

Texture Feature Extraction using Partitioned/Sectorized Complex Planes in Transform Domain for Iris & Palmprint Recognition

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ABSTRACT

Feature vector generation is an important step in biometric authentication. Biometric traits such as fingerprint, palmprint, iris, & finger-knuckle prints are rich in texture. This texture is unique and the feature vector extraction algorithm should correctly represent the texture pattern. In this paper a texture feature extraction methodology is proposed for iris and palmprints. This method is based on one step transform of the two dimensional images and then using the intermediate transformation data to generate complex planes for feature vector generation. This method is implemented using Walsh, DCT, Hartley, Kekre Transform &Kekre Wavelets. Results indicate the effectiveness of the feature vector for biometric authentication.

General Terms

Algorithms, Measurement, Performance, Design, Experimentation, Security, Human Factors, Verification.

Keywords

Biometrics, Transforms, DCT, FFT, Kekre Transform, Hartley Transform, Kekre Wavelets.

1. INTRODUCTION

Biometric Authentication systems take the advantage of the uniqueness of the human body. They derive the classifying function from what a person is than what a person carries (like smartcard, token etc). Biometrics comprises methods for uniquely recognizing humans based upon one or more intrinsic physical and/or behavioral traits. In computer science, in particular, biometrics is used as a form of identity access management and access control. It is also used to identify individuals in groups that are under surveillance [1].

Biometric characteristics can be divided in two main classes:

- **Physiological** are related to the shape of the body. Examples include, but are not limited to fingerprint, face recognition, DNA, Palmprint, hand geometry, iris recognition, which has largely replaced retina, and odor/scent [1], [2], [3].
- **Behavioral** are related to the behavior of a person. Examples include, but are not limited to typing rhythm, gait, and voice & **handwritten signatures**. Some researchers have coined the term **behaviometrics** for this class of biometrics [2]. In this paper mainly palmprints & iris are considered, which come under physiological biometric traits.

Palmprints and iris are rich in texture features, this information must be extracted in terms of feature vector for classification of

these biometric traits. Sample of palmprint and iris from the database used for research is given below, Fig.1 Shows palmprint sample from PolyU palmprint database [4] and iris image from Phoenix iris database [5].



(a)

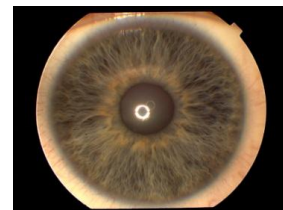


Figure 1.(a) Palmprint Image for PolyU Database [4] (b) Iris Image form Phoenix Iris Database[5].

Palmprints & iris are believed to have the critical properties of universality, uniqueness, permanence and collectability for personal authentication [1]. What's more, palmprints have some advantages over other hand-based biometric technologies, such as fingerprints and hand geometry. Palms are large in size and contain abundant features of different levels, such as creases, palm lines, texture, ridges, delta points and minutiae. Faking a palmprint is more difficult than faking a fingerprint because the palmprint texture is more complicated; and one seldom leaves his/her complete palmprint somewhere unintentionally.

Iris also have high degree of uniqueness due to the structure formed by muscles controlling the cornea of human eye, but higher degree of user cooperation is required in case of iris based systems [1],[6].

In this paper we have proposed a feature vector extraction method based on intermediate Walsh transform. Where instead of taking full 2D transform, intermediate transform is taken to generate the CAL & SAL functions of Walsh transform [7]. This information is used for generating complex Walsh plane [8] and feature vector is extracted from this. This method will be discussed in the coming section.

2. EXISTING METHODS

Palmprints are very rich in texture. We can form the feature vector by extracting texture information. Various approaches are followed by researchers. Pan & Ruan [9] used 2D Gabor filters at different angles to extract the feature information. A phase based palmprint matching approach is suggested by T. Aokit et al. [10]. They used a Band Pass phase only correlation method to extract the spectral information. Another correlation based method is presented by N. E. Othman et al. [11]. They proposed an approach based on the application of unconstrained minimum average correlation energy (UMACE) filter for palmprint feature extraction and representation [11]. The UMACE methodology determines a different filter for each palmprint of authentic class, the correlation function gives peak for authentic palmprint, and this property is used for classification.

Principal component analysis based approaches are suggested in [12], [13], [14], [15], [16]. They include PCA on PCA & 2D PCA analysis of Gabor Wavelets, Moment invariants etc. Wavelet energy based feature vector are also possible for palmprints [17]. K. Wong, G. Sainarayanan and A. Chekima [18] used wavelet energy of the palmprint ROI. Palmprint image was decomposed using different types of wavelets for six decomposition levels. Two different wavelet energy representations were tested. The feature vectors were compared to the database using Euclidean distance or classified using feed-forward back-propagation neural network.

X. Wu, K. Wang, D. Zhang [19] used 3 level decomposition of palmprint and formed the wavelet energy based feature vector for matching. We have proposed a feature based on wavelet energy entropy. We have used Kekre's Wavelet for extraction of feature vector and the palmprint was decomposed into five levels. For classification relative wavelet energy entropy as well as Euclidean distance based classifier is used [20].

The iris texture contains information which should be extracted and represented using selected feature vector. S Attrachi & K Faez [21] have used a complex mapping procedure and best-fitting line for the iris segmentation and 1D Gabor filter with two dimensional Principal Component Analysis (2DPCA) for the recognition approach. In the recognition procedure, they used the real term of 1D Gabor filter. In order to reduce the dimensionality of the extracted features, the new introduced 2DPCA method was used. Another such system using Gabor filter, 2DPCA & Gabor Wavelet Neural Network (GWNN) was proposed by Zhou et al. [22].

Koh et al. have proposed multimodal iris recognition system [23] using two iris recognitions and also the levels of fusion and the integration strategies to improve overall system accuracy. This technique first implements the Daugman's iris system using the Gabor transform and Hamming distance. Second, they proposed an iris feature extraction method having a property of size invariant through the Fuzzy-LDA with five types of Contourlet transform. This gives a multimodal biometric system based on two iris recognition systems. To effectively integrate two systems, they used statistical distribution models based on matching values for genuine and impostor, respectively. Iris recognition based on linear discriminant analysis (LDA) and Linear Predictive Cepstral Coding (LPCC) was proposed by Chu & Ching [24]. In addition, a simple and fast training algorithm, particle swarm optimization (PSO), was also introduced for training the Probabilistic Neural Network (PNN)[24].

In this paper we are using texture based feature extraction for palmprint & iris. This feature extraction mechanism is explained in the next section.

3. PARTITIONED COMPLEX WALSH PLANE IN TRANSFORM DOMAIN

Here we discuss a method which deals with palmprint identification in the transform domain. The one-step Walsh transform i.e. either the row or the column transforms of the fingerprint is subjected to partitioning to generate the feature vector. This process is based on Cal & Sal Functions of Walsh Transform, next we discuss the Walsh transform & its Cal, Sal functions.

3.1 Walsh Functions [25]

Walsh functions are a set of orthogonal functions which can be used to represent any discrete-time signal. The Walsh functions (W0 - W7) as shown in the Fig.2 are generated from square wave functions of different sequency.

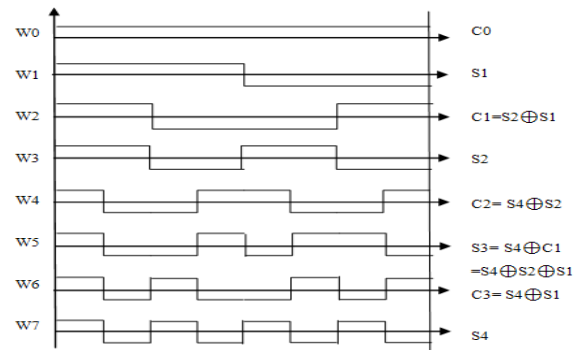


Figure 2. First Eight Walsh Functions

The even functions (C0 - C3) are called Cal functions and the odd functions (S1-S4) are called Sal functions. The basic square wave functions are S1, S2 and S4. C0 is DC component and the remaining functions are generated from the basic square waves by EX-OR operation (equivalent to multiplication). This operation generates only the difference sequency functions (as opposed to the case of sinusoidal signals where both difference and sum frequencies are generated) e.g. $C1 = S1 \oplus S2$, here S1 and S2 being odd function, their EX-OR operation results in an even function (C1). Similarly EX-OR operation of an even and odd function generates an odd function e.g. $S3 = S4 \oplus C1$, which can further be simplified to $S3 = S4 \oplus S2 \oplus S1$, showing that all functions are generated from the basic square waves S1, S2 and S4.

Walsh functions can be ordered in a number of ways. The sequency 'k' of a Walsh function is defined as half the number of zero crossings in one cycle of the time base. Walsh functions with non-identical sequencies are orthogonal, as are the functions $W(n, 2k)$ and $W(n, 2k+1)$. The product of two Walsh functions is also a Walsh function. Harmuth in [25] designates the even Walsh functions $Cal(k)$ and the odd Walsh functions $Sal(k)$ [26],

$$Cal(n, k) = W(n, 2k) \quad (1)$$

$$Sal(n, k) = W(n, 2k+1) \quad (2)$$

where 'k' is the sequency.

The Walsh transform matrix (W) is then generated by sampling these Walsh functions at the middle of the smallest time interval. The matrix, as in Eqn. (3) is obtained, which can be directly used to generate the transform coefficients of a discrete signal both of 1-D and 2-D as shown in Eqn. 1 and Eqn. 2 respectively,

$$W = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & -1 & -1 & -1 & -1 \\ 1 & 1 & -1 & -1 & -1 & -1 & 1 & 1 \\ 1 & 1 & -1 & -1 & 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 & 1 & -1 & -1 & 1 \\ 1 & -1 & -1 & 1 & -1 & 1 & 1 & -1 \\ 1 & -1 & 1 & -1 & -1 & 1 & -1 & 1 \\ 1 & -1 & 1 & -1 & 1 & -1 & 1 & -1 \end{pmatrix} \quad (3)$$

$$F_{1D} = W.f \quad (4)$$

$$F_{2D} = W.f.W^T \quad (5)$$

The interpretation of Walsh transform of a 2-D signal can be understood by Fig. 3, where first the row transform is calculated and then the column transform. The final output has DC component in the top left corner and the sequency components increase leftwards and downwards. In the current approach, we are first generating the intermediate transform, i.e. the row transform (or column transform) of a Region of Interest (ROI) image as shown in Fig. 4, which have DC component as its first row (or column) and higher sequency components (Sal and Cal) as the following rows (or columns).

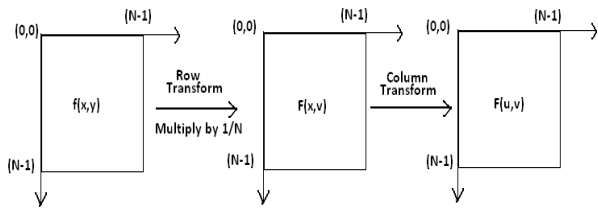


Figure 3. Transform of a 2D Function

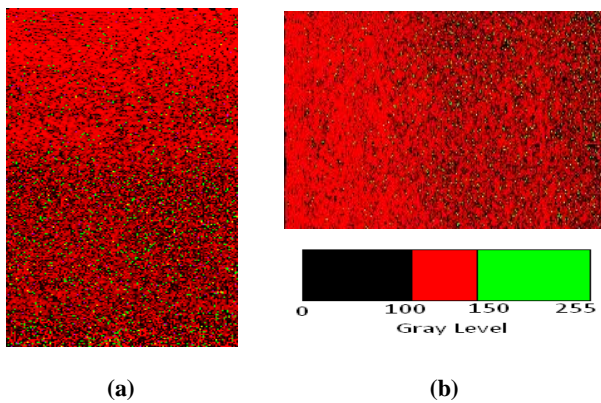


Figure 4.(a) Row Transform and (b) Column Transform of a Palmprint

4. COMPLEX WALSH PLANE [27] & FEATURE VECTOR GENERATION

The Cal and the Sal components of the same sequency are grouped together and are considered to be in the four quadrants of 2-D complex coordinate plane as listed in Fig.5. This complex plane is now partitioned into different numbers of

blocks. The complex plane consisting of same-sequency (Sal, Cal) components is now partitioned 256 square blocks as shown in Fig. 7. For each block a feature vector is generated which is the mean value of all the transform coefficients in that block, as well as the number of points i.e. the density is also considered.

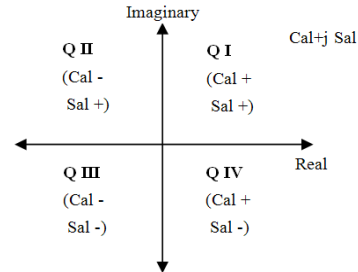


Figure 5. Complex Walsh Plane

This value is unique for each biometric trait's ROI (Region of Interest) as the sequency distribution of each ROI is unique in different blocks. As compared to all or those transform coefficients which contain major part of signal energy feature vectors generated using partitioning are much less in number and hence the reduction in processing time and complexity. The blocks generated are square shaped and the mean values of the transform coefficients in each block are calculated as in Eqn. 6, where M_k is the mean and N is the number of coefficients in a block, which form the features. The DC component, separate means of the Sal and Cal component and the last sequency component together form the feature vector, and hence the number of features is $2S+2$, where S is the number of blocks.

$$M_k = \frac{1}{N} \sum_{i=1}^n W_i \quad (6)$$

The features obtained from the test image are compared with those obtained from the stored Biometric trait in the database and the results matched. The Euclidian distances between the feature vectors of the test image and the database images are calculated. The minimum distance gives the best match.

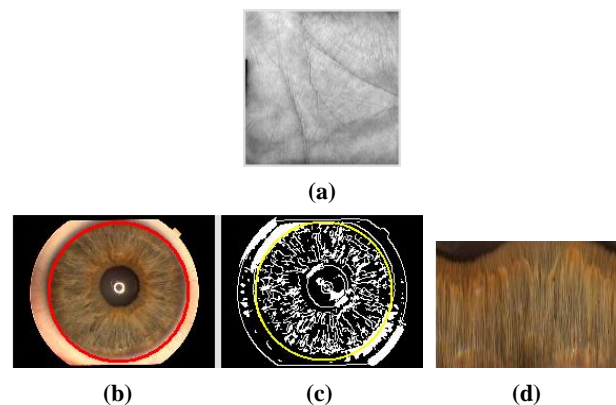


Figure 6. ROI Extraction for Palmprint & Iris (a) Palmprint ROI (b), (c) Iris Localization (d) Unwrapped Iris ROI

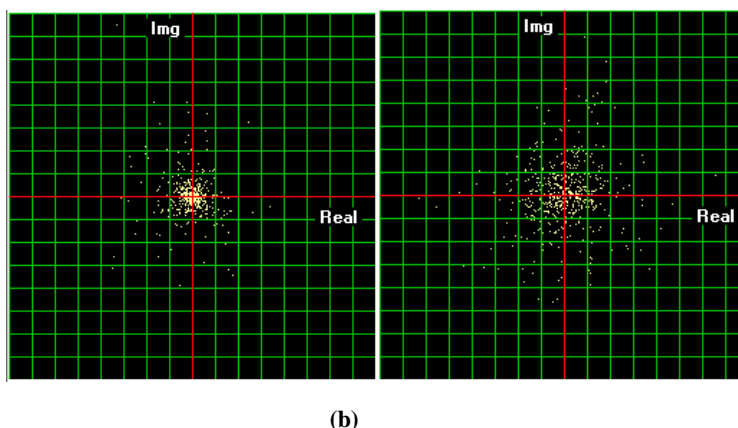


Figure 7. Complex Walsh Plane (a) Partitioned Cal+jSal Function Plot of Row Transform (b) Partitioned Cal+jSal Function Plot for Column Transform

We have selected 192*192 pixels size region of interest for the palmprint as discussed by Kekre&Bharadi [29]. The iris image is also localized and ROI of size 240*360 pixels is selected by unwrapping of the localized iris [28]. These two ROI's are shown in Fig. 6. Both these ROI's are used for intermediate transform & complex plane generation.

As discussed earlier each plot gives 2S+2 coefficients, we have 256 blocks in each plot, hence one plot gives 514 (256*2 +2) coefficients. For each type of input i.e. segmented palmprint ROI and core point ROI we have two plots, one for row and one for column transform hence we have 1028 (514 *2) coefficients for each type of fingerprint input. Finally we have 1028 coefficients in the feature vector of segmented fingerprint and another 1028 for core point ROI. Similar Feature vector is generated for Density of the points in complex Walsh Plane for each fingerprint input. This feature vectors are used for enrollment and matching of the fingerprints. For each normalized iris ROI input the feature vector is generated in following variations, for Iris Left (L) & Right (R) iris ROI's are considered. For Palmprint only first five feature vector variations are considered.

1. Row transform feature vector (Row TRF -L, Row TRF -R)
2. Column transform feature vector (Col TRF -L, Col TRF -R)
3. Row density feature vector (Row-Density-L, Row-Density-R)
4. Column density feature vector (Col-Density-L, Col-Density-R)
5. Fusion of above mention feature vectors with DC & Sequency components. (Row TRF + Density + DC SEQ Left, Row TRF + Density + DC SEQ Right)
6. Final Fusion of Left & Right Iris Feature Vectors- (Fusion)

This feature vector method is used for both palmprint & iris feature extraction. The extracted feature vectors are stored in database. We are using K-NN classifier classification. We are using Hongkong Polytechnic University's POLYU Database [29] for palmprint testing. Phoenix iris database [30] is used for iris testing. The results are discussed in the next section.

5. RESULTS

We have enrolled total 100 persons in the database, 6 palmprints per person are used for training. Total 358 tests are performed for intra class matching and 2491 tests are performed for inter class matching.

We are using Performance Index (PI) as a metric for performance comparison the crossover rate (EER) for FAR-FRR (False Acceptance Rate & False Rejection Rate) analysis is found and PI is defined as:

$$PI=100-EER \quad (7)$$

Higher the PI, better is the performance of specific feature vector extraction mechanism. The details of PI & CCR (Correct Classification Ratio) of above mentioned feature vectors are summarized in Fig. 8, 9 & 10. Fig. 8 shows FAR-FRR Analysis of fusion based feature vector. We have achieved 10% EER. Fusion of Row & Column Transform mean & Density with DC & Sequency coefficient gives 90% PI. The individual Row & column transform mean based feature vectors have 82% & 87% PI, this shows that due to fusion of feature vector with DC & Sequency component the performance has improved.

Fig. 9 shows comparative analysis of the different variations of the feature vectors. The correct classification ratio (CCR) for the matching tests is 84.23% In case of palmprint the CCR is lower than fingerprint; this is due to the fact that the database images have prominent details about major principle lines and the ridge and minute lines on the palms are not captured properly in the database image. The fingerprints are scanned at higher resolution of 500dpi and the PolyUpalmprint database images are captured by a CCD camera at 75dpi. In the next section a new biometric called as finger-knuckle print is discussed.

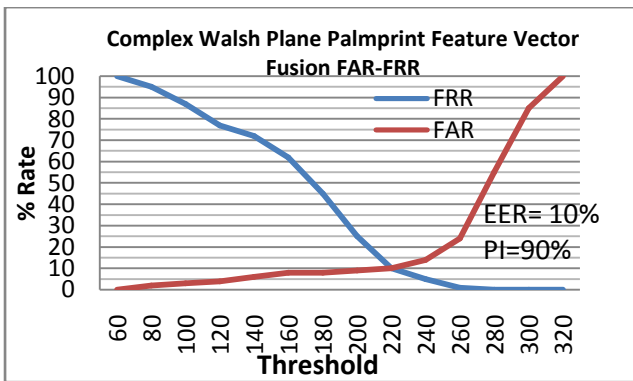


Figure 8. FAR-FRR Analysis for Walsh Cal-Sal based Fused Feature Vector

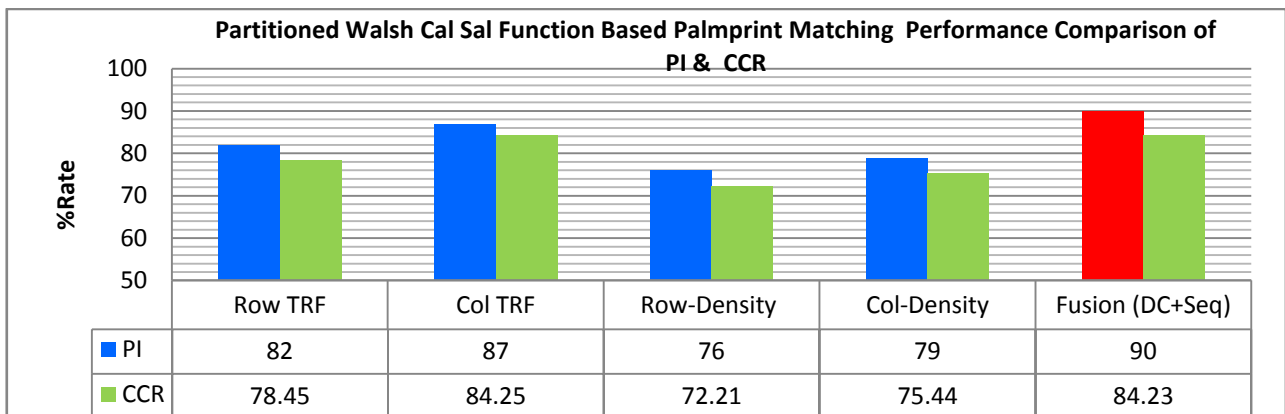


Figure 9. Performance Comparison for Feature Vector Variants of Partitioned Walsh Cal-Sal Function Palmprint Matching Score Fusion based Matching Gives Higher Performance Index; this is Indicated by Bar in Red Colour. (TRF: Transform, DC-DC Coefficient, Seq- Sequency)

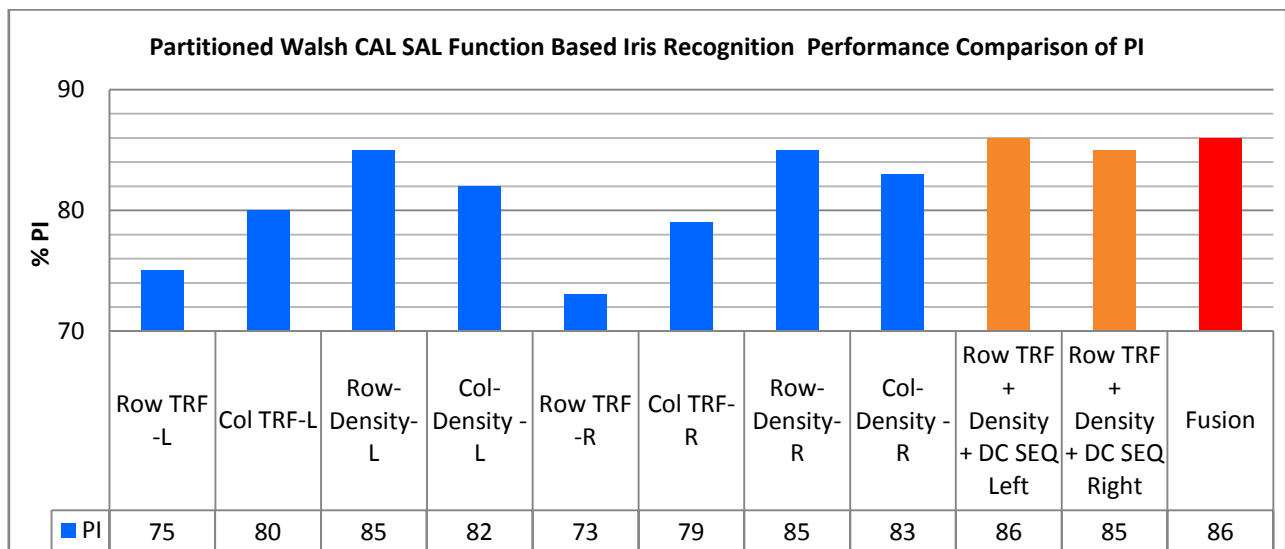


Figure10. Performance Comparison for Feature Vector Variants of Partitioned Walsh Cal-Sal Functions Iris Recognition Score Fusion based Matching Gives Higher Performance this is Indicated by Bar in Red Colour. The Orange Colour Bar Indicate the PI for Individual Left and Right Iris Feature Vector Fusion (TRF: Transform, FV: Feature Vector)

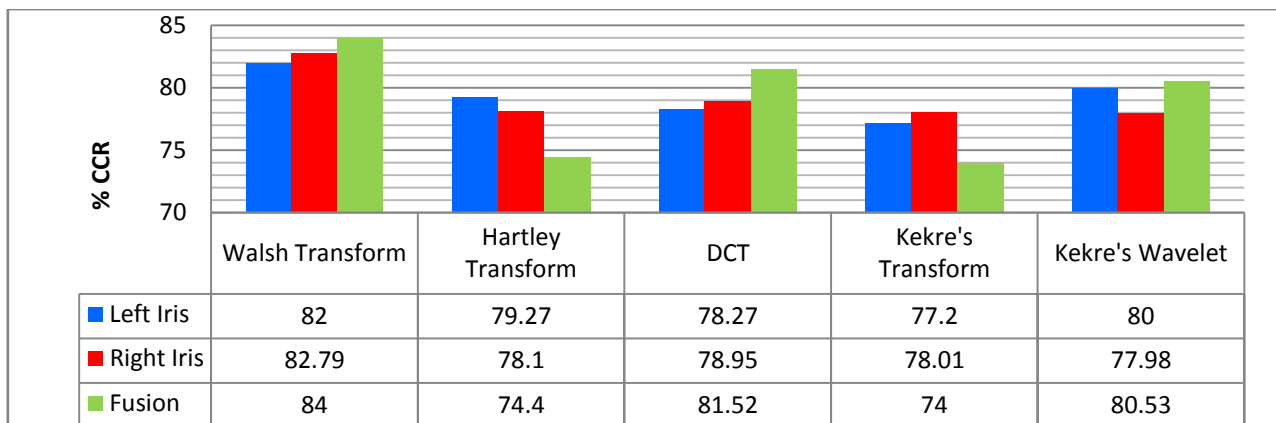


Figure 11. Performance Comparison for Feature Vector Variants of Partitioned Complex Plane based Iris Feature Vectors

For iris recognition analysis 390 iris image samples collected from 65 persons (6 samples per person, 3 Left & 3 Right iris images) have been used. Total 3968 different tests are performed. Equal Error Rate (EER) is evaluated for FAR-FRR analysis as well as PI & CCR are also calculated.

This feature extraction method is extended for Even Odd functions complex plane plot of Hartley Transform, DCT, Kekre's transform &Kekre's wavelets. Instead of the Cal & Sal function we have Even and Odd function for the other transforms. These methods were tested on normalized iris data and the feature vectors are extracted for left & right iris images of the user iris database. Individual left & right iris as well as fusion of both the iris is tested. The summary is given in Fig.11.

Walsh transform based feature vectors give best performance. The fusion of feature vectors of left & right iris give 84% CCR for Walsh transform. Next best performance is given by Kekre's wavelets, 80.53% of CCR is achieved for fusion of iris feature vectors. In case of Walsh, DCT &Kekre's Wavelet based testing the fusion of left & right iris gave higher CCR as compared to individual testing, but for Hartley &Kekre's transform the fusion didn't yielded higher CCR, in fact the final CCR is lower in these two cases. This is mainly because the individual feature vectors performance was low and having higher variations as compared to other transforms.

6. CONCLUSION

In this paper we have discussed a feature extraction mechanism based on partitioned complex plane of Walsh transform. This feature extraction mechanism was implemented for palmprint & iris feature vector generation. It is observed that the proposed method performs well for classification of genuine and forgery inputs. This method was extended for Hartley, DCT, Kekre Transform &Kekre Wavelet for iris recognition. Best performance was given by Walsh transform followed by Kekre wavelet. This shows the superiority of proposed method for texture feature based feature vector extraction. The proposed method is tested in both unimodal& multi-algorithmic (involving fusion of multiple feature vectors)& multi-instance (involving left & right iris sample instances). Fusion improves the performance the various biometric systems tested.

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