

# Degree-Bounded Minimum Spanning Trees\*

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## Abstract

Given  $n$  points in the Euclidean plane, the degree- $\delta$ -MST problem asks for a spanning tree of minimum weight in which the degree of each node is at most  $\delta$ . It is shown in this paper that, for any set of points in the Euclidean plane, the ratio of a degree-4-MST to a minimum spanning tree is at most  $(\sqrt{2} + 2)/3$ .

## 1 Introduction

The degree- $\delta$ -MST problem is a generalization of the Hamiltonian path problem, which is NP-hard [5]. The Euclidean version of the problem in  $\mathbb{R}^2$  is NP-hard for  $\delta = 3$  and it is conjectured that it remains NP-hard for  $\delta = 4$  as well. The problem is polynomial-time solvable when  $\delta = 5$ . In this paper, we show that, for any arbitrary collection of points in the plane, there always exists a degree-4 spanning tree of weight at most  $1.1381$ ,  $(\sqrt{2} + 2)/3$  to be exact, times the weight of a minimum spanning tree (MST). In particular, we present an improved analysis of Chan's degree-4 MST algorithm [4].

**Previous results.** Arora [1] and Mitchell [9] presented PTASs for TSP in Euclidean metric, for fixed dimensions. Unfortunately, neither algorithm extends to find degree-3 or degree-4 trees. Recently, Arora and Chang [3] have devised a quasi-polynomial-time approximation scheme for the Euclidean degree- $\delta$  spanning tree problem in  $\mathbb{R}^d$ . As of now, there is no PTAS for finding spanning trees of degree 3 or 4 [2].

For points in the plane, Khuller et al [8] showed how to find degree-3 and degree-4 spanning trees whose weights are at most 1.5 and 1.25 times the weight of an MST, respectively. The degree 4 ratio was improved to 1.175 by Jothi and Raghavachari [6]. In an independent and parallel work, Chan [4] improved the ratio for degree-4 spanning trees to 1.143. He also improved the ratio for degree-3 spanning trees to 1.402, for points in the plane, using an elegant recursive algorithm.

In this paper, we present an improved analysis of Chan's degree-4 MST algorithm [4] thereby showing that, for an arbitrary collection of points in the plane, there always exists a degree-4 spanning tree of weight at most  $1.1381$ ,  $(\sqrt{2} + 2)/3$  to be exact, times the weight

of a minimum spanning tree (MST). The difficulties in improving Chan's ratio was overcome by using a more careful charging scheme complemented by a new savings analysis. In addition, we show our ratio is tight and cannot be improved unless a more global approach is considered, instead of just local changes.

We first show that the angle enclosed between any two sides of a triangle can be used to bound the weight on the third side in a precise manner. Of course, the third side can be expressed exactly using trigonometry, but this formulation is unsuitable due to its non-linear nature. Our method provides a linear approximation. We then show that two MST edges intersecting at an acute angle force edge-weight constraints on each other, and this plays an important role in the improved analysis.

## 2 Degree-4 spanning trees

Let  $|uv|$  be the Euclidean distance between  $u$  and  $v$ . Let  $\angle ABC$  denote the angle formed at  $B$  between  $AB$  and  $BC$ . We start with a minimum spanning tree (MST) of  $G$  rooted at one of its leaf nodes. Our algorithm decreases the degree of high-degree nodes by local changes around it. Let  $x$  be a child of  $v$  in a tree  $T$ . Node  $x$  is defined to be a *biological* child of  $v$  if  $x$  is a child of  $v$  in the original MST, else it is a *foster* child.

We first note some interesting geometric properties, including that of MSTs in  $\mathbb{R}^d$ . Due to lack of space, many proofs are omitted (see [7] for the full paper).

**Lemma 1** *Let  $AB$  and  $BC$  be edges meeting at  $B$ . Let  $x = |AB|$ ,  $y = |AC|$ ,  $z = |BC|$  and  $\theta_1 = \angle ABC < 60^\circ$ . Let  $z \geq y \geq x$ . Then, for a fixed  $\theta_1$ ,  $z - y$  is minimum when  $x = y$ .*

The following lemma proves an upper bound on the increase in weight when a node's degree is decreased in the usual way, in terms of the angle enclosed.

**Lemma 2 ([4, 6])** *Let  $AB$  and  $BC$  be two edges incident on point  $B$ . Let  $|AB| \leq |BC|$  and let  $\theta = \angle ABC$ . Then  $|AC| \leq F(\theta)|AB| + |BC|$ , where  $F(\theta) = \sqrt{2(1 - \cos \theta)} - 1 = 2 \sin \frac{\theta}{2} - 1$ .*

This lemma provides a better bound for the increase in the weight of the tree than just the triangle inequality. It can be verified that  $|AC| \leq F(\theta)|AB| + |BC| \leq |AB| + |BC|$ . We now prove that MST edges that intersect at a node, at an acute angle, force edge-weight constraints on each other.

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**Lemma 3** Let  $AB$  and  $BC$  be two edges that intersect at point  $B$  in an MST of set of points in  $\mathbb{R}^d$ . Let  $\theta = \angle ABC$ . If  $\theta < 90^\circ$  then,

$$2|BC|\cos\theta \leq |AB| \leq \frac{|BC|}{2\cos\theta}$$

**Corollary 4** Let  $AB$  and  $BC$  be edges meeting at  $B$ , and let  $AB$  be an MST edge and  $BC$  be a non-MST edge. Let  $\theta = \angle ABC$ . If  $\theta < 90^\circ$  then,  $|BC| \geq 2|AB|\cos\theta$ .

**Lemma 5** Let  $V$  be a degree-5 node in an MST  $T$  of a set of points in  $\mathbb{R}^2$ . Let  $P$  be its parent and  $A, B, C$ , and  $D$  be its children. Let the degree of  $V$  be decreased from 5 to 4 by replacing  $BV$  by  $AB$ , where  $|AV| \leq |BV|$ . Let  $\angle AVB = \theta$ . Let  $k$  of the children of  $V$  be at a distance of  $|AV|$  or more from  $V$ . Then the increase in the weight of the tree is at most

$$\frac{F(\theta)}{k} (|AV| + |BV| + |CV| + |DV|)$$

Therefore, the increase in weight can be “charged” to the  $k$  edges from  $V$  to its children, and the charge on each of these edges is at most  $\frac{1}{k}F(\theta)$ .

We first give a brief overview of Chan’s algorithm [4] before proceeding to its approximation analysis.

**Overview of Chan’s algorithm.** It recursively transforms the rooted tree  $T$  into a new degree-4 spanning tree with the inductive hypothesis that the root  $v$  of tree  $T$  has degree 3 in the new tree.

Let  $\tau = 1.143$ . Let  $T$  and  $T'$  be two subtrees, of an original MST, rooted at  $v$  and  $v'$ , respectively. Let  $T \searrow T'$  be a tree obtained by making  $v'$  a child of  $T$ . It recursively transforms  $T \searrow T'$  to a new tree such that  $v$  has degree at most 3 in the new tree and the new tree has weight at most  $|vv'| + \tau(w(T) + w(T'))$ . It chooses a convenient permutation  $v_1, \dots, v_k$  of the  $k$  children of  $v$  in  $T$  together with  $v'$  (with  $T_1, \dots, T_k$  being their corresponding subtrees) for transformation.

**Our analysis.** Let  $v$  be the vertex under consideration whose degree has to be reduced. Let  $v$  have  $k$  biological children and at most 1 foster child. When  $k \leq 3$ , Chan showed that the ratio is bounded by  $(\sqrt{2} + 2)/3 < 1.1381$ . We were able to improve Chan’s ratio of 1.143 by tackling the case,  $k = 4$ , for which his analysis is tight. As per his induction hypothesis,  $v$  has a total of at most 5 children (4 biological and 1 foster). In essence, our objective is to reduce the degree of  $v$  from 5 to 3 (degree induced on  $v$  by its parent is excluded, but counts in the final solution which makes  $v$ ’s degree to be 4). The algorithm reduces  $v$ ’s degree from 5 to 3 by performing local changes around  $v$ .

To understand our analysis in a nutshell, consider Fig. 1 with  $v$  being the node whose degree we wish to reduce from 5 to 3, nodes  $v_1, v_2, v_3, v_4$  being  $v$ ’s biological children, and  $v'$  being  $v$ ’s foster child. Suppose

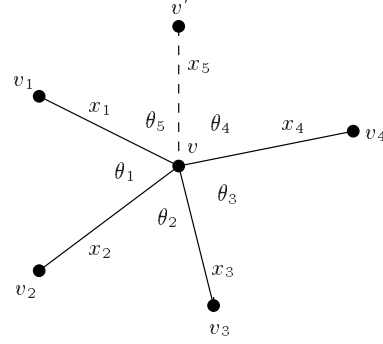


Figure 1: Notation for  $k = 4$  analysis.

$\angle v_1vv' = \theta_5 \leq 60^\circ$  (this is possible as  $vv'$  is a non-MST edge). Say, Chan’s algorithm considers a transformation which involves replacing edges  $vv'$  with  $v_1v'$  and, say,  $vv_4$  with  $v_3v_4$ . While Chan’s analysis would directly charge the extra weight involved in such a transformation to the MST edges involved, our analysis proceeds by calculating the potential savings due to the replacement of edge  $vv'$  by  $v_1v'$  (notice that  $\theta_5 \leq 60^\circ$  and  $vv' \geq vv_1$  as  $vv_1$  was chosen over  $v_1v'$  to be the MST edge) and use it to absorb part of the extra charge incurred due to the other replacement ( $vv_4 \rightarrow v_3v_4$ ).

Given below is our analysis for the case  $k = 4$ . To make the description easier, we introduce a function called “Reduce”.

**Reduce( $v, x, y$ ):** Let  $vx$  and  $vy$  be two edges incident on point  $v$ . Reduce( $v, x, y$ ) replaces the edge  $\max\{vx, vy\}$  by  $xy$ . In simple terms,  $v$ ’s degree is reduced by 1, by donating one of  $\{x, y\}$ .

Let  $v_1, v_2, v_3, v_4$  be the biological children of  $v$  in  $T$  and let  $v'$  be the foster child of  $v$ . Let  $v$  and its children be placed as shown in Fig. 1. Let  $|vv_1| = x_1, |vv_2| = x_2, |vv_3| = x_3, |vv_4| = x_4, |vv'| = x_5, \theta_1 = \angle v_1vv_2, \theta_2 = \angle v_2vv_3, \theta_3 = \angle v_3vv_4, \theta_4 = \angle v_4vv'$  and  $\theta_5 = \angle v'vv_1$ . Since  $vv_1, vv_2, vv_3$  and  $vv_4$  are MST edges,  $\theta_1, \theta_2, \theta_3, \theta_4 + \theta_5 \geq 60^\circ$ . Also,  $\max\{\theta_1, \theta_2, \theta_3, \theta_4 + \theta_5\} \geq 120^\circ$  considering the fact that one other MST edge, connecting  $v$  to its parent exists (not shown in figure). We consider three cases (the missing one is symmetric).

**Case 1:**  $\theta_4 \leq 60^\circ$  and  $\theta_5 \leq 60^\circ$ . We handle this case in the same way as in [4]. Extra weight involved is bounded by 0.1331.

**Case 2:**  $\theta_4 \geq 60^\circ$  and  $\theta_5 \leq 60^\circ$ . Since  $\theta_5 \leq 60^\circ, x_1 \leq x_5$  (otherwise  $|v'v_1| < |vv_1|$ , which contradicts the fact that  $vv_1$  was chosen over  $v'v_1$  to be an MST edge).

**Case 2.1:**  $\theta_1 \geq 120^\circ$  or  $\theta_4 + \theta_5 \geq 120^\circ$ .

Call Reduce( $v, v_1, v'$ ). Since  $\theta_5 \leq 60^\circ$ , no extra weight is incurred due to the call. By Lemma 2, we have permutations with extra weight bounded by

$$F(\theta_2) \min\{x_2, x_3\}, F(\theta_3) \min\{x_3, x_4\}.$$

Thus, the minimum extra weight is at most the smaller

of the following values:

$$F(\theta_2)x_2, \min\{F(\theta_2), F(\theta_3)\}x_3, F(\theta_3)x_4.$$

Since the minimum is less than or equal to the harmonic mean, the minimum of these quantities is at most

$$\frac{1}{3}\text{H.M.}\{F(\theta_2), \min\{F(\theta_2), F(\theta_3)\}, F(\theta_3)\}(x_2 + x_3 + x_4).$$

Since  $\theta_2 + \theta_3 \leq 180^\circ$ , the above coefficient is bounded by  $\frac{1}{3}F(90^\circ) = (\sqrt{2} + 2)/3 < 0.1381$ .

**Case 2.2:**  $\theta_2 \geq 120^\circ$  (Case  $\theta_3 \geq 120^\circ$  is symmetric).

**Case 2.2.1:**  $x_3$  or  $x_4$  is the smallest among  $\{x_1, x_2, x_3, x_4\}$ .

(2.2.1a) If  $\theta_3 \leq 101.8^\circ$ , then call  $\text{Reduce}(v, v_1, v')$ . Since  $\theta_5 \leq 60^\circ$ , no extra weight is incurred. Call  $\text{Reduce}(v, v_3, v_4)$ . By Lemma 5, extra weight  $F(\theta_3) \min\{x_3, x_4\}$  is charged to  $\{vv_1, vv_2, vv_3, vv_4\}$  and is bounded by  $0.1381(x_1 + x_2 + x_3 + x_4)$ .

(2.2.1b) Else if  $\max\{x_1, x_2, x_4\} \neq x_4$ , then choose  $\theta_1$  and  $\theta_4$ . Note that  $\theta_1 + \theta_4 + \theta_5 \leq 138.2^\circ$ . Call  $\text{Reduce}(v, v_1, v_2)$  and  $\text{Reduce}(v, v_4, v')$ . By Lemma 5, if  $\theta_4 \leq 69.36^\circ$ , extra weights  $F(\theta_1) \min\{x_1, x_2\}$  and  $F(\theta_4) \min\{x_4, x_5\}$  are charged to  $\{vv_1, vv_2\}$  and  $\{vv_4\}$  respectively, else extra weights  $F(\theta_1) \min\{x_1, x_2\}$  and  $F(\theta_4) \min\{x_4, x_5\}$  are charged to  $\min\{vv_1, vv_2\}$  and  $\{\max\{vv_1, vv_2\}, vv_4\}$  respectively.

(2.2.1c) Else ( $\max\{x_1, x_2, x_4\} = x_4$ ) if  $\theta_4 \leq 69.36^\circ$ , then call  $\text{Reduce}(v, v_1, v_2)$  and  $\text{Reduce}(v, v_4, v_5)$ . Since,  $\theta_1 + \theta_4 + \theta_5 \leq 138.2^\circ$  and  $\theta_1, \theta_4 \geq 60^\circ$ , extra weights of at most  $F(78.2^\circ) \min\{x_1, x_2\}$  and  $F(69.36^\circ) \min\{x_4, x_5\}$  are charged to  $\{vv_1, vv_2\}$  and  $\{vv_4\}$ , respectively (by Lemma 5), and is bounded by  $0.1381(x_1 + x_2 + x_4)$ .

(2.2.1d) Else  $\theta_5 \leq 8.84^\circ$ . Hence  $\theta_1 + \theta_5 \leq 68.84^\circ$  and  $\theta_4 + \theta_5 \leq 78.2^\circ$ . Call  $\text{Reduce}(v, v_2, v')$  and  $\text{Reduce}(v, v_1, v_4)$ . By Lemma 5, extra weights  $F(\theta_1 + \theta_5) \min\{x_2, x_5\}$  and  $F(\theta_4 + \theta_5) \min\{x_1, x_4\}$  are charged to  $\{vv_2\}$  and  $\{vv_1, vv_4\}$ , respectively, and is bounded by  $0.1381(x_1 + x_2 + x_4)$ .

**Case 2.2.2:**  $x_3$  or  $x_4$  is 2nd smallest among  $\{x_1, x_2, x_3, x_4\}$ .

(2.2.2a) If  $\theta_3 \leq 90^\circ$ , then call  $\text{Reduce}(v, v_1, v')$ . Since  $\theta_5 \leq 60^\circ$ , no extra weight is incurred. Call  $\text{Reduce}(v, v_3, v_4)$ . By Lemma 5, extra weight  $F(\theta_3) \min\{x_3, x_4\}$  is charged to  $\{vv_3, vv_4\}$  and the longest of  $\{vv_1, vv_2\}$ , and is bounded by  $0.1381(x_1 + x_2 + x_3 + x_4)$ .

(2.2.2b) Else  $\theta_1 + \theta_4 + \theta_5 \leq 150^\circ$  and hence  $\theta_5 \leq 30^\circ$ .

(2.2.2b-1) If  $x_1 = \min\{x_1, x_2\}$ , w.l.o.g. let  $x_2 \leq x_4$ . Since  $\min\{\theta_1, \theta_4 + \theta_5\} \leq \frac{240^\circ - \theta_3}{2}$ , by Lemma 3,  $x_1 \geq 2x_2 \cos(\frac{240^\circ - \theta_3}{2})$ . Call  $\text{Reduce}(v, v_1, v')$ . Since  $\theta_5 \leq 30^\circ$ , no extra weight is incurred due to the call. Also, since  $vv_1$  is an MST edge,  $x_5 > x_1$  and thus, by Corollary 4,  $x_5 \geq 2x_1 \cos \theta_5$ . By Lemma 1,  $|vv'| - |v_1v'|$  results in savings of at least  $(2 \cos \theta_5 - 1)x_1$ . Let  $T_{before}$  be the subtree induced by nodes  $v, v_1, v_2, v_3, v_4$  and  $v'$  and let

$T_{after}$  be the subtree induced by nodes  $v, v_1, v_2, v_3$  and  $v_4$ . Clearly, as per our argument above, the weight of  $T_{after}$  is  $(2 \cos \theta_5 - 1)x_1$  less than that of  $T_{before}$ . Since our goal is to bound the extra weight, incurred during local transformations, to within 0.1381 times the MST weight, as per our charging policy, every MST edge  $e$  can be charged an extra weight of  $0.1381e$ . The savings obtained, due to the transformation from  $T_{before}$  to  $T_{after}$ , is equivalent to having atleast  $\frac{2 \cos 30^\circ - 1}{0.1381}$  extra  $vv_1$  edges, each of which can be charged  $0.1381x_1$ . In other words, it is as if we have at least an additional  $(\frac{2 \cos 30^\circ - 1}{0.1381})vv_1$  to charge. Call  $\text{Reduce}(v, v_3, v_4)$ . By Lemma 5, extra weight  $F(\theta_3) \min\{x_3, x_4\}$  is charged to  $\{vv_1, vv_2, vv_3, vv_4\}$  and  $(\frac{2 \cos 30^\circ - 1}{0.1381})vv_1$ , and is given by

$$\frac{F(\theta_3)(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 30^\circ - 1}{0.1381}x_1)}{3 + 2 \cos(\frac{240^\circ - \theta_3}{2}) \left(1 + \frac{2 \cos 30^\circ - 1}{0.1381}\right)}$$

which is bounded by  $0.079(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 30^\circ - 1}{0.1381}x_1)$ .

(2.2.2b-2) Else ( $x_1 \neq \min\{x_1, x_2\}$ ) the analysis proceeds in the same way as done in the previous step, except that the extra weight  $F(\theta_3) \min\{x_3, x_4\}$  is charged to  $\{vv_1, vv_3, vv_4\}$  and  $(\frac{2 \cos 30^\circ - 1}{0.1381})vv_1$ , and is given by

$$\frac{F(\theta_3)(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 30^\circ - 1}{0.1381}x_1)}{3 + \frac{1}{0.1381}(2 \cos 30^\circ - 1)}$$

which is bounded by  $0.048(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 30^\circ - 1}{0.1381}x_1)$ .

**Case 2.2.3:**  $x_3, x_4 \geq x_1, x_2$ .

(2.2.3a) If  $\theta_3 \leq 79.29^\circ$ , Call  $\text{Reduce}(v, v_1, v')$ . Since  $\theta_5 \leq 60^\circ$ , no extra weight is incurred due to the call. Call  $\text{Reduce}(v, v_3, v_4)$ . By Lemma 5, extra weight  $F(\theta_3) \min\{x_3, x_4\}$  is charged to  $\{vv_3\}$  and  $\{vv_4\}$ , and is bounded by  $0.1381(x_3 + x_4)$ .

(2.2.3b) Else if  $\theta_4 \leq 69.36^\circ$  and  $\theta_1 \leq 90^\circ$ , then call  $\text{Reduce}(v, v_4, v_5)$  and  $\text{Reduce}(v, v_1, v_2)$ . By Lemma 5, extra weights  $F(\theta_4) \min\{x_4, x_5\}$  and  $F(\theta_1) \min\{x_1, x_2\}$  are charged to  $vv_4$  and  $\{vv_1, vv_2, vv_3\}$ , respectively, and is bounded by  $0.1381(x_2 + x_2 + x_3 + x_4)$ .

(2.2.3c) Else if  $\theta_4 \leq 69.36^\circ$  and  $\theta_1 > 90^\circ$ , then  $\theta_5 \leq 10.71^\circ$  and  $60^\circ \leq \theta_4 + \theta_5 \leq 70.91^\circ$ . Since  $\theta_2 + \theta_4 + \theta_5 = 360^\circ - \theta_1 - \theta_3 \leq 190.71^\circ$ , by Lemma 3,  $x_1 \geq 2x_4 \cos(190.71^\circ - \theta_2)$ . Call  $\text{Reduce}(v, v_1, v')$ . Since  $\theta_5 \leq 10.71^\circ$ , no extra weight is incurred due to the call. Also, since  $vv_1$  is an MST edge,  $x_5 > x_1$  and thus, by Corollary 4,  $x_5 \geq 2x_1 \cos \theta_5$ . By Lemma 1,  $|vv'| - |v_1v'|$  results in savings of at least  $(2 \cos \theta_5 - 1)x_1$ . It is as if we have at least an additional  $(\frac{2 \cos 10.71^\circ - 1}{0.1381})vv_1$  to charge. Call  $\text{Reduce}(v, v_2, v_3)$ . By Lemma 5, extra weight  $F(\theta_2) \min\{x_2, x_3\}$  is charged to  $\{vv_1, vv_2, vv_3, vv_4\}$  and  $(\frac{2 \cos 10.71^\circ - 1}{0.1381})vv_1$ , and is given by

$$\frac{F(\theta_2)(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 10.71^\circ - 1}{0.1381}x_1)}{3 + 2 \cos(190.71^\circ - \theta_2) \left(1 + \frac{2 \cos 10.71^\circ - 1}{0.1381}\right)}$$

which is bounded by  $0.089(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 10.71^\circ - 1}{0.1381} x_1)$ .

(2.2.3d) Else ( $\theta_4 > 69.36^\circ$ )  $\theta_5 \leq 31.35^\circ$ .

(2.2.3d-1) If  $\theta_5 \leq 11^\circ$ , then since  $\theta_1 + \theta_4 + \theta_5 = 360^\circ - \theta_3 - \theta_2 < 280.71^\circ - \theta_2$  and  $x_2 \leq x_4$ , by Lemma 3,  $x_1 \geq 2x_2 \cos(\frac{280.71^\circ - \theta_2}{2})$ . Call Reduce( $v, v_1, v'$ ). Since  $\theta_5 \leq 11^\circ$ , no extra weight is incurred due to the call. Also, since  $vv_1$  is an MST edge,  $x_5 > x_1$  and thus, by Corollary 4,  $x_5 \geq 2x_1 \cos \theta_5$ . By Lemma 1,  $|vv'| - |v_1v'|$  results in savings of at least  $(2 \cos \theta_5 - 1)x_1$ . So, it is as if we have at least an additional  $(\frac{2 \cos 11^\circ - 1}{0.1381})vv_1$  to charge. Call Reduce( $v, v_2, v_3$ ). By Lemma 5, extra weight  $F(\theta_2) \min\{x_2, x_3\}$  is charged to  $\{vv_1, vv_2, vv_3, vv_4\}$  and  $(\frac{2 \cos 11^\circ - 1}{0.1381})vv_1$ , and is given by

$$\frac{F(\theta_2)(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 11^\circ - 1}{0.1381} x_1)}{3 + 2 \cos(\frac{280.71^\circ - \theta_2}{2}) \left(1 + \frac{2 \cos 11^\circ - 1}{0.1381}\right)}$$

which is bounded by  $0.13(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 11^\circ - 1}{0.1381} x_1)$ .

(2.2.3d-2) Else if  $11^\circ < \theta_5 \leq 25^\circ$ , then since  $\theta_1 = 360^\circ - \theta_2 - \theta_3 - \theta_4 - \theta_5 \leq 200.35^\circ - \theta_2$ , by Lemma 3,  $x_1 \geq 2x_2 \cos(200.35^\circ - \theta_2)$ . Call Reduce( $v, v_1, v'$ ). Since  $\theta_5 \leq 25^\circ$ , no extra weight is incurred due to the call. Also, since  $vv_1$  is an MST edge,  $x_5 > x_1$  and thus, by Corollary 4,  $x_5 \geq 2x_1 \cos \theta_5$ . By Lemma 1,  $|vv'| - |v_1v'|$  results in savings of at least  $(2 \cos \theta_5 - 1)x_1$ . So, we have an additional  $(\frac{2 \cos 25^\circ - 1}{0.1381})vv_1$  to charge. Call Reduce( $v, v_2, v_3$ ). Using Lemma 5, the extra weight  $F(\theta_2) \min\{x_2, x_3\}$  is charged to  $vv_1, vv_2, vv_3, vv_4$  and  $(\frac{2 \cos 25^\circ - 1}{0.1381})vv_1$ , and is given by,

$$\frac{F(\theta_2)(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 25^\circ - 1}{0.1381} x_1)}{3 + 2 \cos(200.35^\circ - \theta_2) \left(1 + \frac{2 \cos 25^\circ - 1}{0.1381}\right)}$$

which is bounded by  $0.138(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 25^\circ - 1}{0.1381} x_1)$ .

(2.2.3d-3) Else ( $25^\circ < \theta_5 \leq 31.35^\circ$ ), since  $\theta_1 = 360^\circ - \theta_2 - \theta_3 - \theta_4 - \theta_5 \leq 186.35^\circ - \theta_2$ , by Lemma 3,  $x_1 \geq 2x_2 \cos(186.35^\circ - \theta_2)$ . Call Reduce( $v, v_1, v'$ ). Since  $\theta_5 \leq 31.25^\circ$ , no extra weight is incurred due to the call. Also, since  $vv_1$  is an MST edge,  $x_5 > x_1$  and thus  $x_5 \geq 2x_1 \cos \theta_5$ . By Lemma 1,  $|vv'| - |v_1v'|$  results in savings of at least  $(2 \cos \theta_5 - 1)x_1$ . So, it is as if we have at least an additional  $(\frac{2 \cos 31.35^\circ - 1}{0.1381})vv_1$  to charge. Call Reduce( $v, v_2, v_3$ ). Using Lemma 5, the extra weight  $F(\theta_2) \min\{x_2, x_3\}$  is charged to  $\{vv_1, vv_2, vv_3, vv_4\}$  and  $(\frac{2 \cos 31.35^\circ - 1}{0.1381})vv_1$ , and is given by

$$\frac{F(\theta_2)(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 31.35^\circ - 1}{0.1381} x_1)}{3 + 2 \cos(186.35^\circ - \theta_2) \left(1 + \frac{2 \cos 31.35^\circ - 1}{0.1381}\right)}$$

which is bounded by  $0.1(x_1 + x_2 + x_3 + x_4 + \frac{2 \cos 31.35^\circ - 1}{0.1381} x_1)$ .

**Case 3:**  $\theta_4 \geq 60^\circ$  and  $\theta_5 \geq 60^\circ$ . The proof is similar to that of Case 2, and due to lack of space, it is omitted.

**Theorem 6** For any arbitrary collection of points in the Euclidean plane, there always exists a degree-4 spanning tree of weight at most  $(\sqrt{2} + 2)/3$  times the weight of an MST.

### 3 Conclusion

By presenting an improved approximation analysis for Chan's degree-4 MST algorithm, we showed that, for any arbitrary collection of points, there always exists a degree-4 spanning tree of weight at most  $(\sqrt{2} + 2)/3 < 1.1381$  times the weight of an MST. Our ratio for degree-4 spanning trees cannot be improved unless a more global approach is considered, instead of just the local changes that we considered in this paper, as there exists placement of points for the case  $k = 3$ , such that doing local changes alone does not reduce the ratio. There exists degree-4 and degree-3 trees (regular pentagon and square with an extra point at the center) whose weights are at most  $\frac{2 \sin 36^\circ + 4}{5}$  and  $\frac{\sqrt{2} + 3}{4}$  times the weight of an MST, respectively. It is interesting to know whether better approximation algorithms can be developed to achieve ratios anywhere close to these lower bounds.

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