

# Lecture on the various types of symmetric functions

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## **Abstract**

A short introduction<sup>1</sup> is given to the theory of symmetric functions, quasi-symmetric functions and noncommutative symmetric functions. The theorem of Radford is mentioned. An example is given that the monomial quasi-symmetric functions  $M_{(3,6)}$  is a counterexample to the so called<sup>2</sup> *Ditters conjecture A* (short) proof is given, theorem 2.2 below, that the ring  $\text{QSym}$  of quasi-symmetric functions is a free polynomial algebra, generated freely by the set  $\mathcal{W}$  of *Lyndon-Witt functions*. The set  $\mathcal{W}$  is canonical in a sense, made precise in cor 2.4. These Lyndon-Witt functions were defined in [Di02a] and [Di02b].

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<sup>1</sup>based on an oral exposition

<sup>2</sup> but not by myself

# 1 Symmetric functions

## 1.1 Generalities

Let  $n$  be a positive natural number and put  $B = \mathbb{Z}[x_1, \dots, x_n]$ . If  $\mathcal{S}_n$  is the symmetric group of permutations of  $n$  objects, we may let act this group on  $B$ , by permuting the indices via

$$\sigma * (x_i) := x_{\sigma(i)}$$

and a polynomial  $f \in B$  is called a *symmetric polynomial* if  $f$  does not change under permutations of these indices. For example  $n = 2$  and  $f = x_1 + x_2$  or  $f = x_1 x_2$ . Generic examples are

$$\prod_{i=1}^n (1 + x_i t) = \sum_{m=0}^n e_m t^m \equiv \exp\left(\sum_{m=1}^n \sigma_m \frac{t^m}{m}\right) \pmod{t^{n+1}} \quad (1)$$

and

$$\prod_{i=1}^n \frac{1}{(1 - x_i t)} = \sum_{m=0}^n h_m t^m \equiv \exp\left(\sum_{m=1}^n p_m \frac{t^m}{m}\right) \pmod{t^{n+1}}. \quad (2)$$

Here, the  $e_m$  are the *elementary symmetric functions*, the  $h_m$  are the *complete symmetric functions* and the  $p_m$  are the *power sums*, defined by

$$p_m = \sum_{i=1}^n x_i^m. \quad (3)$$

As usual, if  $c = (1^{c_1}, 2^{c_2}, \dots, k^{c_k})$  is any partition and  $v_i, (i \geq 1)$  is a set of (commuting variables), we let  $v_c = v_1^{c_1} \cdots v_k^{c_k}$ . Clearly, every partition determines a unique symmetric function, the *monomial symmetric function* denoted  $(c)$ . The main theorem on symmetric functions states: Every symmetric polynomial in  $B$  belongs to  $\mathbb{Z}[e_1, \dots, e_n] =: \Lambda^{(n)}$ , and conversely, every polynomial in  $\Lambda^{(n)}$  is symmetric. Making  $n$  arbitrarily large, we may as well consider the ring  $\Lambda := \mathbb{Z}[e_i | i \geq 1]$ , known as the *ring of symmetric functions*. This ring is very classical and has an astonishing rich structure, for which I only mention at the moment the following facts:

- a.** Due to the fact that the  $\mathcal{S}_n$  is not a solvable group for  $n \geq 5$ , there is no possibility to express the inverse roots  $x_i$  of (2) algebraically in the elementary symmetric functions.
- b.** Let  $\mathcal{R} = \{\chi_\lambda | \lambda \in \mathbf{Part}\}$  be the set of all irreducible representations of all symmetric groups  $\mathcal{S}_n, (n \geq 1)$  and for every commutative unitary ring  $k$  let  $k\mathcal{R} := \bigoplus_\chi k\chi$ , thus the Grothendieck ring of the category of all representations over  $k$  of all symmetric groups  $\mathcal{S}_n, (n \geq 1)$ . The notion

of induced representation defines on  $k\mathcal{R}$  the structure of commutative unitary ring. Define with Frobenius the *characteristic map*, taking  $k = \mathbb{C}$ :  $\text{ch} : \mathbb{C}\mathcal{R} \rightarrow \mathbb{C}[\Lambda]$ , for  $\chi \in \mathcal{S}_n$ , denoting  $\tau(\sigma)$  the cycle type of  $\sigma$  we set

$$\text{ch}(\chi) := \frac{1}{n!} \sum_{w \in \mathcal{S}_n} \chi(w) p_{\tau(w)}.$$

Here,  $p_{\tau(w)}$  is the polynomial in the power sums as given by (3). A famous theorem is now, that the characteristic map of Frobenius induces an isometric isomorphism of commutative unitary rings ([McD79, thm I.7.3]):

$$\text{ch} : \mathbb{Z}\mathcal{R} \rightarrow \Lambda.$$

The characteristic map gives in particular the mutually orthonormal symmetric polynomials  $s_\chi$ , know as the *Schur functions*. An extended portion of the theory of symmetric functions relates determinants to symmetric functions, for instance, the Jacobi-Trudy formula [McD79, I.3, exm 8] for the product of two Schur functions. Another classical problem is the problem of substituting symmetric functions into symmetric functions, i.e. replace the  $x_i$  by symmetric expressions in the  $x$ 's. The resulting theory is known as *plethysm*, c.f. [McD79, I.8].

## 1.2 Duality

In the sequel, CUR will be an abbreviation for a commutative unitary ring and  $\mathbb{N}_+$  will be the set of positive natural numbers. We use freely the notations of [DS] with the exception that the Hopf algebra  $\mathbb{Z}[e_i | i \geq 1]$  of the symmetric functions will be denoted  $\Lambda$  as in [McD79]. As usual, we attach weight  $n$  to  $e_n$  and  $\Lambda(n)$  will be the abelian subgroup of all elements that are homogeneous of weight  $n$ . For general theory on Hopf algebras and their graded duals, see [?], [?], [?] or [?]. For  $\Lambda$  as a Hopf algebra, see [McD79, expl 25, p 91]. Let

$$\prod_{i \geq 1} (1 + x_i t) = \sum_{n=0}^{\infty} e_n t^n = \exp \left( \sum_{m=1}^{\infty} m^{-1} \sigma_m t^m \right) \quad (4)$$

be the generating function for  $\Lambda$ . Thus,  $e_n$  may be identified with the  $n^{\text{th}}$ -elementary symmetric function and the set  $\{p_m := (-1)^{m+1} \sigma_m | m \geq 1\}$  is a  $\mathbb{Z}$ -module basis for the abelian Lie algebra  $\mathcal{P}(\Lambda)$  of primitive elements in  $\Lambda$ . The sum  $\sum_{n=0}^{\infty} e_n t^n$  is a curve in  $\Lambda$ , equivalently,  $(e_n | n \geq 0)$  with  $e_0 := 1$  is a sequence of divided powers in  $\Lambda$ . Differentiation (4) with respect to  $t$  gives the *Newton relations*

$$n e_n = \sum_{a+b=n} e_a \sigma_b, \quad (n \geq 1, \sigma_0 = 0) \quad (5)$$

and explicit expansion gives

$$\sigma_n = \sum_{m=1}^n (-1)^{m+1} \sum_{\mathcal{B}_{m,n}} \frac{(m-1)!n}{a_1! \cdots a_m!} e_1^{a_1} \cdots e_m^{a_m} \equiv ne_n + (-1)^{n+1} e_1^n \pmod{(e_2, \dots, e_{n-1})\Lambda}. \quad (6)$$

Here,  $\mathcal{B}_{m,n}$  is the set of all nonnegative integer solutions  $a_1 + \dots + a_m = m$  and  $a_1 + 2a_2 + \dots + ma_m = n$ . If  $\mathbf{Part}_n$  is the set of partitions  $(\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r)$  of the nonnegative integer  $n$  and if  $e_\lambda = \prod_i e_{\lambda_i}$ , then  $\{e_\lambda | \lambda \in \mathbf{Part}_n\}$  is a  $\mathbb{Z}$ -module basis for the homogeneous component  $\Lambda(n)$  of elements of weight  $n$ . Let  $\Lambda^* := \bigoplus_{n=0}^{\infty} (\Lambda(n))^*$  be the graded dual Hopf algebra with dual basis  $\mathcal{C} := \{e^\lambda | \lambda \in \cup_n \mathbf{Part}_n\}$ . If  $\langle -, - \rangle : \Lambda \times \Lambda^* \rightarrow \mathbb{Z}$  is the canonical evaluation map, then

$$\forall \lambda, \mu : \langle e_\mu, e^\lambda \rangle = \delta_{\lambda, \mu}.$$

The special element  $e^\lambda$  corresponding to  $\lambda = \underbrace{(1, \dots, 1)}_n$ , distribution on  $e_1^n$ , will be denoted  $\epsilon_n$ . By duality one has, denoting for  $\rho = (1^{r_1} \cdots m^{r_m})$  and  $\sigma = (1^{s_1} \cdots m^{s_m})$  their union  $\rho \cup \sigma := (1^{r_1+s_1} \cdots m^{r_m+s_m})$ :

$$\langle e_\pi \otimes e_\rho, \Delta(\epsilon_n) \rangle = \langle e_{\pi \cup \rho}, \epsilon_n \rangle,$$

making clear that

$$\Delta(\epsilon_n) = \sum_{a+b=n} \epsilon_a \otimes \epsilon_b.$$

Thus  $\sum_{n=0}^{\infty} \epsilon_n t^n$  is a curve in  $\Lambda^*$ . In the same way we have

$$\langle e_\pi \otimes e_\rho, \Delta(e^{(n)}) \rangle = \langle e_{\pi \cup \rho}, e^{(n)} \rangle,$$

making clear that

$$\Delta(e^{(n)}) = e^{(n)} \otimes 1 + 1 \otimes e^{(n)}.$$

Thus the  $e^{(n)}$  are primitive elements. Summarizing:  $\Phi : \Lambda \rightarrow \Lambda^*$ , mapping  $e_n$  onto  $\epsilon_n$  for all  $n$ , is a homomorphism of Hopf algebras. In fact, since for every  $n$ , the abelian group  $\Lambda(n)$  is free of finite rank  $\#\mathbf{Part}_n$ , one even concludes that  $\Phi$  is an isomorphism in the category **Hopf**. Thus we recover the well known fact  $\Lambda^* = \mathbb{Z}[\epsilon_n | n \geq 1]$ . Next let  $\mathcal{Z} = \mathbb{Z}\langle z_n | n \geq 1 \rangle \cong \mathbb{Z}\langle \mathbb{N}_+ \rangle$ , be the Hopf algebra of the noncommutative symmetric functions, with the generic noncommutative curve

$$\sum_{n=0}^{\infty} z_n t^n = \exp\left(\sum_{m=0}^{\infty} m^{-1} x_m t^m\right), \quad (7)$$

equivalently

$$x_n = \sum_{c \in \mathbf{Comp}_n, l(c)=m} (-1)^{m+1} \frac{n}{m} z_c. \quad (8)$$

For the graded dual, we have the important fact:  $\text{QSym} \cong \mathcal{Z}^*$ , cf. [MR], [Gea] or [DS]. There is a diagram

(9)

Here,  $\pi$  is the canonical projection of  $\mathcal{Z}$  onto its maximal commutative quotient and  $\zeta$  is the canonical embedding of the Hopf algebra of symmetric functions into the Hopf algebra of quasisymmetric functions. By means of  $\zeta$  we identify the element  $e^\lambda$  of  $\Lambda^*$  with the monomial symmetric function, defined by the partition  $\lambda$ . More precisely, let  $c = (c_1, \dots, c_n)$  be a composition of length  $n$  and  $\mathcal{R}(c)$  be a set of representatives for the set of all permutations  $\{(c_{w(1)}, \dots, c_{w(n)}), w \in SS_n\}$  of the digits of  $c$  under the natural action of the symmetric group  $SS_n$ . Then, with the notations for monomial quasisymmetric functions of [?, (9.4.1), p 242]

$$\zeta(e^\lambda) = \sum_{d \in \mathcal{R}(\lambda)} M_d.$$

In particular  $e^{(n)}$  corresponds to the "power sum" symmetric function  $\sum x^n$ , indexed by the symmetric composition  $(n)$  and  $\epsilon_n$  corresponds to

$$M_{\underbrace{(1, \dots, 1)}_n} = \sum_{x_1 < x_2 < \dots < x_n} x_1 x_2 \dots x_n.$$

In the sequel we shall write the monomial quasisymmetric function  $M_c$  as  $c$  and write  $z_c = z_{c_1} \dots z_{c_n}$ . The evaluation map  $\langle -, - \rangle$  in (9) then has the simple form

$$\forall c, d \in \text{Comp} : \langle c, z_d \rangle = \delta_{c,d}. \quad (10)$$

Now apply  $\Phi, \forall n : e_n \mapsto \epsilon_n$  to (4). Since  $\Phi$  is weight preserving,  $\Phi(\sigma_m) = \pm(m)$ , thus write  $\Phi(\sigma_m) = (-1)^{r_m} \sigma_m$ . It follows

$$\sum_{n=0}^{\infty} \epsilon_n t^n = \exp \left( \sum_{m=1}^{\infty} m^{-1} \Phi(\sigma_m) t^m \right) \equiv \sum_{m=1}^{\infty} (-1)^{r_m} (-1)^{m+1} \epsilon_1^{m+1} \text{ mod } (\epsilon_2, \dots, \epsilon_m) \Lambda^*.$$

The left side assumes the value  $+1$  in  $e_1^n$ , hence the right side must do the same. Evaluating the coefficient of  $e_1^n$  shows

**Lemma 1.1**

$$\sum_{n=0}^{\infty} \epsilon_n t^n = \exp \left( \sum_{m=1}^{\infty} m^{-1} (-1)^{m+1} (m) t^m \right). \quad (11)$$

*More in particular: if we take the  $\{\epsilon_n | n \geq 1\}$  as set of elementary symmetric functions, then the set  $\{(m) | m \geq 1\}$  is the set of power sums.*

**Corollary 1.2** *From the lemma we see that not the set of primitive elements  $\{(n)|n \geq 1\} \subset \Lambda^* \subset \mathbf{QSym}$  generates the free polynomial ring  $\Lambda^*$  over the integers, (it generates freely  $\Lambda_{\mathbb{Q}}^*$ ), but rather the set of partitions  $\underbrace{(1, \dots, 1)}_n$  conjugate to  $(n)$  is a set of free polynomial generators of  $\Lambda^*$  over  $\mathbb{Z}$ .*

### 1.3 A result from formal group theory

Our proof for finding explicit polynomial generators for  $\mathbf{QSym}$  over the ring of integers uses the following results of formal group theory. Let  $F$  be an  $n$ -parameter formal group law over a commutative unitary basis ring  $k$ , short:  $F \in \mathbf{FGL}_{n,k}$ , with contravariant bialgebra  $\theta(F) = k[[x_1, \dots, x_n]]$ , equipped with the  $x$ -adic topology, (discrete topology on  $k$ ). If  $\mathbf{MI}(n)$  is the set of  $n$ -multi-indices over  $\mathbb{N}$ , we let  $\{\epsilon_\alpha | \alpha \in \mathbf{MI}(n)\}$  be the basis for the covariant bialgebra  $U(F) = \bigoplus_\alpha k\epsilon_\alpha$ , dual to the basis of monomials  $\{x^\alpha | \alpha \in \mathbf{MI}(n)\}$  of  $\theta(F)$ . A *formal curve* in  $F$  is by definition a continuous homomorphism of  $k$ -algebras  $\phi : \theta(F) \rightarrow k[[t]]$ , commuting with the augmentation on the (topological) Hopf algebra  $\theta(F)$  and the map  $t \mapsto 0$  on  $k[[t]]$ . Writing

$$\phi(x) = \sum_{i=0}^{\infty} \phi_i(x)t^i = \left( \sum_{i=0}^{\infty} \phi_i t^i \right) (x), \quad x \in \theta(F),$$

gives the criterion:  $\phi$  is a formal curve if and only if the set  $(\phi_i | i \geq 0)$  is a sequence of divided powers in the Hopf algebra  $U(F)$ . The terminology "formal curve" is based on the identification of the set of continuous algebra homomorphisms  $\theta(F) \rightarrow k[[t]]$  with the set of morphisms of formal spectra  $\mathbf{Spf}(k[[t]]) \rightarrow \mathbf{Spf}(\theta(F))$ . Returning to the given formal group law, the special curves defined by  $\phi_{F,i}(x_j) = \delta_{i,j}t$ , ( $1 \leq i \leq n$ ) are called the *canonical curves*. Let  $\phi_{F,i} = \sum_j \phi_{F,i,j}t^j$ . Then with  $\delta_{F,i} := \phi_{F,i,1}$  the set  $\{\delta_{F,i} | 1 \leq i \leq n\}$  is a basis for the Lie algebra of primitive elements  $\mathcal{P}(F) \subset U(F)$ . Since the covariant bialgebra  $U(F)$  is pointed irreducible, we have the coradical filtration on  $U(F) = \bigcup_n U(F)_n$  and define for  $u \in U(F)$  its *height*  $h(u) := \min_n \{U(F)_n | u \in U(F)_n\}$  cf. [?, th 9.2.2, p 193]. Since  $U(F)_i U(F)_j \subset U(F)_{i+j}$ , we have  $h(uv) = h(u) + h(v)$ . If  $J = \text{Ker}(\epsilon : \theta(F) \rightarrow k)$ , then  $u \in U(F)_n \Leftrightarrow \langle u, J^{n+1} \rangle = 0$  by [?, prop 11.0.5b, p 220].

**Theorem 1.3** *Let  $k \in \mathbf{CUR}$  and let  $\theta(F) = k[[x_{F,i} | 1 \leq i \leq n]]$  be the contravariant bialgebra of an  $n$ -parameter formal group law over  $k$ . Let  $\phi_{F,i}$ , ( $1 \leq i \leq n$ ) be the set of canonical curves on these generators of  $\theta(F)$ , thus  $\forall i, j : \phi_{F,i}(x_{F,j}) = \delta_{i,j}t$ . Then the set of all ordered products*

$$\mathcal{B} = \{\phi_{F,\alpha} := \phi_{F,i_1,\alpha_1} \cdots \phi_{F,i_k,\alpha_k} | k \geq 0, i_1 < \dots < i_k, \text{ all } \alpha_1, \dots, \alpha_k \geq 0\} \quad (12)$$

is a  $k$ -module basis for the dual  $U(F)$ . It is a structural basis in the sense of Dieudonné, namely

$$\forall \alpha \in \mathbf{MI}(n) : \Delta_{U(F)}(\phi_{F,\alpha}) = \sum_{\{u,v \in \mathbf{MI}(n) | u+v=\alpha\}} \phi_{F,u} \otimes \phi_{F,v}. \quad (13)$$

The set  $\{\delta_{F,i} := \phi_{F,i,1} | 1 \leq i \leq n\}$  is a  $k$ -module basis for the  $k$ -Lie algebra  $\mathcal{P}(F)$  of primitives of  $U(F)$ . Finally, one has

$$\forall \alpha \in \mathbf{MI}(n) : h(\phi_\alpha) = |\alpha|. \quad (14)$$

**Proof.** Since the formal group law will remain fixed, we omit the index " $F$ ". For any multi-index  $\alpha = (\alpha_1, \dots, \alpha_n)$  we define its *norm*  $|\alpha|$  to be  $|\alpha| := \sum_i \alpha_i$ . We intend to prove

$$\forall \alpha, \beta \in \mathbf{MI}(n) : |\alpha| \leq |\beta| \Rightarrow \langle \phi_\alpha, x^\beta \rangle = \delta_{\alpha,\beta}. \quad (15)$$

Now, if  $|\alpha| = 0$ , corresponding to  $k = 0$  in (12), we have  $\phi_0 = \epsilon : \theta(F) \rightarrow k$ , the canonical augmentation. It is well known that this augmentation is the neutral element for multiplication in  $U(F)$ . It then is clear that for all  $\beta$  we have  $\langle \phi_0, x^\beta \rangle = \delta_{0,\beta}$ . More generally, let  $N := |\beta|$  and  $|\beta| > |\alpha|$ . Applying  $\Delta^{N-1}$  we have

$$\sum_{u_1 + \dots + u_N = \alpha} \langle \phi_{u_1} \otimes \dots \otimes \phi_{u_N}, x_1^{\otimes \beta_1} \otimes \dots \otimes x_n^{\otimes \beta_n} \rangle = 0. \quad (16)$$

Indeed, since  $|\alpha| < |\beta|$ , there must be some  $u_j$  with  $\phi_{u_j} = \phi_0 = \epsilon$ , while the corresponding  $x$ -factor belongs to the augmentation ideal  $\text{Ker}(\epsilon)$ .

By the very definition of canonical curves,  $|\alpha| = |\beta| = 1$  implies that relation (15) is true. Thus assume (15) to be true for  $|\alpha| = |\beta| = N$ , for some  $N \geq 1$  and let  $|\alpha| = N + 1$ . In view of (16), we may restrict to the case  $|\beta| = N + 1$ . Write  $\beta =: \mu + \nu$  with some fixed  $|\mu| \neq 0$  and  $|\nu| \neq 0$ , then

$$\langle \phi_\alpha, x^\beta \rangle = \sum_{u+v=\alpha} \langle \phi_u, x^\mu \rangle \langle \phi_v, x^\nu \rangle.$$

By induction, the right side can be nonzero if and only if there are multi-indices  $u$  and  $v$  with sum  $\alpha$  such that  $u = \mu$  and  $v = \nu$ . Since  $\mu + \nu = \beta$ , we thus must have  $\beta = \alpha$ . This proves (15). The verification of (13) is easy. By (15) we immediately see For each  $\alpha \in \mathbf{MI}(n)$  we have  $h(\phi_\alpha) = |\alpha|$ .  $\square$

**Remark** It is not an essential complication, to consider formal group laws in a denumerably infinite set of (weighted) parameters.

## 1.4 Canonical curves for $\Lambda^*$

We use foregoing theorem in order to find canonical curves for the completion of  $\Lambda^*$ . Let  $\Lambda^{\wedge} = \mathbb{Z}[[\epsilon_n | n \geq 1]]$  be the completion of  $\Lambda^*$  and consider the set of canonical curves, denoted  $\{\phi_a | a \geq 1\}$  and determined by

$$\phi_a(\epsilon_b) := \delta_{a,b}t.$$

The "trick of Cartier" relates  $\phi_a$  to the Frobenius operators of formal group theory: let  $\zeta_a$  be any primitive  $a^{\text{th}}$ -root of 1 and consider

$$\Phi_a := \prod_{i=0}^{a-1} [\zeta_a^i]e = \prod_{i=0}^{a-1} \exp \left( \sum_{m=1}^{\infty} m^{-1} \sigma_m \zeta_a^{mi} t^m \right) = \exp \left( \sum_{m=1}^{\infty} m^{-1} \sigma_m \sum_{i=0}^{a-1} (\zeta_a^{mi}) t^m \right). \quad (17)$$

Here, the operator  $[\lambda]$  on formal curves, denotes the homothety  $([\lambda]\phi)(t) = \phi(\lambda t)$  for  $\lambda \in k$  and curves  $\phi = \phi(t)$ .

$$\sum_{i=0}^{a-1} \zeta_a^{mi} = \begin{cases} a & \text{if } a|m \\ 0 & \text{otherwise} \end{cases}.$$

Replacing  $m$  by  $aN$  and substituting afterwards  $n$  by  $m$ , it follows that (17) reduces to

$$\prod_{i=0}^{a-1} [\zeta_a^i]e = \exp \left( \sum_{m=1}^{\infty} m^{-1} \sigma_{am} t^{am} \right) = V_a \exp \left( \sum_{m=1}^{\infty} m^{-1} \sigma_{am} t^m \right). \quad (18)$$

Recalling the Verschiebung  $(V_a\phi)(t) = \phi(t^a)$ , we see that  $V_a$  is injective. The exponential defines a curve  $F_a e$  with components in  $\Lambda_{\mathbb{Q}}$ , while the left side defines a curve with coefficients in  $\Lambda[\zeta_a]$ . The curve thus has coefficients in  $\Lambda$  and can be written in the form

$$F_a e = \prod_{i=1}^{\infty} (1 + x_i^a t) = \sum_{n=0}^{\infty} e_{a,n} t^n = \exp \left( \sum_{m=1}^{\infty} m^{-1} \sigma_{am} t^m \right). \quad (19)$$

This curve is called the  $a^{\text{th}}$  *commutative Frobenius curve*. One easily sees from (19) that  $e_{a,n}$  is the monomial symmetric function, belonging to the partition  $\underbrace{(a, a, \dots, a)}_n$  of weight  $an$ . Working modulo the ideal in  $\Lambda$ , generated by the  $e_i, (i \geq 2)$ , one sees that

$$F_a e \equiv \exp \left( \sum_{m=1}^{\infty} m^{-1} (-e_1)^{am+1} t^m \right) \equiv 1 - (-e_1)^a t.$$

Considered as a morphism  $F_a e : \Lambda^{\wedge} \rightarrow \mathbb{Z}[[t]]$ , it follows from this formula that  $F_a e$  contains from all powers of  $e_1$  only  $-(-1e_1)^a = (-1)^{a+1} e_1^a$  and thus is canonical on the generator  $(-1)^{a+1} \epsilon_a$  and assumes the value 1. Thus,

**Corollary 1.4** *modulo sign, the Frobenius curves are canonical curves on the free polynomial generators  $\epsilon_a$  of  $\Lambda^*$ .*



## 1.5 Lyndon-Witt functions

We put in formula (11):

$$(m) := \sum_{d|m} dw_d^{m/d}, m \geq 1. \quad (20)$$

Taking into account that we consider the  $\epsilon_n$  as elementary symmetric functions in, for example, the quantities  $x_d, (d \in \mathbb{N}_+)$ , we may write in view of lemma 1.1

$$\begin{aligned} \prod_{d=1}^{\infty} (1 + x_d t) = \sum_{n=0}^{\infty} \epsilon_n t^n &= \exp \left( \sum_{m=1}^{\infty} m^{-1} (-1)^{m+1} \sum_{d|m} dw_d^{m/d} t^m \right) \\ &\stackrel{m=ad}{=} \exp \left( - \sum_{a,d=1}^{\infty} a^{-1} w_d^a (-t^d)^a \right) \\ &= \exp \circ \log \left( \prod_{d=1}^{\infty} (1 - w_d (-t)^d) \right) \\ &= \prod_{d=1}^{\infty} (1 - w_d (-t)^d) \end{aligned} \quad (21)$$

This computation has as immediate consequence:

**Corollary 1.5 a.** *We have*

$$\epsilon_n = \sum_{\lambda \in \text{Part}_n^{dp}} (-1)^{l(\lambda+n)} w_\lambda,$$

*in particular*

**b.**

$$\Lambda^* = \mathbb{Z}[\epsilon_n | n \geq 1] = \mathbb{Z}[w_d | d \geq 1].$$

**c.** *The comultiplication  $\Delta$  on the free generators  $w_d$  is determined by the formula*

$$\prod_{d=1}^{\infty} (1-) \Delta(w_d) (-t)^d = \prod_{d=1}^{\infty} (1-) (w_d \otimes 1) (-t)^d \cdot \prod_{d=1}^{\infty} (1-) (1 \otimes w_d) (-t)^d.$$

**Proof.** The first assertion is a direct consequence of

$$\epsilon_n = \sum (-w_1)(-w_2) \cdots (-w_k) (-t)^{d_1+d_2+\cdots+d_k},$$

where the sum is taken over all partitions  $(d_1, d_2, \dots, d_k)$  of  $n$  into *different parts*. Observing, that

$$\sum_n \Delta(\epsilon_n) = \sum_n \sum_{a+b=n} \epsilon_a \otimes \epsilon_b = \sum_n (\epsilon_n \otimes 1) \cdot \sum_n (1 \otimes \epsilon_n),$$

the remaining assertion is clear.  $\square$

**Application to QSym** Define for every  $a \in \mathbb{N}_+$  and every composition  $c = (c_1, \dots, c_k)$ , the composition  $a\#c := (ac_1, \dots, ac_k)$ . This definition gives rise to an endomorphism of abelian groups  $\#a : \text{QSym} \rightarrow \text{QSym}$ . This definition implies

**Lemma 1.6 a.** *The transpose of  $a\#$  on  $\mathcal{Z}$  is the Verschiebung, determined by*

$$v_a(z_n) = \begin{cases} z_{n/a} & \text{if } a|n \\ 0 & \text{otherwise} \end{cases}.$$

*In particular, the endomorphisms  $\#a$  are Hopf algebra endomorphisms of QSym.*

b. *For all  $a, b \in \mathbb{N}_+$  one has  $a\# \circ b\# = (ab)\#$ .*

Next let  $l = (l_1, \dots, l_k) \in \mathcal{L}$  be a reduced Lyndon word, i.e.  $\gcd(l) = \gcd\{l_1, \dots, l_k\} = 1$  and define

$$m\#l := \sum_{d|m} dw_{d\#l}^{m/d}, m \geq 1. \quad (22)$$

We denote  $\mathcal{L}_{red}$  the set of all reduced Lyndon words. Then

$$\mathcal{W} := \{w_{m\#l} | l \in \mathcal{L}_{red}, m \geq 1\} = \{w_\lambda | \lambda \in \mathcal{L}\}$$

Elements of the set  $\mathcal{W}$  will be called *Lyndon-Witt functions*. The term comes from the theory of (global) Witt vectors as follows: for  $k \in \text{CUR}$ , let  $W(k)$  be the set of all *Witt vectors*  $\underline{x} = (x_1, x_2, \dots, x_m, \dots)$  with components  $x_m \in k$ .

$$w_m(\underline{x}) := \sum_{d|m} dx_d^{m/d}, m \geq 1.$$

$W(k)$  then is itself a commutative unitary ring by means of the generic condition

$$w_m(\underline{x}) * w_m(\underline{y}) := w_m(\underline{x} * \underline{y}), m \geq 1,$$

where  $* \in \{+, \cdot, -\}$ . In this optic, the Witt vector  $\underline{w_l}$  with the Lyndon-Witt quasisymmetric functions  $w_{m\#l}$  as components, has the Lyndon compositions  $m\#l$  as ghost components. We will soon see that this Witt vector

actually lies in  $W(\text{QSym})$ , (as opposed to  $W(\text{QSym} \otimes \mathbb{Q})$ . In fact, let  $l \in \mathcal{L}$  be a Lyndon word, not necessarily reduced. Replace  $x_d$  by  $x_{d,l}$ ,  $\epsilon_n$  by  $\epsilon_{n,l}$  and  $w_d$  by  $w_{d,l}$  in formula (21) in order to have the relation

$$\prod_{d=1}^{\infty} (1 + x_{d,l}t) = \sum_{n=0}^{\infty} \epsilon_{n,l}t^n = \exp \left( \sum_{m=1}^{\infty} m^{-1}(-1)^{m+1}(m\#l)t^m \right) = \prod_{d=1}^{\infty} (1 - w_{d,l}(-t)^d). \quad (23)$$

It is convenient to use again the "trick of Cartier": replace  $t$  in (23) by  $\zeta_a^i t$  and multiply over  $0 \leq i \leq a-1$ . The result may be written in the form

$$\begin{aligned} \prod_{d=1}^{\infty} \prod_{i=0}^{a-1} (1 + x_{d,l}\zeta_a^i t) &= \prod_{i=0}^{a-1} \sum_{n=0}^{\infty} \epsilon_{n,l}(\zeta_a^i t)^n \\ &= \exp \left( \sum_{m=1}^{\infty} m^{-1}(-1)^{m+1}(m\#l) \sum_{i=0}^{a-1} (\zeta_a^{im})t^m \right) \\ &= \prod_{i=0}^{a-1} \prod_{d=1}^{\infty} (1 - w_{d,l}(-\zeta_a^i t)^d). \end{aligned} \quad (24)$$

As before we eliminate the roots of unity and find

$$\begin{aligned} \prod_{d=1}^{\infty} (1 + x_{d,l}^a t^a) &= \sum_{n=0}^{\infty} \epsilon_{n,l,a}(-t)^n = \exp \left( \sum_{N=1}^{\infty} (aN)^{-1}(-1)^{aN+1} \left( \sum_{d|aN} dw_{d\#l} \right) at^{aN} \right) \\ &\equiv \prod_{d=1}^{\infty} (1 - w_{1,l}^a t^a). \end{aligned} \quad (25)$$

Since the exponential has coefficients in  $\mathbb{Q}$  and the  $\epsilon$ -series has coefficients in  $\mathbb{Z}[\zeta_a]$ , the resulting relation is, in fact, defined over  $\mathbb{Z}$ . In particular, for the exponential series  $E_a$  in (25) we see it to be

$$\begin{aligned} E_a &= \exp \left( - \sum_{N=1}^{\infty} N^{-1}(-1)(aN\#l)t^{aN} \right) \\ &\equiv \exp \left( - \sum_{N=1}^{\infty} \frac{(w_{a\#l}t^a)^N}{N} \right) \\ &\equiv 1 - w_{a\#l}t^a \quad (26) \\ &\equiv 1 - (-t)^a w_{a\#l} \pmod{\text{words of length } > \text{length of } l}. \quad (27) \end{aligned}$$

In the following corollary we collect some consequences of the foregoing observations

**Corollary 1.7 a.**

$$\begin{aligned}\epsilon_{n,l} &= \sum_{k \geq 0; d_1 < \dots < d_k} (-1)^n (-w_{d_1,l}) (-w_{d_2,l}) \cdots (-w_{d_k,l}) \\ &= \sum_{d \in \text{Part}_n^{\text{dp}}} (-1)^{|d|+l(d)} W_{d,l}.\end{aligned}\tag{28}$$

$$\tag{29}$$

(Here, the second sum is to be interpreted as a shorthand for the first sum.)

**b.** In particular, if  $M(\mathcal{W})$  is the set of all monomials in the Lyndon-Witt quasisymmetric functions, we let  $\Delta(\mathcal{W})$  be the set of decomposable elements with respect to the elements of  $\mathcal{W}$ . Then

$$\epsilon_{n,l} \equiv (-1)^{n+1} w_{n,l} \pmod{\Delta(\mathcal{W})}.$$

**c.**

$$\Lambda^* = \mathbb{Z}[w_d | d \geq 1],$$

the free polynomial ring in the symmetric Lyndon-Witt functions  $w_d$ , ( $d \geq 1$ ).

**d.**

$$\text{QSym}_{\mathbb{Q}} = \mathbb{Q}[\mathcal{W}],$$

the free polynomial ring, generated over  $\mathbb{Q}$  by the Lyndon-Witt quasisymmetric functions.

**e.**

$$\mathbb{Z}[\mathcal{W}] \subset \text{QSym}$$

is a Hopf subalgebra of  $\text{QSym}$ .

**Proof.** From ??XXX) we see that  $\Lambda^* = \mathbb{Z}[\epsilon_m | m \geq 1] = \mathbb{Z}[w_d | d \geq 1]$ . Moreover,  $\Lambda^*$  has a canonical embedding in  $\text{QSym}$ .  $\square$

Returning to formula (22) of [DS], it is clear that by part e. of the corollary we may conclude that  $\mathbb{Z}[\mathcal{W}] = \text{QSym}$ , if we can prove that

$$\{(m\#l)^e | l \in \mathcal{L}_{\text{red}}, m \geq 1\} \subset \mathbb{Z}[\mathcal{W}].\tag{30}$$

If  $e = 1$ , then  $m\#l = w_{1,l} = l$  is a Lyndon word and belongs by definition to  $\mathcal{W}$ . If  $e > 1$ , we recall the situation of  $\Lambda^*$ , where the curve  $F_a e$  appeared to be the canonical curve - up to sign - on the free polynomial generators  $e_1^a$ , i.e.  $F_a e$  contains  $\epsilon_a = e^{(1^a)}$ , defined by the partition  $(1^a)$ , conjugate to  $(a)$ . In the following sections we analyze this phenomenon in more detail,

but introduce already now the relevant notations. Since  $\mathbf{QSym}_{\mathbb{Q}} = \mathbb{Q}[\mathcal{W}]$ , the completion has canonical curves, denoted  $\zeta_l = \sum_{n=0}^{\infty} \zeta_{l,n} t^{n|l|}$ ,  $l \in \mathcal{L}$ , satisfying

$$\forall l, m \in \mathcal{L} : \zeta_l(w_m) = \delta_{l,m} t^{|l|}. \quad (31)$$

Moreover, as a vector space over  $\mathbb{Q}$ , the set  $M(\mathcal{W})$  is a basis, and the dual basis will be denoted  $\Pi = \{\pi_W | W \in M(\mathcal{W})\}$ . This basis consists of point distributions on the monomials in the Lyndon-Witt functions. Notice, the canonicity of the curve  $\zeta_l$  implies the relations

$$\forall n \geq 0 : \zeta_{l,n} = \pi_{l^n}.$$

Moreover, it is clear that

$$\mathcal{B} := \{\beta_l := \zeta_{l,1} = \pi_l | l \in \mathcal{L}\}$$

is a  $\mathbb{Q}$ -vector space basis for the lie algebra of primitive elements in  $\mathbf{QSym}_{\mathbb{Q}}$ . A priori, all these objects live in  $\mathbf{QSym}_{\mathbb{Q}}$ , but we intend to prove, that all these objects have all their coefficients in  $\mathbf{QSym}$ . Given any  $l \in \mathcal{L}_{\text{red}}$ , there is an endomorphism of the Hopf algebra  $\mathcal{Z}_{\mathbb{Q}}$ , of noncommutative symmetric functions over  $\mathbb{Q}$ , denoted  $\zeta_l$  and determined by the fact that  $\zeta_l$  is a curve, i.e. by

$$\forall n \geq 0 : \zeta_l(z_n) := \zeta_{l,n} = \pi_{l^n}.$$

Of course, by duality there is a transposed endomorphism  $\zeta_l^*$  of the Hopf algebra  $\mathbf{QSym}_{\mathbb{Q}}$ .

## 1.6 Formulae in small weight

We list the quasisymmetric functions in weight  $\leq 4$ . For weights  $\geq 5$  see the appendix. For each composition  $(c)$  of length  $k$ , we let  $s(c) \in \Lambda^*$  be the sum of the elements in the orbit of  $c$  under the natural action of the symmetric group  $SS_k$ .

For more data one might wish to visit the web site [www.cs.vu.nl/~ejd/qlsw](http://www.cs.vu.nl/~ejd/qlsw)

composition	corresponding Lyndon-Witt function
(1)	$w_1$
(2)	$2w_2 + w_1^2$
(11)	$-w_2$
(3)	$3w_3 + w_1^3$
(12)	$w_{12}$
(21)	$2w_1w_2 - 3w_3 - w_{12}$
s(21)	$2w_1w_2 - 3w_3$
(111)	$w_3 - w_1w_2$
(4)	$4w_4 + 2w_2^2 + w_1^4$
(13)	$w_{13}$
(22)	$w_2^2 + 2w_2w_1^2 - 2w_4$
(31)	$3w_1w_3 - 4w_4 - 2w_2^2 - w_{13}$
s(31)	$3w_1w_3 - 4w_4 - 2w_2^2$
(112)	$w_{112}$
(121)	$w_{12}w_1 - w_{13} - w_2^2 - 2w_2w_1^2 + 2w_4 - 2w_{112}$
(211)	$w_2^2 + w_2w_1^2 + w_{13} - 3w_1w_3 + 2w_4 + w_{112} - w_{12}w_1$
s(211)	$-w_2w_1^2 - 3w_1w_3 + 4w_4$
(1111)	$-w_4 + w_1w_3$

## 2 Quasi-symmetric functions

In this section, the notion of "symmetric" will be combined with a "total order as follows: let  $n > k$  and let  $f \in B$  and write  $f$  in full:

$$f = \sum_{x_1, \dots, x_k} \lambda_{c_1, \dots, c_k} \lambda_{(c)} x_1^{c_1} \cdots x_k^{c_k}.$$

$f$  will be called *quasi-symmetric* if the following is true: if  $c = (c_1 \dots c_k)$  and  $d = (d_1 \dots d_k)$ , then the coefficients  $\lambda_c$  and  $\lambda_d$  are the same. For example,  $x_1x_2^2 + x_1x_3^2 + x_2x_3^2$  is quasi-symmetric and will be denoted in an obvious way:  $f = (1, 2)$ . Every sequence  $c$  determines a unique quasi-symmetric function, the *monomial quasi-symmetric function*, denoted  $M_c$ . Clearly, symmetric functions are quasi-symmetric. Sum and product of quasi-symmetric functions are quasi-symmetric, hence the set of quasi-symmetric functions in  $B$  is a commutative unitary ring  $\mathbf{QSym}^{(n)}$  containing  $\Lambda^{(n)}$  as a subring. The ring structure on  $\mathbf{QSym}$  is given as follows: call a sequence  $c = (c_1, \dots, c_k)$  of positive natural numbers a *composition* and  $\mathbf{Comp}$  the set of all of them. A composition  $c$  such that the gcd of all its digits is 1 is called a *reduced* composition. Concatenation of compositions  $c$  and  $d$  will be denoted  $c * d$ . Introduce an *empty* composition  $()$  and define for nonempty compositions  $c = (c_1, \dots, c_k)$  the composition  $\hat{c}$  by  $c = c_1 * \hat{c}$ , thus  $\hat{c}$  is the longest nontrivial tail of  $c$ . The multiplication  $\uplus$  in  $\mathbf{QSym}$  is given by the rules:  $()$  acts as

neutral element 1 and

$$\forall c, d \in \mathbf{Comp} : c \uplus d := c_1 * (\hat{c} \uplus d) + d_1 * (c \uplus \hat{d}) + (c_1 + d_1) * (\hat{c} \uplus \hat{d}). \quad (32)$$

## 2.1 Lyndon compositions

A composition  $c = (c_1, \dots, c_k)$  is called a *Lyndon composition* if in the phone book of all compositions, ordered "alphabetically" every proper tail of  $c$  may be found, **properly further than  $c$** . For instance, my postgiro account number 1189189 defines a Lyndon composition. Concatenated with my (secret) bank account number on the Bahamas, 189327592, we get the Lyndon  $(1,1,8,9,1,8,9,1,8,9,3,2,7,5,9,2)$ , still concatenating it with my pincode 1188 will involve loss of Lyndonacity, but with 1199 will conserve this property.. The theorem of Radford, [Rad] states:

**Theorem 2.1** *If  $\mathbf{QSym}_{\mathbb{Q}}$  denotes the commutative unitary ring of quasi-symmetric functions over the rational number  $\mathbb{Q}$ , then*

$$\mathbf{QSym}_{\mathbb{Q}} = \mathbb{Q}[\mathcal{L}],$$

*the polynomial ring, freely generated over  $\mathbb{Q}$  by the set  $\mathcal{L}$  of Lyndon compositions.*

Now I come to the main topic of my conference: it is easily verified, that the assertion:

$$\mathbf{QSym} = \mathbb{Z}[\mathcal{L}]$$

is false and in the early eighties of the last millenium I studied the structure of  $\mathbf{QSym}$  in [D85]. Instead of the Lyndon compositons, I considered the set  $\mathcal{L}^{\text{mod}}$  of *modified Lyndon compositions*, as follows: a Lyndon composition  $c$  has a  $\text{gcd}(c)$  of all its digits and putting for a composition  $c = (c_1, \dots, c_k)$  and a natural number  $a$ :

$$a\#c = (ac_1, \dots, ac_k),$$

it is clear that  $c_{\text{red}} := \text{gcd}(c)^1\#c$  ia a composition as well, hence the gcd-fold concatenation  $c_{\text{red}}^{*\text{gcd}(c)}$  is still a composition, called a modified Lyndon composition, if  $c$  is Lyndon. Thus, *modification*:  $\mu : \mathbf{Comp} \rightarrow \mathbf{Comp}$  is a well defined operation that can be compared to - and in fact is identical with - the transition from partitions to conjugate partitions in the theory of symmetric functions. As an example,  $(4)$  is Lyndon, and modifying it gives  $(1)^*4 = (1, 1, 1, 1)$ . In loc cit. I announced the theorem

$$\mathbf{QSym} = \mathbb{Z}[\mathcal{L}^{\text{mod}}] \quad (33)$$

and was happy to see that all compositions of weight  $\leq 9$  are unique polynomials in the modified Lyndon words with integer coefficients. As the

number of compositions of weight  $n$  is equal to  $2^{n-1}$ , I had 255 polynomials with integral coefficients - data that I supposed to be sufficient material for illustration.

## 2.2 Lyndon-Witt functions

As usual, compositions of weight 1 are trivial to deal with. For weight 2 one sees by inspection  $(1, 1)$  to be a free generator. The case weight=3 is a job of 5 minutes, but weight 4 already asks for some 10 minutes. The growth time complexity seems to be exponentially, at any rate, much time has been spent in making a list. Let  $l \in \mathcal{L}$  be an arbitrary reduced composition, for instance a *reduced Lyndon word* and  $m > 1 \in \mathbb{N}$ . Define recurrently

$$m\#r = \sum_{d|m} dw_{d\#r}.$$

The notation is chosen such that no confusion may arise with an element  $mr$ , belonging to the free abelian group on the set **Comp** of all compositions. Noticing every  $l \in \mathbf{Comp}$  to have the unique form  $l = m\#r$ , the totality of all compositions is covered by this definition. Moreover, let us consider the following little computation:

$$\begin{aligned} \sum_{i=0}^{\infty} \epsilon_{r,i} t^i &:= \exp \left( \sum_{m=1}^{\infty} m\#r \frac{t^m}{m} \right) \\ &= \exp \left( \sum_{m=1}^{\infty} \sum_{d|m} dw_{d\#r} \frac{t^m}{m} \right) \\ &= \exp \left( \sum_{a=1}^{\infty} \sum_{d=1}^{\infty} dw_{d\#r} \frac{(t^a)^d}{ad} \right) \\ &= \exp \left( \sum_{d=1}^{\infty} \log \left( 1 - w_{d\#r} (t^d) \right)^{-1} \right) \\ &= \prod_{d=1}^{\infty} \left( 1 - w_{d\#r} t^d \right)^{-1}, \end{aligned} \tag{34}$$

in order to show that  $\mathbb{Z}[\epsilon_{r,i}, i \geq 1] = \mathbb{Z}[w_{d\#r} | r \in \mathbb{N}_+]$ . This does not imply this ring to be a subring of **QSym**, but a little generalization of the theorem of Dieudonné-Dwork, concerning integrality of exponential series guarantees this indeed to be the case, [Di02b] The particular set  $(w_l, l \in \mathcal{L})$  will be called the set of *Lyndon-Witt functions* and from what has been said, we have

$$\mathcal{W} \subset \mathbf{QSym}.$$



. For  $l = (m)$ , they were introduced in the context of symmetric functions by Reutenauer in [Reut95, (2.1)]. In the preprints [Di02a] and [Di02b], I announced and sketched the proof of the first statement of

**Theorem 2.2 Basis theorem for quasi-symmetric functions.**

- a.  $\text{QSym} = \mathbb{Z}[\mathcal{W}]$ , the polynomial ring over  $\mathbb{Z}$ , freely generated by the Lyndon-Witt functions.
- b. There are isobaric curves, i.e. homomorphisms of commutative unitary rings or equivalently, infinite sequences of sequences of divided powers  $\text{QSym} \rightarrow \mathbb{Z}[[t]]$ , denoted  $\gamma_l = \sum_{i=0}^{\infty} \gamma_{n,t} l^{i|n}$  which are canonical curves on the set  $\mathcal{W}$  i.e.

$$\forall w_l, w_{l'} \in \mathcal{W} : \gamma_{w_l}(w_{l'}) = \delta_{l,l'} t^{|l|}.$$

The set of all such curves will be denoted  $\Gamma$ .

- ca. (Trivial Hilbert version) The map  $\prod_{l \in \mathcal{L}} \gamma_l^{e_l} \mapsto (e_l | l \in \mathcal{L})$  induces a bijection

$$\mathcal{E}^h \rightarrow \mathbb{N}^{\mathcal{L}}.$$

Moreover, such a homogeneous endomorphism is an automorphism if and only if  $e_1, e_2 \in \{\pm 1\}$ .

- ca. (Witt version) The map  $\prod_{l \in \mathcal{L}} [e_l] \gamma_l \mapsto (e_l | l \in \mathcal{L})$  induces a bijection

$$\mathcal{E}^h \rightarrow \mathbb{N}^{\mathcal{L}}.$$

Moreover, such a homogeneous endomorphism is an automorphism if and only if  $e_1, e_2 \in \{\pm 1\}$ .

**Example**

The Hilbert structure and Witt structure on curves are different, the former being somewhat simpler in general. We found for the curve  $z^2$  for example

$$z^2 = [2]z \cdot (\gamma_2 \gamma_{12}^5 \gamma_{13}^{-2} \gamma_{112}^{-10} \gamma_4^4 \gamma_{113}^{13} \gamma_{1112}^{15} \gamma_5^{-6} \gamma_{113}^{-20} \gamma_{122}^{-18} \gamma_{114}^{29} \dots)^{-1}$$

decomposition.

**Corollary 2.3** Every finite sequence of divided powers  $(x_0 = 1, x_1, \dots, x_n)$  can be extended to an infinite sequence.

**Proof.** We summarize the proof in [Di02b]. Since then it appeared that use of plethysms and  $\lambda$ -rings allowed a simplification of the proof, since the use of a basis of primitive elements in the graded dual  $\text{QSym}^{*\text{gr}}$  of  $\text{QSym}$  can be avoided. Since, however, this graded dual of  $\text{QSym}$  may be identified with the Hopf algebra of noncommutative symmetric functions, [MR, ??],

it remains a matter of taste, which kind of arguments is to be preferred. Using the commutator curves of [Shay] and a decomposition theorem for the 2-curve  $\sum_{i=0}^{\infty} z_i(t_1 + t_2)^i$ , Hazewinkel proved in [Haz01, th 4.24, p 19], that  $\mathcal{P}(\mathcal{Z})$  has a totally ordered basis  $\mathcal{H} = \{h_l | l \in \mathcal{L}\}$ , indexed by the set of Lyndon words and such that

$$h_l \equiv \gcd(l)z_l \pmod{\text{terms having length} > \text{length of } l}.$$

We already mentioned in the foregoing discussion the fact

$$\mathcal{W} \subset \text{QSym}$$

and, moreover, if  $\langle -, - \rangle: \text{QSym} \otimes \mathcal{Z} \rightarrow \mathbb{Z}$  is the canonical duality pairing, then in view of lemma ??b we have for  $l \geq m$  in  $\mathcal{L}$ :

$$\langle h_l, w_m \rangle = \langle \gcd(l)z_l, w_m \rangle = \langle z_l, \gcd(m)w_m \rangle = \langle z_l, l \rangle \stackrel{(*)}{=} \delta_{l,m}.$$

Here,  $\delta$  is the Kronecker delta. We used the fact  $\gcd(m)m \equiv w_m$  modulo decomposable elements in  $\mathcal{W}$  and that primitives in  $\mathcal{Z}$  are zero on decomposable elements in  $\text{QSym}$ . Moreover we used the fact that  $h_l$  has  $z_l$  as *leading term*, hence possible terms having the form  $c_n z_{n,r}$  with  $c_n \neq 0$  and  $n \in \mathcal{L}$  satisfy  $n > l$ , consequently,  $n > m$ . Notice that there is no reason (and neither necessity) to suppose that both bases are dual bases, triangularity is sufficient. At any rate, we deduce by triangularity that the Lie algebra  $\mathcal{P}(\mathcal{Z})$  of primitive elements can be transformed into a  $\mathbb{Z}$ -module basis  $\mathcal{P} = \{\pi_l | l \in \mathcal{L}\}$  of homogeneous primitives,

$$\pi_l = \sum_{c \in \text{Comp}} \lambda_{l,c} z_c, \tag{35}$$

having the property (P):  $c \neq l, c \in \mathcal{L} \Rightarrow \lambda_{l,c} = 0$ . It then is clear that  $\mathcal{P}$  is the basis dual to the basis  $\mathcal{W}$  of  $J/J^2$  in  $\text{QSym}_{\mathbb{Q}}$ , where  $J$  is the canonical augmentation ideal of  $\text{QSym}_{\mathbb{Q}}$ . Since  $\mathcal{P}$  is a basis for the primitives of  $\mathcal{Z}$  over  $\mathbb{Z}$ , the dual  $\text{QSym}$  is the free polynomial ring, generated over  $\mathbb{Z}$  by the Lyndon-Witt functions.  $\square$

**Corollary 2.4** *Since pure primitives are canonical and  $\mathcal{W}$  is dual to this basis, we consider  $\mathcal{W}$  as canonical generators for  $\text{QSym}$ .*

**Remark**

Hazewinkel noticed that by using plethysms of quasi-symmetric functions, introduced by Reutenauer and Malvenuto in [?], one can define

$$A_{\alpha} = p_n \circ \alpha$$

to see at once that  $A_l$  coincides with my  $w_l$  and is defined in  $\mathbf{QSym}$ , but (34) gives a direct relation with Witt vectors.

**Remark** It is clear that if one considers the Lyndon words as a canonical tool for the description of the structure of  $\mathbf{QSym}$ , then so are the pure primitives  $\pi_l$ , ( $l \in \mathcal{L}$ ), equally indexed by the Lyndon words, and containing only *one* nonzero term, involving  $z_l$  with  $l \in \mathcal{L}$ . As is the case by the commutative symmetric functions, the four automorphisms of the Hopf algebra  $\Lambda$ , induced by the curves  $e, e^{-1}, [-1]e$  and  $h = [-1]e^{-1}$  extend to  $\mathcal{Z}$  by replacing  $e$  by the (noncommutative) canonical curve  $z$ . It then is clear, that the basis of  $\mathcal{P}(\mathcal{Z})$ , dual to the basis defined by the complete noncommutative symmetric functions, has the property, that every primitive, indexed by  $l \in \mathcal{L}$ , contains every  $z_m$ ,  $m \in \mathcal{L}$ ,  $m > l$  with nonzero coefficient.

**Modified Lyndon words** What about the statement  $\mathbf{QSym} = \mathbb{Z}[\mathcal{L}^{\text{mod}}]$ , published in [DS, thm 1.5] Here, under reference to a maxim, attributed to the former president Clinton<sup>3</sup>. I confess to bear the responsibility for the error (33): it is true that  $\mathbf{QSym}$  is freely generated over the field of rational numbers by the set of quasi-symmetric functions, indexed by modified Lyndon compositions. The error was noted by Hazewinkel (email) and Reutenauer, (private correspondence). It was only recently that I found (3,6) to be the counter example of lowest weight in  $\mathbb{Z}[\frac{1}{7}][\mathcal{L}^{\text{mod}}] \setminus \mathbb{Z}[\mathcal{L}^{\text{mod}}]$ . For this, see

[LYNDON-WITT FUNCTIONS AND THE STRUCTURE OF QSYM](#) erratum

### 3 Noncommutative symmetric functions

In the definitions given sofar, one can eliminate the noise, aroused by the parameter  $n$ , the number of  $x_i$ , used to define the symmetric functions and the quasi-symmetric functions. Technically: one takes the projective limit in the category of graded algebras and considers the object  $\Lambda = \mathbb{Z}[e_i | i \geq 1]$ . It is quite natural to introduce the noncommutative analog of  $\Lambda$ , in fact define as in [D72]

$$\mathcal{Z} = \mathbb{Z}\langle z_i | i \geq 1 \rangle,$$

the free polynomial ring over the integers in the noncommuting quantities  $z_i$ , ( $i \geq 1$ ). It is a graded Hopf algebra, if one defines the grading  $\omega$  by  $\omega(z_n) := n$  and the comultiplication  $\Delta$  by

$$\forall n \geq 0 : \Delta(z_n) = \sum_{a+b=n} z_a \otimes z_b, \quad (z_0 := 1).$$

---

<sup>3</sup>To make errors is itself not an error. It is an error not to admit them

The object  $\mathcal{Z}$  has been called the *Leibniz Hopf algebra*, since it represents generically the *Leibniz relations*: for a sequence  $z_i, (i \geq 0)$  one has for all arguments  $x, y$ :

$$z_n(xy) = \sum_{a+b=n} z_a(x)z_b(y).$$

A typical example is given by: let  $\delta$  be a differentiation and substitute  $z_n$  by  $\frac{\delta^n}{n}$ . For this reason  $\mathcal{Z}$  has been called too the Hopf algebra of *sequences of divided powers*. To be precise, a sequence

$$x = (x_0, x_1, \dots, x_n, \dots) \quad (36)$$

in a Hopf algebra of  $H = (H, \Delta, \epsilon, \mu, \eta, S)$  is a subset of  $H$  such that

$$\forall n \geq 0 : \Delta(x_n) = \sum_{a+b=n} x_a \otimes x_b. \quad (37)$$

For each such sequence, denote  $\bar{x}$  the generating series

$$\bar{x} = \sum_{i=0}^{\infty} x_i t^i,$$

The set of all such sequences is a group, such that the map  $x \mapsto \bar{x}$  is a homomorphism of groups. Moreover this group is semidirect product of the subgroup  $G(H)$ , consisting of all sequences  $x$  with  $i > 0 \Rightarrow x_i = 0$  and a normal subgroup characterized by  $\bar{x} : x_0 = 1$ . This normal subgroup is called the *group of curves in  $H$* , denoted  $\text{SDP}(H)$ . An obvious generalization of the notion of curve or sequence of divided powers is to consider instead of (36), sequences of elements  $x = (x_\alpha | \alpha \in \text{MI}(E))$ , indexed by the set of multi-indices  $\text{MI}(E)$  for an arbitrary set  $E$ . Then consider the group  $\text{SDP}_E(H)$  of such elements with generating series  $\bar{x}$  and group structure, induced by multiplication of formal power series in the set of variables  $\{t^\alpha | \alpha \in \text{MI}(E)\}$ . An *SDP-Hopf algebra of type  $E$*  is a Hopf algebra, such that  $\text{SDP}_E$  has an element  $\bar{x}$ , such that  $\{x_\alpha | \alpha \in \text{MI}(E)\}$  is a basis for  $H$ . It is not obvious that both  $\mathcal{Z}$  and  $\Lambda$  are SDP-Hopf algebras of type  $\mathbb{N}_+$ .

### 3.1 The Lie algebra $\mathcal{P}(\mathcal{Z})$

**Definition 3.1** Let  $l$  be a Lyndon word and consider the following condition on a homogeneous primitive  $\delta \in \mathcal{P}(\mathcal{Z})$  of weight  $n \geq 1$ :

$$\delta = \sum_{w \in \text{Comp}_n} c_w z_w, \quad (c_w \in \mathbb{Z}).$$

If  $w \in \mathcal{L}$  has weight  $n$ , then the coefficient  $c_w$  of  $z_w$  is equal to  $\text{gcd}(l)\delta_{l,w}$ . i.e.  $l$  is the *only* Lyndon word, having nonzero coefficient  $\text{gcd}(l)$  in  $\delta$ .

A primitive satisfying this condition is called an *l-pure primitive*. Obviously, an *l-pure primitive* is unique, since the difference of two such do not contain any  $z_l$  with  $l \in \mathcal{L}$  with nonzero coefficient.

**Theorem 3.2** *There exists a basis for the Lie algebra  $\mathcal{P}(\mathcal{Z})$  consisting of pure primitives.*

**Corollary 3.3** *Two bases of  $\mathcal{P}(\mathcal{Z})$ , consisting of pure primitives, coincide.*

**Corollary 3.4** *Let  $J = \text{Ker}(\epsilon)$  in  $\text{QSym}$ , thus  $\text{QSym} = \mathbb{Z} \oplus (J/J^2) \oplus J^2$ . There is exactly one set of generators  $\mathcal{W} = \{w_l | l \in \mathcal{L}\}$  for  $\text{QSym}$ , having the property that the restriction of a pure basis for the primitives  $\mathcal{P}(\mathcal{Z})$  to  $J/J^2$  is the dual basis of the basis of images of  $\mathcal{W}$  in  $J/J^2$ .*

**Theorem 3.5** *The unique set of generators  $\mathcal{W}$  satisfies: if  $r \in \mathcal{L}$  is reduced, then*

$$w_r = r.$$

*If  $l \in \mathcal{L}$  has  $\text{gcd}(l) = n > 1$ , and  $r = r_{\text{red}}$ . then*

$$l = \sum_{d|n} dw_{d\#r}^{n/d}.$$

In [pure primitives](#), we have files, containing pure primitives.

### 3.2 The group of curves

Let  $k$  be a base ring and  $H \in \text{Hopf}_k$ . It is clear that the group of sequences of divided powers in  $H$ , thus  $\text{SDP}(H)$  may be identified canonically with

$$\text{Hopf}_k(\mathcal{Z}_k, H) \cong \text{SDP}(H).$$

Taking  $k = \mathbb{Z}$  and  $H = \mathcal{Z}$ , we thus have

$$\text{Hopf}(\mathcal{Z}, \mathcal{Z}) \cong \text{SDP}(\mathcal{Z}).$$

The subgroup of homogeneous endomorphisms of  $\mathcal{Z}$  will be denoted  $\mathcal{E}^h$ . There are various descriptions of the group  $\mathcal{E}^h$ .

### 3.3 Gelfand and his school

It was not detected until 1995 by Gelfand and his school, [Gea], that there is every reason to call  $\mathcal{Z}$  the Hopf algebra of the *noncommutative symmetric functions*. The introduction of noncommutative symmetric functions as done by Gelfand and his school asks - perhaps - for somewhat more justification: is there an action of the symmetric group on  $\mathcal{Z}$ , (or related to  $\mathcal{Z}$ ), for which these are invariant? If so, do we have elementary noncommutative symmetric functions, complete noncommutative symmetric functions, noncommutative Schur functions and so on?. The first obstacle to be met is the problem: what about the enormous amount of determinants in the theory of commutative symmetric functions and the lack of a (classical) theory of matrices with entries in a noncommutative ring, for instance, does there exist a Cramer rule for a linear system of equations between noncommuting operators and complex coefficients? The answer, fortunately, is positive, as shown by Gelfand and Retakh in [GR2]. Thanks to [Gea] there exists already an abundant amount of literature on such functions, but as one may observe, the body of the theory is about noncommutative symmetric functions over a field of characteristic 0, instead of (arithmetically) over the integers<sup>4</sup>.

### 3.4 Short summary

We first recall the important generalization of determinants to *quasi-determinants*. Let  $K$  be a field,  $n$  an integer and  $A = \{a_{ij}, |1 \leq i, j, \leq n\}$  a set of  $n^2$  noncommutative indeterminates. Let  $K\langle A \rangle$  be the free field constructed on  $K$  and generated by  $A$ . The quasi-determinant  $|A|_{pq}$  of order  $pq$  of the generic matrix  $A$  is the element defined by

$$|A|_{pq} = a_{pq} - \sum_{i \neq p, j \neq q} a_{pq} ((A^{pq})^{-1}) a_{pq}. \quad (38)$$

The component of degree  $n$  is denoted  $\mathcal{Z}_{(n)}$ . Clearly, if one passes to the largest abelian quotient of  $\mathcal{Z}$  modulo the two-sided ideal, generated by all commutators  $[z_i, z_j] = z_i z_j - z_j z_i$ , it is clear that one recovers  $\Lambda$ . But far much more is true as well: As every graded Hopf algebra one may construct its graded dual. For our  $\mathcal{Z}$  this graded dual is defined by

$$\mathcal{Z}^{*\text{gr}} := \bigoplus_{n \geq 0} \left( \mathcal{Z}_{(n)}^* \right).$$

The following result is classical:

**Theorem 3.6** *There is a canonical isomorphism of Hopf algebras*

$$\Lambda \cong \Lambda^{*\text{gr}}.$$

---

<sup>4</sup>God made the integers, the rest is work of man, Kronecker

First of all we have the following theorem of Malvenuto-Reutenauer, [MR, ??]:

**Theorem 3.7** *There is a canonical isomorphism of Hopf algebras*

$$\text{QSym} \cong \mathcal{Z}^{*\text{gr}} \text{ and } \mathcal{Z} \cong \text{QSym}^{*\text{gr}}.$$

given by the relations

$$\sum_{m=0}^{\infty} M_{(m)} t^m = \exp\left(p_m \frac{t^m}{m}\right).$$

Here,  $M_{(i)}$  is the monomial quasi-symmetric function, defined earlier.

### 3.5 Multicurves and Newton relations

Recall that the set of *primitive elements*  $\mathcal{P}(H)$  in a Hopf algebra  $H$ , that is  $\mathcal{P}(H) = \{h \in H : \Delta(h) = h \otimes 1 + 1 \otimes h\}$  is a Lie algebra under the bracket  $[h, h'] := hh' - h'h$ . Let  $E$  be a set and consider subsets

*Z.*  $Z = \{z_\alpha : \alpha \in \text{MI}(E)\}$ , with  $z_0 = 1$ ,

*R.*  $R = \{\rho_\alpha : \alpha \in \text{MI}(E)\}$ , with  $\rho_0 = 0$ ,

*X.*  $X = \{x_\alpha : \alpha \in \text{MI}(E)\}$ , with  $x_0 = 0$  of  $H$ .

For convenience assume  $H$  to be a Hopf algebra over  $\mathbb{Q}$ . Consider equally expressions

$$\bar{z} := \sum_{\alpha \in \text{MI}(E)} z_\alpha t^\alpha = \exp\left(\sum_{\alpha \in \text{MI}(E) \setminus \{0\}} x_\alpha \frac{t^\alpha}{|\alpha|}\right). \quad (39)$$

$$\forall \alpha \in \text{MI}(E) : \Delta(z_\alpha) = \sum_{\beta + \gamma = \alpha} z_\beta \otimes \gamma. \quad (40)$$

$$\forall \alpha : |\alpha| x_\alpha = \sum_{\beta + \gamma = \alpha} x_\beta \rho_\gamma. \quad (41)$$

We have the following relations between these elements:

**Theorem 3.8** *The set  $Z$  defines a curve of type  $E$  if and only if the set  $X$  is a subset of the Lie algebra  $\mathcal{P}(H)$ . Moreover, in this case, the set  $R$  satisfying (41) is a subset of  $\mathcal{P}(H)$ , and conversely, define the set  $R \subset \mathcal{P}(H)$  by the relation (41), then  $Z$  defines a multicurve. The relations (41) are called the right Newton relations or primitives of the first kind and the primitives, defined by (39) are the primitives of the second kind. If necessary, we write  $\rho_\gamma(x)$  and  $\rho(x)$  instead of  $\rho_\gamma$  and  $\rho$ . If necessary, we write  $r_\gamma(x)$  and  $r(x)$  instead of  $r_\gamma$  and  $r$ .*

More about multi-curves and a decomposition theorem for them see [In](#) order to facilitate the translation of different notations in literature, we give the following table

[Gea]	1	[McD79] comm	1	ours
$\lambda(t)$	(21)	$e(t)$		$z = z(t)$
$\sigma(t)$	(22)	$h(t)$		$n = n(t)$
$\Psi_k$	(23)	—		$\rho_k(n(t))$
$\Phi_k$	(26)	—		$r_{[-1]z^{-1}k} =: r_k$

One has the following relations between these quantities

$$z(t) = \sum_{k=0}^{\infty} \Lambda_k t^k = \exp \left( \sum_{m=1}^{\infty} m^{-1} x_m t^m \right). \quad (42)$$

$$\sigma(t) = \sum_{n=0}^{\infty} S_n t^n = \exp \left( \sum_{m=1}^{\infty} (-1)^{m+1} m^{-1} x_m t^m \right). \quad (43)$$

## 4 The general context

### 4.1 Some arithmetical results and conjectures

**a.** Let  $F$  be a smooth  $n$ -parameter formal group law, defined over an arbitrary base ring  $k$ , for example, arising in the commutative case from completion of a Jacobian variety or more generally, an abelian variety, defined over  $k$ , in the noncommutative case from a smooth Lie group. Associate to  $F$  its contravariant formal coordinate ring  $\theta(F) = k[[x_1, \dots, x_n]]$  and its covariant algebra of invariant functionals  $U(F)$ . One can prove that commutative  $F$  have an embedding into the direct categorical direct sum of  $n$  copies of the completion  $\Lambda_k^{\hat{}}$ , and in the noncommutative situation this extends to an embedding into the categorical direct sum of  $n$  copies of the completion  $\mathbf{QSym}_k^{\hat{}}$ . For instance for  $\zeta$ -functions of algebraic curves,  $k$  is typically a finite field  $k = \mathbb{F}_q$ . In the study of noncommutative Lie groups over such objects, or over ultrametrically complete  $p$ -adic fields, the embedding is in a direct sum of copies of  $\mathbf{QSym}_k^{\hat{}}$ , thus knowing only  $\mathbf{QSym}_{\mathbb{Q}}$  is of no much help.

### **b. Campbell-Hausdorff structures**

We saw that  $\mathcal{P}(\mathcal{Z}) = \{\pi_l | l \in \mathcal{L}\}$ . Notice, moreover, that every curve in  $\mathcal{Z}$  defines an endomorphism of the Hopf algebra  $\mathcal{Z}$  of the noncommutative symmetric functions. We let  $\mathcal{E}^h$  be the subgroup of homogeneous curves in  $\mathcal{Z}$ , i.e. the set of all isobaric endomorphisms of the Hopf



algebra  $\mathcal{Z}$ . The *generic endomorphism*  $\gamma(x)$  of  $\mathcal{Z}$  is defined to be the curve, uniquely determined by

$$\gamma(x) = \sum_{l \in \mathcal{L}} x_l \pi_l t^{|l|} + \sum_{n=1}^{\infty} D_n(x) t^n.$$

Here we have  $D_1(x) = 0$ ,  $D_n(x)$  is determined inductively by the condition that  $\gamma(x)$  is a curve, hence the coefficient of  $t^n$  is determined uniquely modulo primitive elements by the coefficients of  $t^d$ , ( $d < n$ ), and then the coefficient of  $t^n$  is sum of the part  $D_n(x)$  that is zero on  $\text{Ker}(\epsilon)$  and the generic primitive element  $\sum_{l \in \mathcal{L}, |l|=n} x_l \pi_l$ . A *Campbell-Hausdorff relation* is a relation between generic curves

$$\gamma(x)\gamma(y) = \gamma(x \cdot y). \quad (44)$$

For the curve  $\gamma(x)$  see the form file

$\gamma(x)$ . There are more representations of  $\mathcal{E}^h$ , for example we may put

$$\gamma(x) = \exp \left( \sum_{l \in \mathcal{L}} \xi_l \pi_l t^{|l|} \right), \quad (45)$$

or

$$\gamma(x) = \prod_{l \in \mathcal{L}} \exp \left( \eta_l \pi_l t^{|l|} \right). \quad (46)$$

In each case, (44) gives rise to a Campbell-Hausdorff relation. Modulo commutators, the structure of  $\mathcal{E}^h$  is rather clear: Let  $\mathcal{C}$  be the two-sided ideal in  $\mathcal{Z}$ , generated by all commutators  $[z_i, z_j]$ . Denoting  $\mathcal{E}^{h^{ab}}$  the ring of isobaric endomorphisms of the Hopf algebra  $\Lambda$  of the symmetric functions, the map  $\gamma : \mathcal{Z} \rightarrow \mathcal{Z}/\mathcal{C} = \Lambda$  induces a map

$$\mathcal{E}^h \rightarrow \mathcal{E}^{h^{ab}} = W(\mathbb{Z}).$$

The identification between homogeneous endomorphisms and Witt vectors is given by the relation

$$f = (f_1, f_2, \dots) \{\leftrightarrow\} \sigma_m \mapsto w_m(f) \sigma_m.$$

Here  $w_m(f) = \sum_{d|m} df_f^{m/d}$ , the ghost component of the Witt vector  $f$ , determined by the endomorphisms  $f$ . If the base ring is  $\mathbb{Z}$ , there is another way to represent these endomorphism ring  $\mathcal{E}^{h^{ab}}$ . Define  $\hat{f}_m := \sum_{d|m} df_d$  and addition and multiplication by

$$f \hat{+} g_m = \hat{f}_m + \hat{g}_m \quad , \quad f \hat{\cdot} g_m = \hat{f}_m \cdot \hat{g}_m \quad .$$

This gives a representation of the necklace ring  $\text{Nr}(\mathbb{Z})$  as ring of homogeneous endomorphisms of the Hopf algebra  $\Lambda$ . c.f. [MeRo, thm 3, p 107] and the fact that  $W(\mathbb{Z})$  admits - via the trivial Hilbert structure on  $\mathbb{Z}$  a representations as  $H(\mathbb{Z})$ , [D90].

**c.  $S$ -adic symmetric functions.**

Let  $P$  be the set of all prime numbers in  $\mathbb{N}$  and let  $S$  be a possibly empty set subset of  $P$ , with complementary set  $S^* = P \setminus S$ . Denote  $\mathbb{N}(S)$  the set of natural numbers, having all prime factors in  $S$ . Then there is a unique decomposition of the multiplicative monoid  $\mathbb{N} = \mathbb{N}(S) \times \mathbb{N}(S^*)$ . In the same vein we let  $\mathbb{Z}_S$  be the subring of  $\mathbb{Q}$ , having in reduced form denominators only in  $S$ , hence, denoting for a prime  $p$  as usual the localized ring  $\mathbb{Z}_{(p)}$ , one has  $\mathbb{Z}_S = \bigcap_{p \in S} \mathbb{Z}_{(p)}$ . The problem of the  $S$ -adic symmetric functions is to characterize those symmetric functions having all their coefficients in  $\mathbb{Z}_S$ . One might ask the same question for noncommutative - and quasi-symmetric functions, and the answer turns out to be very nontrivial. The simplest case is  $S = P$  or  $S = \emptyset$ .

**case  $S = \emptyset$ .** Then  $\text{QSym} = \mathbb{Q}[\mathcal{L}]$  and  $\mathcal{Z}_{\mathbb{Q}} = \mathbb{Q} \langle x_m | m \geq 1 \rangle$ . The Lie algebra of primitive elements in  $SS_{\mathbb{Q}}$  is the free Lie algebra, generated over  $\mathbb{Q}$  by the  $x_m$ , thus a basis is the set of all  $\{x_l | l \in \mathcal{L}\}$ .

**case  $S = P$ .** Then  $\text{QSym} = \mathbb{Z}[\mathcal{Q}]$  and  $\mathcal{Z} = \mathcal{Z}$ , admitting a basis of *pure primitives*  $\Pi = \{\pi_l | l \in \mathcal{L}\}$ .  
[pure primitives](#).

**case  $S = \{2\}$**  . We have the *universal curve*

$$z = \sum_{i=0}^{\infty} z_i t^i = \exp \left( \sum_{m=1}^{\infty} x_m \frac{t^m}{m} \right)$$

and we try to decompose this into a product curve: modulo  $t^4$  we try

$$z \equiv (1 + E_1 t + E_2 t^2 + X t^3) (1 + \lambda \pi_3 t^3) \pmod{t^4}.$$

We are forced to solve  $E_1 = z_1$ ,  $E_2 = z_2$ . Since  $\pi_3 = 3z_3 - 3z_{2,1} + z_{111}$ , we take  $\lambda := \frac{1}{3} \in \mathbb{Z}_S$  and find

$$X + \frac{1}{3}\pi = z_3 \Rightarrow X = E_{21} - \frac{1}{3}E_{111}.$$

Continue this way: for  $E_4$  we find the expression  $E_4 = z_4 - z_{13} + z_{121} - \frac{1}{3}z_{1111}$  has coefficients in  $\mathbb{Z}_S$ . Recall from  $\pi_5$  that

$$\pi_5 = z_{11111} - 5z_{1121} + 5z_{1211} - 5z_{2111} + 5z_{212} + 5z_{311} - 5z_{32} - 5z_{41} + 5z_5.$$

Next try to solve

$$z \equiv (1 + E_1 t + E_2 t^2 + X t^3 + E_4 t^4 + Y t^5) (1 + \lambda \pi_3 t^3) (1 + \frac{1}{5}\pi_5 t^5) \pmod{t^6}.$$

It turns out, that there is a unique solution  $Y \in \mathcal{Z}_S \langle E_1, E_2, E_4 \rangle$ , giving a decomposition mod  $t^6$  of the universal curve into curves, belonging to *strictly smaller* Hopf algebras than  $\mathcal{Z} \otimes \mathbb{Z}_S$ . The following - arithmetical - theorem is a not too difficult consequence of the theorem  $\text{QSym} = \mathbb{Z}[\mathcal{Q}]$ : First let  $\bar{E} = \sum_{i=0} E_i t^i$  be a curve in  $H$ . Call  $\bar{x}$  a  $S$ -pure curve, if, putting for  $s \in \mathbb{N}(S) : E_s := x_s$ , and attaching to  $x_s$  weight  $s$ , then every  $E_n = E_n(x_s | s \in S)$  is a (noncommutative) polynomial in the  $x_s, (s \in S)$ , isobaric of weight  $n$ . The set  $\{x_s | s \in \mathbb{N}(S)\}$  then is called a  $S$ -pure set.

**Theorem 4.1** *For every  $S \subset P$  there exist  $S$ -pure sets*

$$\{x_{s,s^*} | s^* \in \mathbb{N}(S^*)\} \subset \mathcal{Z} \otimes \mathbb{Z}_S,$$

*indexed by the elements of  $\mathbb{N}(S^*)$  with the following properties:*

a. *For each pair  $(s, s^*)$  we have*

$$x_{s,s^*} \equiv z_{s^*.s} \text{ mod decomposable in } \{z_i | i \geq 1\}.$$

b. *These sets define the  $S$ -pure curves  $H_{s^*}$ , such that*

$$z = \prod_{s^* \in \mathbb{N}(S^*)} U_{s^*} H_{s^*}.$$

*inducing a decomposition of  $\mathcal{Z} \otimes \mathbb{Z}_S$  and*

c. *For each  $s^*$  the object  $\mathbb{Z}_S \langle x_{s,s^*} | s \in \mathbb{N}(S) \rangle$  is a Hopf subalgebra of  $\mathcal{Z} \otimes \mathbb{Z}_S$ . In the special case  $s^* = 1$ , the elements  $x_{s,i}$  will be denoted  $E_s$  and if  $S = \{p\}$  a singleton, we mostly write  $E_i$  instead of  $E_{p^i}$ , (logarithmic notation). The curve will be denoted*

$$E = \sum_{i=0}^{\infty} E_i(y_0, \dots, y_i) t^i.$$

*The Hopf algebra  $\mathbb{Z}_S \langle y_s | s \in \mathbb{N}_S \rangle$  will be denoted  $U_S$  and will be called the Hopf algebra of the  $S$ -typical noncommutative symmetric functions.*

c.

Dually, this decomposition theorem induces on the level of quasi-symmetric functions:

**Theorem 4.2**

a. Let  $\mathcal{Q}_S$  be the set of all generators  $\{q_l | l \in \mathcal{L}\}$  that have all their digits in  $\mathbb{N}(S)$ . For each  $s \in S^*$  we let  $s^* \# \mathcal{Q}_S$  be the set of all  $s^*c$  with  $c \in \mathcal{Q}_S$ . Then the objects  $\mathbb{Z}_S[s^* \mathcal{Q}_S]$  all are Hopf subalgebras of  $\text{QSym} \otimes \mathbb{Z}_S$ . The special Hopf subalgebra, corresponding to  $s^* = 1$  will be denoted  $\text{QSym}_S$  and called the Hopf algebra of the  $S$ -adic quasi-symmetric functions. Warning: the tensor product  $\text{QSym} \otimes \mathbb{Z}_S$  is distinct from  $\text{QSym}_S$ .

c. For each  $s^*$  the object  $\mathbb{Z}_S[x_{s,s^*}] | s \in \mathbb{N}(S)$  is a Hopf subalgebra of  $\text{QSym} \otimes \mathbb{Z}_S$ . (The special case  $s = (1)$  will be called the Hopf algebra of the  $S$ -adic quasi-symmetric functions.

d.

We give an example of this curve for the case  $S = \{2\}$ .

$$\begin{aligned}
E &= \\
&+ t(E_1) \\
&+ t^2(E_2) \\
&+ t^3(-\frac{1}{3}E_{111} + E_{21}) \\
&+ t^4(E_4) \\
&+ t^5(+\frac{2}{15}E_{11111} - \frac{1}{3}E_{2111} + E_{41}) \\
&+ t^6(\frac{1}{45}E_{111111} + \frac{1}{9}E_{11121} - \frac{1}{3}E_{11211} + \frac{4}{9}E_{12111} + \frac{1}{3}E_{1221} - \frac{2}{3}E_{141} \\
&- \frac{2}{9}E_{21111} - \frac{1}{3}E_{2211} - \frac{1}{3}E_{222} + \frac{2}{3}E_{24} + \frac{2}{3}E_{411} + \frac{1}{3}E_{42}) \\
&+ t^7(-\frac{2}{63}E_{1111111} + \frac{1}{9}E_{111211} - \frac{1}{3}E_{112111} + \frac{4}{9}E_{121111} + \frac{1}{3}E_{12211} - \frac{2}{3}E_{1411} \\
&- \frac{4}{45}E_{211111} - \frac{1}{3}E_{22111} - \frac{1}{3}E_{2221} + \frac{2}{3}E_{241} + \frac{1}{3}E_{4111} + \frac{1}{3}E_{421}) \\
&+ t^8(+E_8) \\
&+ t^9(+\frac{41}{2835}E_{11111111} - \frac{1}{27}E_{11121111} + \frac{1}{9}E_{11211111} - \frac{4}{27}E_{12111111} - \frac{1}{9}E_{12211111} + \frac{2}{9}E_{141111} \\
&+ \frac{19}{945}E_{21111111} + \frac{1}{9}E_{2211111} + \frac{1}{9}E_{222111} - \frac{2}{9}E_{24111} - \frac{4}{45}E_{411111} - \frac{1}{9}E_{42111} + E_{81})
\end{aligned} \tag{47}$$

**Frobenius and Verschiebung** The classification of smooth commutative formal groups ( and group laws) proceeds by means of an endomorphism  $f_a, (a \geq 1)$  of the Hopf algebra  $\Lambda$ , on primitive elements determined by  $f_a(\sigma_m) = \sigma_{am}$ . Note that abelian group  $\Lambda/\mathbb{Z}[\sigma_m | m \geq 1]$  has nontrivial additive torsion, thus it is not trivial, that all  $f_a$  are defined over  $\mathbb{Z}$ . There is an endomorphism  $v_a$  of the Hopf algebra  $\Lambda$ , called the *Verschiebung*, satisfying  $v_a(\sigma_m) = a\sigma_{m/a}$ , if  $m$  is divisible by  $a$  and 0 otherwise. One has  $v_a \circ f_a = a$  which plays a very important role for the  $\zeta$ -function, taking  $a = p$ . Both endomorphisms of Hopf algebras naturally extend to the Hopf algebras  $\mathcal{Z}$  and  $\text{QSym}$ : indeed, there a pure homogeneous curves  $F_a = \sum_{i=0}^{\infty} \pi_{a,m} t^m$ , lying over every primitive  $\pi_m, (m \geq 1)$ . The very definition of curve implies the map  $z_m \mapsto \pi_{a,m}$  to be an endomorphism of Hopf algebras.

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