# Approximation Algorithms for the Achromatic Number

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The achromatic number for a graph  $G = \langle V, E \rangle$  is the largest integer *m* such that there is a partition of *V* into disjoint independent sets  $\{V_1, \ldots, V_m\}$  such that for each pair of distinct sets  $V_i, V_j, V_i \cup V_j$  is not an independent set in *G*. Yannakakis and Gavril (1980, *SIAM J. Appl. Math.* **38**, 364–372) proved that determining this value for general graphs is NP-complete. For *n*-vertex graphs we present the first o(n) approximation algorithm for this problem. We also present an  $O(n^{5/12})$  approximation algorithm for graphs with girth at least 5 and a constant approximation algorithm for trees. @ 2001 Elsevier Science

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## 1. INTRODUCTION

A complete coloring of a graph  $G = \langle V, E \rangle$  is a partition  $P = \{V_1, \ldots, V_m\}$  of the vertices V such that each induced subgraph  $\langle V_i \rangle$ ,  $V_i \in P$ , is an independent set, and, for each pair of distinct sets  $V_i, V_j \in P$ , the induced subgraph  $\langle V_i \cup V_j \rangle$  is not an independent set. The largest integer m for which G has a complete coloring is called the *achromatic number* of the graph and is denoted by  $\Psi(G)$ .

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The achromatic number was defined and studied by Harary et al. [7] and Harary and Hedetniemi [6]. Computing the achromatic number for a general graph was proved NP-complete by Yannakakis and Gavril [11]. A simple proof of this fact appears in [5]. Bodlaender [1] proved, further, that the problem remains NP-complete even when we limit ourselves to connected graphs that are both interval graphs and co-graphs. The NP-completeness of the achromatic number for trees was established only recently [9]. For graphs that are complements of trees this problem can be solved in polynomial time [11].

An approximation algorithm for a problem, loosely speaking, is an algorithm that runs in polynomial time and produces an "approximate solution" to the problem. We say that an algorithm is an  $\alpha$ -approximation algorithm for a maximization problem if it always delivers a solution whose value is at least a factor  $\frac{1}{\alpha}$  of the optimum.  $\alpha$  is called the approximation ratio. See [4] and [10] for more details.

In the next section we present polynomial time algorithms for approximating the achromatic number. Our main result is an approximation algorithm for general graphs. It achieves an approximation ratio of  $O(n/\sqrt{\log n})$ , where *n* is the number of vertices. This is the first o(n)approximation algorithm known for this problem. We then give algorithms for special classes of graphs. The algorithm for trees achieves an approximation ratio of 7. For graphs with girth at least 5 we present an algorithm with an approximation ratio of  $O(n^{5/12})$ . The last two algorithms involve ideas completely different from those used for general graphs.

# 1.1. Preliminary Definitions and Results

Let  $G = \langle V, E \rangle$  be a graph. Let  $u, w \in V$ , and  $U, W \subseteq V$ . We use N(u) to denote the *neighborhood* of the vertex u and N(U) to denote the neighborhood of the vertex set U, i.e.,  $N(u) = \{w : (u, w) \in E\}$  and  $N(U) = \{w : w \notin U, \exists u \in U : (u, w) \in E\}$ . U and W will be termed *adjacent* if  $N(U) \cap W \neq \emptyset$  or  $U \cap N(W) \neq \emptyset$ .

A coloring of a graph is a partition of the vertex set into independent sets. Each such set is called a color class. A coloring is called *complete* or *irreducible* if every pair of distinct color classes  $C_i$ ,  $C_j$  is adjacent. A complete coloring is called *maximum* when the number of color classes is the maximum possible, i.e., it is equal to the achromatic number of the graph. A *partial complete coloring* is a coloring in which only some of the vertices have been colored, but each color class is adjacent to each of the other color classes.

The *distance* between two vertices of a graph is the number of edges in the shortest path between the vertices. The distance between a vertex and an edge is the minimum of the distances between the vertex and an endvertex of the edge. The distance between two edges is the minimum of the distances between an end-vertex of the one and an end-vertex of the other edge. A pair of edges is *adjacent* when the distance between them is 0.

The girth of a graph is the length of the shortest cycle in the graph.

Given a maximum complete coloring for a graph  $G = \langle V, E \rangle$ , we can, for every pair of color classes, choose an edge that makes the two color classes adjacent. Such a set of edges is called an *essential* set.

We record some easy results.

*Fact* 1.1. Any partial complete coloring can be extended to a complete coloring of the entire graph.

*Fact* 1.2. For a graph  $G = \langle V, E \rangle$  the size of any essential set is at most the size of the edge set, i.e.,  $\binom{\Psi(G)}{2} \leq |E|$ . Consequently,  $\Psi(G) = O(\sqrt{|E|})$ . In particular, if  $\Delta$  is the maximum degree of a vertex in V,  $\Psi(G) \leq \sqrt{|V|} \Delta + 1$ .

Fact 1.3. Let graph  $G = \langle V, E \rangle$  have a maximum complete coloring, and let  $U \subseteq V$  be a subset of vertices such that at most c of the color classes intersect U. Then a partial complete coloring of size  $\Psi(G) - c$  can be assigned to the induced subgraph  $\langle V \setminus U \rangle$ . Thus  $\Psi(\langle V \setminus U \rangle) \ge \Psi(G) - c$ . In particular, we always have  $\Psi(\langle V \setminus U \rangle) \ge \Psi(G) - |U|$ .

# 2. APPROXIMATION ALGORITHMS FOR THE ACHROMATIC NUMBER

# 2.1. An Algorithm for General Graphs

#### Motivation

One approach to finding a complete coloring is to repeatedly remove maximal independent sets. Let  $G_0 = G$ . At the *i*th step, find a maximal independent set  $I_i$  in  $G_{i-1}$ . Set  $G_i = G_{i-1} \setminus I_i$ . It is easy to see that the independent sets so found make up a complete coloring. The crucial question is how to select the maximal independent sets. For instance, consider the complete bipartite graph minus a perfect matching. If the algorithm picks the wrong independent set initially (i.e., it picks one of the two partite classes), it outputs only two color classes in the complete coloring. But it is possible to choose independent sets, each set being the two endpoints of one of the missing matching edges, such that we get n/2 color classes, where n is the number of vertices.

A good criterion, perhaps, for picking  $I_i$  is that it should be small in size. The smallest such independent set cannot be found in polynomial time since this is the *Minimum Maximal Independent Set* problem, which is known to be NP-complete. Indeed, it cannot be approximated in polynomial time to within a factor of  $n^{1-\epsilon}$  for any  $\epsilon > 0$ , where *n* is the number of vertices in the graph [8].

Our strategy is to follow a semi-greedy approach. We keep picking vertices that are adjacent to or *cover* a large number of as yet uncovered vertices and stop if this cannot be done. This guarantees that we have picked a small set of vertices. The problem is that these vertices may not cover the entire graph. A naive approach is to discard the portion of the graph not covered and continue. This may not be efficient since the achromatic number might drop drastically. We get around this by using the uncovered portion of the graph in a judicious manner. Details follow.

#### Rough Description

We begin with a rough description of the algorithm. The algorithm proceeds in rounds. Each iteration selects an independent set as the next color class. All the iterations (barring the initial 0th iteration) have the same format. At the beginning of the *i*th iteration *i* color classes have been constructed. Let the color classes be  $C_0, \ldots, C_{i-1}$ . Consider  $G'_i = G \setminus (C_0 \cup \cdots \cup C_{i-1})$ . During the course of the algorithm we discard some vertices from  $G'_i$  and are left with  $G_i$ . (We hide details in this rough description.) The set of vertices in the intersection of the neighborhoods in  $G_i$  of the above color classes is called A (for *active*). The remaining vertices in  $G_i$  form the set P (for *passive*).

The algorithm actually finds a strong achromatic coloring; i.e., it finds a coloring such that, for every *i*, there is a vertex in  $C_i$  with neighbors in  $C_0, \ldots, C_{i-1}$ . Our aim is to pick a small set of independent vertices  $C_i$  that covers a large portion of *A*. That  $C_i$  is small would ensure, by Fact 1.3, that the achromatic number of the rest of the graph does not drop much when  $C_i$  is removed. Also, covering most of *A* would ensure that we can continue finding a strong achromatic coloring.

For the next color class  $C_i$  we pick some vertices from P and at least one vertex from A. This ensures that  $C_i$  is adjacent to  $C_j$  for j < i. Furthermore, we ensure that the neighborhood of  $C_i$  in  $A \setminus C_i$  is large.

The construction of  $C_i$  is divided into two parts. In the first part, we repeatedly choose from P a vertex u that is not adjacent to any of the vertices in the partially constructed independent set  $C_i$  and is adjacent to at least  $\alpha_i$  as yet uncovered vertices in A;  $\alpha_i$  is a parameter that we will fix later. We add u to  $C_i$ .

In the second part we choose vertices from A in a similar manner. We choose from A a vertex u that is non-adjacent to the partially constructed  $C_i$ 

and covers at least  $\alpha_i$  new vertices in A. We add u to  $C_i$  and repeat until there is no such vertex left. This completes the construction of  $C_i$ .

The vertices in the neighborhood of  $C_i$  in A form the active set for the next iteration. There are two options for forming the next passive set. So the algorithm splits into two branches. In one branch we form the next passive set using the vertices remaining in P and discard the remaining (uncovered) vertices in A. In the other branch we discard some vertices from those remaining in A, as well as from those in P, and combine the rest to form the passive set for the next iteration.

A branch terminates when the active set for the next iteration is empty. For each such "leaf" of the "execution tree" we get a partial complete coloring of size one more than the depth of the leaf. (Note that the depth of the leaf is the same as the iteration in which the branch terminates.) We choose a partial complete coloring with the maximum size. We shall show that, when  $\Psi(G) \ge n/\sqrt{\log n}$ , there is at least one leaf at depth  $\Omega(\sqrt{\log n})$ . This leaf yields the required large partial complete coloring. If we terminate the algorithm at this depth, the total number of leaves (and hence the time taken by the algorithm) is polynomial. This completes the rough description of the algorithm.

We now describe the algorithm formally.

## Algorithm Achromatic-Partition

#### Iteration 0:

We initialize the color class  $C_0$  and the set A to null sets and the set P to the entire vertex set of the graph.

While there is a vertex u in P with at least  $\alpha_0$  neighbors in P we remove this vertex from P and add it to  $C_0$ . We also remove its neighbors and add them to A.  $\alpha_0$  is a parameter (an increasing function of n) that we will determine later.

The vertices in A form the active set for the next iteration, and the vertices remaining in P form the next passive set. We set  $\delta_1 = \alpha_0$ .

**Iteration** *i*, (*i* = 1, ...,  $\sqrt{\log n}/3$ ):

*Comment:*  $C_0, \ldots, C_{i-1}$  are the color classes formed by the previous iterations. The active set A and the passive set P have been constructed in the previous iteration. We also have a number of temporary sets which are initialized to null at the beginning of this iteration. Some of these sets are not necessary for the algorithm but are needed for the analysis. We now briefly describe these temporary sets.  $C_P$  and  $C_A$  consist of vertices from P and A, respectively, that are used to form the color class  $C_i$ .  $P_P$  consists of the neighbors of  $C_P$  in P, and  $P_A$  consists of those neighbors of  $C_A$  in P that are not already in  $P_P$ . Similarly,  $A_P$  consists of the neighbors of  $C_P$ in A and  $A_A$  of those neighbors of  $C_A$  in A that are not already in  $A_P$ .  $P_I$  consists of those vertices from the passive set that cannot be used in this iteration and are ignored.  $P_R$  is the set of passive vertices left at the end of the iteration. Similarly,  $A_R$  is the set of active vertices left at the end of the iteration and  $A_D$  is the set of those active vertices that are discarded.

Step 1. While there is a vertex u in P that does not cover A entirely but has at least  $\alpha_i = n^{\epsilon} \delta_i$  neighbors in A, we transfer u to  $C_P$ , its neighbors in P to  $P_P$ , and its neighbors in A to  $A_P$ . After all such vertices are removed, we transfer the vertices remaining in P that cover all vertices in A to  $P_I$ .

*Comment:* The value of  $\delta_i$  is computed in the previous iteration. We shall prove later that a vertex in *P* does not have more than  $\delta_i$  neighbors in *P*.  $\epsilon$  is a positive valued function of *n* which, with foresight, can be taken to be  $1/\sqrt{\log n}$ . The reason we cannot add any vertices from  $P_I$  to  $C_i$  is that we want to include at least one vertex from *A* in  $C_i$ .

Step 2. Next, while there is a vertex u in A that has at least  $\alpha_i$  neighbors in A, we transfer u to  $C_A$ , its neighbors in P to  $P_A$ , and its neighbors in A to  $A_A$ . Suppose no vertex satisfies this condition, we transfer any one vertex from A to  $C_A$  and its neighbors in P and A to  $P_A$  and  $A_A$ , respectively.

Step 3. The vertices in  $C_P$  and  $C_A$  form the color class  $C_i$ . The vertices remaining in P form the set  $P_R$ . If the number of vertices remaining in A is at most  $n^{1-\epsilon}$  we transfer all of them to  $A_D$ , to be discarded. Otherwise we transfer only those vertices that have more than  $n^{\epsilon}\alpha_i$  neighbors in  $P_A \cup P_R$  to  $A_D$ ; the rest of the vertices form the set  $A_R$ . The vertices in  $P_P$  and  $A_D$  are discarded.

Step 4. If both  $A_P$  and  $A_A$  are empty this branch terminates, and we output the color classes  $C_0, \ldots, C_i$ . Otherwise the vertices in  $A_P$  and  $A_A$  form the next active set. For the next passive set, in one branch of the algorithm, we combine the vertices in  $P_A$ ,  $P_R$ , and  $P_I$ . In the other branch, we combine vertices in  $P_A$ ,  $P_R$ , and  $A_R$  to form the next passive set.

We set  $\delta_{i+1} = 2n^{2\epsilon}\delta_i$ . End Iteration *i*.

Among the sets of color classes generated by the various branches, we choose one with the largest size. Note that the restriction of keeping the running time polynomial allows us to have  $\Theta(\log n)$  iterations. But the only guarantee we have (see the proof of Theorem 2.1) is that some branch would be alive for  $\sqrt{\log n}/3$  iterations, and so we stop after that for the sake of analysis.

#### Proofs and Analysis

The Algorithm Achromatic-Partition, as we shall see, results in a large partial complete coloring as long as for some set of choices, which are made in Step 4, the active set A does not shrink too fast. We will use Fact 1.3 to lower bound the value of  $\Psi(\langle A \cup P \rangle)$  and Fact 1.2 to upper bound the value of  $\Psi(\langle P \rangle)$ . A further application of Fact 1.3 will then allow us to lower bound the size of A. We begin the analysis with some easy observations followed by a lemma.

Observation 2.1. The Algorithm Achromatic-Partition outputs a partial complete coloring. This is because at least one vertex in  $C_i$  is picked from A and all vertices in A are adjacent to at least one vertex in each color class  $C_i$ , j < i.

Observation 2.2. For the *i*th iteration the size of  $C_i$  is at most  $\lceil n/\alpha_i \rceil$  since each vertex added to  $C_i$ , except possibly one, covers at least  $\alpha_i$  new vertices.

LEMMA 2.1. Let  $d_i$  denote the maximum number of neighbors a vertex in the passive set has within the passive set during the *i*th iteration. Then

$$d_i \leq \delta_i = 2^{i-1} n^{2(i-1)\epsilon} \alpha_0.$$

*Proof.* It is easy to see by induction on *i* that  $\delta_i = 2^{i-1}n^{2(i-1)\epsilon}\alpha_0$ . So we shall just prove that for all *i*,  $d_i \leq \delta_i$ . We again proceed by induction on *i*. Note that  $d_1 \leq \delta_1 = \alpha_0$ . We now need to prove that  $d_{i+1} \leq 2n^{2\epsilon}\delta_i$ . Consider the end of iteration *i*. If the next passive set is formed by vertices of  $P_A$ ,  $P_R$ , and  $P_I$  then  $d_{i+1} \leq d_i \leq \delta_i$ . Now consider the case when  $P_A$ ,  $P_R$ , and  $A_R$  form the next passive set. A vertex in  $P_A \cup P_R$  has at most  $\delta_i$  neighbors in  $P_A \cup P_R$ . It also has less than  $\alpha_i = n^{\epsilon}\delta_i$  neighbors in  $A_R$ , for otherwise this vertex is a candidate to be put in  $C_P$  in Step 1. Therefore such a vertex has less than  $(1 + n^{\epsilon})\delta_i$  neighbors in  $A_R$ . It also has at most  $n^{\epsilon}\alpha_i$  neighbors in  $P_A \cup P_R$ , for otherwise this vertex would end up in  $A_D$  while executing Step 3. Thus the number of its neighbors in the new passive set is less than

$$(1+n^{\epsilon})\alpha_i = (1+n^{\epsilon})n^{\epsilon}\delta_i \leq 2n^{2\epsilon}\delta_i.$$

The lemma follows.

We continue the analysis by introducing two parameters. Let  $k_i$  denote the achromatic number of the induced subgraph  $\langle A \cup P \rangle$  at the beginning of the *i*th iteration. Similarly, let  $l_i$  denote the achromatic number of the induced subgraph  $\langle P \rangle$  at the beginning of the *i*th iteration.

We now prove a bound on the value of  $k_1$ .

LEMMA 2.2.  $k_1 \ge \Psi(G) - n/\alpha_0$ .

*Proof.* Consider the beginning of the first iteration. We have  $A \cup P =$  $V(G)\setminus C_0$ . From Fact 1.3,  $k_1 \ge \Psi(G) - |C_0|$ . The lemma follows as  $C_0$  is at most  $n/\alpha_0$  in size. 

LEMMA 2.3. At the end of iteration i, for at least one set of choices made in Step 4,

$$k_{i+1} \ge \frac{1}{2^i} \left( \Psi(G) - \frac{n}{\alpha_0} \right) - 3n^{1-\epsilon},$$

and, furthermore, the value of  $l_{i+1}$  is at most  $\sqrt{n2^i n^{2i\epsilon} \alpha_0} + 1$ .

We shall prove that at the end of iteration i, for at least one Proof. branch,

$$k_{i+1} \ge \frac{1}{2} \left( k_i - 3n^{1-\epsilon} \right).$$

The first part of the lemma will then follow by induction on *i*, the base case being Lemma 2.2.

Let  $H_i$  denote the induced subgraph  $\langle A \cup P \rangle$  at the beginning of iteration *i*. Consider the state at the end of iteration *i*. Let H' denote the induced subgraph  $\langle V(H_i) \backslash C_i \backslash P_P \backslash A_D \rangle$ . From Fact 1.3,

$$\Psi(H') \ge k_i - |C_i| - |P_P| - |A_D|.$$
(1)

We now bound from above the sizes of  $C_i$ ,  $P_P$ , and  $A_D$ . Recall that  $\alpha_i = n^{\epsilon} \delta_i$  and  $\delta_i = 2^{i-1} n^{2(i-1)\epsilon} \alpha_0$ . From Observation 2.2 it follows that

$$|C_i| \le \left\lceil \frac{n}{n^{\epsilon} 2^{i-1} n^{2(i-1)\epsilon} \alpha_0} \right\rceil \le n^{1-\epsilon}.$$
 (2)

Each vertex in  $C_P$  can have at most  $d_i$  neighbors in  $P_P$ . By Lemma 2.1  $d_i \leq \delta_i$ . Therefore

$$|P_P| \le |C_P|\delta_i \le (|C_i| - 1)\delta_i \le \frac{n}{\alpha_i}\delta_i = n^{1-\epsilon}.$$
(3)

We next prove that the size of  $A_D$  is also at most  $n^{1-\epsilon}$ . The non-trivial case is when we transfer vertices from A to  $A_D$  that have more than  $n^{\epsilon}\alpha_i$ neighbors in  $P_A \cup P_R$ . Note that a vertex in  $P_A \cup P_R$  has less than  $\alpha_i$  neighbors remaining in A; otherwise the vertex would have been transferred to  $C_P$  in Step 1. Therefore, it follows that the number of vertices in A that satisfy the required condition are at most

$$\frac{|P_A \cup P_R| \,\alpha_i}{n^{\epsilon} \alpha_i} \le n^{1-\epsilon}.\tag{4}$$

Thus from inequalities (1), (2), (3), and (4) we infer that

$$\Psi(H') \ge k_i - 3n^{1-\epsilon}.$$

Let  $H'_A = \langle V(H') \setminus P_I \rangle$  and  $H'_P = \langle V(H') \setminus A_R \rangle$ . Note that one branch has  $H_{i+1} = H'_A$  and the other has  $H_{i+1} = H'_P$ . Every vertex in  $P_I$  is adjacent to every vertex in  $A_R$ . Therefore for any complete coloring of H', vertices in  $P_I$  are assigned colors different from those in  $A_R$ . So, either the vertices in  $P_I$  or those in  $A_R$  are assigned at most  $\Psi(H')/2$  colors. This and Fact 1.3 lead to

$$\max\{\Psi(H'_A), \Psi(H'_P)\} \ge \frac{\Psi(H')}{2}.$$

So at least one branch has  $k_{i+1} = \Psi(H_{i+1}) \ge (k_i - 3n^{1-\epsilon})/2$ , and this proves the first part of the lemma.

Recall from Lemma 2.1 that the maximum degree of a vertex in the subgraph  $\langle P \rangle$  during iteration (i + 1) is  $2^i n^{2i\epsilon} \alpha_0$ . The rest of the proof follows from Fact 1.2.

THEOREM 2.1. The achromatic number of a graph,  $\Psi(G)$ , can be approximated to within  $O(n/\sqrt{\log n})$ , where n is the number of vertices.

**Proof.** If  $\Psi(G) \leq n/\sqrt{\log n}$  we output any complete coloring. If not, we use Algorithm Achromatic-Partition. We note that the algorithm results in a partial complete coloring of size at least (i + 2) as long as there is a vertex in A at the beginning of the (i + 1)th iteration. From Fact 1.3 we have that, at the beginning of the (i + 1)th iteration,

$$|A| \ge \Psi(\langle A \cup P \rangle) - \Psi(\langle P \rangle) = k_{i+1} - l_{i+1}.$$

Thus from Lemma 2.3 the size of the partial complete coloring is at least (i+2) if

$$\frac{1}{2^i} \left( \Psi(G) - \frac{n}{\alpha_0} \right) - 3n^{1-\epsilon} - \sqrt{n2^i n^{2i\epsilon} \alpha_0} - 1 \ge 1.$$

For  $\Psi(G) \ge n/\sqrt{\log n}$ ,  $\alpha_0 = \log n$ ,  $\epsilon = 1/\sqrt{\log n}$ , and  $i = \sqrt{\log n}/3$  the above inequality is satisfied. (The logarithms are base 2.)

## 2.2. Independent Matchings and Unions of Independent Stars

DEFINITION 2.1. A subset M of the edge set E of a graph  $G = \langle V, E \rangle$  is a *matching* in G if no two edges in M have a common vertex; i.e., the distance between any pair of distinct edges in M is at least one. We call a matching M *independent* if there does not exist any edge in  $E \setminus M$  that is adjacent to more than one edge in M; i.e., the distance between any pair of distinct edges in M is at least two.

Observation 2.3. Given an independent matching of size  $\binom{k}{2}$  for a graph  $G = \langle V, E \rangle$ , a partial complete coloring of size k can be assigned to the vertices of G. Furthermore, if the maximum degree of any vertex in V is at most  $\Delta$ , an independent matching of size  $O(|E|/\Delta^2)$  can be found by a simple greedy strategy. This, in turn, can be used to obtain a partial complete coloring of size  $\Omega(\Psi(G)/\Delta)$ . (Remember that  $\Psi(G) = O(\sqrt{|E|})$ .)

The concept of an independent matching can be extended to that of independent stars.

DEFINITION 2.2. Let  $G = \langle V, E \rangle$  be a graph. A set of edges  $s = \{e_1, \ldots, e_{|s|}\} \subseteq E$  is called a *star* if each edge  $e \in s$  is incident on a common vertex *c*. *c* is called the *center* of the star. A set of edges  $S = \{e_1, \ldots, e_{|S|}\} \subseteq E$  is called a *union of independent stars* if there exists a partition  $P = \{s_1, \ldots, s_p\}$  of S, such that each  $s_i \in P$  is a star in *G*, and for any pair of edges  $e \in s_i$ ,  $f \in s_j$ , such that  $i \neq j$ , the distance between *e* and *f* is at least two.

We now make the following observation regarding the use of a union of independent stars in assigning a partial complete coloring to the graph.

Observation 2.4. Given a union of independent stars S such that the size (or *degree*) of each star is at most  $\Delta_S$ , a partial complete coloring of size  $\sup\{k: \binom{k}{2} + (k-1)(\Delta_S - 1) \le |S|\}$  can be assigned to the graph.

#### 2.3. An Algorithm for Trees

The following algorithm generates a partial complete coloring for a tree.

Algorithm Tree-Partition

**Input:** A tree  $T = \langle V, E \rangle$ , and an integer t.

*Comment:* t should ideally be  $\Psi(T)$ . We do not know the value of  $\Psi(T)$  but run the algorithm for each of the possible n values.

**Output:** A partial complete coloring for *T*.

1. Mark any vertex  $r \in V$  as the root of the tree T.

2. Group the edges in E into levels  $0, 1, \ldots, l$  depending on the distance from r.

3.  $E_0$  is the set of edges at levels  $0, 3, \ldots, 3\lfloor \frac{l}{3} \rfloor$ . Similarly  $E_1$  and  $E_2$  are the sets of edges at levels that are equivalent to 1 mod 3 and 2 mod 3, respectively.

*Comment:* It is obvious that  $E_0$ ,  $E_1$ , and  $E_2$  are each unions of independent stars.

4. For every vertex v with more than t neighbors, remove all but t of its neighbors. Let the resultant collection of independent stars be  $\overline{E_0}$ ,  $\overline{E_1}$ , and  $\overline{E_2}$ .

*Comment:* Note that the degree of each  $\overline{E_i}$  is at most t.

5. Now use Observation 2.4 to generate a partial complete coloring for each  $\overline{E_i}$  in turn and output the coloring with the largest size.

THEOREM 2.2. Algorithm Tree-Partition assigns a partial complete coloring of size at least  $(\Psi/7)$  to a given tree, where  $\Psi$  is the achromatic number of the tree.

*Proof.* Consider the execution of the Algorithm Tree-Partition when  $t = \Psi$ . Let E' be a set of essential edges in some maximum coloring of the given tree. Let  $E'_i = E_i \cap E'$  for  $0 \le i \le 2$ . Note that  $E'_0 \cup E'_1 \cup E'_2 = E'$ . So for at least one among  $E'_0$ ,  $E'_1$ , and  $E'_2$ , say  $E'_k$ ,

$$\left|E_{k}'\right| \geq \frac{1}{3}\left|E'\right| = \frac{1}{3}\binom{\Psi}{2}.$$

Furthermore, for any vertex v, there are at most  $\Psi$  essential edges incident on v. Hence  $|\overline{E_k}| \ge |E'_k| \ge \frac{1}{3} {\Psi \choose 2}$ . Thus, from Observation 2.4, given  $\overline{E_k}$ , we can assign a partial complete coloring of size

$$\sup\left\{k:\binom{k}{2}+(k-1)(\Psi-1)\leq\frac{1}{3}\binom{\Psi}{2}\right\}\geq\frac{\Psi}{7}.$$

The theorem follows.

## 2.4. An Algorithm for Graphs with Large Girth

DEFINITION 2.3. Let  $G = \langle V, E \rangle$  be a graph. The *extended neighborhood* of an edge  $e \in E$ , denoted by  $N_E(e)$ , is the set of edges at a distance at most one from e.

THEOREM 2.3. If the girth of a graph is at least 5, and the achromatic number is  $\Psi$ , then we can find a complete coloring with at least  $\sqrt{\Psi/3}$  colors.

*Proof.* We construct the color classes incrementally. At the end of the *i*th step we have constructed the color classes  $C_1, \ldots, C_i$ , such that the color class  $C_j$ ,  $1 \le j \le i$ , consists of a multiset of independent vertices  $v_{j,1}, \ldots, v_{j,i}$ . Vertices  $v_{j,k}$  and  $v_{k,j}$ , for  $k \ne j$ , are adjacent.

During the (i + 1)th step we find, for  $1 \le j < i + 1$ , adjacent vertices  $v_{j,i+1}$  and  $v_{i+1,j}$ . Note that  $v_{j,i+1}$  could be an already existing vertex in  $C_j$ .

We claim that, as long as  $i < \sqrt{\Psi/3}$ , we can find such vertices.

We find these vertices one by one. At the beginning of the (i + 1)th stage we have at most *i* distinct vertices in each color class, and we are left with at least  $n - i^2$  vertices that have not been put in any color class.

Suppose that we have found such vertices for j < k < i + 1. Consider the color class  $C_k$ . If there is already an edge between some vertex in  $C_k$  and a vertex in the class  $C_{i+1}$ , which is being constructed, we are done. If not, let R' denote the set of vertices that have not been put in any color class so far. It is easy to see that  $|R'| > n - i^2 - 2k > n - 2i^2$ . Now, let L denote the set of vertices that have a neighbor in both  $C_k$  and  $C_{i+1}$ . Since the girth is greater than 4 no two vertices in L can have a common neighbor in both  $C_k$  and  $C_{i+1}$ . There are at most i vertices in each of these two color classes. Consequently,  $|L| \le i^2$ . Let  $R = R' \setminus L$ . Supposing there is a vertex in R that has an edge to one color class but not the other, we are done; we add this vertex to the color class to which it is not adjacent. We are left with the case that vertices in R do not have a neighbor in either of the two color classes. The achromatic number of R is at least  $\Psi - (n - |R|) > \Psi - 3i^2 > 0$ . Hence there is at least one edge in R. Place one endpoint in  $C_k$  and the other in  $C_{i+1}$ .

Furthermore, every *n*-vertex graph with girth at least *g* has at most  $n \lceil n^{2/(q-2)} \rceil$  edges (see [2, Theorem 3.7(a), p. 126]). Putting this together with the previous theorem and the fact that the achromatic number is at most  $O(\sqrt{|E|})$ , it follows that the achromatic number of graphs with girth at least 5 can be approximated to  $O(n^{5/12})$ .

#### 3. OPEN PROBLEMS

There are a number of open problems. Find lower bounds for the approximability for general graphs. We believe that the upper bound for general graphs can be improved to  $O(n^{\epsilon})$ . We hazard a guess that it should be  $\sqrt{\Psi}$ . Can one prove good lower bounds, at least for computing the strong achromatic number of a graph? It seems as if one can get better approximation ratios as the graph gets sparser. It would be nice to come up with an algorithm that reflects this phenomenon.

Can geometric methods be used to find good algorithms, like the recent algorithms for the chromatic number? Let us note that this problem seems to behave differently from the chromatic number problem. For instance, if the achromatic number is a constant it can be found in linear time [3].

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