

# Decentralized Scheme for Spacecraft Formation Flying via the Virtual Structure Approach

Wei Ren\* and Randal W. Beard<sup>†</sup>

*Department of Electrical and Computer Engineering, Brigham Young University, Provo, Utah 84602.*

*Built on the combined strength of decentralized control and the recently introduced virtual structure approach, a decentralized formation scheme for spacecraft formation flying is presented in this paper. Following a decentralized coordination architecture via the virtual structure approach, decentralized formation control strategies are introduced, which are appropriate when a large number of spacecraft are involved and/or stringent inter-spacecraft communication limitations are exerted. The effectiveness of the proposed control strategies is demonstrated through simulation results.*

## I. Introduction

The concept of formation control has been studied extensively in the literature with application to the coordination of multiple robots,<sup>1-10</sup> unmanned air vehicles (UAVs),<sup>11</sup> autonomous underwater vehicles (AUVs),<sup>12</sup> satellites,<sup>13,14</sup> aircraft,<sup>15</sup> and spacecraft.<sup>16-20</sup> There are several advantages to using formations of multiple vehicles. These include increased feasibility, accuracy, robustness, flexibility, cost, energy efficiency, and probability of success. For example, sometimes large awkward objects cannot be moved efficiently by a single robot so that multiple robots must be used. Also the probability of success will be improved if multiple vehicles are used to carry out a mission, e.g. multiple UAVs are assigned to a certain target<sup>21</sup> or multiple AUVs are used to search an underwater object.<sup>12</sup> In spacecraft formation flying applications, using multiple microspacecraft instead of a monolithic spacecraft can reduce the mission cost and improve system robustness and accuracy.<sup>17</sup>

Various strategies and approaches have been proposed for formation control. These approaches can be roughly categorized as leader-following, behavioral, and virtual structure approaches, to name a few. In the leader-following approach, some agents are designated as leaders while others are designated as followers. The leaders track predefined trajectories, and the followers track transformed versions of the states of their nearest neighbors according to given schemes. In the behavioral approach, the control action for each agent is defined by a weighted average of the control corresponding to each desired behavior for the agent. In the virtual structure approach, the entire formation is treated as a single rigid body. The virtual structure can evolve as a whole in a given direction with some given orientation and maintain a rigid geometric relationship among multiple agents. Similar ideas to the virtual structure approach include the perceptive reference frame proposed in Ref. 13 and the virtual leader proposed in Ref. 22.

There are numerous studies on the leader-following approach. In Ref. 1, nearest neighbor tracking strategies are used to control a fleet of autonomous mobile robots moving in formation. In Ref. 16, various schemes and explicit control laws for formation keeping and relative attitude alignment are derived for the coordination and control of multiple microspacecraft. While the leader-following approach is easy to understand and implement, there are limitations. For example, the leader is a single point of failure for the formation. In addition, there is no explicit feedback from

the followers to the leader: if the follower is perturbed by some disturbances, the formation cannot be maintained.

As an alternative to leader-following, the virtual structure approach was proposed in Ref. 3 to acquire high precision formation control for mobile robots. In Ref. 23, the virtual structure approach is applied to the spacecraft interferometry problem, where formation maneuvers are easily prescribed but no formation feedback is included from spacecraft to the virtual structure. In Ref. 10, a Lyapunov formation function is used to define a formation error and formation feedback is incorporated to the virtual leaders through parameterized trajectories. In Ref. 24, the virtual structure approach is used to perform elementary formation maneuvers for mobile robots, where group feedback is incorporated from the followers to the virtual structure to improve group stability and robustness. Also in Ref. 25, following the idea of Ref. 24, formation feedback is applied to spacecraft formation flying scenario via the virtual structure approach. One advantage of the virtual structure approach is that it is easy to prescribe the behavior for the group. Another advantage is that the virtual structure can maintain tight formation during maneuvers. The main disadvantage of the current virtual structure implementation is that it is centralized, which results in a single point of failure for the whole system.

The behavioral approach is a decentralized implementation and can achieve more flexibility, reliability, and robustness than centralized implementations. In Ref. 2, the behavioral approach is applied to formation keeping for mobile robots, where control strategies are derived by averaging several competing behaviors. In Ref. 26, several behavioral strategies are presented for formation maneuvers of groups of mobile robots, where a bidirectional ring topology is used to reduce the communication overhead for the whole system and formation patterns are also defined to achieve a sequence of maneuvers. In Ref. 27, the behavioral approach is used to maintain attitude alignment among a group of spacecraft. An advantage of the behavioral approach is that explicit formation feedback is included through the communication between neighbors. Unfortunately, the behavioral approach is hard to analyze mathematically. Based on the way the formation patterns are defined in Ref. 26, the behavioral approach has limited application in directing rotational maneuvers for the group. In addition, the behavioral approach has limited ability for precise formation keeping, that is, the group cannot maintain formation very well during maneuvers.

Motivated by the advantages and disadvantages of each approach discussed above, a framework which is precise, reliable, and easy to implement needs to be constructed to achieve the following characteristics. First, the framework should be decentralized when a large number of agents are involved in the formation and/or there are stringent limitations on inter-vehicle communications. Second, formation feedback should be included in the framework to improve

\*Graduate Research Assistant, Department of Electrical and Computer Engineering, Brigham Young University, AIAA student member.

<sup>†</sup>Associate Professor, Department of Electrical and Computer Engineering, Brigham Young University, AIAA member.

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group robustness. Third, the group maneuvers should be easy to prescribe and direct in the framework. Finally, the framework should guarantee high precision for maintaining the formation during maneuvers. The purpose of this paper is to propose a solution that can achieve the benefits of each approach discussed above while overcoming their limitations. The main contribution of this paper is to apply the virtual structure approach in a decentralized scheme so that both the benefits of the virtual structure approach and the decentralized scheme can be achieved simultaneously. In this paper, each spacecraft in the formation instantiates a local copy of the formation control, i.e. the coordination vector under the virtual structure framework. The local instantiation of the coordination vector in each spacecraft is then synchronized by communication with its neighbors following a bidirectional ring topology.

The paper is organized as follows. In Sec. II, we introduce preliminary notation and definitions for spacecraft formation control. In Sec. III, we propose a new decentralized architecture via the virtual structure approach based on previous work on centralized architectures and decentralized control. In Sec. IV, we propose decentralized formation control strategies for each virtual structure instantiation and each spacecraft. In Sec. V, we demonstrate the effectiveness of our approach via a simulation study. Finally, in Sec. VI we offer some concluding remarks.

## II. Problem Statement

In this section, we introduce some preliminary notation and properties for spacecraft formation flying including reference frames, unit quaternions, desired states for each spacecraft, and spacecraft dynamics.

### A. Reference Frames

Three coordinate frames are used in this paper. Reference frame  $\mathcal{F}_O$  is used as an inertial frame. Reference frame  $\mathcal{F}_F$  is fixed at the virtual center of the formation, i.e. the virtual structure, as a formation frame. Reference frame  $\mathcal{F}_i$  is embedded at the center of mass of each spacecraft as a body frame, which rotates with the spacecraft and represents its orientation. Given any vector  $p$ , the representation of  $p$  in terms of its components in  $\mathcal{F}_O$ ,  $\mathcal{F}_F$ , and  $\mathcal{F}_i$  are represented by  $[p]_O$ ,  $[p]_F$ , and  $[p]_i$  respectively.

Let the direction cosine matrix  $C_{ab}$  denote the orientation of the frame  $\mathcal{F}_a$  with respect to  $\mathcal{F}_b$ , then  $[p]_a = C_{ab}[p]_b$ , where  $[p]_a$  and  $[p]_b$  are the coordinate representations of vector  $p$  in  $\mathcal{F}_a$  and  $\mathcal{F}_b$  respectively.

### B. Unit Quaternions

Unit quaternions (c.f. Ref. 28) are used to represent the attitudes of rigid bodies in this paper. A unit quaternion is defined as  $q = [\hat{q}^T, \bar{q}]^T$ , where  $\hat{q} = a \cdot \sin(\frac{\phi}{2})$  and  $\bar{q} = \cos(\frac{\phi}{2})$ . In this notation,  $a$  is a unit vector in the direction of rotation with a coordinate representation  $[a_1, a_2, a_3]^T$ , called the eigenaxis, and  $\phi$  is the rotation angle about  $a$ , called the Euler angle. By definition, a unit quaternion is subject to the constraint that  $q^T q = 1$ . Note that a unit quaternion is not unique since  $q$  and  $-q$  represent the same attitude. However, uniqueness can be achieved by restricting  $\phi$  to the range  $0 \leq \phi \leq \pi$  so that  $\bar{q} \geq 0$ .<sup>29</sup> In the remainder of the paper, we assume that  $\bar{q} \geq 0$ .

The product of two unit quaternions  $p$  and  $q$  is defined by

$$qp = \begin{bmatrix} \bar{q}\hat{p} + \bar{p}\hat{q} + \hat{q} \times \hat{p} \\ \bar{q}\hat{p} - \hat{q}^T \hat{p} \end{bmatrix},$$

which is also a unit quaternion. The conjugate of the unit quaternion  $q$  is defined by  $q^* = [-\hat{q}^T, \bar{q}]^T$ . The conjugate of  $qp$  is given by  $(qp)^* = p^* q^*$ . The multiplicative identity quaternion is denoted by  $\mathbf{1} = [0, 0, 0, 1]^T$ , where  $qq^* = q^* q = \mathbf{1}$  and  $q\mathbf{1} = \mathbf{1}q = q$ . Suppose that  $q^d$  and  $q$  represent the desired and actual attitude respectively, then the attitude error is given by  $q_e = q^{d*} q = [\hat{q}_e^T, \bar{q}_e]^T$ , which represents the

attitude of the actual reference frame  $\mathcal{F}$  with respect to the desired reference frame  $\mathcal{F}^d$ .

The relationship between the rotation matrix  $C_{ab}$  and the unit quaternion  $q$  is given by

$$C_{ab} = (2\bar{q}^2 - 1)I + 2\hat{q}\hat{q}^T - 2\bar{q}\hat{q}^\times,$$

where  $q$  represents the attitude of  $\mathcal{F}_a$  with respect to  $\mathcal{F}_b$ .<sup>28</sup>

Given a vector  $v$  with coordinate representation  $[v_1, v_2, v_3]^T$ , the cross-product operator is denoted by<sup>30</sup>

$$v^\times = \begin{bmatrix} 0 & -v_3 & v_2 \\ v_3 & 0 & -v_1 \\ -v_2 & v_1 & 0 \end{bmatrix},$$

which represents the fact that  $v \times w = v^\times w$ . Also  $\Omega(v)$  is defined as

$$\Omega(v) = \begin{bmatrix} -v^\times & v \\ -v^T & 0 \end{bmatrix}.$$

### C. The Desired States for Each Spacecraft

In the virtual structure approach, the entire desired formation is treated as a single structure called the “virtual structure” with formation frame  $\mathcal{F}_F$  located at its virtual center of mass to represent its configuration. The virtual structure then has position  $r_F$ , velocity  $v_F$ , attitude  $q_F$ , and angular velocity  $\omega_F$  relative to  $\mathcal{F}_O$ .

Let  $r_i$ ,  $v_i$ ,  $q_i$ , and  $\omega_i$  represent the position, velocity, attitude, and angular velocity of the  $i$ th spacecraft relative to the inertial frame  $\mathcal{F}_O$ . Similarly, let  $r_{iF}$ ,  $v_{iF}$ ,  $q_{iF}$ , and  $\omega_{iF}$  represent the position, velocity, attitude, and angular velocity of the  $i$ th spacecraft relative to the formation frame  $\mathcal{F}_F$ . A superscript “d” is also used to represent the corresponding desired state of each spacecraft relative to either  $\mathcal{F}_O$  or  $\mathcal{F}_F$ .

Conceptually, we can think that place holders corresponding to each spacecraft are embedded in the virtual structure to represent the desired position and attitude for each spacecraft. As the virtual structure as a whole evolves in time, the place holders trace out trajectories for each corresponding spacecraft to track. As a result, the actual states of the  $i$ th place holder represent the desired states of the  $i$ th spacecraft. With  $\mathcal{F}_F$  as a reference frame, these states can be denoted by  $r_{iF}^d$ ,  $q_{iF}^d$ ,  $v_{iF}^d$ , and  $\omega_{iF}^d$ .

Generally,  $r_{iF}^d$ ,  $q_{iF}^d$ ,  $v_{iF}^d$ , and  $\omega_{iF}^d$  can vary with time, which means the desired formation shape is time-varying. However, if we are concerned with formation maneuvers that preserve the overall formation shape, that is, each place holder needs to preserve fixed relative position and orientation in the virtual structure,  $r_{iF}^d$  and  $q_{iF}^d$  should be constant and  $v_{iF}^d$  and  $\omega_{iF}^d$  should be zero. This requirement can be loosened to make the formation shape more flexible by allowing the place holders to expand or contract while still keeping fixed relative orientation. We will focus on this scenario in this paper. Of course, the approach here can be readily extended to the general case.

Let  $\lambda_F = [\lambda_1, \lambda_2, \lambda_3]$  where the components represent the expansion/contraction rates of the virtual structure along each  $\mathcal{F}_F$  axis. The state of the virtual structure can be defined as  $\xi = [r_F^T, v_F^T, q_F^T, \omega_F^T, \lambda_F^T, \lambda_F^T]^T$ . We note that if each spacecraft has knowledge of  $\xi$ , and its own desired position and orientation with respect to the virtual structure, then formation keeping is transformed into an individual tracking problem. Therefore, the vector  $\xi$  represents the minimum amount of information needed by each spacecraft to coordinate its motion with the group. Motivated by this reasoning, we will call  $\xi$  the *coordination vector*.

Given  $\xi$ , the desired states for the  $i$ th spacecraft are given

by

$$\begin{aligned} [r_i^d]_O &= [r_F]_O + C_{OF}\Lambda[r_{iF}^d]_F \\ [v_i^d]_O &= [v_F]_O + C_{OF}\dot{\Lambda}[r_{iF}^d]_F + [\omega_F]_O \times (C_{OF}\Lambda[r_{iF}^d]_F) \\ [q_i^d]_O &= [q_F]_O [q_{iF}^d]_F \\ [\omega_i^d]_O &= [\omega_F]_O, \end{aligned} \quad (1)$$

where  $C_{OF}(q_F)$  is the rotation matrix of the frame  $\mathcal{F}_O$  with respect to  $\mathcal{F}_F$  and  $\Lambda = \text{diag}(\lambda_F)$ . Note that unlike the constant desired states  $r_{iF}^d, v_{iF}^d, q_{iF}^d$ , and  $\omega_{iF}^d$  relative to  $\mathcal{F}_F$ , the desired states  $r_i^d, v_i^d, q_i^d$ , and  $\omega_i^d$  relative to  $\mathcal{F}_O$  are time-varying since  $\xi$  is time-varying. The evolution equations of the desired states are given by

$$\begin{aligned} [\dot{r}_i^d]_O &= [v_i^d]_O \\ [\dot{v}_i^d]_O &= [\dot{v}_F]_O + 2[\omega_F]_O \times (C_{OF}\dot{\Lambda}[r_{iF}^d]_F) \\ &\quad + C_{OF}\ddot{\Lambda}[r_{iF}^d]_F + [\dot{\omega}_F]_O \times (C_{OF}\Lambda[r_{iF}^d]_F) \\ [\dot{q}_i^d]_O &= [\dot{q}_F]_O [q_{iF}^d]_F \\ [\dot{\omega}_i^d]_O &= [\dot{\omega}_F]_O. \end{aligned} \quad (2)$$

#### D. Spacecraft Dynamics

The translational dynamics of each spacecraft relative to  $\mathcal{F}_O$  are

$$\begin{aligned} \frac{dr_i}{dt_o} &= v_i \\ m_i \frac{dv_i}{dt_o} &= f_i, \end{aligned} \quad (3)$$

where  $m_i$  and  $f_i$  are the mass and control force associated with the  $i$ th spacecraft respectively.

The rotational dynamics of each spacecraft relative to  $\mathcal{F}_O$  (c.f. Ref. 16) are

$$\begin{aligned} \frac{d\hat{q}_i}{dt_o} &= -\frac{1}{2}\omega_i \times \hat{q}_i + \frac{1}{2}\hat{q}_i\omega_i \\ \frac{d\hat{q}_i}{dt_o} &= -\frac{1}{2}\omega_i \cdot \hat{q}_i \\ J_i \frac{d\omega_i}{dt_o} &= -\omega_i \times (J_i\omega_i) + \tau_i, \end{aligned} \quad (4)$$

where  $J_i$  and  $\tau_i$  are inertia tensor and control torque associated with the  $i$ th spacecraft respectively.

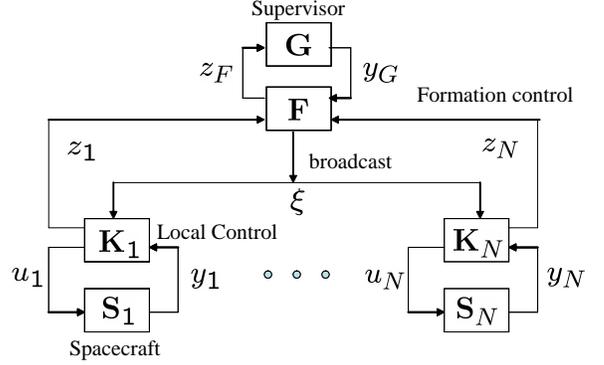
### III. Decentralized Architecture via the Virtual Structure Approach

In this section, we propose a decentralized architecture for spacecraft formation flying via the virtual structure approach. In order to demonstrate the salient features of our decentralized scheme, we first introduce previous work on centralized architectures via the virtual structure approach and previous work on general decentralized control architectures.

#### A. Previous Work on Centralized Architectures

Reference 23 introduced the general centralized coordination architecture shown in Figure 1, which is based on the virtual structure approach.

The system  $\mathbf{G}$  is a discrete event supervisor, which evolves a series of formation patterns by outputting its current formation pattern  $y_G$ . The system  $\mathbf{F}$  is the formation control module, which produces and broadcasts the coordination vector  $\xi$ . The system  $\mathbf{K}_i$  is the local spacecraft controller for the  $i$ th spacecraft, which receives the coordination vector  $\xi$  from the formation control module, convert  $\xi$  to the desired states for the  $i$ th spacecraft, and then controls the actual state for the  $i$ th spacecraft to track its desired state. The system  $\mathbf{S}_i$  is the  $i$ th spacecraft, with control input  $u_i$



**Fig. 1** The centralized architecture based on the virtual structure approach.

representing control force and torque, and output  $y_i$  representing the measurable outputs from the  $i$ th spacecraft. In this centralized scheme,  $\mathbf{G}$  and  $\mathbf{F}$  are implemented at a centralized location (e.g. spacecraft #1), and then the coordination vector  $\xi$  is broadcast to the local controllers of the other spacecraft. Note that there is formation feedback from each local spacecraft controller to the formation control module  $\mathbf{F}$  through the performance measure  $z_i$ . Also there is formation feedback from  $\mathbf{F}$  to  $\mathbf{G}$  through the performance measure  $z_F$ .<sup>23</sup>

The strength of this centralized scheme is that formation algorithms are fairly easy to realize. The weakness is that heavy communication and computation burden is concentrated on the centralized location, which may degrade the overall system performance. Also the centralized location results in a single point of failure for the whole system.

#### B. Previous Work on Decentralized Control

In Ref. 14, a decentralized architecture is proposed for autonomous establishment and maintenance of satellite formations, where each satellite only processes local measurement information and transmission vectors from the other nodes so that a local Kalman filter can be implemented to obtain a local control. It is also shown that the decentralized framework generates a neighboring optimal control if the planned maneuvers and trajectories are themselves optimal.

In Ref. 26, a decentralized control is implemented using a bidirectional ring topology, where each robot only needs position information of its two neighbors. A formation pattern is defined to be a set composed of the desired locations for each robot, i.e.

$$P = \{h_1^d, \dots, h_N^d\},$$

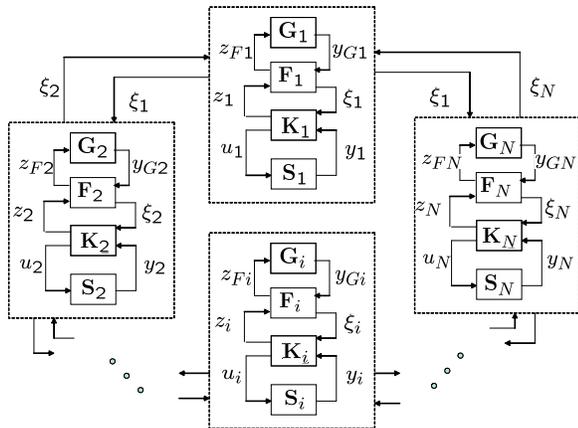
where  $N$  is the number of mobile robots in the formation. Two competing objectives are considered. The first objective is to move the robots to their final destinations. The second objective is to maintain formation during the transition. The goal of the control law for each robot is to drive the total tracking error and formation error of the group to zero. Similarly, in Ref. 27, three objectives are considered for the synchronized multiple spacecraft rotation problem. The first objective is to rotate each spacecraft to zero attitude error. The second objective is to maintain formation throughout the maneuver. The third objective is to rotate the spacecraft about a defined axis of rotation.

#### C. Decentralized Architecture

In this paper, instead of using a set of desired locations for each agent as a formation pattern, we take advantage of the virtual structure approach to define the formation pattern by  $P = \xi^d$ , where  $\xi^d = [r_F^{dT}, v_F^{dT}, q_F^{dT}, \omega_F^{dT}, \lambda_F^{dT}, \dot{\lambda}_F^{dT}]^T$  is the desired constant coordination vector representing the desired states of the virtual structure. We will assume piecewise rigid formations which implies that  $v_F^d = \omega_F^d = \dot{\lambda}_F^d \equiv 0$ .

By specifying the formation pattern for the group, the movements of each spacecraft can be completely defined. Through a sequence of formation patterns  $P^{(k)} = \xi^{d(k)}$ ,  $k = 1, \dots, K$ , the group can achieve a class of formation maneuver goals. In Ref. 26, the formation pattern is defined in such a way that each vehicle only knows its final location in the formation while its trajectory throughout the maneuver is not specified. Here the formation pattern is defined such that each spacecraft will track a trajectory specified by the state of the virtual structure, while preserving a certain formation shape. From this point of view, collision avoidance is handled more efficiently than in Ref. 26.

In our decentralized architecture, each spacecraft in the formation instantiates a local copy of the coordination vector. We use  $\xi_i = [r_{F_i}^T, v_{F_i}^T, q_{F_i}^T, \omega_{F_i}^T, \lambda_{F_i}^T, \dot{\lambda}_{F_i}^T]^T$  to represent the coordination vector instantiated in the  $i$ th spacecraft corresponding to the coordination vector  $\xi$  defined in Sec. II.C. A bidirectional ring topology is used to communicate the coordination vector instantiation instead of the position or attitude information among each spacecraft. A decentralized architecture via the virtual structure approach is shown in Figure 2.



**Fig. 2** The decentralized architecture via the virtual structure approach.

In this case, instead of implementing the discrete event supervisor and formation control module at a centralized location, each spacecraft has a local copy of the discrete event supervisor  $\mathbf{G}$  and formation control module  $\mathbf{F}$ , denoted by  $\mathbf{G}_i$  and  $\mathbf{F}_i$  for the  $i$ th spacecraft respectively. As in Figure 1,  $\mathbf{K}_i$  and  $\mathbf{S}_i$  represent the  $i$ th local spacecraft controller and the  $i$ th spacecraft respectively.

Before the group maneuver starts, a sequence of formation patterns has been preset in each discrete event supervisor  $\mathbf{G}_i$ . The goal of  $\mathbf{G}_i$  is to transition through the sequence of formation patterns so that a class of group maneuver goals can be accomplished sequentially. Certain mechanisms need to be applied to coordinate and synchronize the group starting time, e.g., simple semaphores. When the group maneuver starts, each discrete event supervisor  $\mathbf{G}_i$  outputs the current formation pattern  $y_{Gi} = \xi^{d(1)}$ , to the formation control module  $\mathbf{F}_i$ . Each formation control module  $\mathbf{F}_i$  implements a coordination vector instantiation  $\xi_i$ . The goal of  $\mathbf{F}_i$  is to evolve  $\xi_i$  to its current desired formation pattern  $\xi^{d(k)}$  and synchronize  $\xi_i$  with coordination vector instantiations implemented on other spacecraft. Here we use a bidirectional ring topology, which means that the coordination vector  $\xi_i$  instantiated in the  $i$ th spacecraft is synchronized with its two neighbors, that is, instantiations  $\xi_{i-1}$  and  $\xi_{i+1}$  implemented in the  $(i-1)$ th and the  $(i+1)$ th spacecraft respectively. Communications between the  $i$ th spacecraft and the  $(i-1)$ th and  $(i+1)$ th spacecraft needs to be established to transmit and receive the coordination vector instantiations. The formation control module  $\mathbf{F}_i$  then sends its coordination vector instantiation  $\xi_i$

to the local spacecraft controller  $\mathbf{K}_i$ . Based on  $\xi_i$ , the local controller  $\mathbf{K}_i$  can derive the desired states and the corresponding derivatives for the  $i$ th spacecraft from equation (1) and (2). A local controller  $\mathbf{K}_i$  is designed to guarantee that the  $i$ th spacecraft tracks its desired states asymptotically. Formation feedback is also included from the  $i$ th spacecraft controller  $\mathbf{K}_i$  to the  $i$ th formation control module  $\mathbf{F}_i$  through the performance measure  $z_i$  indicating the  $i$ th spacecraft's tracking performance. Accordingly, as we will see in Sec. IV, the control law for  $\xi_i$  implemented in  $\mathbf{F}_i$  depends on the performance measure  $z_i$ , the current desired formation pattern  $y_{Gi} = \xi^{d(k)}$ , and the corresponding coordination vector instantiations  $\xi_{i-1}$  and  $\xi_{i+1}$  from the  $i$ th spacecraft's neighbors. Of course, formation feedback can also be included from other spacecraft to the  $i$ th formation control module  $\mathbf{F}_i$  at the cost of additional communication. Formation feedback from the  $i$ th formation control module  $\mathbf{F}_i$  to the  $i$ th discrete event supervisor  $\mathbf{G}_i$  is also included through the performance measure  $z_{Fi}$ , which indicates how far the  $i$ th instantiation  $\xi_i$  is from its current maneuver goal  $\xi^{d(k)}$  and synchronization performance between  $\xi_i$  and its neighbors. Like the coordination and synchronization of the first group maneuver starting time, similar mechanisms can be applied to indicate the accomplishment of the current formation pattern and coordinate and synchronize the starting time for the next formation pattern among spacecraft. Then the same procedure described above repeats so that a sequence of formation patterns can be achieved.

Compared with the architecture in Ref. 14, which is based on a fully interconnected network, the architecture proposed here imposes fewer communication requirements. Even if the compression of data transmission is realized in Ref. 14, each vehicle still needs extensive data transmitted from all the other vehicles, which causes additional inter-vehicle communications especially when a large number of vehicles are involved. The architecture proposed here only requires communication between adjacent neighbors during the maneuver.

The communication requirement for each spacecraft during the maneuver can be estimated as follows. We know that  $r_{F_i}$ ,  $v_{F_i}$ ,  $\omega_{F_i}$ ,  $\lambda_{F_i}$ , and  $\dot{\lambda}_{F_i}$  all have 3 components and  $q_{F_i}$  has 4 components. Thus the coordination vector  $\xi_i$  has 19 components. Assume that each component is encoded as  $B$  bits and the sample rate of the system is given by  $L$  Hz. By communicating with its two adjacent neighbors, the required bandwidth for each spacecraft can be estimated as  $38BL$  bits/sec. Note that this is the case when group translation, group rotation, and group expansion/contraction are all involved. If only one group maneuver is involved, the bandwidth can be further reduced to almost one third of the above bandwidth estimate.

Compared to its centralized alternative, there is no master in the loop and each spacecraft evolves in a parallel manner so that a single point of failure existing in any centralized implementation can be eliminated and the total system performance will not degrade catastrophically under failure. As a result, the decentralized implementation offers more flexibility, reliability, and robustness than the corresponding centralized alternative. The weakness is that each local instantiation must be synchronized, which accounts for additional complexity and inter-vehicle communications to the whole system. Due to the ring topology and the implementation of the coordination vector, information exchange among spacecraft can be reduced in the above decentralized architecture. Therefore, this weakness can be somewhat mitigated although the disadvantage of increased inter-vehicle communication requirements is a typical concern for decentralized systems. Of course, there may exist discrepancies between the starting time of each instantiation of the coordination vector dynamics. This starting time discrepancy can be mitigated through the control law for each coordination vector, which will synchronize neighboring coordination vector instantiations. Also, there may exist

time delay when neighboring spacecraft exchange information. This issue is not modeled in the above decentralized architecture and needs to be addressed in future work.

## IV. Decentralized Formation Control Strategies

Two major tasks need to be carried out in the decentralized formation control scheme via the virtual structure approach. One is to propose suitable control laws for each spacecraft to track its desired states defined by the virtual structure. The other is to control and synchronize each virtual structure instantiation to achieve the desired formation patterns in a decentralized manner. In Secs. IV.A and IV.B, we present control strategies for each spacecraft and each virtual structure instantiation respectively. In Sec. IV.C, we provide convergence analysis for the system composed of the coupled dynamics of  $N$  spacecraft and  $N$  coordination vector instantiations.

### A. Formation Control Strategies for Each Spacecraft

For the  $i$ th spacecraft, define  $X_i = [r_i^T, v_i^T, q_i^T, \omega_i^T]^T$  and  $X_i^d = [r_i^{dT}, v_i^{dT}, q_i^{dT}, \omega_i^{dT}]^T$  as the actual state and desired state respectively. Define  $\tilde{X}_i = X_i - X_i^d = [\tilde{r}_i^T, \tilde{v}_i^T, \tilde{q}_i^T, \tilde{\omega}_i^T]^T$  as the error state for  $i$ th spacecraft.

We know that the desired states for each spacecraft also satisfy the translational and rotational dynamics (3) and (4) respectively, that is,

$$\begin{aligned} \frac{dr_i^d}{dt_o} &= v_i^d \\ m_i \frac{dv_i^d}{dt_o} &= f_i^d \\ \frac{dq_i^d}{dt_o} &= -\frac{1}{2}\omega_i^d \times \hat{q}_i^d + \frac{1}{2}\hat{q}_i^d \omega_i^d \\ \frac{d\hat{q}_i^d}{dt_o} &= -\frac{1}{2}\omega_i^d \cdot \hat{q}_i^d \\ J_i \frac{d\omega_i^d}{dt_o} &= -\omega_i^d \times (J_i \omega_i^d) + \tau_i^d. \end{aligned} \quad (5)$$

This is valid since the desired states for each spacecraft are the same as the actual states for each corresponding place holder, which satisfies the translational and rotational dynamics.

The proposed control force for the  $i$ th spacecraft is given by

$$f_i = m_i(\dot{v}_i^d - K_{r_i}(r_i - r_i^d) - K_{v_i}(v_i - v_i^d)), \quad (6)$$

where  $m_i$  is the mass of the  $i$ th spacecraft, and  $K_{r_i}$  and  $K_{v_i}$  are symmetric positive definite matrices.

The proposed control torque for the  $i$ th spacecraft is given by

$$\tau_i = J_i \dot{\omega}_i^d + \frac{1}{2}\omega_i \times J_i(\omega_i + \omega_i^d) - k_{q_i} \widehat{q_i^{d*} q_i} - K_{\omega_i}(\omega_i - \omega_i^d), \quad (7)$$

where  $J_i$  is the moment of inertia of the  $i$ th spacecraft,  $k_{q_i}$  is a positive scalar,  $K_{\omega_i}$  is a symmetric positive definite matrix, and  $\hat{q}$  represents the vector part of the quaternion.

Note that equations (6) and (7) require both  $X_i^d$  and  $\dot{X}_i^d$  which are obtained from  $\xi_i$  and  $\dot{\xi}_i$  using equations (1) and (2).

### B. Formation Control Strategies for Each Virtual Structure Instantiation

As in Sec. III.C,  $\xi_i$  is the  $i$ th coordination vector instantiation and  $\xi_i^{d(k)}$  is the current desired constant goal for the coordination vector instantiations, i.e. the current formation pattern. For notation simplicity, we hereafter use  $\xi^d$  instead of  $\xi_i^{d(k)}$  to represent a certain formation pattern to be achieved. Define

$$\tilde{\xi}_i = \xi_i - \xi^d = [\tilde{r}_{F_i}^T, \tilde{v}_{F_i}^T, \tilde{q}_{F_i}^T, \tilde{\omega}_{F_i}^T, \tilde{\lambda}_{F_i}^T, \tilde{\lambda}_{F_i}^T]^T$$

as the error state for the  $i$ th coordination vector instantiation. There are two objectives for the instantiation of the coordination vector implemented in each spacecraft. The first objective is to reach its desired constant goal  $\xi^d$  defined by the formation pattern set. The second objective is to synchronize each instantiation, i.e.,  $\xi_1 = \xi_2 = \dots = \xi_N$ . Following the idea introduced in Ref. 26,27, where behavior-based strategies are used to realize goal seeking and formation keeping for each agent, we apply behavior-based strategies to synchronize the coordination vector instantiations during the maneuver as well as evolve it to its desired goal at the end of the maneuver.

Define  $E_G$  as the goal seeking error to represent the total error between the current instantiation  $\xi_i$  and the desired goal  $\xi^d$ :

$$E_G(t) = \sum_{i=1}^N \left\| \xi_i - \xi^d \right\|^2.$$

Also define  $E_S$  as the synchronization error to represent the total synchronization error between neighboring instantiations:

$$E_S(t) = \sum_{i=1}^N \left\| \xi_i - \xi_{i+1} \right\|^2,$$

where the summation index  $i$  is defined modulo  $N$ , i.e.,  $\xi_{N+1} = \xi_1$  and  $\xi_0 = \xi_N$ . Defining  $E(t) = E_G(t) + E_S(t)$ , then the control objective is to drive  $E(t)$  to zero asymptotically.

Since the coordination vector represents the states of the virtual structure, we suppose that the  $i$ th coordination vector instantiation satisfies the following rigid body dynamics

$$\begin{pmatrix} \dot{r}_{F_i} \\ m_F \dot{v}_{F_i} \\ \dot{q}_{F_i} \\ J_F \dot{\omega}_{F_i} \\ \dot{\lambda}_{F_i} \\ \dot{\lambda}_{F_i} \end{pmatrix} = \begin{pmatrix} v_{F_i} \\ f_{F_i} \\ \frac{1}{2}\Omega(\omega_{F_i})q_{F_i} \\ -\omega_{F_i} \times J_F \omega_{F_i} + \tau_{F_i} \\ \dot{\lambda}_{F_i} \\ \nu_{F_i} \end{pmatrix}, \quad (8)$$

where  $m_F$  and  $J_F$  are the virtual mass and virtual inertia of the virtual structure,  $f_{F_i}$  and  $\tau_{F_i}$  are the virtual force and virtual torque exerted on the  $i$ th implementation of the virtual structure, and  $\nu_{F_i}$  is the virtual control effort used to expand or contract the formation.

The tracking performance for the  $i$ th spacecraft is defined as  $e_{T_i} = \left\| \tilde{X}_i \right\|^2$ . Define  $\Gamma_{G_i} = D_G + K_F e_{T_i}$  to incorporate formation feedback from the  $i$ th spacecraft to the  $i$ th coordination vector implementation, where  $D_G$  and  $K_F$  are symmetric positive definite matrices. Obviously,  $\Gamma_{G_i}$  is also a positive definite matrix. If we let  $K_F = 0$ , there is no formation feedback. The proposed control force  $f_{F_i}$  is given by

$$\begin{aligned} f_{F_i} = & m_F(-K_G(r_{F_i} - r_{F_i}^d) - \Gamma_{G_i} v_{F_i} \\ & - K_S(r_{F_i} - r_{F_{(i+1)}}) - D_S(v_{F_i} - v_{F_{(i+1)}}) \\ & - K_S(r_{F_i} - r_{F_{(i-1)}}) - D_S(v_{F_i} - v_{F_{(i-1)}})), \end{aligned} \quad (9)$$

where  $K_G$  is a symmetric positive definite matrix, and  $K_S$  and  $D_S$  are symmetric positive semi-definite matrices.

The proposed control torque  $\tau_{F_i}$  is given by

$$\begin{aligned} \tau_{F_i} = & -k_G \widehat{q_{F_i}^{d*} q_{F_i}} - \Gamma_{G_i} \omega_{F_i} \\ & - k_S \widehat{q_{F_{(i+1)}}^* q_{F_i}} - D_S(\omega_{F_i} - \omega_{F_{(i+1)}}) \\ & - k_S \widehat{q_{F_{(i-1)}}^* q_{F_i}} - D_S(\omega_{F_i} - \omega_{F_{(i-1)}}), \end{aligned} \quad (10)$$

where  $k_G > 0$  and  $k_S \geq 0$  are scalars,  $\Gamma_{G_i}$  follows the same definition as above,  $D_S$  is a symmetric positive semi-definite matrix, and  $\hat{q}$  represents the vector part of the quaternion.

Similar to (9), the proposed control effort  $\nu_{Fi}$  is given by

$$\begin{aligned} \nu_{Fi} = & -K_G(\lambda_{Fi} - \lambda_F^d) - \Gamma_{Gi}\dot{\lambda}_{Fi} \\ & -K_S(\lambda_{Fi} - \lambda_{F(i+1)}) - D_S(\dot{\lambda}_{Fi} - \dot{\lambda}_{F(i+1)}) \quad (11) \\ & -K_S(\lambda_{Fi} - \lambda_{F(i-1)}) - D_S(\dot{\lambda}_{Fi} - \dot{\lambda}_{F(i-1)}), \end{aligned}$$

where  $K_G$  is a symmetric positive definite matrix,  $\Gamma_{Gi}$  follows the same definition as above, and  $K_S$  and  $D_S$  are symmetric positive semi-definite matrices.

Note that the matrices in (9), (10), and (11) can be chosen differently based on specific requirements. In (9), (10), and (11), the first two terms are used to drive  $E_G \rightarrow 0$ , the third and fourth terms are used to synchronize the  $i$ th and  $(i+1)$ th coordination vector instantiations, and the fifth and sixth terms are used to synchronize the  $i$ th and  $(i-1)$ th coordination vector instantiations. The second term, that is, the formation feedback term is also used to slow down the  $i$ th virtual structure implementation when the  $i$ th spacecraft has a large tracking error. This strategy needs each spacecraft to know its neighboring coordination vector instantiations, which can be accomplished by nearest neighbor communication. From equation (9), (10), and (11), we can also see that besides  $\xi_{i-1}$ ,  $\xi_i$ , and  $\xi_{i+1}$  the control laws for the  $i$ th coordination vector instantiation also require the current constant formation pattern  $\xi^d$  and  $\tilde{X}_i$  through the formation feedback gain matrix  $\Gamma_{Gi}$ .

### C. Convergence Analysis

The following Lemmas will be used to prove our main result.

**Lemma 0.1** *If both the unit quaternion and angular velocity pairs  $(q_s, \omega_s)$  and  $(q_p, \omega_p)$  satisfy the rotational dynamics (4) with moment of inertia  $J$  and with control torque  $\tau_s$  and  $\tau_p$  respectively,  $\delta\omega = \omega_s - \omega_p$  and  $\delta q = q_s - q_p$  with  $\delta\hat{q} = \hat{q}_s - \hat{q}_p$  and  $\delta\bar{q} = \bar{q}_s - \bar{q}_p$ , and  $V_1 = \delta q^2 + \delta\hat{q} \cdot \delta\hat{q}$  and  $V_2 = \frac{1}{2}\delta\omega \cdot J\delta\omega$ , then  $\dot{V}_1 = \delta\omega \cdot \widehat{q_p^* q_s}$  and  $\dot{V}_2 = \delta\omega \cdot (\tau_s - \tau_p - \frac{1}{2}(\omega_s \times J\delta\omega))$ .*

*Proof:* Identical to the proof for attitude control in Ref. 16 by replacing  $q_i$  with  $q_p$ ,  $\omega_i$  with  $\omega_p$ ,  $q_i^d$  with  $q_s$ , and  $\omega_i^d$  with  $\omega_s$ . ■

For a vector  $x$ , we simply use  $x^T x$  or  $\|x\|^2$  to represent the vector dot product  $x \cdot x$  hereafter.

**Lemma 0.2** *If  $A \in \mathbb{R}^{k \times k}$  and  $B \in \mathbb{R}^{l \times l}$  are symmetric positive semi-definite matrices, then  $A \otimes B$  is positive semi-definite, where  $\otimes$  denotes the Kronecker product. Moreover, if both  $A$  and  $B$  are symmetric positive definite, then so is  $A \otimes B$ .*

*Proof:* See Ref. 31. ■

**Lemma 0.3** *If  $C$  is a circulant matrix with the first row given by  $[2, -1, 0, \dots, 0, -1] \in \mathbb{R}^N$ , then  $C \in \mathbb{R}^{N \times N}$  is symmetric positive semi-definite. Let  $P \in \mathbb{R}^{p \times p}$  and  $Z = [z_1^T, \dots, z_N^T]^T$ , where  $z_i \in \mathbb{R}^p$ . If the terms  $P(z_i - z_{i-1}) + P(z_i - z_{i+1})$  are stacked in a column vector, the resulting vector can be written as  $(C \otimes P)Z$ .*

*Proof:* See Ref. 26. ■

From equation (3), (4), (6), and (7), the dynamics for the  $i$ th spacecraft can be represented by  $\dot{\tilde{X}}_i = f(\tilde{X}_i, \xi_i)$ , where  $f(\cdot, \cdot)$  can be determined from those equations. From equation (8), (9), (10), and (11), the dynamics for the  $i$ th coordination vector instantiation can be represented by  $\dot{\xi}_i = g(\xi_{i-1}, \xi_i, \xi_{i+1}, \tilde{X}_i)$ , where  $g(\cdot, \cdot, \cdot, \cdot)$  can also be determined from those equations. Therefore, the coupled dynamics of the whole system composed of  $N$  spacecraft and  $N$  coordination vector instantiations are time-invariant with states  $\tilde{X}_i$  and  $\xi_i$ ,  $i = 1, \dots, N$ . LaSalle's invariance principle will be used to prove the main theorem for convergence of the whole system.

**Theorem 0.1** *If the control laws for each spacecraft are given by (6) and (7), and the control laws for each coordination vector instantiation are given by (9), (10) and (11), then  $\sum_{i=1}^N e_{Ti} + E(t) \rightarrow 0$  asymptotically.*

*Proof:*

For the whole system consisting of  $N$  spacecraft and  $N$  coordination vector instantiations, consider the Lyapunov function candidate:

$$V = V_{sp} + V_{Ft} + V_{Fr} + V_{Fe}, \quad (12)$$

where

$$V_{sp} = \sum_{i=1}^N \left( \frac{1}{2} \tilde{r}_i^T K_{ri} \tilde{r}_i + \frac{1}{2} \tilde{v}_i^T \tilde{v}_i + k_{qi} \tilde{q}_i^T \tilde{q}_i + \frac{1}{2} \tilde{\omega}_i^T J_i \tilde{\omega}_i \right),$$

$$\begin{aligned} V_{Ft} = & \frac{1}{2} \sum_{i=1}^N (r_{Fi} - r_{F(i+1)})^T K_S (r_{Fi} - r_{F(i+1)}) \\ & + \frac{1}{2} \sum_{i=1}^N \left( \tilde{r}_{Fi}^T K_G \tilde{r}_{Fi} + v_{Fi}^T v_{Fi} \right), \end{aligned}$$

$$\begin{aligned} V_{Fr} = & \sum_{i=1}^N k_S (q_{Fi} - q_{F(i+1)})^T (q_{Fi} - q_{F(i+1)}) \\ & + \sum_{i=1}^N \left( k_G \tilde{q}_{Fi}^T \tilde{q}_{Fi} + \frac{1}{2} \omega_{Fi}^T J_F \omega_{Fi} \right), \end{aligned}$$

$$\begin{aligned} V_{Fe} = & \frac{1}{2} \sum_{i=1}^N (\lambda_{Fi} - \lambda_{F(i+1)})^T K_S (\lambda_{Fi} - \lambda_{F(i+1)}) \\ & + \frac{1}{2} \sum_{i=1}^N \left( \tilde{\lambda}_{Fi}^T K_G \tilde{\lambda}_{Fi} + \dot{\lambda}_{Fi}^T \dot{\lambda}_{Fi} \right). \end{aligned}$$

With the proposed control force (6) for each spacecraft, the second equation in the translational dynamics (3) for the  $i$ th spacecraft can be rewritten as  $\dot{\tilde{v}}_i = -K_{ri} \tilde{r}_i - K_{vi} \tilde{v}_i$ . Applying Lemma 0.1, the derivative of  $V_{sp}$  is

$$\begin{aligned} \dot{V}_{sp} = & \sum_{i=1}^N (-\tilde{v}_i^T K_{vi} \tilde{v}_i) \\ & + \sum_{i=1}^N \tilde{\omega}_i^T \left( k_{qi} \widehat{q_i^d q_i} + \tau_i - \tau_i^d - \frac{1}{2} (\omega_i \times J_i \tilde{\omega}_i) \right). \end{aligned}$$

From (5),  $\tau_i^d = J_i \dot{\omega}_i^d + \omega_i^d \times (J_i \omega_i^d)$ . With the proposed control torque (7) for each spacecraft, after some manipulation, we know that

$$\dot{V}_{sp} = \sum_{i=1}^N \left( -\tilde{v}_i^T K_{vi} \tilde{v}_i - \tilde{\omega}_i^T K_{\omega_i} \tilde{\omega}_i \right) \leq 0. \quad (13)$$

Differentiating  $V_{Ft}$ , we can get

$$\begin{aligned} \dot{V}_{Ft} = & \sum_{i=1}^N v_{Fi}^T (K_S (r_{Fi} - r_{F(i+1)}) \\ & + K_S (r_{Fi} - r_{F(i-1)}) + K_G \tilde{r}_{Fi} + \frac{f_{Fi}}{m_F}). \end{aligned}$$

With the proposed control force (9) for each coordination vector instantiation,

$$\begin{aligned} \dot{V}_{Ft} = & - \sum_{i=1}^N (v_{Fi}^T \Gamma_{Gi} v_{Fi} \\ & + (v_{Fi} - v_{F(i+1)})^T D_S (v_{Fi} - v_{F(i+1)})) \leq 0. \quad (14) \end{aligned}$$

Applying Lemma 0.1, the derivative of  $V_{Fr}$  is

$$\begin{aligned} \dot{V}_{Fr} = & \sum_{i=1}^N (\omega_{Fi} - \omega_{F(i+1)})^T k_S q_{F(i+1)}^{d*} \widehat{q_{Fi}} \\ & + \sum_{i=1}^N \omega_{Fi}^T (k_G q_{Fi}^{d*} \widehat{q_{Fi}} + \tau_{Fi} - \frac{1}{2} \omega_{Fi} \times J_F \omega_{Fi}). \end{aligned}$$

After some manipulation,

$$\dot{V}_{Fr} = \sum_{i=1}^N \omega_{Fi}^T (k_S q_{F(i+1)}^{d*} \widehat{q_{Fi}} - k_S q_{Fi}^{d*} \widehat{q_{F(i-1)}} + k_G q_{Fi}^{d*} \widehat{q_{Fi}} + \tau_{Fi}).$$

With the proposed control torque (10) for each coordination vector instantiation,

$$\begin{aligned} \dot{V}_{Fr} = & - \sum_{i=1}^N (\omega_{Fi}^T \Gamma_{Gi} \omega_{Fi} \\ & + (\omega_{Fi} - \omega_{F(i+1)})^T D_S (\omega_{Fi} - \omega_{F(i+1)})) \leq 0. \end{aligned} \quad (15)$$

Similar to  $\dot{V}_{Ft}$ , with the proposed control effort (11) for each coordination vector instantiation, the derivative of  $V_{Fe}$  is

$$\begin{aligned} \dot{V}_{Fe} = & - \sum_{i=1}^N (\lambda_{Fi}^T \Gamma_{Gi} \lambda_{Fi} \\ & + (\lambda_{Fi} - \lambda_{F(i+1)})^T D_S (\lambda_{Fi} - \lambda_{F(i+1)})) \leq 0. \end{aligned} \quad (16)$$

From (13), (14), (15), and (16), it is obvious that  $\dot{V} = \dot{V}_{sp} + \dot{V}_{Ft} + \dot{V}_{Fr} + \dot{V}_{Fe} \leq 0$ . Let  $\Sigma = \{(\tilde{X}_1, \dots, \tilde{X}_N, \tilde{\xi}_1, \dots, \tilde{\xi}_N) | \dot{V} = 0\}$ , and let  $\bar{\Sigma}$  be the largest invariant set in  $\Sigma$ . On  $\bar{\Sigma}$ ,  $\dot{V} \equiv 0$ , i.e.  $\dot{V}_{sp} = \dot{V}_{Ft} = \dot{V}_{Fr} = \dot{V}_{Fe} \equiv 0$ , which implies that  $\tilde{v}_i \equiv 0$ ,  $\tilde{\omega}_i \equiv 0$ ,  $v_{Fi} \equiv 0$ ,  $\omega_{Fi} \equiv 0$ ,  $\lambda_{Fi} \equiv 0$ ,  $i = 1, \dots, N$ .

Since  $\tilde{v}_i \equiv 0$ , we know that  $\tilde{r}_i = 0$  from (3) and (6). Since  $\tilde{\omega}_i \equiv 0$ , we also know that  $\widehat{q_i^{d*}} = 0$  from (4) and (7), which then implies that  $q_i = q_i^d$ , i.e.  $\tilde{q}_i = 0$ .

Then following  $v_{Fi} \equiv 0$ , from (9) and the second equation in (8), it can be seen that

$$\begin{aligned} & K_G \tilde{r}_{Fi} + K_S (r_{Fi} - r_{F(i+1)}) \\ & + K_S (r_{Fi} - r_{F(i-1)}) = 0, \quad i = 1, \dots, N, \end{aligned}$$

which is equivalent to

$$\begin{aligned} & K_G \tilde{r}_{Fi} + K_S (\tilde{r}_{Fi} - \tilde{r}_{F(i+1)}) \\ & + K_S (\tilde{r}_{Fi} - \tilde{r}_{F(i-1)}) = 0, \quad i = 1, \dots, N. \end{aligned} \quad (17)$$

From Lemma 0.3, equation (17) can also be written as  $(I_N \otimes K_G + C \otimes K_S) \tilde{r}_F = 0$ , where  $\tilde{r}_F = [\tilde{r}_{F1}^T, \dots, \tilde{r}_{FN}^T]^T$ ,  $I_N$  is an  $N \times N$  identity matrix, and  $C$  is the circulant matrix defined in Lemma 0.3. Based on Lemma 0.2 and 0.3,  $I_N \otimes K_G$  is positive definite and  $C \otimes K_S$  is positive semi-definite. Thus we know that  $\tilde{r}_F = 0$ .

Following a similar procedure as above, we can also show that  $\tilde{\lambda}_{Fi} = 0$  since  $\lambda_{Fi} \equiv 0$ .

Also following  $\omega_{Fi} \equiv 0$ , from (10) and the fourth equation in (8), we know that

$$\begin{aligned} & k_G q_{Fi}^{d*} \widehat{q_{Fi}} + k_S q_{F(i+1)}^{d*} \widehat{q_{Fi}} + k_S q_{F(i-1)}^{d*} \widehat{q_{Fi}} = 0, \\ & \quad \quad \quad i = 1, \dots, N. \end{aligned} \quad (18)$$

Since the quaternion multiplication is associative, we know that  $q_{F(i+1)}^{d*} q_{Fi} = q_{F(i+1)}^{d*} \mathbf{1} q_{Fi} = q_{F(i+1)}^{d*} (q_{Fi}^d q_{Fi}^{d*}) q_{Fi} = (q_{F(i+1)}^{d*} q_{Fi}^d) (q_{Fi}^{d*} q_{Fi})$ , where  $\mathbf{1}$  is the multiplicative identity

quaternion defined in Sec. II.B. Therefore, equation (18) is equivalent to

$$\begin{aligned} & k_G q_{Fi}^{d*} \widehat{q_{Fi}} + k_S (q_{F(i+1)}^{d*} \widehat{q_{Fi}}) (q_{Fi}^{d*} q_{Fi}) \\ & + k_S (q_{F(i-1)}^{d*} \widehat{q_{Fi}}) (q_{Fi}^{d*} q_{Fi}) = 0, \quad i = 1, \dots, N. \end{aligned} \quad (19)$$

Following Ref. 27 and applying the property of the unit quaternion, equation (19) can be written as  $p_i^* (q_{Fi}^{d*} q_{Fi}) = 0$ , where  $p_i = k_G \mathbf{1} + k_S (q_{F(i+1)}^{d*} q_{Fi}) + k_S (q_{F(i-1)}^{d*} q_{Fi})$ .

Compared with equation (7) in Ref. 27, equation (19) has the same form when we treat  $q_i$  as  $q_{Fi}^{d*} q_{Fi}$  and  $k_F$  as  $k_S$  and delete  $k_e \widehat{q_{iR}}$  term in equation (7) in Ref. 27. It can be verified that their proof for  $\widehat{q}_i = 0$  is still valid when  $k_e \widehat{q_{iR}}$  term is omitted, which is only used to guarantee the rotation of the spacecraft about a defined axis.

Then following the result  $\widehat{q}_i = 0$  in Ref. 27, we can show that  $\widehat{q_{Fi}^{d*}} q_{Fi} = 0$ , which implies that  $q_{Fi} = q_{Fi}^d$ , i.e.  $\tilde{q}_{Fi} = 0$ .

Therefore, by LaSalle's invariance principle,  $\|\tilde{X}_i\| \rightarrow 0$ ,  $\|\tilde{\xi}_i\| \rightarrow 0$ , and  $\|\xi_i - \xi_{i+1}\| \rightarrow 0$ ,  $i = 1, \dots, N$ . Accordingly,  $\sum_{i=1}^N e_{Ti} + E(t) \rightarrow 0$  asymptotically. ■

From Theorem 0.1, we can see that each virtual structure instantiation will achieve its final goal asymptotically and each spacecraft will also track its desired state specified by the virtual structure asymptotically during the maneuver. Therefore, the formation maneuver can be achieved asymptotically.

Since PD-like control laws are used for each spacecraft and each coordination vector instantiation, the transient specifications for each spacecraft and each coordination vector instantiation can be satisfied by designing corresponding gain matrices in the control laws following the design procedure for the coefficients of a second order system. Moreover, for each spacecraft, if we define a translational tracking error for the  $i$ th spacecraft as  $E_{ti} = \frac{1}{2} \tilde{r}_i^T K_{ri} \tilde{r}_i + \frac{1}{2} \|\tilde{v}_i\|^2$ ,  $E_{ti}$  decreases during the maneuver and  $\tilde{r}_i^T K_{ri} \tilde{r}_i$  is bounded by  $2E_{ti}(0) - \|\tilde{v}_i\|^2$  following the proof for  $\dot{V}_{sp}$ . Similarly if we define a rotational tracking error as  $E_{ri} = k_{qi} \|\tilde{q}_i\|^2 + \frac{1}{2} \tilde{\omega}_i J_i \tilde{\omega}_i$ ,  $E_{ri}$  decreases during the maneuver and  $\|\tilde{q}_i\|^2$  is bounded by  $\frac{1}{k_{qi}} (E_{ri}(0) - \frac{1}{2} \tilde{\omega}_i J_i \tilde{\omega}_i)$ . For each coordination vector instantiation, following the proof for  $\dot{V}_{Ft}$ ,  $\dot{V}_{Fr}$ , and  $\dot{V}_{Fe}$ , we know that  $V_{Ft}$ ,  $V_{Fr}$ , and  $V_{Fe}$  are bounded by  $V_{Ft}(0)$ ,  $V_{Fr}(0)$ , and  $V_{Fe}(0)$  respectively. Therefore,  $\sum_{i=1}^N (r_{Fi} - r_{F(i+1)})^T K_S (r_{Fi} - r_{F(i+1)}) \leq 2V_{Ft}(0)$ ,  $\sum_{i=1}^N \tilde{r}_{Fi}^T K_G \tilde{r}_{Fi} \leq 2V_{Fr}(0)$ ,  $\sum_{i=1}^N \|q_{Fi} - q_{F(i+1)}\|^2 \leq \frac{1}{k_S} V_{Fr}(0)$ ,  $\sum_{i=1}^N \|\tilde{q}_{Fi}\|^2 \leq \frac{1}{k_G} V_{Fr}(0)$ ,  $\sum_{i=1}^N (\lambda_{Fi} - \lambda_{F(i+1)})^T K_S (\lambda_{Fi} - \lambda_{F(i+1)}) \leq 2V_{Fe}(0)$ , and  $\sum_{i=1}^N \tilde{\lambda}_{Fi}^T K_G \tilde{\lambda}_{Fi} \leq 2V_{Fe}(0)$ .

## V. Simulation Results

In this section, we consider a scenario with nine spacecraft. In the scenario, a mothership spacecraft with mass equal to 1500 Kg is located one kilometer away from a plane where eight daughter spacecraft each with mass 150 Kg are distributed equally along a circle with a diameter one kilometer in the plane. The configuration of the nine spacecraft is shown in Figure 3. We assume that the nine spacecraft evolves like a rigid structure, that is, the formation shape is preserved and each spacecraft preserves a fixed relative orientation within the formation throughout the formation maneuvers.

We simulate a scenario when the nine spacecraft start from rest with some initial position and attitude errors and then perform a group rotation of 45 degrees about the inertial  $z$  axis. Here we assume that each place holder in the formation has the same orientation, that is,  $q_{iF}^d$  is the same for each spacecraft. In simulation, we instantiate a local

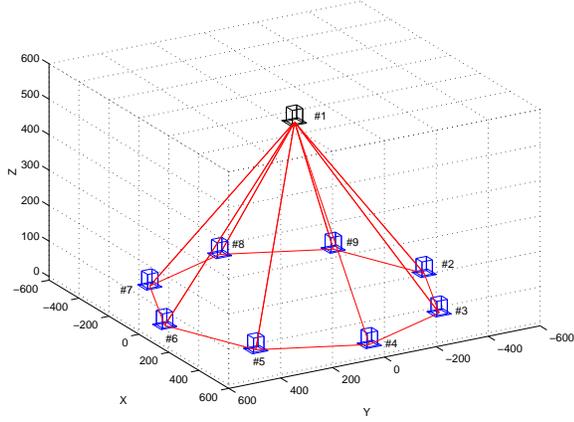


Fig. 3 The geometric configuration of nine spacecraft.

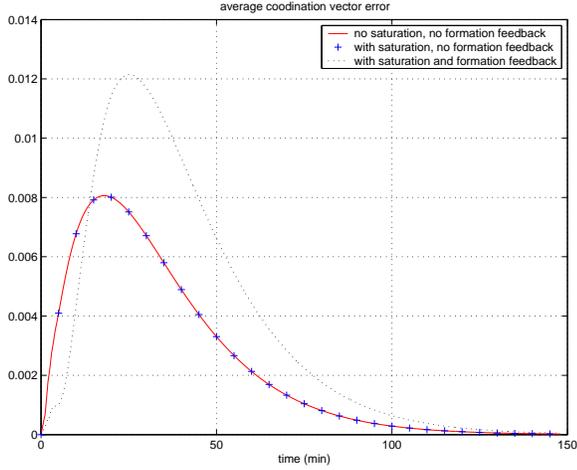


Fig. 4 The average coordination error of the coordination vector instantiations.

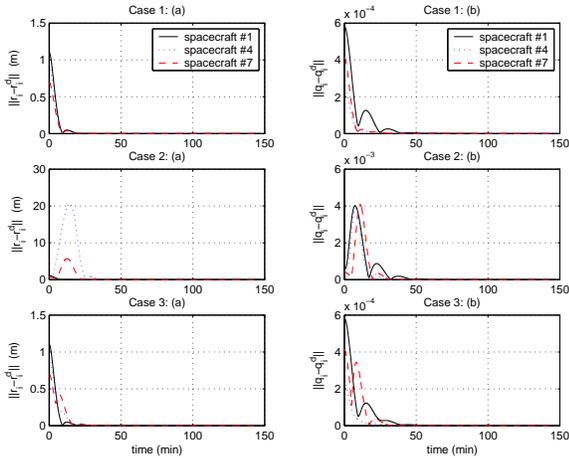


Fig. 5 The absolute position and attitude tracking errors.

copy of the coordination vector  $\xi$  in each spacecraft and synchronize them using the control strategy introduced in Sec. IV.B. To show the robustness of the control strategy, we start the coordination vector implementation in each spacecraft at a different time instance and introduce a different sample time varying from 0.4 seconds to 0.6 seconds for each coordination vector instantiation. Various communication delays are also added among spacecraft. Three cases will be compared in this section. These include cases without

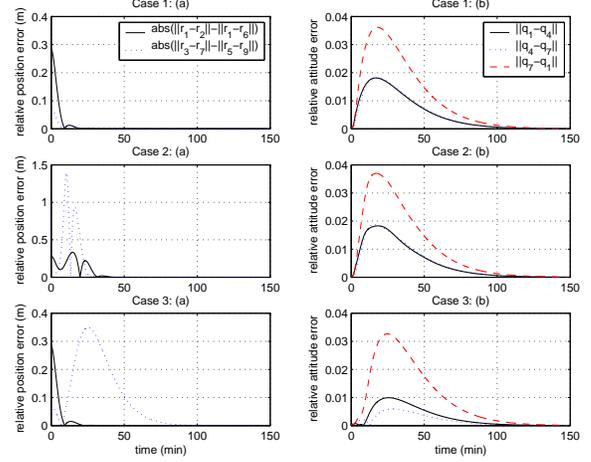


Fig. 6 The relative position and attitude errors.

actuator saturation and formation feedback (case 1), with actuator saturation but without formation feedback (case 2), with both actuator saturation and formation feedback (case 3). In fact, there is another case without actuator saturation but with formation feedback (case 4). Since there is little difference between this case and case 1, we will not include this case in this section. Here we assume that the control force and control torque for spacecraft #1 are saturated at  $|f_x|, |f_y|, |f_z| = 2$  N and  $|\tau_x|, |\tau_y|, |\tau_z| = 0.0006$  Nm respectively, and the control force and control torque for all the other spacecraft are saturated at  $|f_x|, |f_y|, |f_z| = 1$  N and  $|\tau_x|, |\tau_y|, |\tau_z| = 0.0003$  Nm respectively.

In this section, the average coordination error is defined as  $\frac{1}{N} \sum_{i=1}^N \|\xi_i - \bar{\xi}\|$ , where  $\bar{\xi} = \frac{1}{N} \sum_{i=1}^N \xi_i$ . The average coordination error in these three cases is plotted in Figure 4. We can see that each instantiation of the coordination vector is synchronized asymptotically in all these cases. Also, the average coordination error is large during the initial time interval since each local instantiation starts at a different time instance. Case 1 and 2 are identical since the actuator saturation for each spacecraft does not affect the dynamics of the virtual structure when there is no formation feedback from each spacecraft to its coordination vector instantiation. The maximum average coordination error in case 3 is larger than that in the other two cases since formation feedback is introduced for each coordination vector instantiation, which may add some dissimilarities between different instantiations.

In Figure 5, we plot the absolute position and attitude tracking errors for spacecraft #1, #4, and #7 in these three cases. The position tracking error is defined as  $\|r_i - r_i^d\|$  while the attitude tracking error is defined as  $\|q_i - q_i^d\|$ . We can see the tracking errors in each case will decrease to zero asymptotically by using the control law given in Sec. IV.A. The absolute position and attitude tracking errors in case 2 are much larger than those in the other two cases due to the actuator saturation. In case 3, with formation feedback, the absolute position and attitude tracking errors are similar to those in case 1 even if there is actuator saturation. When we increase the entries in the gain matrix  $K_F$  to increase formation feedback, the absolute tracking errors can be decreased further but the system convergence time will become longer correspondingly.

In Figure 6, we plot the relative position and attitude errors between some spacecraft in these three cases. Based on the configuration, the desired relative distance between spacecraft #1 and #2 and the desired relative distance between spacecraft #1 and #6 should be equal. The desired relative distance between spacecraft #3 and #7 and the desired relative distance between spacecraft #5 and #9 should also be equal. We plot  $\|r_1 - r_2\| - \|r_1 - r_6\|$  and  $\|r_3 - r_7\| - \|r_5 - r_9\|$  in part (a) as examples to see how

well the formation shape is preserved. The desired relative attitude between each spacecraft should be equal based on our previous assumption. We plot  $\|q_1 - q_4\|$ ,  $\|q_4 - q_7\|$ , and  $\|q_7 - q_1\|$  in part (b) as examples to see how well the relative orientation relationships between these spacecraft are preserved. Similarly, the relative position tracking errors in case 2 are larger than those in the other two cases due to the control force saturation. In case 3, with formation feedback, the relative position errors are smaller than those in case 2. The relative attitude errors in case 3 are even smaller than those in the other two cases due to the formation feedback.

In Figure 7, we plot the control effort for spacecraft #1 in these three cases. We can see that both the control force and control torque approach zero asymptotically. We can also see that  $\tau_z$  saturates in case 2 during the initial time period while this saturation is mitigated with formation feedback introduced in case 3.

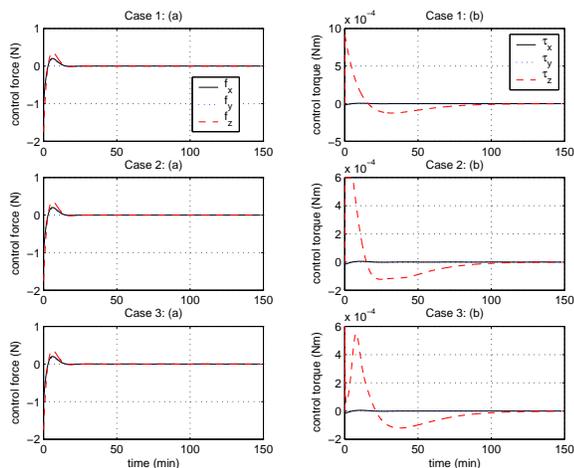


Fig. 7 The control effort for spacecraft #1.

## VI. Conclusion

In this paper, we proposed a decentralized scheme for spacecraft formation control using the virtual structure approach. Through low bandwidth communication between neighboring spacecraft, the instantiation of the coordination vector in each spacecraft can be synchronized and then used to define the desired states for each spacecraft. Decentralized formation control strategies were presented for each spacecraft to synchronize the coordination vector instantiation and track its desired states. The effectiveness of the proposed strategies was demonstrated through a simulation example.

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