weight information.

The demographic characteristics of the weighted study population across BMI categories are presented in table 1. Obese respondents were more likely to be women, African-American and have a lower socioeconomic status. We found no significant differences with regard to smoking status and family history of asthma.

Results from the univariable analysis of measures of asthma control and severity are presented in table 2. Obesity was associated with more respiratory symptoms, more frequent emergency room and urgent physician visits, increased missed work days, greater use of short acting beta agonists and higher

Table 1 Characteristics of the study population by body mass index categories†

	Non-overweight (BMI <25) (n (weighted %†))	Overweight (25 ≤ BMI <30) (n (weighted %))	Obese (BMI ≥30) (n (weighted %))	p Value
Gender				
Male	294 (30)*	398 (43)	291 (27)	
Female	786 (38)*	595 (28)	731 (34)	<0.01
Race/ethnicity				
White, non-Hispanic	814 (38)*	682 (33)*	675 (29)*	
African-American, non-Hispanic	93 (30)*	109 (28)*	154 (42)*	
Hispanic	104 (32)*	113 (35)*	126 (33)*	
Other	58 (27)*	76 (43)*	58 (30)*	0.01
Age (y)				
18–24	192 (50)	101 (29)	70 (21)	
25–44	422 (34)	370 (33)	390 (33)	
45–64	347 (29)	365 (35)	445 (36)	
65+	119 (31)	157 (42)	117 (27)	<0.01
Smoking status				
Current—every day	145 (40)*	110 (29)*	137 (31)*	
Current —some days	69 (44)*	52 (32)*	54 (24)*	
Past smoker	269 (31)*	289 (38)*	270 (31)*	
Never smoker	591 (35)*	541 (34)*	559 (31)*	0.10
Education				
Less than 12th grade	112 (32)	98 (30)	159 (38)*	
High school graduate	243 (27)	260 (37)	294 (36)*	
Some college	282 (38)	278 (31)	278 (31)*	
College grad +	443 (40)	357 (36)	288 (24)*	<0.01
Income (US\$)				
<25 000	384 (35)	347 (29)	470 (36)	
25 000–44 999	191 (31)	186 (36)	187 (33)	
45 000–74 999	199 (33)	210 (39)	191 (28)	
≥75 000	306 (38)	250 (38)	174 (24)	<0.01
Employment status				
Full time	477 (33)*	498 (37)*	460 (30)*	
Part time	155 (42)*	120 (31)*	108 (27)*	
Unemployed (by choice)	260 (39)*	209 (36)*	159 (25)*	
Unemployed	184 (32)*	163 (27)*	292 (41)*	<0.01
Parent with asthma				
Yes	275 (34)	270 (33)	291 (33)	
No	754 (35)	671 (35)	670 (30)	
Don't know	51 (36)	52 (33)	61 (31)	0.92
State of residence				
Alabama	268 (34)	235 (29)	305 (37)	
California	282 (36)	264 (35)	221 (29)	
Illinois	255 (32)	236 (32)	255 (36)	
Texas	275 (36)	258 (33)	241 (31)	0.03
Residence in a metropolitan statistical area				
Yes	918 (29)*	817 (35)*	796 (36)*	
No	152 (36)*	171 (34)*	221 (30)*	0.10

*Data missing for some participants.

†Percentages (in parentheses) are weighted to reflect the 2003 population of patients with asthma in participating states. BMI, body mass index; GINA, Global Initiative for Asthma Guidelines; N/A, not available.

GINA severity classification. Underweight respondents did not demonstrate an increase in markers of asthma control or severity when compared with normal weight subjects; however, very few underweight adults participated in the study (data not shown).

As seen in table 3, many of these results persist after adjustment for all covariables through multivariable logistic regression analysis, using non-overweight respondents as the reference category. Obese respondents were significantly more likely to report symptoms all of the time, miss more days of work and use both short acting β_2 agonists and inhaled

corticosteroids. When respondent's medication regimens were categorised into steps of therapy per GINA guidelines, obese respondents were also more likely to use controller medications (GINA medication step 2 or greater). Finally, obese respondents were less likely to be in asthma remission and were more likely to be classified as persistent asthma (GINA class II–IV) and/or severe persistent asthma (GINA class IV).

Because prior studies had found significant gender differences when examining the impact of obesity on measures of asthma control and severity,^{29 30} we repeated the multivariable logistic models for all of the outcomes in table 3 with the addition of an

Table 2 Distribution of asthma severity outcomes and medications by body mass index category

	Non-overweight (BMI <25) (mean (95% CI))	Overweight (25 ≤ BMI <30) (mean (95% CI))	Obese (BMI ≥30) (mean (95% CI))	p Value
Respondents (weighted†)	1 940 176 (35)	1 887 938 (34)	1 706 849 (31)	
Symptoms				
Days with asthma symptoms in the past 30 days	4.4 (3.7–5.0)	4.3 (3.7–4.9)	5.0 (4.3–5.7)	0.01
Nights with asthma symptoms in the past 30 days	2.7 (2.2–3.2)	2.6 (2.1–3.2)	4.6 (3.7–5.4)	<0.01
Asthma attacks in the past 90 days	3.1 (2.3–3.8)	3.6 (2.6–4.6)	4.1 (3.0–5.3)	0.01
Healthcare utilisation				
ER/urgent care visits				
Proportion with at least 1 ER visit in the past 12 months (%)	11.2 (8.3–14.1)	11.6 (9.1–14.2)	17.9 (14.3–21.4)	0.01
Average number of ER visits in the past 12 months (only those with ≥1 visit)	2.1 (1.6–2.5)	1.8 (1.5–2.2)	2.5 (2.1–3.0)	0.04
Average number of urgent visits to PCP in the past 12 months	0.9 (0.7–1.0)	0.9 (0.7–1.1)	1.3 (1.0–1.6)	<0.01
Hospitalisations				
Proportion w/at least 1 hospital stay in the past 12 months (%)	2.6 (1.5–3.8)	2.9 (1.7–4.1)	4.9 (3.3–6.6)	0.07
Average number of hospitalisations in the past 12 months (only those with ≥1 stay)	2.1 (1.5–2.6)	1.7 (1.3–2.1)	2.2 (1.7–2.7)	0.35
Missed work days in the past 12 months	2.7 (2.1–3.3)	3.4 (2.6–4.2)	4.1 (3.3–4.8)	<0.01
Medications (in past 3 months) (%)				
Short acting β ₂ agonist—any use	46.5 (42.4–50.7)	50.2 (46.0–54.4)	56.1 (51.9–60.4)	0.01
Short acting β ₂ agonist—daily use	13.3 (10.3–16.3)	14.3 (11.4–17.1)	17.6 (14.2–21.1)	0.16
Long acting β ₂ agonist	18.1 (15.2–21.1)	18.0 (15.0–21.0)	21.9 (18.4–25.3)	0.18
Anticholinergic	5.4 (3.9–6.9)	7.9 (5.8–10.1)	8.6 (6.5–10.6)	0.03
Methylxanthine	2.6 (1.6–3.7)	3.3 (1.8–4.7)	3.6 (2.1–5.0)	0.56
Leucotriene modifier	9.3 (7.3–11.4)	12.7 (9.9–15.5)	9.9 (7.6–12.2)	0.16
Cromolyn	1.4 (0.3–2.4)	1.1 (0.3–1.9)	1.0 (0.3–1.8)	0.87
Inhaled corticosteroid	27.4 (23.8–31.1)	29.2 (25.6–32.9)	33.9 (29.8–37.9)	0.06
Oral corticosteroid	3.6 (2.2–4.9)	2.9 (1.6–4.2)	4.0 (2.5–5.4)	0.58
GINA medication step (%)				
Could not be classified according to GINA guidelines	4.8 (3.2–6.3)	4.0 (2.3–5.6)	4.4 (2.8–5.9)	
Step 1	68.8 (65.0–72.7)	67.0 (63.1–70.9)	62.2 (58.0–66.5)	
Step 2	5.9 (3.6–8.2)	8.1 (5.7–10.4)	8.7 (5.8–11.5)	
Step 3 or 4	19.3 (16.1–22.4)	19.4 (16.3–22.5)	22.9 (19.3–26.5)	
Step 5	1.2 (0.5–2.0)	1.5 (0.6–2.4)	1.9 (0.9–2.8)	0.44
GINA severity class (%)				
Remission	18.2 (14.7–21.8)	10.5 (8.1–12.9)	10.6 (8.0–13.1)	
I (Mild intermittent)	46.6 (42.4–50.7)	53.0 (48.9–58.2)	44.2 (39.9–48.5)	
II (Mild persistent)	11.3 (8.9–13.7)	12.4 (9.8–15.1)	10.3 (7.8–12.8)	
III (Moderate persistent)	8.8 (6.3–11.2)	6.9 (4.8–9.0)	10.7 (8.0–13.4)	
IV (Severe persistent)	15.1 (12.2–18.0)	17.2 (14.2–20.1)	24.2 (20.5–27.9)	<0.01

p Values determined using the χ^2 test for distribution of proportions and the one way ANOVA test for continuous normally distributed data.

BMI, body mass index; ER, emergency room; GINA, Global Initiative for Asthma Guidelines; PCP, primary care provider.

interaction term for gender and BMI. For all outcomes examined, we found no significant interaction between BMI and gender.

DISCUSSION

In the four state sample of the National Asthma Survey, one of the largest asthma surveys in the USA, we showed that obesity is associated with several measures of asthma severity and control, including symptoms, missed work days, medication use and GINA severity classification. To our knowledge, this is one of the most comprehensive and largest all-asthma surveys showing the association between asthma severity and obesity using a broad range of severity outcomes.

While the majority of studies support an association between obesity and asthma prevalence,^{5–11} the literature examining obesity and asthma severity is more controversial. Inconsistencies across published studies may relate to several limiting factors, including small study sizes, variable asthma definitions and different asthma severity outcomes.

A few studies to date have demonstrated an association between obesity and increased asthma severity classification in adults^{25–28, 29}; however, each has had significant limitations. For example, Akerman *et al* demonstrated an increased likelihood of obesity among adults with both moderate and severe persistent asthma compared with adults with mild intermittent asthma using the 1997 National Heart, Lung, and Blood Institute guidelines for asthma severity.²⁵ However, this study included only 143 patients, did not examine other potentially important markers of severity that were examined in our analysis and was performed in an inner city asthma clinic, therefore limiting its external validity. Similarly, Saint-Pierre *et al* demonstrated that patients with severe persistent asthma were more likely to be overweight (defined as BMI ≥25) compared with those with mild persistent and moderate persistent asthma.²⁸ However, important limitations in this study included relatively small sample size (406 patients) and lack of distinction between overweight and obese categories.

Further evidence supporting an association between asthma severity and obesity was a case control and family study

Table 3 Multivariable logistic analysis for asthma severity outcomes

	OR (compared with non-overweight patients—BMI ≤25)	
	Overweight (25 ≤ BMI <30) OR (95%CI)	Obese (BMI ≥30) OR (95%CI)
Symptoms		
Greater than 4 days with asthma symptoms in the past 30 days*	1.05 (0.80–1.38)	0.95 (0.71–1.26)
Greater than 2 nights with asthma symptoms in the past 30 days*	0.94 (0.69–1.28)	1.31 (0.97–1.78)
Greater than 2 asthma attacks in the past 90 days*	0.87 (0.64–1.18)	1.02 (0.74–1.40)
Any asthma attacks in the past 90 days	1.19 (0.93–1.54)	1.18 (0.91–1.54)
Symptoms all the time over the past 30 days	1.21 (0.80–1.83)	1.66 (1.09–2.54)
Healthcare utilisation		
At least 1 ER visit in the past 12 months*	1.17 (0.79–1.74)	1.45 (0.99–2.12)
Greater than 1 urgent visit to PCP in the past 12 months*	0.95 (0.69–1.29)	1.16 (0.84–1.61)
At least 1 hospitalisation in the past 12 months*	1.22 (0.65–2.28)	1.43 (0.79–2.60)
Greater than 2 missed work days in the past 12 months*	1.01 (0.75–1.37)	1.35 (1.01–1.81)
Medications (in past 3 months)		
Short acting β_2 agonist—any use	1.21 (0.94–1.54)	1.36 (1.06–1.75)
Short acting β_2 agonist—daily use	1.11 (0.76–1.61)	1.20 (0.85–1.69)
Long acting β_2 agonist	0.92 (0.68–1.25)	1.20 (0.88–1.65)
Anticholinergic	1.37 (0.88–2.15)	1.26 (0.83–1.90)
Methylxanthine	0.96 (0.49–1.85)	0.89 (0.46–1.72)
Leucotriene modifier	1.42 (0.97–2.08)	0.96 (0.65–1.43)
Cromolyn	0.99 (0.39–2.50)	0.63 (0.23–1.72)
Inhaled corticosteroid	1.06 (0.81–1.40)	1.34 (1.01–1.79)
Oral corticosteroid	0.69 (0.37–1.27)	0.72 (0.40–1.32)
GINA step 1 (SABA only)	0.98 (0.75–1.28)	0.81 (0.60–1.07)
GINA step 2+	1.09 (0.83–1.45)	1.37 (1.01–1.85)
GINA severity classification		
Remission	0.53 (0.36–0.76)	0.56 (0.38–0.82)
Mild intermittent (class I)	1.28 (1.00–1.65)	1.00 (0.77–1.29)
Persistent asthma (class II–IV)	1.07 (0.82–1.39)	1.35 (1.03–1.76)
Severe persistent (class IV)	0.95 (0.71–1.28)	1.42 (1.05–1.90)

Multivariable analysis adjusted for age, gender, race, smoking status, income, education, employment status, family history of asthma, state of residence and residence in a metropolitan statistical area.

*Upper quartile reported for these symptoms.

BMI, body mass index; ER, emergency room; GINA, Global Initiative for Asthma Guidelines; PCP, primary care provider.

published by Varraso and colleagues.²⁹ This study examined 366 patients aged 16 years and older, of whom 189 were male and 177 female. Using a compounded severity score derived from participants' self-reported frequency of asthma attacks, persistence of symptoms between attacks and hospitalisations for asthma, they found a significant association between BMI and an increased severity in women but not men, which persisted after adjusting for age, smoking status, forced expiratory volume in 1 s and degree of bronchial hyperresponsiveness. Unfortunately, because of the small number of males enrolled, this study lacked power to detect associations in this group. Further, because a validated categorisation system to ascertain asthma severity was not employed, these results cannot be adequately compared with other similar studies.

Others studies have failed to demonstrate associations between obesity and asthma severity.^{27–30–35} In a 9 year European longitudinal study, de Marco *et al* showed no difference in baseline BMI across asthma severity groups using GINA severity classification.²⁷ However, during follow-up, patients who achieved asthma remission were the ones likely to gain the least amount of weight. Lavoie *et al* demonstrated that obese adults with asthma had significantly poorer asthma control, asthma quality of life and were also more likely to use long acting β_2 agonists³⁰; however, these associations did not persist in multivariate analysis.

In a cohort of 572 adults with asthma presenting to 26 emergency departments in the USA and Canada with acute asthma symptoms, Thomson *et al* showed no difference in either the clinical presentation or emergency department course across BMI groups.³⁵ However, the authors reported a non-significant trend towards more severe asthma symptoms, greater β_2 agonist use and greater inhaled corticosteroid use among obese patients compared with those of normal BMI. With only 572 patients, this study may not have had sufficient power to detect significant differences in asthma severity outcomes. More importantly, because obese patients are often older than their non-obese counterparts,^{30–35} by excluding patients 55 years and older, this study potentially eliminated a significant number of study subjects.

Our analysis represents a significant advance compared with previous literature, and addresses a number of important limitations that have characterised previous studies. First, by using an asthma study population three times greater in size than any prior study, we had greater statistical power to detect small differences in severity and control measures across BMI groups. Second, because our results stem from a survey of a diverse patient population, these results are more likely to be generalisable to the general population.

A number of studies have demonstrated a significant gender difference in the interaction between obesity and asthma, with most suggesting that the association of obesity with both

asthma prevalence and incidence is significantly stronger in women than in men.^{5 12 14} Further, Chen *et al* showed that obesity is more strongly associated with non-allergic asthma compared with allergic asthma³⁶; non-allergic asthma is more prevalent in women than in men,³⁷ suggesting a potential explanation for gender related differences. Few studies have examined gender differences in the association between asthma severity and obesity, but some early evidence suggests that this association is also stronger in women.²⁹ However, this finding has not held true in all studies. For example, Lavoie *et al* found that men had a significantly sharper decline in asthma quality of life scores as BMI increased.³⁰ Further, studies examining the association of BMI with bronchial hyperresponsiveness have suggested that men, rather than women, show significantly more bronchial hyperresponsiveness as BMI increases,^{19 20} which may help explain Lavoie's data. However, our data do not support a gender interaction between obesity and asthma severity.

When interpreting our findings, certain limitations should be considered. First, because of its cross sectional design, we are unable to demonstrate causality; this is an important limitation given that our analysis cannot differentiate between respondents who gained weight because of severe asthma versus those whose asthma is severe because they are obese. Second, our study relied on both self-reported anthropometric data and self-reported physician diagnosed asthma, both of which could bias the results. Self-reported height and weight may lead to underestimation of obesity prevalence as it is widely believed that men tend to overestimate height and women tend to underestimate weight. However, in validation studies, self-reported height and weight correlate well among both genders,³⁸ even in groups thought to have the highest risk of reporting bias.³⁹ Further, in studies of asthma prevalence or incidence, using measured height and weight yields similar findings compared with studies using self-reported height and weight.^{9 12 15}

Third, our asthma case definition is based on physician diagnosed asthma, which may be the source of misclassification bias, as recently outlined by Ford.⁴⁰ Bias may be due to potential under diagnosis of asthma in non-obese patients as well as misdiagnosis of asthma in obese patients. As discussed by Ford,⁴⁰ under diagnosis is unlikely to lead to significant changes in prevalence estimates but it is conceivable that the effects of misdiagnosis of asthma in the obese could have a relatively large effect. However, in a large longitudinal study using a more strict definition of asthma to study the effects of obesity on asthma incidence,¹³ findings were similar to those studies using less rigorous definitions for asthma, suggesting that potential effects of this bias may be overestimated. Fourth, another potential limitation of our study is the absence of spirometry data in establishing GINA severity classification; however, it is unlikely that this would result in differential bias with regard to BMI categories.

Recently, the emphasis of asthma care has shifted to the evaluation of and attempt to achieve and maintain asthma control rather than focusing on asthma severity. The recently published GINA guidelines use daytime and night-time symptoms, use of rescue medications, limitation of activity, forced expiratory volume in 1 s or peak expiratory flow, and occurrence of asthma exacerbations to classify patients as controlled, partially controlled or uncontrolled.³⁵ We analysed many of these outcomes in our assessment of asthma severity. Our desire was to classify patients into one of the three control classifications. However, because information for many of these

variables was obtained over different time frames (ie, the minimum resolution for symptoms was for the past 30 days whereas resolution for limitation to activity was 1 year), we were unable to derive validated asthma GINA control classifications. Therefore, we focused on severity classification instead.

In summary, we have demonstrated a significant association between asthma severity and obesity using a large, diverse population of adults with asthma, after adjusting for a number of potential confounders. These results, coupled with data suggesting genotypic differences in obese patients with asthma²⁶ and reduced response to standard asthma therapy compared with non-obese patients with asthma,^{31 32} strongly support the notion that obesity could lead to a more severe asthma phenotype. Clearly, many questions remain to be answered, including how much weight must be gained to increase asthma severity? What constitutes the "asthma phenotype"? Obviously, not every adult with asthma who becomes obese develops more severe disease. Therefore, understanding what genetic and environmental factors render a patient with asthma susceptible to the effects of obesity is an important question that should be answered in prospective clinical trials.

Although causation between obesity and asthma severity remains controversial from a biological mechanistic perspective, it is clear that obesity is associated with increased respiratory symptoms, healthcare utilisation and poorer quality of life. Further, prior data demonstrates improvement in a number of parameters of asthma associated with weight loss.²²⁻²⁴ Thus asthma treatment guidelines should aggressively pursue weight control as an integral part of their treatment strategy.

Funding: Supported by the CDC (Air Pollution Respiratory Health branch) and ORISE fellowship (Oakridge Institute for Science and Education). Research also supported by NIH/NCCR K12 RR017643.

Competing interests: None.

REFERENCES

1. **Hedley AA**, Ogden CL, Johnson CL, *et al*. Prevalence of overweight and obesity among US children, adolescents, and adults, 1999–2002. *JAMA* 2004;**291**:2847–50.
2. **Flegal KM**, Carroll MD, Kuczmarski RJ, *et al*. Overweight and obesity in the United States: prevalence and trends, 1960–1994. *Int J Obes Relat Metab Disord* 1998;**22**:39–47.
3. Self-reported asthma prevalence and control among adults—United States, 2001. *MMWR Morb Mortal Wkly Rep* 2003;**52**:381–4.
4. **Mannino DM**, Homa DM, Akinbami LJ, *et al*. Surveillance for asthma—United States, 1980–1999. *MMWR Surveill Summ* 2002;**51**:1–13.
5. **Chen Y**, Dales R, Krewski D, *et al*. Increased effects of smoking and obesity on asthma among female Canadians: the National Population Health Survey, 1994–1995. *Am J Epidemiol* 1999;**150**:255–62.
6. **Huovinen E**, Kaprio J, Koskenvuo M. Factors associated to lifestyle and risk of adult onset asthma. *Respir Med* 2003;**97**:273–80.
7. **Luder E**, Ehrlich RI, Lou WY, *et al*. Body mass index and the risk of asthma in adults. *Respir Med* 2004;**98**:29–37.
8. **Nystad W**, Meyer HE, Nafstad P, *et al*. Body mass index in relation to adult asthma among 135,000 Norwegian men and women. *Am J Epidemiol* 2004;**160**:969–76.
9. **Shaheen SO**, Sterne JA, Montgomery SM, *et al*. Birth weight, body mass index and asthma in young adults. *Thorax* 1999;**54**:396–402.
10. **Stanley AH**, Demissie K, Rhoads GG. Asthma development with obesity exposure: observations from the cohort of the National Health and Nutrition Evaluation Survey Epidemiologic Follow-up Study (NHEFS). *J Asthma* 2005;**42**:97–9.
11. **Young SY**, Gunzenhauser JD, Malone KE, *et al*. Body mass index and asthma in the military population of the northwestern United States. *Arch Intern Med* 2001;**161**:1605–11.
12. **Beckett WS**, Jacobs DR Jr, Yu X, *et al*. Asthma is associated with weight gain in females but not males, independent of physical activity. *Am J Respir Crit Care Med* 2001;**164**:2045–50.
13. **Camargo CA Jr**, Weiss ST, Zhang S, *et al*. Prospective study of body mass index, weight change, and risk of adult-onset asthma in women. *Arch Intern Med* 1999;**159**:2582–8.
14. **Chen Y**, Dales R, Tang M, *et al*. Obesity may increase the incidence of asthma in women but not in men: longitudinal observations from the Canadian National Population Health Surveys. *Am J Epidemiol* 2002;**155**:191–7.
15. **Guerra S**, Sherrill DL, Bobadilla A, *et al*. The relation of body mass index to asthma, chronic bronchitis, and emphysema. *Chest* 2002;**122**:1256–63.

16. **Ronmark E**, Andersson C, Nystrom L, *et al*. Obesity increases the risk of incident asthma among adults. *Eur Respir J* 2005;**25**:282–8.
17. **Beuther DA**, Weiss ST, Sutherland ER. Obesity and asthma. *Am J Respir Crit Care Med* 2006;**174**:112–19.
18. **Beuther DA**, Sutherland ER. Overweight, obesity and incident asthma: a meta-analysis of prospective epidemiologic studies. *Am J Respir Crit Care Med* 2007;**175**:661–6.
19. **Chinn S**, Jarvis D, Burney P. Relation of bronchial responsiveness to body mass index in the ECRHS. European Community Respiratory Health Survey. *Thorax* 2002;**57**:1028–33.
20. **Litonjua AA**, Sparrow D, Celedon JC, *et al*. Association of body mass index with the development of methacholine airway hyperresponsiveness in men: the Normative Aging Study. *Thorax* 2002;**57**:581–5.
21. **Sood A**, Verhulst SJ, Varma A, *et al*. Association of excess weight and degree of airway responsiveness in asthmatics and non-asthmatics. *J Asthma* 2006;**43**:447–52.
22. **Hakala K**, Stenius-Aarniala B, Sovijarvi A. Effects of weight loss on peak flow variability, airways obstruction, and lung volumes in obese patients with asthma. *Chest* 2000;**118**:1315–21.
23. **Aaron SD**, Fergusson D, Dent R, *et al*. Effect of weight reduction on respiratory function and airway reactivity in obese women. *Chest* 2004;**125**:2046–52.
24. **Stenius-Aarniala B**, Poussa T, Kvarnstrom J, *et al*. Immediate and long term effects of weight reduction in obese people with asthma: randomised controlled study. *BMJ* 2000;**320**:827–32.
25. **Akerman MJ**, Calacanis CM, Madsen MK. Relationship between asthma severity and obesity. *J Asthma* 2004;**41**:521–6.
26. **Barr RG**, Cooper DM, Speizer FE, *et al*. Beta(2)-adrenoceptor polymorphism and body mass index are associated with adult-onset asthma in sedentary but not active women. *Chest* 2001;**120**:1474–9.
27. **de Marco R**, Marcon A, Jarvis D, *et al*. Prognostic factors of asthma severity: a 9-year international prospective cohort study. *J Allergy Clin Immunol* 2006;**117**:1249–56.
28. **Saint-Pierre P**, Bourdin A, Chanez P, *et al*. Are overweight asthmatics more difficult to control? *Allergy* 2006;**61**:79–84.
29. **Varraso R**, Siroux V, Maccario J, *et al*. Asthma severity is associated with body mass index and early menarche in women. *Am J Respir Crit Care Med* 2005;**171**:334–9.
30. **Lavoie KL**, Bacon SL, Labrecque M, *et al*. Higher BMI is associated with worse asthma control and quality of life but not asthma severity. *Respir Med* 2006;**100**:648–57.
31. **Dixon AE**, Shade DM, Cohen RI, *et al*. Effect of obesity on clinical presentation and response to treatment in asthma. *J Asthma* 2006;**43**:553–8.
32. **Peters-Golden M**, Swern A, Bird SS, *et al*. Influence of body mass index on the response to asthma controller agents. *Eur Respir J* 2006;**27**:495–503.
33. Global Initiative for asthma (GINA) 2006. Available from <http://www.ginasthma.com> (accessed 16 November 2007).
34. **van den Toorn LM**, Prins JB, Overbeek SE, *et al*. Adolescents in clinical remission of atopic asthma have elevated exhaled nitric oxide levels and bronchial hyperresponsiveness. *Am J Respir Crit Care Med* 2005;**162**(3 Pt 1):953–7.
35. **Thomson CC**, Clark S, Camargo CA Jr. Body mass index and asthma severity among adults presenting to the emergency department. *Chest* 2003;**124**:795–802.
36. **Chen Y**, Dales R, Jiang Y. The association between obesity and asthma is stronger in nonallergic than allergic adults. *Chest* 2006;**130**:890–5.
37. **Romanet-Manent S**, Charpin D, Magnan A, *et al*. Allergic vs nonallergic asthma: what makes the difference? *Allergy* 2002;**57**:607–13.
38. **Rimm EB**, Stampfer MJ, Colditz GA, *et al*. Validity of self-reported waist and hip circumferences in men and women. *Epidemiology* 1990;**1**:466–73.
39. **Troy LM**, Hunter DJ, Manson JE, *et al*. The validity of recalled weight among younger women. *Int J Obes Relat Metab Disord* 1995;**19**:570–2.
40. **Ford ES**. The epidemiology of obesity and asthma. *J Allergy Clin Immunol* 2005;**115**:897–909.

Lung alert

Neoadjuvant chemotherapy in operable non-small cell lung cancer

Survival for patients with non-small cell lung cancer (NSCLC) has remained unchanged for over 10 years. This randomised controlled trial assessed the benefits of neoadjuvant chemotherapy in patients with operable NSCLC.

519 patients were randomised to receive surgery as soon as possible or chemotherapy as soon as possible followed by surgery 4–6 weeks from day 1 of the last chemotherapy cycle. Patients with stage I–III disease were included. The primary outcome measure was overall survival, and secondary outcome measures included quality of life, clinical and pathological staging, resectability rates, extent of surgery, and the time to and site of relapse.

There was no difference in overall survival between the two treatment groups. 31% of patients receiving chemotherapy before surgery were down-staged at the time of surgery; however, this did not alter the type of surgery they had. A very small proportion of patients progressed during chemotherapy. Surgery did not appear to be affected by the time taken to deliver neoadjuvant chemotherapy. With regard to baseline symptoms and quality of life, neoadjuvant chemotherapy had a minimal effect. Patterns of recurrence and progression were also not affected by chemotherapy except for the fact that more of the patients who underwent chemotherapy before surgery developed brain metastases.

This trial did not show any survival benefit with neoadjuvant chemotherapy, which is largely consistent with results from previous trials.

- Gilligan D, Nicolson M, Smith I, *et al*, on behalf of the trial collaborators. Preoperative chemotherapy in patients with resectable non-small cell lung cancer: results of the MRC LU22/ NVALT 2/ EORTC 08012 multicentre randomised trial and update of systematic review. *Lancet* 2007;**369**:1929–37

J Gittens

Correspondence to: J Gittens, ST2, QE II Hospital, Welwyn Garden City, Herts, UK; jonson72@fsmail.net

THORAX

Body mass index and asthma severity in the National Asthma Survey

B Taylor, D Mannino, C Brown, D Crocker, N Twum-Baah and F Holguin

Thorax 2008 63: 14-20

doi: 10.1136/thx.2007.082784

Updated information and services can be found at:

<http://thorax.bmj.com/content/63/1/14>

These include:

References

This article cites 38 articles, 9 of which you can access for free at:
<http://thorax.bmj.com/content/63/1/14#BIBL>

Email alerting service

Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections

Articles on similar topics can be found in the following collections

[Asthma](#) (1688)
[Health education](#) (1149)
[Obesity \(public health\)](#) (189)
[Drugs: respiratory system](#) (499)
[Smoking](#) (972)
[Tobacco use](#) (974)

Notes

To request permissions go to:

<http://group.bmj.com/group/rights-licensing/permissions>

To order reprints go to:

<http://journals.bmj.com/cgi/reprintform>

To subscribe to BMJ go to:

<http://group.bmj.com/subscribe/>