

Languages of the Future

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Abstract

This paper explores a new point in the design space of formal reasoning systems - part programming language, part logical framework. The system is built on a programming language where the user expresses equality constraints between types and the type checker then enforces these constraints. This simple extension to the type system allows the programmer to describe properties of his program in the types of *witness* objects which can be thought of as concrete evidence that the program has the property desired. These techniques and two other rich typing mechanisms, rank-N polymorphism and extensible kinds, create a powerful new programming idiom for writing programs whose types enforce semantic properties.

A language with these features is *both* a practical programming language *and* a logic. This marriage between two previously separate entities increases the probability that users will apply formal methods to their programming designs. This kind of synthesis creates the foundations for the languages of the future.

1 Introduction

There is a huge semantic gap between what the programmer knows about his program and the way he has to express this knowledge to a system for reasoning about that program. While many reasoning tools are built on the Curry-Howard isomorphism, it is often hard for the programmers to conceptualize how they can put this abstraction to work. We propose the design of a language that makes this important isomorphism concrete - proofs are real objects that programmers can build and manipulate without leaving their own programming language. Such proofs can express important semantic properties of their programs. We believe that this increases by orders of magnitude the probability that programmers will actually construct programs that they reason about, and this will make measurable differences in the quality of the code produced. It is not that

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programmers cannot reason about their programs; rather, it is that they find the barriers to entry so high that they would rather not.

The semantic gap between formal tools and implementation languages prevents the application of formal methods to software design on all but the most important applications. If we are ever to build systems that we can trust on a large scale, we must develop programming languages that narrow this semantic gap. The programming languages of the future will have the following properties.

- They will allow programmers to describe and reason about semantic properties of programs from within the programming language itself, mainly by using powerful type systems. But, the languages will be *designed* to interoperate with other external reasoning or testing systems as well.
- The languages will be within reach of the majority of programmers. Using the reasoning capability of the language will not be too time consuming, nor will the learning curve for learning how to use such features be too high.
- They will be practical, supporting all the capabilities we now expect in a programming language. But, they may organize these capabilities in new ways that better control potentially unsafe features. They will use static analyses to separate powerful but risky features from the rest of the program, and will clearly mark the boundaries between the two. They will spell out the obligations required to control the risk, and support and track how these obligations can be met.
- They will be efficiently implementable, but perhaps in new and novel ways. Rather than relying on a strict compile-time/run-time distinction to perform a single heroic optimization, they will provide a flexible hierarchy of *stages* from within the programming language. Staging will deal uniformly with notions of compile-time, link-time, run-time, and run-time code generation. This will allow the computation system to take advantage of important contextual information no matter when it becomes available. The staging separation will also track semantic properties across stages. It will be possible to know that a stage i program always builds a stage $i + 1$ program with some known property p .

In this paper we explore a new point in the design space of formal reasoning systems: the development of the language Ω mega. Ω mega is *both* a practical programming language *and* a logic. These sometimes irreconcilable goals are made possible by embedding the Ω mega logic in a type system based on *equality qualified* types[7]. This design supports the construction, maintenance, and propagation of semantic properties of programs using powerful old ideas about types in novel new ways.

Theorem provers and logical frameworks have many of the same goals, but we believe there are qualitative differences between them and our work.

First, Ω mega is a practical programming language. It supports practical programming features such as input/output and side-effects, but uses its type system to cleanly separate these potentially dangerous features from the core language of the logic.

Second, Ω mega uses a single computational model for both its logic and its programming. It uses a strict functional model with monads [38, 37, 36] to separate effects from computation. This model suffices to describe both programs and properties. Contrast this with logical frameworks where programs are purely functional and the logic employs prolog style back chaining (Elf), or higher order pattern matching (Twelf). A similar dichotomy arises in LCF style theorem provers such as Coq. In such systems, programs must be extracted from proofs, which are themselves constructed in highly unnatural ways using tactics and proof combinators. We believe that this two model paradigm is unnatural, and that the single model of Ω mega is easier to learn and use by ordinary programmers. We discuss this in more detail in Section 3.

Third, Ω mega incorporates several powerful extension mechanisms. In Coq and other related systems, proofs *correspond* to programs. In Ω mega proofs *are* programs (with equality qualified types). More efficient implementations can often be extracted from proofs by a form of type erasure. Unlike Coq[33], and Isabelle[20] where type erasure is fixed and inflexible, type erasure in Ω mega is implemented by the use of explicit staging. The conjunction of staging and logical systems provides a powerful new tool. By using staging, extraction of efficient programs from proofs is under the control of the programmer, and can be targeted at *any* object-language. Staging can also be used to perform specialization and partial evaluation. A second extension mechanism is Ω mega's ability to reflect representations of its types into the value world and to perform arbitrary computations on these representations in a type safe manner. Because the logic of Ω mega is embedded in its type system, the sound reflection mechanism supports extension of Ω mega's logic to deal with a wide variety of properties, both logical (semantic), and physical (resource usage).

Ω mega's design has been heavily influenced by a set of recent advances in the programming language community. The ability to combine type inference with type checking and arbitrary rank polymorphism[12, 14, 27]; the semantics of staged computation systems[5, 32, 26, 30]; and the use of simplified form of dependent typing called *indexed types*[44, 6, 7] have combined to create a powerful new way to embed properties of programs in their types.

Ω mega is clearly descended from functional programming languages – Its syntax and type system are similar to Haskell, but its approach to combining reasoning and programming in a single system makes it of interest to all programmers. Ω mega opens intriguing possibilities for the design, exploration, and implementation of programs with semantic properties. We believe exploring this point in the design space of programming languages and reasoning systems makes is an important step in the direction towards the programming languages of the future.

2 How Types Capture Properties

An important role of type systems in programming languages is to guarantee the property that programs do not use data (including functions) in inappropriate ways. But types can also be used to en-

sure much more sophisticated properties. Types have been used to ensure the safety of low level code such as Java Byte Code[28, 3] or typed assembly language[16, 17]. These systems use types to model the shape of the stack or register bank to ensure that low level code sequences are used properly (e.g. no stack underflow). Types have also been used to model information flow[23, 35, 18] to ensure security properties of systems. Types have been used to track resource control, such as the possibility of non-termination [13], or to place upper bounds on the time consumed by a computation[8, 34]. Types have been used as a means of removing dynamic error tests – for example, to enforce data structure invariants[43] (such as ensuring red-black trees are well formed) or to make code more efficient by removing unnecessary run-time array bounds checks[44]. Finally, types have been used to track access control, which allows removing (or minimizing) stack inspection overhead as a means of managing capabilities[39, 4].

As far as the author can tell from the literature, each of these systems was built using a general purpose programming language. While the properties of these systems could be modelled by a formal system such as a logical framework or theorem prover such as Coq[33], Isabelle[20], or Twelf[22], the properties are a meta-logical property of the program and external to the implementation. In Ω mega they could be a property of the implementation, which could thus be enforced by the programming language. Rather than model an existing application in a formal system, or use a formal system to build a model of an as-yet-unimplemented application and then derive or generate an implementation from this model, we can both implement and reason in a single paradigm with Ω mega.

While formal reasoning systems are very good at what they do, they were not designed to be programming languages. These tools are too expressive. They trade usability for expressiveness. There is something to be gained by being selective, choosing features wisely, and maintaining the pragmatic properties of a system. Powerful tools are very useful and have their place in system design, but there is a missing point in the continuum of tools between practical and formal, and Ω mega is designed to fill this gap. By doing so wisely, much is to be gained, in terms of ease of use, a more gradual learning curve, and increased interoperability with other systems.

We have coined a new slogan for the process of designing reliable systems: *Mostly types – just a little theorem proving*. We argue that many properties that can be modeled in a theorem prover or logical framework, can also be modelled more straightforwardly in a programming language whose type system has been strengthened in just a few simple ways. This allows properties of systems to be modelled in a more light-weight manner, yet still be completely formal. Adding rank-N polymorphism, equality qualified types, extensible kinds, and staging support makes this light-weight formality possible. Programmers already familiar with the use of a theorem prover or logical framework will find that many of the powerful ideas behind these tools have been moved to a practical programming language and have become more widely applicable. Thus, we can save the power and frustration of using a theorem prover for when we really need it.

3 An Introduction to Ω mega

In this section we introduce Ω mega. We use a simple application which has a semantic invariant captured by the type system of Ω mega. The example is sequences of elements with the semantic property that the length of the sequence is encoded in its type. For example the sequence $[a_1, a_2, a_3]$ has type $(Seq\ a\ 3)$, and the type of the *Cons* operator that adds an element to the front of a sequence

```

kind Nat = Z | S Nat

data Sum w x y
  = Base where w=Z , x=y
  | exists m n . Step (Sum m x n)
    where w=S m, y=S n

data Seq a n
  = Nil where n = Z
  | exists m . Cons a (Seq a m) where n = S m

app :: Sum n m p -> Seq a n -> Seq a m -> Seq a p
app Base Nil ys = ys
app (Step p) (Cons x xs) ys = Cons x (app p xs ys)

```

Figure 1. An Ω mega/ encoding of lists whose types record their lengths.

value	type	kind
5 ::	Int	*0
	Z	Nat
	Succ	Nat \rightsquigarrow Nat
	Seq	*0 \rightsquigarrow Nat \rightsquigarrow *0
	Sum	Nat \rightsquigarrow Nat \rightsquigarrow Nat \rightsquigarrow *0
Nil ::	Seq α Z	*0
Cons ::	$\alpha \rightarrow$ Seq $\alpha n \rightarrow$ Seq α (S n)	*0
Base ::	Sum Z n n	*0
Step ::	Sum m n o \rightarrow Sum (S m) n (S o)	*0

Figure 2. Classification of values (Nil, Cons, Base, and Step), types (Z, Succ, Sum, and Seq), and kinds (Nat) defined in Figure 3

would be $a \rightarrow Seq a n \rightarrow Seq a (n+1)$. The type of the append operator would be $Seq a n \rightarrow Seq a m \rightarrow Seq a (n+m)$. In order to type such functions it is necessary to do arithmetic at the type level. In Figure 3 is an Ω mega program that captures this specification. The code introduces two new types (Sum and Seq), a new function (app), and a new kind (Nat). The new kind Nat introduces two new

type constructors Z and S which encode the natural numbers at the type level.

Kinds are similar to types in that, while types classify values, kinds classify types. We indicate this by the *classifies* relation ($::$). For example: $5 :: Int :: *0$. We say 5 is classified by Int, and Int is classified by *0 (star-zero). *0 is the kind that classifies all types that classify values (things we actually can compute). *0 is classified by *1, etc. We sometimes write * as a shorthand for *0. There is an infinite hierarchy of classifications. We call this hierarchy the *strata*. In fact this infinite hierarchy is why we chose the name Ω mega. The first few strata are: values and expressions that are classified by types, types that are classified by kinds, and kinds that are classified by sorts, etc. In Figure 2 We illustrate the relationship between the values, types, and kinds introduced in Figure 3.

Constructor functions (Nil, Cons, Base, and Step) construct elements of data types. The type of a constructor function is described in the data declaration. For example, the clause in the Seq declaration: $exists\ m\ n.\ Step\ (Seq\ m\ x\ n)$ where $n = S\ m$ introduces the Cons constructor function. Without the where qualification, the constructor function Cons would have type $(Cons :: a \rightarrow Seq\ a\ m \rightarrow Seq\ a\ n)$. *Equality Qualification* (indicated by the where in the clauses for Nil, Cons, Base, and Step) and *existential quantification* (indicated by exists in the clauses for Cons, and Step) help encode semantic properties. The where *qualifies* Cons'

type, in effect saying $(Cons :: a \rightarrow Seq\ a\ m \rightarrow Seq\ a\ n)$ *provided* $n=S\ m$. We capture this formally by writing $Cons :: (forall\ a\ n\ m.\ (n=S\ m) \Rightarrow a \rightarrow Seq\ a\ m \rightarrow Seq\ a\ n)$. The equations behind the *fat arrow* (\Rightarrow) are equality qualifications. Since n is a universally quantified type variable, there is only one way to *solve* the qualification $n=S\ m$ (by making n equal to S m). Because of this unique solution, Cons also has the type $(forall\ a\ m.\ a \rightarrow Seq\ a\ m \rightarrow Seq\ a\ (S\ m))$. This type guarantees that Cons can only be applied in contexts where $n=S\ m$. Existential quantification of the type variable m names the intermediate length of the sublist of Cons, which if not introduced in this way would appear as an unbound type variable.

Equality constrained types are a relatively new feature in the world of programming languages, and were only recently introduced by Hinze and Cheney[7]. We can use the mechanism to model relations between types, other than equality, by defining witness types. A witness is a value constructed by the constructor functions (like Base and Step) of some data definition (like Sum). The type of such a value encodes the property. The very existence of the witness (i.e. a non bottom value with the given type) implies that the property must be true. Witnesses to untrue properties cannot be constructed since such values would be ill-typed. A value of type $(Sum\ m\ n\ o)$ witnesses the ternary arithmetic relation $m+n=o$.

Ω mega's types are used to enforce the property that the length of appending two lists is the sum of the length of the two lists appended ($app :: Sum\ n\ m\ p \rightarrow Seq\ a\ n \rightarrow Seq\ a\ m \rightarrow Seq\ a\ p$). The first argument to app is a witness to the crucial property. Consider the first clause defining the append function $app\ Base\ Nil\ ys = ys$ – how is this typed? We know app's type, so the first argument Base must have type $(Sum\ n\ m\ p)$, and the second argument Nil must have type $Seq\ a\ n$, and the third argument ys must have type $(Seq\ a\ m)$. The right-hand-side of the equation should then have type $(Seq\ a\ p)$. But, since the right-hand-side is the same as the second argument, this clause appears ill-typed. In short we write:

$\{Base :: Sum\ n\ m\ p, Nil :: Seq\ a\ n, ys :: Seq\ a\ m\} \vdash ys :: Seq\ a\ p$
The key to type checking this clause, is to recognize that the constructor functions Nil and Base have equality qualified types. In particular when they were constructed it must have been the case that $n=Z$ (from Nil) and that $n=Z$ and $m=p$ (from Base). So the complete typing judgment becomes:
 $\{Base :: Sum\ n\ m\ p, Nil :: Seq\ a\ n, ys :: Seq\ a\ m, n = Z, m = p\} \vdash ys :: Seq\ a\ p$
which is easily shown to be true.

The propagation and solving of equality qualifications is handled by the compiler and type checker. The user is simply required to introduce equalities by using the where clause in data definitions, and stating the type of the function by giving its type signature (i.e. $app :: Sum\ n\ m\ p \rightarrow Seq\ a\ n \rightarrow Seq\ a\ m \rightarrow Seq\ a\ p$) and the compiler does the rest. If a type signature is not supplied, the compiler will attempt to infer a Hindley-Milner polymorphic type for the function. Hindley-Milner inference for app would fail since it uses polymorphic recursion. The important thing to note is that Ω mega uses a combination of type inference and type checking. The presence of type signatures indicates that a function should be type checked. We do not believe that supplying type signatures for such functions is overly burdensome. Since the types encode properties of the object-language, the user ought to know what type his functions have, since it corresponds to the properties he is trying to model. If the function type checks, then the user has a proof that the program has the property described by the equalities between types.

```

Inductive nat : Set := Z : nat | S : nat -> nat.

Definition plus : nat->nat->nat :=
Fix plus
  {plus [n:nat] : nat->nat :=
    [m:nat]Cases n of
      Z => m
    | (S p) => (S (plus p m))
    end}.

Inductive Seq [A:Set] : nat -> Set :=
Nil : (Seq A Z)
|Cons : (n:nat; x:A; xs : (Seq A n))(Seq A (S n)).

Definition app [A:Set] : (m,n:nat)
  (Seq A m) -> (Seq A n) -> (Seq A (plus m n)).
Intros. Induction H. EApply H0. Simpl.
Apply (Cons A (plus n0 n) x HrecH). Defined.

Coq encoding


---


elem : type.
e1 : elem.

nat : type.
z : nat.
s : nat -> nat.

plus : nat -> nat -> nat -> type.
base : plus z Y Y.
step : plus (s X) Y (s Z)
  <- plus X Y Z.

seq : nat -> type.
nil : (seq z).
cons : elem -> (seq A) -> (seq (s A)).

app : (plus A B C) -> (seq A) ->
  (seq B) -> (seq C) -> type.
app_1 : app base nil X X.
app_2 : app (step P) (cons X XS) YS (cons X ZS)
  <- app P XS YS ZS.

```

Twelf encoding

Figure 3. Coq and Twelf programs for comparison to Ω mega.

A Comparison of Formal Reasoning Systems. In the Coq and Twelf encodings in Figure 3 we see a similar encoding of natural numbers at the type level, and an encoding of sequences with encoded lengths. In Coq the definition of `plus` is defined by structural induction over `nat` types, but the definition of `append` is given by a series of commands (Introduction, EApply, Simpl etc.) that guide the Coq theorem prover to construct a proof object with the given type. The `append` function is then extracted (not shown) from this proof object. In the Twelf encoding the `plus` function and the `append` function are encoded as logic programs.

The big advantage of the Ω mega approach is that the program *is* the logic. There is no translation between programming notation to some external reasoning tool. Second, there is no need to switch gears when reasoning about the system. Rather than thinking in terms of our implementation programming language, in Coq we must think in terms of proof tactics, and in Twelf (given that the vast majority of programs are not written in Prolog) we must think in terms of logic programs.

To be fair, we point out two caveats to the above arguments we address later. First, in Ω mega we must implement the `Sum` witness in a logical style. This style is closer to Twelf’s logical style than Coq’s functional style, so in Ω mega it appears we must think logically rather than functionally (at least at the type level). This is a consequence of the mechanism used to solve equality constraints. Second, (this will probably only make sense to those familiar with

Coq) we could have defined `append` as a set, rather than a proposition, and then defined it by induction as we did in Ω mega. Had we done so we could no longer extract an efficient program from this definition. By combining the programming language and the logic we believe we can address both these issues. In Section 5 we discuss extracting efficient programs. Removing the relational bias from the type level is beyond the scope of this short note.

4 Example: A Type-Safe and Statically-Scoped While-language

We now turn to a richer example: modelling a simple imperative *While language* with semantic properties of static scoping and type safety [19, 21]. Every While-program represented as an Ω mega data structure is a proof that every variable in that program refers to some binding site (static scoping), and that the program is also well typed. The power of Ω mega is that modelling these static semantic properties requires approximately the same amount of time and intellectual effort one uses to model context free syntactic properties using other means. In addition any Ω mega program that manipulates a While-program data structure, is guaranteed to maintain these properties. Ω mega programs that do not maintain the scoping and typing are statically determined to be ill-typed and are thus rejected.

In Figure 4 we introduce data structures to represent the While language. The data declarations introduce three new parameterized types `V`, `Exp` and `Com` for variables, expressions, and commands. These are *type constructors*, and an actual element of the new types will have types like `(V (Int, Bool) Bool)`, `(Exp (Int, Bool) Int)`, or `(Com (Int, Bool))`. We interpret `(Exp s t)` as an expression with type `t` in store `s`. The type of a store captures the types of the variables currently in scope. A similar interpretation is given to variables `(V s t)`. Commands don’t have result types, but are interpreted in the store `(Com s)`. The declarations also introduce *constructor functions* `Z`, `S`, `IntC`, `BoolC`, etc. whose types are given as comments in Figure 4. Readers familiar with type systems will notice that the types of the constructor functions look a lot like typing judgments. We have used the equality constrained types to encode and reason about these inference rules *in the programming language*.

An observation about the type parameters of Ω mega type constructors. The parameters of type constructors in the While-language play a qualitatively different role than type parameters in other data structures. Consider the declaration for a binary tree datatype:

```
data Tree a = Tip a | Fork (Tree a) (Tree a).
```

In this declaration the type parameter `a` is used to indicate that there are sub components of `Trees` that are of type `a`. In fact, `Trees` are polymorphic. Any type of value can be placed in the “sub component” of type `a`. The type of the value placed there is reflected in the `Tree`’s type. Contrast this with `(Com s)`. Here there are no sub components of type `s`. Instead, the parameter `s` is used to stand for an abstract property (the types of the statically reachable object-variables). The `where` qualifications restrict the legal instances of `s`. Type parameters used in this way are sometimes called index types [42, 44].

Manipulating While-programs. In Figure 5 a small interpreter for the While-language is given. Expressions are interpreted by the function `eval :: Exp s t -> s -> t`. The function `eval`, given a term of type `(Exp s t)` produces a function from `s` to `t`. `eval` gives meaning to the term. Given `store :: s`, a data structure which stores values for the expression’s variables, then we can produce

```

data V s t
= exists m . Z where s = (t,m)          -- x0          V (t,m) t
| exists m x . S (V m t) where s = (x,m) -- xn          V m t -> V (x,m) t

data Exp s t
= IntC Int where t = Int                -- 5          Int -> Exp s Int
| BoolC Bool where t = Bool             -- True       Bool -> Exp s Bool
| Plus (Exp s Int) (Exp s Int) where t = Int -- x + 3     Exp s Int -> Exp s Int -> Exp s Int
| Lteq (Exp s Int) (Exp s Int) where t = Bool -- x <= 3    Exp s Int -> Exp s Int -> Exp s Bool
| Var (V s t)                            -- x          V s t -> Exp s t

data Com s
= exists t . Set (V s t) (Exp s t)      -- x := e     V s t -> Exp s t -> Com s
| Seq (Com s) (Com s)                   -- { s1; s2; } Com s -> Com s -> Com s
| If (Exp s Bool) (Com s) (Com s)      -- if e then x else y Exp s Bool -> Com s -> Com s -> Com s
| While (Exp s Bool) (Com s)           -- while e do s  Exp s Bool -> Com s -> Com s
| exists t . Declare (Exp s t) (Com (t,s)) -- { int x = 5; s } Exp s t -> Com (t,s) -> Com s

```

Figure 4. Typed, statically scoped, abstract syntax for the *While* language. The left hand column illustrates the Ω code that introduces data structures that represent the new object-language, and the middle column (following the comment token `--`) suggests a concrete syntax that the abstract syntax represents. The right hand column gives the type of the constructor function as described in the text below.

the value of the expression by applying `eval` to the expression and store. The type of the store models the types of the reachable variables in the object-program. Variables are integers (using a de Bruijn-like notation), and stores are nested pairs. The nested pairs have the following shape $(0, (1, (2, \dots)))$ where the 0, 1, and 2 indicate the index of the variable that “reaches” to the corresponding location in the nested pair. Because of the natural number-like definition of the type $(V\ s\ t)$ we see that $(Var\ Z)$ models the variable with index 0, $(Var\ (S\ Z))$ models the variable with index 1, and $(Var\ (S\ (S\ Z)))$ models the variable with index 2, etc. Thus if the type of the store is $(Int, (Bool, a))$ then variable with index 0 has type `Int` and the variable with index 1 has type `Bool`.

Under this interpretation it is easy to understand the functions `update`, `eval`, and `exec`. Consider: $(update\ (S\ Z)\ False\ (12, (True, 0)))$. This should return a new nested pair where the location of the index $(S\ Z)$ which is 1) has been replaced by `False` giving $(12, (False, 0))$. This proceeds by $(update\ (S\ Z)\ False\ (12, (True, 0))) \rightarrow (12, update\ Z\ False\ (True, 0)) \rightarrow (12, (False, 0))$. Note how pattern matching chooses the correct clause to execute.

In a similar fashion the `eval` function when applied to a variable $(Var\ i)$ “extracts” the i^{th} value from a nested pair. $(eval\ (Var\ (S\ Z))\ (12, (True, 0))) \rightarrow (eval\ (Var\ Z)\ (True, 0)) \rightarrow True$. The execution function for commands $(exec :: Com\ s \rightarrow s \rightarrow s)$ is a store transformer, transforming the store according to the assignments executed in the command.

Since the properties of the object-programs are captured in their types, respecting these types ensures that the meta-programs maintain the properties of the object programs. For example given that the meta-level variables `x` and `sum` are defined by `sum = Z` (the variable with index 0) and `x = S Z` (the variable with index 1), observe:

```

prog :: Com (Int, (Int, a))
prog = Seq (Set sum (Int 0))
         (Seq (Set x (Int 1))
              (While (Lteq (Var x) (Int 5))
                     (Seq (Set sum (Plus (Var sum) (Var x)))
                          (Set x (Plus (Var x) (Int 1)))))))
-- { sum = 0;
--   x = 1;
--   while (x <= 5)

```

```

--   { sum = sum + x;
--     x = x + 1; } }

```

The term `prog` has a meta-level type that states that it is well-typed at the object-level, only if the object-level store has an `Int` at indexes 0 and 1. If one tries to create an ill-typed object-level term a static type checking error occurs. For example consider the command $(if\ x\ then\ x := 0\ else\ x := 1)$ where the variable `x` needs to be typed as both an `Int` and a `Bool`.

```

badIf = If (Var x) (Set x (IntC 0)) (Set x (IntC 1))

```

```

In the expression: Set x (IntC 0)
the result type: Com (a, (Int, b))
was not what was expected: Com (a, (Bool, c))
Int does not unify with Bool

```

Possible Enhancements. Enhancing object-languages with type safety can be accomplished in two dimensions: a richer language or a richer type system. We have done both. We have also modelled several different styles of language semantics other than the big-step style given for the *While*-language. One of our most interesting semantics consisted of a typed small step semantics. Since this small step semantics is typed, it amounts to a machine checked subject reduction proof[41].

5 Staging Supports Efficient Implementations

Staged programs proceed in stages. Each stage “writes” a program that is executed in the next stage. Practical examples of staged systems include run-time code generation, dynamic compilation, and program generators. Staging is the key technology that supports efficient implementations without interpretive overhead.

Staging is a programming language interface to code generation. We have built two large sophisticated systems that implement staging. *MetaML*[25], a system with run-time code generation, and *Template Haskell*[26], a system with compile-time code generation (think macros, quasi-quotes, and type safety). In Figure 8 we use the staging mechanism of Ω . It consists of the annotations brackets $([| _ |])$ and escape $(\$(_))$. Brackets introduce a new code template and specify that the expression inside the brackets should be generated as a program for the next stage. Within brackets, escape specifies a hole within a template. The escaped expression is executed (resulting in a piece of code), and the resultant code is spliced into that hole. Staging makes a perfect comple-

```

update :: (V s t) -> t -> s -> s
update Z n (x,y) = (n,y)
update (S v) n (x,y) = (x,update v n y)

eval :: Exp s t -> s -> t
eval (IntC n) s = n
eval (BoolC b) s = b
eval (Plus x y) s = (eval x s) + (eval y s)
eval (Lteq x y) s = (eval x s) <= (eval y s)
eval (Var Z) (x,y) = x
eval (Var (S v)) (x,y) = eval (Var v) y

exec :: (Com st) -> st -> st
exec (Set v e) s = update v (eval e s) s
exec (Seq x y) s = exec y (exec x s)
exec (If test x1 x2) s =
  if (eval test s) then exec x1 s else exec x2 s
exec (While test body) s = loop s
  where loop s = if (eval test s)
                  then loop (exec body s)
                  else s
exec (Declare e body) s = store
  where (_,store) = (exec body (eval e s,s))

```

Figure 5. Interpreters for the While-language. These functions illustrate pattern matching over constructor functions, and semantics preserving meta-functions. All of `update`, `eval`, and `exec` manipulate While-programs in a way that respects their semantic properties. In fact, because all While-programs are well typed these interpreters are tagless[31], and they return values whose types correspond to the types of the While-programs.

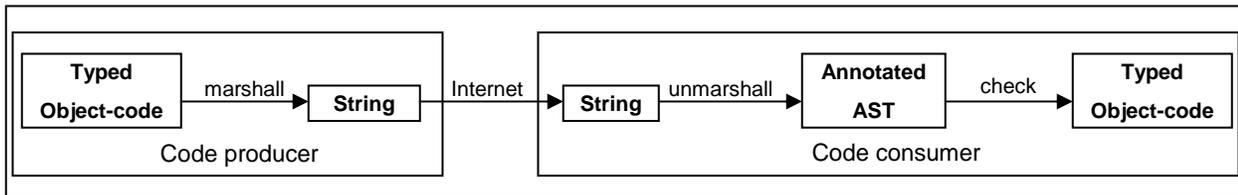


Figure 6. Proof carrying code process

```

data TyAst = I | B | P TyAst TyAst

data ExpAst
= IntCA Int
| BoolCA Bool
| PlusA ExpAst ExpAst
| LteqA ExpAst ExpAst
| VarA Int TyAst

-- Equality Proofs and Type representations
data Eq a b = EqProof where a=b

data TypeR t
= IntR where t = Int
| BoolR where t = Bool
| exists a b . PairR (TypeR a) (TypeR b)
  where t = (a,b)

match :: TypeR a -> TypeR b -> Maybe (Eq a b)
match IntR IntR = succeed EqProof
match BoolR BoolR = succeed EqProof
match (PairR a b) (PairR c d) =
  do { EqProof <- match a c
      ; EqProof <- match b d
      ; succeed EqProof }
match _ _ = fail "match fails"

-- Judgments for Types
data TJJudgment = exists t . TJ (TypeR t)

checkT :: TyAst -> TJJudgment
checkT I = TJ IntR
checkT B = TJ BoolR
checkT (P x y) =
  case (checkT x,checkT y) of
    (TJ a, TJ b) -> TJ(PairR a b)

-- Judgments for Expressions
data EJJudgment s = exists t . EJ (TypeR t) (Exp s t)

checkE :: ExpAst -> TypeR s -> Maybe (EJudgment s)
checkE (IntCA n) sr = succeed(EJ IntR (IntC n))
checkE (BoolCA b) sr = succeed(EJ BoolR (BoolC b))
checkE (PlusA x y) sr =
  do { EJ t1 e1 <- checkE x sr
      ; EqProof <- match t1 IntR
      ; EJ t2 e2 <- checkE y sr
      ; EqProof <- match t2 IntR
      ; succeed(EJ IntR (Plus e1 e2))}
checkE (VarA 0 ty) (PairR s p) =
  do { TJ t <- succeed(checkT ty)
      ; EqProof <- match t s
      ; succeed(EJ t (Var Z))}
checkE (VarA n ty) (PairR s p) =
  do { EJ t' (Var v) <- checkE (VarA (n-1) ty) p
      ; TJ t <- succeed(checkT ty)
      ; EqProof <- match t t'
      ; succeed(EJ t' (Var (S v)))}

```

Figure 7. Implementing the check function for the proof carrying code example.

```

x = Z
y = S Z
e1 = Lteq (Plus (Var x)(Var y)) (Plus (Var y) (IntC 1))

data Store s = M (Code s)
  | forall a b . N (Code a) (Store b)  where s = (a,b)

test e = [| \ (x,(y,z)) ->
  $(eval2 e (N [|x|](N[|y|](M[|z|]))) ) |]

eval2 :: Exp s t -> Store s -> Code t
eval2 (IntC n) s = lift n
eval2 (BoolC b) s = lift b
eval2 (Plus x y) s = [| $(eval2 x s) + $(eval2 y s) |]
eval2 (Lteq x y) s = [| $(eval2 x s) <= $(eval2 y s) |]
eval2 (Var Z) (N a b) = a
eval2 (Var (S v)) (N a b) = eval2 (Var v) b

-- test e1 ---> [| \ (x,(y,z)) -> x + y <= y + 1 |]

app3 :: Sum n m p -> Code(Seq a n) ->
  Code(Seq a m) -> Code(Seq a p)
app3 Base xs ys = ys
app3 (Step p) xs ys =
  [| case $xs of Cons z zs -> Cons z $(app3 p [|zs|] ys) |]

test2 :: Sum u v w -> Code (Seq a u -> Seq a v -> Seq a w)
test2 witness = [| \ xs ys -> $(app3 witness [|xs|] [|ys|]) |]

-- test2 (Step (Step Base)) --->
-- [| \ xs ys ->
--   case xs of
--     (Cons z zs) ->
--       Cons z (case zs of
--         Cons w ws -> Cons w ys) |]

```

Figure 8. Illustrating Staging, removal of interpretive overhead (top), and witness removal (bottom).

ment to equality qualified types for two reasons. First, many applications can be encoded as domain specific languages (DSLs). Such languages can be given meaning by writing a simple interpreter (like the `eval` and `exec` functions from Figure 5). Staging an interpreters produces an efficient compiler as the interpretive overhead or traversing the abstract syntax is removed. This is illustrated in the top of Figure 8 for the `Exp` fragment of the while-language.

Second, staging can implement program extraction from proofs. Both Coq and to some extent Isabelle support program extraction from proofs. These features are limited because the target languages are hardwired and the generated programs must conform to the type system of the target language. This often requires discarding important information about the source program, or run time passing of static information. If we consider the `app` function from Figure 3 as a proof (because it takes a witness `Sum` type as well as two lists) staging can remove the witness in an early stage, resulting in a new piece of code which can rely on all the (now) static information encoded in the witness. Note how once given the witness (`Step (Step Base)`) the staged function `app3` can unroll the loop. So not only is the witness removed in the second stage, but the resulting program is no longer even recursive!

The ability to control extraction is important. Two different programs extracted from the same proof object may have very different physical properties (i.e. heap space usage). Staging allows users to extract programs in a manner that fits their needs.

6 Example: Proof Carrying Code

Peter Lee, on his web site states[15]: *Proof-Carrying Code (PCC) is a technique by which a code consumer (e.g., host) can verify that code provided by an untrusted code producer adheres to a predefined set of safety rules ... The key idea behind proof-carrying code is that the code producer is required to create a formal safety proof that attests to the fact that the code respects the defined safety policy. Then, the code consumer is able to use a simple and fast proof validator to check, with certainty, that the proof is valid and hence the foreign code is safe to execute.*

In Figure 6 we illustrate how this might be implemented using Ω mega. The code producer produces code whose safety policy is embedded in the type of the object-code as we have illustrated in the previous section. The producer then marshalls (pretty prints) this code into some flat untyped representation that can be transported over the Internet (a `String` in the figure). On the consumer side, the consumer unmarshalls (parses) this string into an untyped annotated abstract syntax tree. The check is a dynamic (i.e. at run-time) attempt to reconstruct the typed object-code (a static property) from the annotated untyped AST. If this succeeds then the consumer has a proof that the object code has the desired safety property, since all well typed object-programs have the safety property. The only difficult step in this process is the reconstruction of the typed object-code from the untyped annotated AST. In order to describe how this is done we introduce additional features of Ω mega, polymorphic kinds and representation types. We apply these features to the dynamic construction of the statically typed `Exp` datatype from the While-program example (Figure 5).

In Figure 7 we define two untyped algebraic datatypes `TyAst` and `ExpAst` that we will use as our annotated abstract syntax types. The type `TypeR` is a representation type. It reflects objects that live in the type world (`Int`, `Bool`, and pairs) into the value world. Note how `IntR :: (TypeR Int)` is a value, but its type completely distinguishes what value it is. This notion has been called *singleton types*[29, 24], but we think *representation types* is a more appropriate name. Writing a program that manipulates representation types allows the programmer to encode operations that the type system (with its limited computation mechanism – essentially solving equalities between types) cannot. *It cannot be over-emphasized how important this ability is.* Typing problems that cannot be solved by the type system can be programmed by the user when necessary.

We choose to represent `Int`, `Bool` and pairs because these types either appear as type indexes to `Exp` and `Com` or describe the shape of the store as a nested pair. The key to dynamic reconstruction of static type information is the `Eq` data type. The `Eq` type constructor has a polymorphic kind (`Eq :: forall (k:*1) (k1:*1) . k ~> k1 ~> *0`). This kind means that the arguments to `Eq` can range over any two types classified by `k` and `k1` that are themselves classified by `*1`. This includes types like `Int` and `Bool`, as well as type constructors like `Tree` and `List`.

The constructor function (`EqProof :: forall (k:*1) (u:k) (v:k) . (u = v) => Eq u v`) is a first-class (dynamic) witness to the fact that the static types `u` and `v` are equal. Equality witnesses can be created in a static context where `u` is equal to `v` then passed around as data to a new context where this information is needed. One way to create these witnesses is the use of the function `match :: forall u v.TypeR u -> TypeR v -> Maybe(Eq u v)`. The function `match` dynamically tests whether two representation types are equal. If they are, rather than return a boolean value, it returns either a successful equality witness or it returns a failure.

The witness can be used in a pattern matching context to guard an expression with this new piece of static information (that $u=v$). For example, given that x has the type $\text{Eq } u \ v$, in the case expression: $(\text{case } x \text{ of } \{ \text{Eq } \rightarrow \dots \})$, the case arm indicated by \dots can be type checked under the static assumption that $u=v$.

The standard typing rules for equality qualified types provide this mechanism. There is nothing new here, only a new way of using the old techniques. The datatypes EJudgment and TJudgment are forms of TypeR and Exp that use existential types to hide some of the type indexes to those type constructor functions. EJudgment also includes a representation of the type t .

The functions match , checkT , and checkE are examples of partial functions. They might succeed, producing some result ans , but they also might fail. In Ω this is indicated by a result type (Maybe ans) . They are programmed using the do notation which makes it easy to program partial functions that are comprised of sub computations that might also fail. A sequence of partial computations $\text{do } \{ p_1 \leftarrow e_1; \dots; p_n \leftarrow e_n \}$ succeeds only if all the e_i succeed. If any of them fails then the whole sequence fails. If the e_i succeeds with a structured data object, then the p_i can be used to pattern match against the result if it is successful. If the e_i is successful but the object returned doesn't match against the p_i then the whole sequence fails as well.

We explain one clause of the definition of checkE . Consider $\text{checkE } (\text{PlusA } x \ y) \ \text{sr} = \dots$. First, recursively check the sub-term of the annotated AST, x . This returns a judgment encapsulating a typed term $(e_1 :: \text{Exp } s \ _a)$ and a representation of its type $(t_1 :: \text{TypeR } _a)$ where $_a$ is an existentially quantified type variable. Test if this representation matches IntR . If it succeeds the witness $(\text{EqProof} :: \text{Eq } \text{Int } _a)$ is pattern matched and the rest of the computation can proceed under the static assumption that $_a$ is equal to Int . In a similar fashion check and then test y , and finally succeed with a new judgment.

Possible Enhancements. We believe this technique can be extended to the full While-language including the Com language. In that case, the judgment for commands must include representations for stores in the way that the judgment for expressions contained representations for types. The same techniques can be used to infer well typed object-code terms from untyped abstract syntax trees without annotations, but the details become more complicated. The reflection of the type world into the value world is a powerful idea. It lets the user dynamically construct objects with static properties that the static type system may not be able to infer with its limited computational mechanism.

7 Example: A Language with Temporal Safety Properties

Many systems depend upon communication occurring according to a temporal protocol. For example a file must be opened before it can be written to. Once opened, a file shouldn't be opened again until after it has been closed. A closed file should never be written to. Such protocols are naturally expressed as finite state automata. The DFA in Figure9 captures this protocol precisely.

A language can express and enforce such protocols quite naturally using its type system. To illustrate this we have augmented the While-language with commands for opening, closing, and writing to a single file (we discuss removing this restriction later).

```
kind State = Open | Closed

prog2 :: Com (Int,(Int,a)) Open Open
prog2 =
  Seq (Set sum (Int 0))
      (Seq (Set x (Int 1))
          (While (Lteq (Var x) (Int 5))
                (Seq (Set sum (Plus (Var sum)(Var x)))
                    (Seq (Writef (Var x))
                        (Set x (Plus (Var x) (Int 1)))))))

data Com st x y
= forall t . Set (V st t) (Exp st t) where x=y
| forall a . Seq (Com st x a) (Com st a y)
| If (Exp st Bool) (Com st x y) (Com st x y)
| While (Exp st Bool) (Com st x y) where x = y
| forall t . Declare (Exp st t) (Com (t,st) x y)
| Openf where x = Closed, y = Open
| Closef where x = Open, y = Closed
| Writef (Exp st Int) where x = Open, y = Open
```

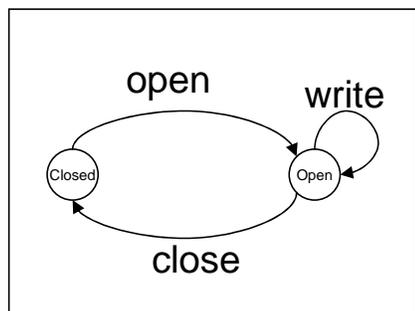


Figure 9. The While-language augmented with commands for manipulating a file, and a DFA illustrating the protocol.

In Figure 9 we have defined a new kind State with types Open and Closed , and augmented the command data structure with three new constructor functions: Openf , Closef , and Writef . The Com type now takes two additional type parameters. Interpret the type $(\text{Com } st \ x \ y)$ as a command in store st , starting execution in state x and ending in state y . The types of the new constructors enforce the protocol: $(\text{Openf} :: \text{Com } st \ \text{Closed } \text{Open})$, $(\text{Closef} :: \text{Com } st \ \text{Open } \text{Closed})$, and $(\text{Writef} :: \text{Exp } st \ \text{Int } \rightarrow \text{Com } st \ \text{Open } \text{Open})$. The type of a command such as prog2 from Figure 9 describes precisely in which states of the protocol the command resides. Commands with polymorphic starting and ending states, essentially carry a proof that they do no IO at all!

Possible Enhancements. It is easy to imagine richer protocols with DFA's with more than two states. Accommodating such protocols simply requires enriching the State kind, and adding new commands for each transition. If the host language has a notion of typed procedures it isn't necessary to add new constructor functions to Com for each transition in the DFA. Languages with multiple protocols, or with more than 1 file can be accommodated by specifying the starting and ending state parameters of Com be structured types with more than one component.

7.1 Example: A Language with Multi-Level Security

Our next example concerns a language with multi-level security domains. A multi-level security language is meant to ensure confidentiality of information stored at higher levels of the security hierar-

```

Domain :: *1
kind Domain = High | Low -- High,Low::Domain

D :: Domain ~> *
data D t
  = Lo where t = Low -- Lo::D Low
  | Hi where t = High -- Hi::D High

data Dless x y
  = LH where x = Low, y = High
  | LL where x = Low, y = Low
  | HH where x = High, y = High

data P x y = P

data V s d t
  = forall s0 d0 . Z (D d)
    where s = P (D d,d0) (t,s0)
  | forall a b t1 d1 . S (V (P a b) d t)
    where s = P (d1,a) (t1,b)

eval :: Exp (P a s) d t -> s -> t
exec :: (Com d (P a st)) -> st -> st

```

```

Exp :: * ~> Domain ~> * ~> *
data Exp s d t
  = Int Int where t = Int
  | Bool Bool where t = Bool
  | Plus (Exp s d Int) (Exp s d Int) where t = Int
  | Lteq (Exp s d Int) (Exp s d Int) where t = Bool
  | forall d2 . Var (V s d2 t) (Dless d2 d)

Com :: Domain ~> * ~> *
data Com d st
  = forall t d1 d2 .
    Set (V st d2 t) (Exp st d1 t)
      (Dless d1 d2) (Dless d d2)
  | Seq (Com d st) (Com d st)
  | If (Exp st d Bool) (Com d st) (Com d st)
  | While (Exp st d Bool) (Com d st)
  | forall t d2 a b .
    Declare (D d2) (Exp st d2 t)
      (Com d (P (D d2,a) (t,b)))
    where st = P a b

update :: (V (P a s) d t) -> t -> s -> s
update (Z d) n (x,y) = (n,y)
update (S v) n (x,y) = (x,update v n y)

```

Figure 10. Security Domains

chy. In such a language data is partitioned into security domains, for example a two level domain might have two distinct levels *High* and *Low*.

The key semantic property is to insure that the value of data at higher levels never influences the value of data at lower levels. This is tricky because control flow decisions, predicated on high security information, can cause information to leak to lower levels. The example below has this problem:

```

{ high int x;
  low int y;
  if (x==0)
    then y := 0
    else y := 1
}

```

To reason about confidentiality we need an object-language in which we can reason about information flow. In Figure 10 we define such a language based on similar languages from the literature [35, 23].

The kind declaration in Figure 10 introduces a new kind, *Domain*, and two new types, *High* and *Low*. The data declaration for *D* introduces a new type constructor with an interesting kind: ($D :: \text{Domain} \rightsquigarrow *$). Like other data declarations its also introduces new values *Hi* and *Lo*. The type *D* reflects the structure of the kind *Domain* into the value world, and the type of *Hi* and *Lo* are indexed by the types (*High* and *Low*) they represent: ($Lo :: D \text{ Low}$) and ($Hi :: D \text{ High}$).

The security language is closely related to the *While*-language. The main difference is the introduction and use of domains. This necessitates a change in the way we type stores. In the *While*-language the type of a store was a nested tuple encoding the types of the variables in scope. In the security language, the types of the variables is not enough – we must also encode the *Domain* of each variable. This is the role of the type constructor *P* (think of ($P \ x \ y$) as a special kind of pair). In the *While*-language a command typed as ($Com \ (Int, (Bool, a))$) would be typed as ($Com \ (P \ (D \ High, (D \ Low, b)) \ (Int, (Bool, a)))$) in the security language.

The type parameter to *Exp* and *Com* describing stores is now a *P* pair. The second component of the pair is exactly as in the *While*-language, and the first component of the pair is a parallel structure (with the same nesting shape as the second) but storing representations of the *Domain* of variables rather than their types.

The interpretation of a command with type ($Com \ d \ st$) is a command in store *s* executing in a control thread in domain *d*. A similar interpretation applies to expressions with types ($Exp \ s \ d \ t$) except that an expression also returns a value of type *t*. Security in the language is enforced by the *Dless* witnesses in *Var* and *Set* constructors. Consider: ($Var :: V \ s \ d2 \ t \rightarrow Dless \ d2 \ d \rightarrow Exp \ s \ d \ t$), a variable expression is well formed only if the domain of the variable (*d2*) is less than the thread of execution (*d*). Information can flow from *Low* variables into *High* threads, but not the other way around. For the assignments constructor function we have ($Set :: V \ s \ d2 \ t \rightarrow Exp \ s \ d1 \rightarrow Dless \ d1 \ d2 \rightarrow Dless \ d \ d2 \rightarrow Com \ s \ d$). The thread of the expression being assigned (*d1*) must be less than the domain of the variable being assigned to (*d2*). Anyone can assign to *High* variables, but only expressions in *Low* threads can assign to *Low* variables. In addition the thread of the assignment command (*d*) must be less than the thread of the variable (*d2*). This prevents the problem illustrated above of control flow predicated on *High* information being used to leak information into *Low* variables.

Given a semantics for this language (similar to the *eval* and *exec* commands for the *While*-language) it is easy to state and prove that the type system prevents adverse information flow. The proof is cast as a separation argument. Given a well-typed command ($c :: Com \ d \ (P \ ds \ st)$) then its meaning ($(exec \ c) :: st \rightarrow st$) is a function from stores to stores, and values of low variables in the output store never depend on the values of the high variables in the input store.

8 Related Work

Expressing that two types are equal in a manner controllable by the programmer is the key to embedding semantic properties of

object-programs. The first work expressing equality between types in a programming language was based on the idea of using Leibniz equality to build an explicit witness of type equality. In Ω mega we would write $(\text{data Eq } a \ b = \text{Witness } (\text{forall } f. f \ a \ \rightarrow f \ b))$. The logical intuition behind this definition is that two types are equal if, and only if, they are interchangeable in any context (the arbitrary type constructor f). Note how this relies heavily on the use of higher rank polymorphism. The germ of this idea originally appeared in 2000[40], and was well developed two years later in 2002[1, 10]. Programming with witnesses requires building explicit casting functions $C[a] \rightarrow C[b]$ for different contexts type C . This is both tedious and error prone. Programming with witnesses has some problems for which no solution is known¹. Using type equality became practical with the introduction of equality qualified types by Hinze and Cheney[7]. The implementation of Ω mega is based on this key idea. We know that a type system built on top of equality constrained types is sound because of their work.

The use of kinds to classify types has a long history[2, 11, 16]. Adding extensible kinds (and higher classifications) to a practical programming language like Ω mega was a natural next step. Duggan makes use of kinds in his work on dynamic typing[9] in a manner reminiscent of our work, but the introduction of new kinds is tied to the introduction of types.

9 Conclusion

We have explored a new point in the design space for formal reasoning systems. Our choice is closer to the world of programming languages than many other reasoning systems. We see this as a positive benefit and conjecture that programming languages of the future will be built along similar lines.

The logic of the system is embedded in the type system. Semantic properties of programs, which before could only be expressed at a meta-logical level (and were thus necessarily external to the world of the programmer) can now be expressed in the programming language.

The system supports a reflective mechanism that enables intensional analysis of reflected types, and thus allows programmers to write tactic level proof scripts at the value level on these reflections. The tactics can then be reflected back into the type system in a sound manner. Staging can be used to build efficient implementations by exploiting contextual invariants, it can also be used to extract efficient programs from proof like objects. We conjecture that a programming language with these features can lead to more reliable programs.

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¹I.e. given a witness with type $(\text{Eq } (a,b) \ (c,d))$ it was not known how to construct another witness with type $(\text{Eq } a \ c)$ or $(\text{Eq } b \ d)$. This should be possible since it is a straightforward consequence of congruence.

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