The Phage Proteomic Tree: a Genome-Based Taxonomy for Phage

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There are \( \sim 10^{31} \) phage in the biosphere, making them the most abundant biological entities on the planet. Despite their great numbers and ubiquitous presence, very little is known about phage biodiversity, biogeography, or phylogeny. Information is limited, in part, because the current ICTV taxonomical system is based on culturing phage and measuring physical parameters of the free virion. No sequence-based taxonomic systems have previously been established for phage. We present here the “Phage Proteomic Tree,” which is based on the overall similarity of 105 completely sequenced phage genomes. The Phage Proteomic Tree places phage relative to both their near neighbors and all other phage included in the analysis. This method groups phage into taxa that predicts several aspects of phage biology and highlights genetic markers that can be used for monitoring phage biodiversity. We propose that the Phage Proteomic Tree be used as the basis of a genome-based taxonomical system for phage.

Phage, viruses that infect prokaryotes, were first described in the early 1900s (19, 63). Studies of phage model systems revolutionized biology and established the field of molecular biology (12). Only recently have the enormous influences of phage on ecosystems been realized (26, 67). Phage are extremely common in the environment: there are \( \sim 10^{10} \) phage per liter of surface seawater (6) and \( 10^7 \) to \( 10^9 \) per g of sediment or topsoil (16, 17, 32; David Lipson, unpublished data). In the ocean, phage are major predators of bacteria and significant sinks of essential nutrients (e.g., nitrogen and phosphorus) (65). Phage are also major conduits of genetic exchange, transducing an estimated \( 10^{25} \) to \( 10^{26} \) bp of DNA per year in the world’s oceans (34, 50).

Historically, phage have been characterized by their host range and the physical characteristics of the free virion, including capsid size, shape, resistance to organic solvents, and structure, as well as genome size and type (e.g., single-stranded RNA [ssRNA], ssDNA, double-stranded RNA [dsRNA], and dsDNA). The resulting taxonomic system is regularly approved and updated by the International Committee on the Taxonomy of Viruses (ICTV) (45; see also the review by Ackermann [1]). The ICTV taxonomic system requires visualization of the phage particles by electron microscopy to determine capsid morphology. Many investigators, however, do not routinely perform this procedure, as illustrated by the fact that many of the completely sequenced phage in GenBank (25 of 105 [5]) have not been formally classified by the ICTV system. Visualization also cannot be used to classify the numerous prophage genomes that are found within sequenced microbial genomes. As the rate of genomic sequencing increases, the proportion of phage considered “unclassified” will also increase, resulting in major discrepancies between the official taxonomy and the available data.

Analyses of the ribosomal DNA (rDNA) sequences revolutionized the taxonomic characterization of the major forms of life and identified *Archea* as the third domain of life (25). Subsequent sequencing of uncultured 16S rDNAs dramatically changed our understanding of microbial diversity (38, 47). Phage do not contain a ribosomal sequence that allows them to be placed on the universal tree of life and, to date, have not benefited from their own gene-based taxonomic system. Previous attempts to classify and measure phage biodiversity based on genetic markers have met with limited success. Although structural proteins (e.g., capsids) could hypothetically serve as a basis for phage taxonomy (27, 29, 41, 60), they are highly diverse and, unlike rDNAs, do not contain conserved regions that allow them to be easily identified. This limits the usefulness of these proteins as markers for biodiversity studies.

We show here that no single gene is found in all phage that can be used as the basis for a classification system. As an alternative, we present a new taxonomic system based on the predicted phage proteome. The resulting taxonomy is compatible with the ICTV system, is supported by our knowledge of phage biology, and allows phage taxonomy to enter the post-genomic era. Using the proposed system, we identified a number of phage classes that are underrepresented in the databases and collections, as well as “signature genes” associated with many phage groups. We believe that the “Phage Proteomic Tree,” described here, offers a necessary platform for classifying phage based on their genomic sequences.

**MATERIALS AND METHODS**

**Sequences.** Most of the genome sequences used in these analyses were obtained from the phage genome page at [http://www.ncbi.nlm.nih.gov/PMGids/Genomes/phl.html](http://www.ncbi.nlm.nih.gov/PMGids/Genomes/phl.html). An additional 15 phage genomes, also deposited in GenBank but not located at the above URL, were included: bacteriophage fi105 (accession number AB016282), bacteriophage M11 (AF052431), *Haemophilus influenzae* phage HP2 (AY027935), *Vibrio cholerae* O139 f1 phage (D90074), bacteriophage L5 (L06183), *Spiroplasma* virus SPV4 (M17988), *Spiroplasma virus* SpV1 (NC001365), bacteriophage r11 (U38006), *Streptococcus thermophilus* temperate bacteriophage O1205 (U88974), coliphage M13 (V00604), bacteriophage SP (X07489), bacteriophage ø6g1 (X98110), and bacteriophage B103 (X90260). *Vibrio parahaemolyticus* øTB16 was also included (35; V. Seguritan, J. Oh, F. Rohwer, and A. Segall, unpublished data). Wherever possible, the open reading frame (ORF) sequences described by the submitting authors were used.

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in these analyses. Three genomes either had none or only a few ORFs identified in the GenBank sequence (Xanthomonas campestris δCT1C, Saprospira δSPV4, and Lactococcus oenol δL5). For these genomes, ORFs were identified by using Artemis (55) and annotated by comparison with proteins in the SWISS database (4). Together, these 105 genomes had a total of 3,981 predicted proteins. Prophage within completed bacterial genomes, but not deposited independently into GenBank, were not included because of potential problems with misidentifying the phage ends.

**Computer analyses and web access.** All computer analyses were performed on Intel-based PC’s with the RedHat GNU/Linux 7.2 operating system (RedHat, Durham, N.C.). All of the programs were written with PERL 5.6 (http://www.perl.com/) and are available online at http://salmonella.utmem.edu/phage/tree/. The website also contains tables describing many additional analyses that were performed on the phage genomes, as well as information on the natural history of the phage used in this study.

**Calculating BLASTP distances.** All predicted phage protein sequences were compared against all other predicted phage protein sequences by using the BLASTP program (23) and the BLOSUM62 matrix. The data was parsed by using E values of <0.1, <0.01, or <0.001 as cutoffs. The BLASTP distance between two genomes was calculated as the fraction of the genes with significant hits to each other in each of the genomes by using the following equation: 1 – (the number of significant hits between the two genomes/total number of genes in the two genomes). The resulting distance matrix was written to a PHYLIP format file (22).

**Calculation of proteomic distances.** All predicted phage protein sequences were compared against all other predicted phage protein sequences by using BLASTP and the BLOSUM62 matrix. All sequences in each comparison with a BLASTP E value of <0.1 were aligned by using the CLUSTALW program with a gap opening penalty of 10.00 and a gap extension penalty of 0.20 (61). Output from the CLUSTALW program was written in PHYLIP format and PROTDIST (part of the PHYLIP package [22]) was used to estimate protein distance scores by using the Dayhoff-PAM matrix. Protein distance scores of >5 were considered not significant (Gary Olsen, unpublished data). If PROTDIST was completely unable to identify any similarity between two proteins the program applied a score of –1. Therefore, in our analyses, any protein distance score of >5 or equal to –1 was treated as a nonsignificant match. To compensate for different predicted protein lengths, each protein distance score was multiplied by the average length of the two proteins.

If two proteomes did not share any proteins, they did not receive a pairwise score. Because a zero score indicates a high similarity, it was necessary to add a penalty for each protein that was present in one genome but absent in another. We tested the effect of different penalties (including no penalty) for every protein for which there was no significant match between two genomes. This penalty value was determined empirically and is discussed below. Since there is no evidence that suggests large or small insertions or deletions are more likely, no length factor was included with this penalty.

The proteomic distance score was calculated from the sums of the length-corrected protein distance scores and the penalties and then divided by the total average lengths and the number of missing proteins. These data were written as a distance matrix in the PHYLIP format.

**Generation of the trees.** FITCH (part of the PHYLIP package) was used to produce a tree from each PHYLIP format distance matrix. The input data was randomized by using the jumble feature of FITCH and, after generation of the tree, it was globally rearranged to optimize the resulting tree. During our analysis of the phage genomes, we generated a wide diversity of trees based on individual proteins, groups of proteins, phage genomes, families of genomes, and by using different tree generation methods (e.g., neighbor-joining). We also generated an interactive website that provides both graphical and textual comparisons of phage genomes. These trees, images, and additional supporting data are presented online at http://salmonella.utmem.edu/phage/tree/.

**RESULTS AND DISCUSSION**

The primary goal of this study was to construct a genome-based taxonomy for phage. Such a system has become a necessity due to the rapid accumulation of phage genomic data in the absence of morphology and culturing data required for classification by the current ICTV system. Ideally, a new phage taxonomical system would predict aspects of phage biology, provide tools for measuring uncultured phage biodiversity, and resolve a number of counterintuitive assignments of phage by using the ICTV system (e.g., P22 grouped with T7 as discussed below).

**Phage proteome analyses.** A taxonomic system based on a single locus analogous to the 16S rDNA in bacteria would be the most straightforward method for classifying phage based on their genomic sequence. It has been generally believed for many years that phage do not contain a single genetic marker present in all genomes. To confirm this, we compared all of the predicted phage encoded proteins (n = 3,981) from 105 completed genomes to each other by using BLASTP. Figure 1 shows a rank abundance curve from this analysis. There were 21,153 significant hits (E value of <0.1) between the predicted phage proteins. However, no single protein was found in all 105 genomes. YomI, a putative transglycosylase from Bacillus subtilis δSPBc2, had the greatest number of significant hits (56) to other proteins. However, those 56 similarities were distributed among only 45 genomes. Closer analysis of the YomI BLASTP results showed that most were due to a highly conserved transglycosylase domain that appears multiple times in some phage genomes, either in the same or different proteins. The similarities reported by BLASTP ranged from 16 to 63% identity over short regions of the YomI protein: >60% of the similarities span less than half the YomI protein. These results show that there is no single protein marker that is conserved even in the majority of phage genomes, effectively ruling out a taxonomy system based on a single genetic locus. In addition, a separate analysis showed that no DNA sequence motif is conserved throughout all phage genomes that could serve as a locus for biodiversity analyses (data not shown).

**Compatibility analyses.** Since there is not a phage equivalent of the 16S rDNA, different compatibility approaches were investigated (43). In a compatibility taxonomic scheme, the more characters that two organisms share, the more closely related they are. The predicted protein sequences in the genomes were the most obvious characters to use in this analysis, with the expectation that related phage would have a similar complement of proteins. With this approach, it does not matter if the common protein pool arises from a common ancestor or via lateral transfer. The main challenge was deciding which method best predicts which proteins are related. Different approaches (i.e., BLASTP and protein distances) were tested,
and the resulting trees were evaluated by using the criteria listed in Table 1. These criteria were extracted from the literature and were chosen because they discriminate between different possible relationships among phage. Both the BLASTP distance and proteomic distance methods produced groups that matched most of the criteria in Table 1. Interestingly, both methods also predicted phage groups similar to those of the ICTV system. These results strongly suggest that a genome-based compatibility approach should be appropriate for classifying phage.

While both the BLASTP distance and protein distance methods resolved many of the relationships predicted by the literature-derived criteria, there were a number of exceptions (Table 1). For example, the BLASTP method was unable to resolve the relationships among the *Leviviridae*, *Inoviridae*, and *Microviridae*, even when the different E-value cutoffs were used. Using strict E-value cutoffs ignores potential information about the relative distance between the protein sequences. In contrast, protein distances, which are an estimate of the number of changes from one protein to another, resolve the finer relationships of these phage groups (Table 1).

**Penalty scores.** An apparent problem with both methods was the strong attraction of genomes that are very different from everything in the current database except for one or two proteins, because the similar proteins draw the genomes together. Therefore, the effect of imposing a penalty for every ORF that two genomes do not share with each other was tested in conjunction with the protein distance method. For each ORF not shared between two genomes, penalty values of 5, 10, or 100 were tested. A penalty of 5 produced a tree that matched all of the criteria except that *Mycoplasma* spp. *φP1* was not included in the same group as those phage with terminal protein primers (Table 1). A penalty of 100 was found to force unrelated genomes together based on the penalty alone. A tree that incorporated a penalty of 10, however, matched all of the literature-derived criteria.

**Proposed taxonomy scheme.** Using protein distances and a penalty of 10, we propose the Phage Proteomic Tree in Fig. 2 most closely describes the relationships of different phage to each other and can serve as a genome-based classification system for phage. Unlike the ICTV system, this classification does not require direct visualization of the free virion, information about host range, or lifestyle information about the phage. The Phage Proteomic Tree shows that a genotype-based taxonomy recapitulates many aspects of the morphological-ICTV classification. Because of this overlap between the two systems, we suggest that the Phage Genomic Tree taxon be named after the most common ICTV morphology within the proposed groups. To avoid confusion between computational and morphological classifications, we propose that the suffix "-phage" replace "-viridae" when the genome is used to classify the phage. When a group is broken into subgroups, the

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**TABLE 1.** Criteria extracted from the literature that were used to evaluate the Phage Proteomic Trees

<table>
<thead>
<tr>
<th>Criteria</th>
<th>BLASTP result with E-value cutoff of</th>
<th>Protein distance result with penalty value of</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0.001</td>
<td>0.01</td>
</tr>
<tr>
<td>dsDNA, ssDNA, and ssRNA phage are fundamentally different</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>ssRNA phage fall into two groups: (i) leviruses (fr, MS2, and GA) and (ii) alleleviruses (SP, NL95, M11, and M1X1); PP7 is an out group (7)</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>Leviruses consist of two groups: G1 [fr and MS2] and group II (GA and KU1) (28)</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>φCPG1, φAR3, and Chp2 are more closely related to each other than to the avian <em>Chlamydia psittaci</em> φChp1 (53)</td>
<td>Y</td>
<td>N</td>
</tr>
<tr>
<td>ssDNA phages I2-2 and Iκe are more closely related to each other than to F1 (58)</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>ssDNA phage P13 is only distantly related to M13, f1, and fd (42)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>F-2 is similar to f1, fd, M13, Ik, and P13 (33)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>ssDNA phage SVTS2 is related to <em>Spiroplasma citri</em> φSpVI (56)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>Among the podoviruses, PZA is more closely related to B103 than to GAI (51)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td><em>Mycoplasma</em> φP1 is related to other terminal protein-containing phage (e.g., φ29) (62)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>Podoviruses SIO1, T7, YeO3-12 are related (48, 49, 54)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>Lambdaid phage include lambda, 933W, N15, HK022, HK97, VTK2-Sa, P22, D3, APSE-1, and HK620 (8, 13, 15, 37, 59, 64)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>D29, L5, and Bbb are closely related (24, 44)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>Relative relationships of Sf221 &gt; adh &gt; PVL ≈ φ105 (18)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td><em>Methanobacterium</em> φM2 is closely related to <em>Methanobacterium Wolfei</em> prophage φM100 (52)</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>HP1, P2, and 186 are related (21)</td>
<td>Y</td>
<td>Y</td>
</tr>
</tbody>
</table>

*Source references are indicated in parentheses.*

*ICTV* approved names for *α*2, *β*1, *β*2, and *γ*1 genes of archaebacteria: *φM1* for *Mycoplasma* phage M1, *φP1* for *Mycoplasma* phage P1, and *φS* for *Mycoplasma* phage S. *ICTV* approved names for *α*2, *β*1, *β*2, and *γ*1 genes of the archaebacteria: *φM1* for *Mycoplasma* phage M1, *φP1* for *Mycoplasma* phage P1, and *φS* for *Mycoplasma* phage S.
FIG. 2. The Phage Proteomic Tree was constructed from 105 completely sequenced phage genomes. The tree was generated from length-corrected protein distance scores with a penalty of 10 for missing proteins as described in the text. Each phage genome is colored according to its ICTV classification as shown in the key. To make the figure easier to read, the large group of siphophage has been manually shifted away from the other phage groups. The dotted line indicates where the two groups would connect.

subgroup should be named after the most widely studied phage in the group followed by “-like” (e.g., \( \phi \) X174-like microphage). We also noted a number of phage with very similar names that were unrelated to each other (e.g., there are ssDNA and ssRNA phages that infect \( E. coli \) that are both called Ff, and there are two dsDNA L5 phages: one associated with \( M. tuberculosis \) spp. and the other associated with \( L. siphophage \)). Therefore, we suggest that future phage genotypes be named by using the full genus species of the first characterized host, followed by \( \phi \) (phi for phage), and then the designation of the phage in the first known reference to the phage (e.g., \( E. coli \) \( \phi \) X174 [as shown in Fig. 2]). After the initial naming of the phage within a communication, the designation should be sufficient thereafter. For newly named phage, this designation should include some reference to the geographical location where the phage was isolated (e.g., HK97 = Hong Kong; SIO1 = Scripps Institution of Oceanography). In the future, when uncultured phage will be sequenced, “unknown” will take the place of the host name. The goal of these suggestions is to provide the most information possible to the reader without making any significant changes to current conventions.

The Phage Proteomic Tree resolves a number of classifica-
tion anomalies associated with the ICTV system. Most conspicuous among these is the ICTV classification of Salmonella phP22 as a podovirus, because of its morphology (i.e., it has a short tail). Botstein and Herskowitz (8) showed that P22 recombines with lambda to produce functional hybrids. Eventually, lambdoid phage were defined as phage whose genomes form viable hybrids with lambda (13). Phage that fulfill this criteria include 933W, N15, HK022, HK97, VT2-Sa, P22, and S. flexneri dSf6, as well as the prophage RAC, DLP12, and VT2-Sakai. Clark et al. (15) suggested that phage APSE-1 and HK620 share genes from a common pool and should also be considered lambdoid phage. In contrast, there is no known genetic relationships between P22 and T7, and grouping of these phage together based on the length of the tail provides very little insight into the biology of these phage. In the Phage Proteomic Tree, P22 groups with the other lambdoid phage, which more accurately reflects its biology.

The remaining Podoviridae separate into two distinct groups in the Phage Proteomic Tree. One group contains the T7, Roseobacter SIO67 φSIO1 and the Yersinia enterocolitica φYeO3-12. The latter two phage have been previously shown to be similar to T7 (48, 49, 54), and therefore we propose that this group be called the T7-like podophage. The second group of podophage, PZA-like, contains six phage, including the enteric φPRD1. Although PRD1 is classified as belonging to the Tectiviridae based on its morphological characteristics, it shares a DNA replication machinery based on protein primers with all members of this group. These two groups of podophage also have very different genome sizes (Table 2).

The siphophages present the most problems for the proposed taxonomy system, probably because of rampant lateral gene transfer (31). In the Phage Proteomic Tree, the ICTV Siphoviridae are spread into at least five separate groups. As mentioned above, the lambda-like siphophage group together.

The D29-like siphophages infect Mycobacterium spp. and appear to be more closely related to the T7-like podophages than to other siphophages. The SK1-like and TP901-like siphophage groups also form monophyletic taxa. SK1-like siphophages include the 936 and c6A groups proposed by Chopin et al. (14). The rest of the siphophage, however, either fall away from all of the other genomes (see below) or into a polyphyletic group designated the SFI21-like siphophage. The stability of the SFI21-like siphophage group is weak and will almost assuredly change as more genomes are added to the database. Interestingly, the siphophage that infect gram-positive versus gram-negative bacteria generally occupy different groups on the tree.

Similarly, P22, N15, and lambda all group together. Although P22 and lambda are similar, and N15 and lambda are similar, P22 and N15 are only distantly related. However, P22 and N15 are more similar to each other than they are to other phage that were considered, and therefore their close association is not only because of their relationship with each other and with lambda but also because of their relative similarity to the other phage in the tree.

The ICTV Myoviridae group together with the exception of the ICTV type coliphage T4 or P4. Both P4 and T4 appear to represent their own groups, of which is there is currently only one sequenced representative. Similarly, ~15% of the genomes did not group with other phage on the Phage Proteomic Tree, suggesting that there is only one representative of this group in the database. We expect that as more phage genomes are sequenced and included in the analyses, these discrepancies will be resolved and allow an accurate classification of these phage by using the Phage Proteomic Tree.

The microophage separate into two distinct groups: the φX174-like phage consist of phage that primarily infect enteric bacteria, whereas the chp1-like phage primarily infect Chlamydia species. These groups are also distinguished by the sizes
of their genomes (Table 2). Similarly, the inophage divide into two groups. Because one of these groups includes two phage genotypes, Spiroplasma dSpV1 and Spiroplasma citri dSVTS2, that fit the definition of eukaryotic viruses, we have split them into a separate group called lectrophage. Two of the ICTV Inoviridae, Acholeplasma dMVL-1 and A. laidlawii dL2, each appear to represent their own proteomic groups.

Using the taxa shown in Fig. 2, we identified the proteins that are conserved in every member of each group. These ORFs can be considered generic markers for their respective groups and may be used in the future to identify the presence of uncultured representatives in the environment (Table 2). We call these loci signature genes. Not all groups have signature genes (e.g., lambda-like siphophages). Details and alignments of the signature genes can be found at http://salmonella.utmem.edu/phage/tree/signature.html. The website has an interactive page that allows all of the signature genes within a selection of genomes to be visualized.

**Does the Phage Proteomic Tree reflect an evolutionary history?** The 16S rDNA locus has been used both as a predictor of evolutionary relationships and for measuring uncultured microbial diversity (66). Other studies have used FASTA3, Smith-Waterman, or BLAST searches to compare protein similarities to compare bacterial, archaeal, and eukaryotic genomes to the 16S rDNA phylogeny (10, 23, 57). In general, these trees agree with each other. However, lateral transfer of DNA makes the prediction of phenotype based on 16S rDNA a hazardous endeavor (20, 39, 40, 46). The case is much more problematic for phage and other viruses. In trying to infer history from sequence data, it should be considered that a proportional number of events must have occurred in the two sequences in a linear fashion. There is no reason to assume that this criterion is being met by the phage genomes. Additionally, a tree does not reflect evolutionarily history if horizontal transfer has occurred (10). It is well established that siphophage genomes are mosaics of genes from various sources including other phage and their hosts (11, 30, 31). Despite these caveats, there are two reasons to believe that the Phage Proteomic Tree provides important information about the evolutionary history of phage. First, if some phage groups genetically recombine and belong to a common gene pool as proposed by Hendrix et al. (31), then these relationships should be reflected in the Phage Proteomic Tree (e.g., the lambdoid phage versus gram-positive Siphophage). Second, if a group of phage evolves from a common ancestor, then there should also be evidence of these relationships in the Phage Proteomic Tree. Previous investigators have made detailed analyses of the evolutionary relationships among ssDNA and ssRNA phage (see references given in Table 1). The proposed method of proteomic analyses reproduced these relationships, suggesting that evolutionary relationships are reflected in the Phage Proteomic Tree.

In the future, there may be subtle improvements in the algorithms describing phage relationships. For example, we tested the possibility of weighting genes that move together more heavily in the Phage Proteomic Tree construction. Initial forays in this direction suggested that these analyses will have very little effect on the overall structure of the tree. For this reason, we believe that the proposed taxonomical system will not change significantly in the future and can be implemented now.

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