Defining risk heterogeneity for internalizing symptoms among children of alcoholic parents

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Abstract

Adopting a developmental epidemiology perspective, the current study examines sources of risk heterogeneity for internalizing symptomatology among children of alcoholic parents (COAs). Parent-based factors, including comorbid diagnoses and the number of alcoholic parents in the family, as well as child-based factors, namely child gender, formed the indicators of heterogeneity. Following a novel approach to cross-study methods, we present a three-stage analysis involving measurement development using item response theory, examination of study effects on latent trajectories over time using latent curve modeling, and prediction of these latent trajectories testing our theoretically derived hypotheses in two longitudinal investigations across both mother- and self-reported symptomatology. Specifically, we replicated previous findings that parent alcoholism has a unique effect on child internalizing symptoms, above and beyond those of both parent depression and antisocial personality disorder. However, we also found important subgroup differences, explaining heterogeneity within COAs’ risk profile in terms of the number of alcoholic parents in the family, comorbid diagnoses for the alcoholic parent and, for self-reported symptoms, child gender. Such factors serve to refine the definition of risk among COAs, suggesting a more severely impaired target group for preventive interventions, identifying the significance of familial alcoholism in individual differences underlying internalizing symptoms over time, and further specifying the distal risk matrix for an internalizing pathway to alcohol involvement.

Adopting a developmental epidemiology perspective (see Costello & Angold, 2006), the current study examines sources of risk heterogeneity for internalizing symptoms among children of alcoholic parents (COAs). Understanding factors contributing to risk heterogeneity among COAs is important for several reasons. First, internalizing symptoms are purported to form the basis for one of the pathways of risk leading to alcoholism in adults (Zucker, 2006). Thus, specifying the risk factors encompassed by parent alcoholism that are associated with internalizing symptoms may, in part, explain both COAs’ greater risk for eventual alcohol disorders as well as identify subgroup(s) of COAs most likely to show internalizing symptoms. Second, studies that have tracked the development of internalizing symptoms from early childhood into late adolescence indicate significant individual differences in the emergence of these symptoms over time (e.g., Ge, Lorenz, Conger, Elder, & Simmons, 1994; Kim, Capaldi & Stoolmiller, 2003). Thus, understanding risk heterogeneity among COAs also identifies sources of individual difference impacting the developmental emergence of internalizing symptoms. Third, by refining the risk indicator of parent alcoholism, target populations for preventive interventions may be
defined with greater specificity and sensitivity, and the phenomenological net defining risk processes leading to internalizing symptoms among COAs may be honed. Fourth, identifying sources of heterogeneity in COAs’ risk for internalizing symptoms contributes indirectly to the growing literature on developmentally informed phenotypes for alcoholism by clarifying one early link in the emergent process potentially underlying an internalizing form of adult alcoholism. For these reasons, we examined heterogeneity in the developmental trajectories of internalizing symptoms among COAs from early childhood into late adolescence across both mother- and self-reported symptoms, pooling data from two, independent longitudinal investigations.

**COAs’ Risk for Internalizing Symptoms**

Evidence suggests that the relation between parent alcoholism and child internalizing symptoms is weaker than that between parent alcoholism and child externalizing symptoms (Chassin, Rogosch, & Barrera, 1991; Edwards, Leonard, & Eiden, 2001). Nonetheless, previous studies consistently support COAs’ greater risk for internalizing symptoms compared to children of nonalcoholic parents, with COAs as young as 18 months showing elevated parent reports on internalizing symptoms (Edwards et al., 2001). Similar results come from studies focusing on early and middle childhood (Loukas, Piejak, Bingham, Fitzgerald, & Zucker, 2001; Puttler, Zucker, Fitzgerald, & Bingham, 1998; Tubman, 1993). Studies by Chassin and colleagues (Chassin et al., 1991; Chassin, Pitts, Delucia, & Todd, 1999) show that adolescent COAs have higher maternal reports of internalizing symptoms than their peers, and that this risk continues into young adulthood when COAs show higher rates of affective and anxiety disorders.

Scant literature delineates the processes underlying the association between parent alcoholism and child internalizing symptoms, although theories of risk processes underlying an internalizing pathway to adult alcoholism (Zucker, 2006) and the development of adolescent internalizing symptoms, most notably depression, may be informative (Graber, 2004). Both studies indicate that internalizing symptoms emerge from a combination of environmental risk factors and genetic liability. As such, we posit that COAs are at risk for greater internalizing symptoms via greater environmental stress exposure to which they respond with impaired coping strategies and a diathesis for internalized expressions of resulting distress.

Several lines of evidence support a genetic risk for internalizing symptoms in COAs. Family linkage and twin studies demonstrate at least modest cotransmission for internalizing disorders and alcoholism (Kendler, Neale, Heath, Kessler, & Eaves, 1994; Merikangas, Leckman, Prusoff, Pauls, & Weissman, 1985; Zucker, 2006). Psychosocial studies report greater neuroticism and inhibition among COAs (Jansen, Fitzgerald, Ham, & Zucker, 1995; Sher, 1993), heritable temperament and personality indices associated with elevated risk for internalizing symptoms (Graber, 2004). Indeed, several studies report prospective prediction of adolescent alcohol involvement from childhood internalizing problems (Caspi, Moffit, Newman, & Silva, 1996; Kellam, Ensminger, & Simon, 1980; Zucker, Chemack, & Curran, 2000). Together, these findings indicate the potential for either cotransmission or a shared genetic diathesis for alcoholism and internalizing symptoms; thus, COAs may have greater genetic vulnerability for internalizing as related to an inherited risk for alcoholism.

In addition, early stress exposure and family conflict may be components of this risk process. Previous studies show elevated rates of depression among children exposed to family violence, conflict, and other environmental stressors (DuRocher & Cummings, 2006), particularly when such stressors are cumulative, occur in tandem with developmental transitions, or are exacerbated by preexisting vulnerabilities (Graber, 2004). The impact of early stressors and family conflict may be heightened among COAs because of increased exposure (Chassin, Pillow, Curran, & Molina, 1993), less effective coping skills (Hussong & Chassin, 2004), deficits in social competence and thus social resources (Hussong, Zucker, Wong, Fitzgerald, & Puttler, 2005), and more neurotic response styles (Sher, 1993). Moreover, COAs may show
greater risk for internalizing symptoms because of the nesting of such risk structures (e.g., heightened genetic vulnerability within high-risk environments; Wong, Zucker, Puttler, & Fitzgerald, 1999), with this risk exacerbated by important developmental transitions, such as puberty, resulting in greater risk upon entry to adolescence than in childhood. We posit that these processes apply to a subsample of COAs, and thus defining those COAs at greatest risk for internalizing symptoms over the early life course is primary to defining how this risk process might operate.

Risk Heterogeneity

Although previous studies consistently show greater internalizing symptoms among COAs, not all COAs manifest this risk. To understand such heterogeneity, factors associated with variation in risk load among COAs require further delineation. Parent-based factors accounting for variability in other negative outcomes among COAs include comorbid diagnoses and the number of alcoholic parents in the family (Hussong et al., 2005; Puttler et al., 1998). Such factors may also demarcate points of heterogeneity among COAs that are relevant for examining risk for internalizing symptoms. Child-based factors that may serve a similar purpose, such as child gender, are understudied as potential moderators of risk for internalizing symptoms associated with parent alcoholism. Finally, epidemiological studies of anxiety and depression indicate changes in the prevalence of internalizing symptoms with development (Compas et al., 1997).

Various theorists posit that the diagnosis of alcoholism may be meaningfully subtyped on the basis of co-occurring psychopathologies, most notably depression (often referred to as negative affect or depressive alcoholism) and antisociality (often referred to as antisocial alcoholism; Babor, 1996; Zucker, Ellis, Bingham, & Fitzgerald, 1996; Zucker, 2006). These subtypes purportedly differ not only in associated symptomatology, but also in the course of alcohol involvement and associated problems over time, gender-sensitive susceptibilities, and genetic liability. Moreover, parents who differ in these subtypes of alcoholism may also differ in the risk for negative outcomes they convey to their offspring.

Depressive alcoholism may carry greater risk for internalizing symptoms in children because it represents genetic liability for both depression and alcoholism (Kendler, Prescott, Myers, & Neale, 2003; Preisig, Fenton, Stevens, & Merikangas, 2001), because children are exposed to and may model styles of drinking that emphasize emotional dysfunction (e.g., self-medication), because these parents are less likely to promote such resilience skills as active coping that may protect their at-risk offspring from the stressors of growing up in an alcoholic home (Hussong & Chassin, 2004), or because of the myriad of risks that these children face associated with having a depressed parent (Kaslow, Deering, & Racusin, 1994). In addition, comorbidity alone is often associated with more severe disturbance, both in individual functioning and in the family context, and thus both depressive and antisocial alcoholism may increase stress exposure for COAs. However, little research has examined heterogeneity among COAs at risk for internalizing symptoms as a function of subtypes of parent alcoholism. Other than those focusing on paternal antisocial alcoholism (e.g., Fitzgerald, Zucker, & Yang, 1995), studies of subtyping within parent alcoholism have been rare. The current study addresses this issue.

The number of alcoholic parents in the home may also impact severity of risk for internalizing symptoms in COAs. Given the impact of assortative mating (Maes et al., 1998), particularly in COAs (Boye-Beaman, Leonard, & Senchak, 1991) and the lower base rates of alcoholism in women (Grant et al., 2004), a family with an alcoholic mother often also has an alcoholic father, so the impact of maternal alcoholism is often not practically distinguishable from that of having two alcoholic parents. As such, having two alcoholic parents may convey significantly greater risk for internalizing symptoms than having a single alcoholic parent because the primary caretaker for the child is more likely to be affected, the familial stress load and dysfunction within the home is likely heightened (Chassin et al., 1991; Hussong & Chassin, 2004), and the child lacks the potential protective influence of a nonaffected
parent (Werner, 1986), although this influence is not always supported (Curran & Chassin, 1996). Supporting this hypothesis, COAs show greater risk, defined as lower social competence, when they have two rather than one alcoholic parent as early as 3 years of age (Hussong et al., 2005).

The gender of the child may also impact heterogeneity in COAs’ risk for internalizing symptoms. Although studies of adolescent depression suggest that girls and boys show diverging trajectories around age 12 (Angold & Costello, 2001), these effects are less clear in studies of internalizing symptoms (rather than depression, specifically), rating scales as opposed to diagnostic interviews, and parent-rather than self-reported symptoms (Angold & Costello, 2001; Compas et al., 1997). Nonetheless, the impact of parent alcoholism on child internalizing symptoms may vary by child gender because of gendered patterns of expressing distress (e.g., Costello, Mustillo, Erkanli, Keeler, & Angold, 2003) that may become intensified with the onset of puberty and adolescence (Wichstrom, 1999). Few studies have tested for such gender differences, although equal risk for parent-reported internalizing symptoms in male and female COAs has emerged from studies of children ages 2–8 (Loukas et al., 2001; Puttler et al., 1998).

A final consideration in examining heterogeneity in COAs’ risk for internalizing symptoms is the extent to which this risk is specific to parent alcoholism. Chassin et al. (1991) found that parent alcoholism uniquely predicted drug use and internalizing symptoms, above and beyond parental affective disorders and antisocial personality disorder (ASP), although parent affective disorder also uniquely predicted internalizing symptoms. Similarly, maternal depression and alcoholism have shown unique effects from one another in predicting behavior problems in young children (Fitzgerald et al., 1993). However, other research has failed to show greater internalizing in COAs compared to children with a depressed parent (Jacob & Leonard, 1986) and to young children without an alcoholic parent, after controlling for maternal depression (Edwards et al., 2001). Together, these studies provide mixed support for the hypothesis that parent depression, rather than parent alcoholism, may be responsible for COAs’ greater risk for internalizing symptoms. However, they do not consider the extent to which the co-occurrence of these disorders defines a particularly deleterious context for child development. Namely, children whose parents show both alcoholism and depression may show markedly greater risk for internalizing symptoms than children whose parents are only alcoholic.

In sum, the presence of comorbid diagnoses, the number of impaired parents in the home, and child gender may each refine our risk index for internalizing symptoms among COAs over the early life course. These risk indices may also serve to heightened COAs’ risk with puberty and the transition to adolescence. Specifically, we expected increased risk for internalizing symptoms among COAs whose parents show depressive and perhaps antisocial alcoholism, who have two alcoholic parents rather than one, and who are female. Because of the potential cotransmission of genetic risk for internalizing symptoms and adult alcoholism, we expected children of parents with depressed alcoholism to show greater risk for internalizing symptoms than would children of parents with antisocial alcoholism or with alcoholism alone. Moreover, risk mechanisms associated with greater exposure to stress and conflict may be enhanced in youth with more dense family alcoholism (two alcoholic parents rather than one) or parents with comorbid subtypes of alcoholism because of increased risk factors (higher genetic load) and greater disruptions within the family process (because of more severe family psychopathology impacting levels of conflict).

The Current Study

The current study investigates the extent to which comorbid parental diagnoses, number of alcoholic parents, and child gender can refine the concept of risk among COAs as specific to given subpopulations. Because such subpopulations are naturally rarer than the broader population of COAs, larger samples of these at-risk children have been difficult and expensive to recruit and study, especially within a longitudinal design. By pooling across two prominent
studies of COAs, our analyses benefit from a larger sample of COAs and from longitudinal investigations of how such subgroups may vary over time.

The contributing studies have several methodological strengths that bolster potential weaknesses associated with multistudy methods. Each study utilizes a contrast group to permit direct comparison between COAs and their demographically similar peers. Moreover, each study sampled from the community and thus avoided the problems associated with treatment samples. They also provide broad assessments of variables that co-occur with parent alcoholism, permitting analyses delineating the specificity of these effects. Finally, these studies include strategies to avoid reporter bias through direct ascertainment of parent alcoholism and multiple reporter assessment techniques. The strengths of these individual studies thus contribute to our confidence in pursuing cross-study analyses of them. Using this cross-study approach, the current study offers a unique and rigorous test of factors underlying risk heterogeneity among COAs for the development of internalizing trajectories over the early life course, from ages 2 through 17, as based on two reporters: mother and her child.

**Method**

**Samples and procedures**

Two studies contribute data to the current analyses. Each study used a longitudinal, high-risk design in which COAs and controls with nonalcoholic parents were assessed repeatedly.

The Michigan Longitudinal Study (MLS) used a rolling, community-based recruitment procedure to assess three cohorts of children from families with alcoholic parents as well as children from matched, contrasting families without an alcoholic parent (Zucker, Fitzgerald, et al., 2000). In Cohort 1, 338 males, initially ages 2–5, and their parents completed a series of in-home interviews. Of these, 262 included an alcoholic parent and 76 were matched controls. COA families were identified through court-arrest records for male drunk drivers with a minimum blood alcohol concentration (of 0.15% at first arrest or 0.12% if multiple arrests) as well as through community canvassing. Inclusion criteria for COA families were that fathers meet Feighner diagnostic criteria for alcoholism during adulthood based on self-reports (Feighner et al., 1972), reside with their biological sons ages 3–5, and be in intact marriages with their sons’ biological mothers at the time of first contact and that sons show no evidence of fetal alcohol syndrome. (Fetal alcohol syndrome was assessed by Master’s level clinical psychologists trained in early child assessment who had experience using the Fetal Alcohol Study Group guidelines [Sokol & Claren, 1989] to evaluate facial dysmorphology, growth retardation, and other criteria to make the classification. For more details see Bingham, Fitzgerald, Fitzgerald, & Zucker, 1996, and Noll, Zucker, Fitzgerald, & Curtis, 1992.) Contrast families were recruited through community canvassing in the neighborhoods in which COA families resided and were matched to COA families on the basis of age and gender of the target child and parallelism of community characteristics; both parents of controls had to be free of lifetime adult alcoholism and drug abuse/dependence diagnoses. Assessment waves involving both parents and the child(ren) (see following) were at 3-year intervals.

Cohort 2 consisted of girls from the Cohort 1 families who were recruited when Cohort 1 boys were at Wave 2. Because Cohort 1 inclusion criteria involved having families with at least one male child and no restrictions on other children, these families had fewer girls. To provide age parallelism with Cohort 1 child ages, where possible, and to begin assessments at ages 3–5, a broader age range was used to recruit girls. One target girl per family was enrolled if she was 3–11 years old, with those ages 3–5 receiving the Wave 1 battery, those ages 6–8 receiving the Wave 2 battery, those ages 9–11 receiving the Wave 3 battery, and (at follow-up) those ages 12–14 receiving the Wave 4 battery. Similarly, the third cohort contained all additional siblings of the male target child in Cohort 1 who were ages 3–11 at the time of data

1. Although 3-year-olds were targeted as the lower bound for study recruitment, because of assessment scheduling issues, six boys were assessed shortly before their third birthday.
collection, with assessment batteries structured by age as for Cohort 1. The siblings in Cohorts 2 and 3 were reassessed in all subsequent waves of data collection and received measures that paralleled the male target children in Cohort 1 based on age of assessment. Because children in Cohorts 2 and 3 were recruited later in time and could enter the study at older ages, fewer waves of data collection were available for these participants by design. A total of 152 girls (from 152 families) comprised Cohort 2 and an additional 106 siblings (from 84 families) comprised Cohort 3.

Across all three cohorts, 596 children from 338 families provided four waves of data, separated by 3-year intervals. A total of 399, 339, 402, and 418 participants had reports on their functioning available at Waves 1–4, respectively, yielding an overall participation rate of 73% for those with at least two waves of data in the sample (see Zucker, Fitzgerald, et al., 2000, for a detailed description of sample criteria and recruitment procedures). These data were augmented by annual assessments completed by participating children (but not parents) beginning at age 11 and ranging up through age 17 (for the current study).

Each family completed a primarily in-home assessment conducted by trained staff that was blind to family diagnostic status. Although protocol length varied by wave of assessment, parent assessments typically involved 9–10 hr of data collection and child assessments were typically 7 hr (except for annual interviews which took 1 hr) each spread over seven testing sessions. Families were compensated $300 for their involvement if the assessment was carried out on a one-child family and $375 if two children were involved. Seventy percent of eligible court families and 93% of community-canvased families agreed to participate (overall participation rate was 84%).

In the Adolescent/Adult Family Development Project (AFDP; Chassin et al., 1991), 454 adolescents and their parents completed repeated, computerized, in-home interviews. Of these, 246 included a biological and custodial alcoholic parent, whereas 208 were matched controls. COA families were recruited by means of court records (n = 103), wellness questionnaires from a health maintenance organization (n = 22), and community telephone surveys (n = 120). Inclusion criteria for COA families were Hispanic or non-Hispanic Caucasian ethnicity, Arizona residency, having a 10.5- to 15.5-year-old adolescent, English-speaking, lack of cognitive limitations precluding an interview, and a biological and custodial parent who met DSM-III lifetime criteria for alcohol abuse or dependence. (Although not an exclusion criteria, fetal alcohol effects are unlikely in this sample given the low rate of heavy drinking. Only 14 mothers in the full sample drank twice weekly at three or more drinks per occasion as per mothers’ report or fathers’ reports of mothers’ drinking; Chassin et al., 1991). Lifetime presence of parent alcoholism was determined through diagnostic interviews with parents using the Diagnostic Interview Schedule or through spousal report using the Family History Research Diagnostic Criteria (if the alcoholic parent was not interviewed). Matched control families were recruited by phone screens of families identified through reverse directory searches based on identified COAs. Control families matched COA families on the basis of ethnicity, family composition, target child’s gender and age, and socioeconomic status (SES). Direct interview data confirmed that neither biological nor custodial parents met criteria for a lifetime alcoholism diagnosis. Recruitment biases have been found to be minimal (Chassin, Barrera, Bech, & Kossak-Fuller, 1992; Chassin et al., 1991). Although contact rates were low (38.3% from archival records and 44.2% from reverse directories), participation rates were high (72.8% of eligible COA families and 77.3% of eligible control families participated). No recruitment biases were found for alcoholism indicators (available in archival data), although lower participation rates among lower SES and Hispanic families were found.

These families were initially interviewed when the adolescents were ages 11–15 (Wave 1) and reinterviewed on an annual basis when the adolescents were ages 12–16 (Wave 2) and 13–17 (Wave 3). Sample retention has been high, with 97% interviewed at all of the first three waves (for details, see Chassin et al., 1992). Adolescents and parents completed computer-based interviews separately.
on each occasion and each received up to $65 for participation.

Because analyses used the accelerated longitudinal structure of these aggregate data (see Mehta & West, 2000, for a discussion of this approach), the mother- and self-report samples are described with respect to the underlying age distribution rather than assessment waves (see Figure 1). Across MLS and AFDP, 782 mothers participated in at least one assessment period, reporting on 1,028 children and adolescents. For mother-report analyses, all waves of assessment were analyzed for those who had at least one mother report of internalizing symptoms between ages 2 and 17 and who had complete parental psychopathology data. The resulting sample of 811 participants from 597 families was 63% male, 12% minority (primarily Hispanic), and 66% COA, with 42% having parents with a high school education or less and 28% having at least a college degree (see Table 1). Analyses indicated that excluded cases were similar to those retained in ethnicity, child gender, and parent diagnoses, although excluded cases were more likely to be from MLS, $\chi^2 (1, n = 1028) = 8.85, p = .003$, had a higher proportion of parental antisocial personality, $\chi^2 (1, n = 895) = 17.25, p < .001$, and had lower parental education, $t (1026) = 4.11, p < .0001$.

As with the mother-report data, all waves of assessment were analyzed for participants who had at least one self-report of internalizing symptoms between ages 10 and 17 and who had complete parental psychopathology data. The resulting sample of 833 participants from 612 families was 64% male, 12% minority (primarily Hispanic), and 68% COA, with 41% of families having parents with less than a high school education and 28% having at least a college degree (see Table 1). Analyses indicated that excluded cases were similar to those retained in parental antisocial personality, although excluded cases were more likely to be in AFDP than MLS, $\chi^2 (1, n = 971) = 118.35, p < .0001$, to be female, $\chi^2 (1, n = 971) = 5.31, p = .02$, to be ethnic minority, $\chi^2 (1, n = 971) = 30.64, p < .0001$, to have lower parental education (marginally), $t (964) = 1.88, p = .06$, and to have higher rate of parental depression, $\chi^2 (1, n = 850) = 19.31, p < .0001$, but were less likely to be COA, $\chi^2 (1, n = 970) = 9.72, p = .002$.

**Measures**

**Demographic variables** included participant gender (0 = female, 1 = male), age, ethnicity (0 = Caucasian, 1 = Hispanic or African American), and parent education (maximum of either parent’s educational status assessed through parental report on a 6-point scale [0 = less than 12 years or not a high school graduate, 5 = graduate or professional school training]).

**Parent alcoholism** was assessed by parent self-report in MLS and AFDP. In MLS, parental alcohol use disorder at Wave 1 was assessed by the Diagnostic Interview Schedule (DIS—Version III; Robins, Helzer, Croughan, & Ratcliff, 1980), the Short Michigan Alcohol Screening Test (Selzer, Vinokur, & van Roodjian, 1975), and the Drinking and Drug History Questionnaire (Zucker, Fitzgerald, & Noll, 1990). On the basis of information collected by all three instruments, a lifetime diagnosis was made by a trained clinician using DSM-IV criteria. In each subsequent wave, the past 3-year diagnoses were made. Interrater reliability for the diagnosis was excellent ($\kappa = .81$).

In AFDP, parents completed assessments for lifetime alcoholism at Wave 1 and for past year drinking and alcohol-related consequences at Waves 2 and 3. At Wave 1, when possible, parents were directly interviewed using a computerized version of the DIS to assess diagnostic status using DSM-III lifetime criteria. In cases where a biological parent was not directly interviewed (21% of fathers and 4% of mothers in

2. To test for effects of reporter differences because of sample membership, we reanalyzed a key model (Model 3b) using only participants who were included in both the mother- and self-report analysis samples ($N = 771$). No substantive differences were found.

3. In all cases, the parent of interest is the biological parent, regardless of residence. Given the inability of the current study designs to parse environmental and genetic risk, we consider this index the most appropriate to the current questions of interest.

4. The availability of three sources of information collected over three different sessions, separated in some instances by as much as several months, served as an across method validity check on respondent replies. In cases of discrepant information, the data represented by the majority of information sources was used in establishing the diagnosis.
the current subsample), the reporting parent was used as the informant using the FH-RDC (Andreasen, Endicott, Spitzer, & Winokur, 1977).\(^5\) For Waves 2 and 3, we created proxy diagnoses based on parent reports of drinking frequency and their experience of alcohol-related consequences and dependence symptoms reflecting *DSM-IV* criteria for alcohol abuse and

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5. Previous studies have found a high degree of test–retest reliability using this method to diagnose alcoholism (98.8% agreement, \(\kappa = .95\); Zimmerman, Coryell, Pfohl, & Stangl, 1988) and excellent specificity (92%) and sensitivity (90%) for wives reporting on their husbands’ substance abuse disorders (Kosten, Anton, & Rounsaville, 1992).

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dependence (using items from Mayfield, McLeod, & Hall, 1974; Sher, 1993). Parents endorsing at least weekly drinking and experiencing either one of four abuse symptoms or any three of seven dependence symptoms in the past year were diagnosed as having a current (within the past year) alcohol disorder. In the current analyses, parents in MLS and AFDP were assigned to the impaired group if they met these criteria for alcohol abuse or dependence at any wave of assessment.

*Parent comorbid diagnoses* were assessed via parent interview. Lifetime affective disorder (major depression or dysthymia) and ASP were obtained by DIS interview in the MLS, and by the computerized DIS in AFDP. In

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![Figure 1. The cross-study design.](image-url)
AFDP, parents completed the DIS and received lifetime diagnoses of affective disorder or ASP at Wave 1. In MLS, parents completed the DIS at each wave of assessment. The diagnosis of an affective disorder was based on meeting criteria at any assessment prior to the first wave of data collection for that child. (Because parents could, for example, complete a lifetime assessment for their first child at Wave 1 and subsequently a past 3-year assessment for a second child entering the study at Wave 2, a diagnosis was given if the parent met criteria at any wave of assessment prior to that child’s entry into the study. Thus, for each child, parent affective disorder was a child-level variable representing a lifetime diagnosis temporally precedent to the child’s first wave of data collection.) An ASP diagnosis was based on Wave 1 only because this disorder, by definition, yields a lifetime diagnosis. The diagnosis is based on the DIS, supplemented by information provided by the 46-item self-report Antisocial Behavior Inventory (Zucker, Ellis, Fitzgerald, Bingham, & Sanford, 1996), which assesses the frequency of aggressive/antisocial activity in childhood and adulthood. For current analyses, parent affective and ASP disorders, respectively, were considered present if either biological parent received a diagnosis.

Child internalizing symptoms were assessed via mother- and self-report. In both studies, participants completed the Child Behavior Checklist (CBCL; MLS) or an adapted form of the CBCL (AFDP; Achenbach & Edelbrock, 1981). In the current study, we examined 13 items from the CBCL anxiety-depression subscale (although three items were not administered to adolescents in AFDP and thus coded as missing for these participants). The response scale ranged from 0 to 2 for parent report and for self-report in MLS and from 0 to 4 for self-report in AFDP, with an assessment window of past 6 months for MLS and past 3 months for AFDP. For the current study, we chose to dichotomize items as absent (0) or present (>0) because of sparse endorsement which introduced estimation problems and model instability.

### Analytic approach

Our statistical approach permits simultaneous analysis of data drawn from different longitudinal studies. Although we are drawing on existing methodologies, we combine these techniques in novel ways consistent with what McArdle and Horn (2002) refer to as mega-analysis. This methodology involved three primary phases.

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#### Table 1. Demographic characteristics within and across studies and reporters

<table>
<thead>
<tr>
<th></th>
<th>Mother Report</th>
<th>Self-Report</th>
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<tbody>
<tr>
<td></td>
<td>MLS</td>
<td>AFDP</td>
</tr>
<tr>
<td>Male</td>
<td>69.58</td>
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<td>Caucasian</td>
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<td>Parent education</td>
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</tr>
<tr>
<td>High school or less</td>
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</tr>
<tr>
<td>College graduate</td>
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<td>35.35</td>
</tr>
<tr>
<td>Alcoholic parents</td>
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<td></td>
</tr>
<tr>
<td>Both</td>
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<td>6.34</td>
</tr>
<tr>
<td>Mother alcoholic</td>
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<tr>
<td>Parental ASP</td>
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<td>9.67</td>
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Note: ASP, antisocial personality disorder; self-report, N = 833; mother report, N = 811. There were 771 participants with both self- and mother-report internalizing data. All values are percentages.

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6. Differences in the assessment window for this instrument are part of the study effect that was tested in all aspects of analyses.
First, we developed consistent measurement that is sensitive to differences because of contributing study through the use of item response theory (IRT; e.g., Embretson & Reise, 2000). IRT has several strengths in measurement evaluation and development. Perhaps most salient for constructing symptom scales is the ability to consider differential item contributions to the scale scores (as opposed to equal unit weighting as assumed by traditional alternatives such as proportion scores). In IRT, items contribute to scale scores depending on the pattern of responses endorsed across all administered items, such that items more strongly related to the latent trait being measured provide greater influence on scale scores than those that are less discriminating relative to the trait. As a result, IRT-based scores capture greater true score variability in the pattern of symptom endorsement than do proportion scores. Other advantages of IRT over traditional scoring methods include the creation of a true interval-level metric for the latent construct and the availability of techniques for testing and incorporating measurement invariance across discrete groups, such as those defined by study membership, age, and gender (see Curran, Edwards, Wirth, Hussong, & Chassin, 2007; Khoo, West, Wu, & Kwok, 2006).

Second, we used these IRT-derived scores to model trajectories of internalizing symptoms over time using latent curve modeling (LCM). These methods examined study differences in trajectories of internalizing symptomatology using techniques for incorporating cohort-sequential designs and missing data estimation (Bollen & Curran, 2006). Moreover, these analyses benefited from the greater variability of IRT-derived scores that can thus be used to index greater intra- and interindividual variability in symptom trajectories over time (Curran et al., 2007). Third, we tested our substantive questions of interest in conditional growth models in which predictors of these trajectories were examined.

Results

Cross-study analysis phase 1: Measurement

Because AFDP and MLS differ to some extent in methodology and sample composition, we first evaluated measurement invariance in our CBCL measure of internalizing symptoms and used information about invariance to develop comparable scales for mother- and self-reported internalizing that share a common metric across studies. Specifically, we used IRT to evaluate differential item functioning (or DIF; e.g., Thissen, Steinberg, & Wainer, 1993) as a test of whether items functioned similarly in relation to the underlying construct of internalizing symptoms across important subgroups based on child age, gender, and study membership. Next, incorporating the results of DIF testing, we calibrated items to calculate optimal item parameters for creating scale scores. Because the responses to each item were dichotomized, it was appropriate to fit a two-parameter logistic IRT model (2PL; Thissen & Orlando, 2001). With this IRT model, each item is characterized by a logistic function, or item characteristic curve, with its own discrimination and severity (or threshold) parameters. This curve describes the probability of endorsing an item given the scale value of the latent construct (typically denoted as $\theta$) being measured, where $\theta$ is assumed to have a standard normal distribution. The discrimination parameter describes the extent to which the item is related to the latent trait being measured (akin to a factor loading), whereas the severity parameter represents the level of $\theta$ at which a respondent has a 50% probability of endorsing the item. Finally, we used the resulting parameters to estimate individual timespecific scores for each report of internalizing symptoms.

Both DIF testing and item calibration were conducted by including all available participants with at least one internalizing assessment within reporter, regardless of other missing data. Thus, our calibration samples of 1,026 for mother reports and 971 for self-reports were larger than our analysis samples (described above). We conducted DIF tests using Thissen’s (2001) IRTLRDIF software (following Thissen et al., 1993). First, we tested for DIF according to age, where the calibration sample was dichotomized into young and old groups (age 2 to 11 [$n = 475$] vs. age 12 to 17 [$n = 551$] for mother reports and age 10 to 13 [$n = 429$] vs. age 14 to 17 [$n = 542$] for...
self-reports). For each item, a chi-square statistic tested whether the discrimination and threshold IRT parameters were (jointly) significantly different according to group membership. Upon finding DIF for a given item, follow-up chi-square tests determined whether the discrimination parameter, threshold parameter, or both significantly differed across groups. Seven internalizing items showed significant age DIF for mother reports and no items did so for self-reports (see Table 2).

To derive separate item parameter estimates by age group, items with age DIF were split into two “subitems,” one for young participants and one for old participants, with the subitem not pertaining to a particular group coded as missing. After creating the subitems, we tested for gender DIF among the subitems and original items that did not show age DIF. Three items showed significant gender DIF for mother reports and one item did so for self-reports. After creating additional subitems for these items with gender DIF, we tested for DIF by study membership. None of the items showed significant study DIF for mother reports but three items did so for self-reports. By thus testing and accounting for DIF, we controlled for potential measurement confounds in subsequent growth models using IRT-scaled internalizing scores.

In the calibration phase, we estimated the discrimination and severity parameters for each of the items. We established a common IRT metric for the two studies using a type of common-items equating called “concurrent calibration,” such that single-population item parameters were estimated from a combined data set containing data from both studies (Wingersky & Lord, 1984). We fit the 2PL model to the item responses from the calibration sample using MULTILOG (Thissen, 1991), obtaining separate parameter estimates for subitems that were created as a result of DIF testing. The item parameter estimates and their standard errors are given in Table 2.

In the scoring phase, we used these item parameter estimates to calculate IRT-scaled internalizing scores for each participant’s set of repeated observations based on her or his item responses. We followed the scoring method described by Thissen and Orlando (2001), using maximum a posteriori values for each participant’s set of repeated measures, computed with MULTILOG. These scores served as the observed dependent variables in the growth models described below.

Cross-study analysis phase 2: Constructing trajectories

Mean values by age and by study for the IRT-scaled internalizing scores are presented in Figure 2 for both mother- and self-reports. We fit a series of LCMs to the internalizing IRT scores across all ages, using full-information maximum likelihood estimation (e.g., Arbuckle, 1996) to account for the fact that, by virtue of each study’s design, no participant provided complete data across all ages. In addition, because some of the participants in the MLS were siblings, we used the method of Muthén and Satorra (1995) to adjust standard errors and model fit statistics to account for this source of non-independence among observations. All models were estimated with Mplus (Muthén & Muthén, 2004).

We first fit a series of unconditional growth curve models to specify the optimal functional form of growth for the internalizing scores and

7. We chose this age cutoff based on sample size constraints and previous research suggesting that changes in the trajectories of internalizing symptoms, particularly gender differences in depression, occur around this age (Angold & Costello, 2001). We would ideally test for age differences at every pair of adjacent ages, but given the sample size constraints, we chose to dichotomize age for these comparisons.

8. Because this procedure led to a large number of significance tests (one for each item), we applied the Benjamini–Hochberg procedure (Benjamini & Hochberg, 1995) to control the overall false discovery rate across multiple DIF tests, as recommended by Thissen (2001).

9. Because all participants in the AFDP were 10 years old or older, we removed observations from the MLS for participants younger than 10 years before testing study DIF in mother reports.

10. If DIF testing indicated that an item had a significantly different severity parameter as a function of group membership (e.g., young vs. old) but the discrimination parameter did not significantly differ, we constrained the discrimination parameters of the corresponding subitems to be equal.
to establish a firm basis for moving to conditional growth models examining the effects of covariates on random growth factors (McArdle, 1988). These forms of growth included a simple linear model, a quadratic model, and a set of piecewise linear models with varying ages for the “knot point” at which the two linear pieces were joined (Bollen & Curran, 2006). Because these models were not formally nested, we compared their fit to the data by examining the match between the model-implied growth trajectories and the observed means at each age.

For mother reports, a piecewise linear model with the first linear piece describing change from age 2 to 7 and the second, describing change from age 7 to 17, provided the best balance between model fit and parsimonious

<table>
<thead>
<tr>
<th>Item Description</th>
<th>Mother Reports</th>
<th>Self-Reports</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Discrimination</td>
<td>Severity</td>
</tr>
<tr>
<td>Feels lonely</td>
<td>1.48 (0.12)</td>
<td>1.06 (0.12)Y</td>
</tr>
<tr>
<td></td>
<td>0.76 (0.10)YG</td>
<td>0.59 (0.34)YG</td>
</tr>
<tr>
<td></td>
<td>0.76 (0.10)YB</td>
<td>1.49 (0.24)YB</td>
</tr>
<tr>
<td></td>
<td>1.98 (0.40)YG</td>
<td>1.24 (0.15)OG</td>
</tr>
<tr>
<td></td>
<td>1.07 (0.32)YB</td>
<td>2.76 (0.65)OB</td>
</tr>
<tr>
<td></td>
<td>1.09 (0.22)Y</td>
<td>1.52 (0.30)Y</td>
</tr>
<tr>
<td></td>
<td>1.91 (0.27)O</td>
<td>1.40 (0.13)O</td>
</tr>
<tr>
<td></td>
<td>1.64 (0.23)Y</td>
<td>0.43 (0.11)Y</td>
</tr>
<tr>
<td></td>
<td>0.79 (0.15)O</td>
<td>0.06 (0.16)O</td>
</tr>
<tr>
<td></td>
<td>1.96 (0.26)O</td>
<td>1.07 (0.10)O</td>
</tr>
<tr>
<td>Nervous/highstrung/tense</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.00 (0.16)</td>
<td>1.20 (0.11)Y</td>
</tr>
<tr>
<td></td>
<td>1.41 (0.13)OG</td>
<td>1.11 (0.12)OB</td>
</tr>
<tr>
<td></td>
<td>1.11 (0.12)OB</td>
<td>1.31 (0.20)A</td>
</tr>
<tr>
<td></td>
<td>1.70 (0.14)Y</td>
<td>1.31 (0.08)O</td>
</tr>
<tr>
<td></td>
<td>1.31 (0.08)O</td>
<td>1.63 (0.14)</td>
</tr>
</tbody>
</table>

Note: \( N = 1026 \) for mother-report and \( N = 971 \) for self-reports. Superscript abbreviations denote item showing DIF by age (Y, young; O, old), gender (G, girls; B, boys), and study (M, Michigan Longitudinal Study; A, Adolescent/Adult Family Development Project). The young subgroup refers to children ages 10–14 and the old subgroup refers to children ages 15–17. The values are item parameter estimates (standard errors).

aItems for which only Michigan Longitudinal Study data were available by self-report.

bDiscrimination parameters constrained to be equal in self-report analyses.
The model was parameterized so that the intercept factor represented internalizing at age 13 to capture the transition to adolescence. (Alternate intercept codes were probed during model testing when significant influences on slope factors suggested age differences in relevant main effects and results are reported in footnotes). For self-reports, a piecewise linear model with the first linear piece describing change from age 10 to 14 and the second describing change from age 14 to 17 was selected. As with mother reports, the model was parameterized so that the intercept factor represented internalizing at age 13. These piecewise models defined the basic functional form of growth in the internalizing scores for our remaining growth models examining the longitudinal relationships between our covariates of theoretical interest and internalizing.

Baseline model: Internalizing growth model conditioned on study and demographic covariates. Because the pattern of mean internalizing scores in Figure 2 showed clear differences as a function of study, our initial model described internalizing trajectories across ages conditioned on study as well as demographic covariates, namely gender, ethnicity, and parental education.

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11. We also fit a piecewise linear model with the linear pieces joined at age 11 and another model with the pieces joined at age 12 to permit gender differences in internalizing symptoms to emerge at these ages (Angold & Costello, 2001). By examining the correspondence between fitted trajectories and observed means, we determined that these models did not fit the data as well as the model with the two linear pieces joined at age 7.
In addition, for this and each subsequent model, we tested whether study membership moderated the relationships between each covariate and the latent intercept and slope factors. (Because only participants from the MLS were observed during ages 2–7, we did not test whether study moderated the relations between covariates and the first slope factor for mother reports). Finally, non-significant study by covariate interaction terms were dropped in the final reported models (although all main effects were retained) to facilitate model interpretation and to stabilize model estimation.\textsuperscript{12} Parameter estimates for the relationships between the growth factors and the demographic covariates are in Tables 3 and 4.

\begin{table}[h]
\centering
\begin{tabular}{l|ccc|ccc|ccc}
\hline
& \multicolumn{6}{c}{Growth Factor} & \\
& \multicolumn{3}{c}{Intercept (Age 13)} & \multicolumn{3}{c}{Slope Age 2–7} & \multicolumn{3}{c}{Slope Age 7–17} \\
& \textit{b} & \textit{SE} & \textit{b} & \textit{SE} & \textit{b} & \textit{SE} & \textit{b} & \textit{SE} \\
\hline
Baseline & & & & & & & & \\
Study & 0.46**** & 0.07 & & & & & & \\
Gender & -0.09 & 0.05 & 0.04 & 0.03 & & & & \\
Ethnicity & -0.05 & 0.09 & 0.02 & 0.07 & & & & \\
Parent education & -0.07** & 0.03 & 0.01 & 0.01 & & & & \\
Model 1 & & & & & & & & \\
Study & 0.66**** & 0.09 & & & & & & \\
Gender & -0.07 & 0.05 & 0.04 & 0.03 & & & & \\
Ethnicity & -0.06 & 0.08 & 0.02 & 0.07 & & & & \\
Parent education & -0.06** & 0.03 & 0.01 & 0.01 & & & & \\
No. of alcoholic parents & & & & & & & & \\
0 vs. 1 (MLS) & 0.12 & 0.10 & -0.02 & 0.04 & 0.01 & 0.02 & & & \\
0 vs. 1 (AFDP) & -0.17** & 0.08 & & & & 0.01 & 0.02 & \\
2 vs. 1 & 0.31**** & 0.09 & -0.03 & 0.04 & 0.03* & 0.02 & & & \\
Model 2 & & & & & & & & \\
Study & 0.65**** & 0.08 & & & & & & \\
Gender & -0.07 & 0.05 & 0.04 & 0.03 & & & & \\
Ethnicity & -0.04 & 0.08 & 0.01 & 0.06 & & & & \\
Parent education & -0.05** & 0.02 & 0.01 & 0.02 & & & & \\
No. of alcoholic parents & & & & & & & & \\
0 vs. 1 (MLS) & 0.15 & 0.10 & -0.02 & 0.04 & 0.02 & 0.02 & & & \\
0 vs. 1 (AFDP) & -0.11 & 0.08 & & & & 0.02 & 0.02 & \\
2 vs. 1 & 0.28**** & 0.08 & -0.02 & 0.03 & 0.02 & 0.02 & & & \\
Parent depression & 0.36**** & 0.08 & 0.04 & 0.03 & 0.00 & 0.02 & & & \\
Parent ASP & 0.02 & 0.09 & -0.04 & 0.05 & 0.04 & 0.02 & & & \\
\hline
\end{tabular}
\caption{Latent growth modeling results testing the effect of the number of alcoholic parents on mother report of internalizing trajectories}
\begin{flushleft}
\textit{Note:} MLS, Michigan Longitudinal Study; AFDP, Adolescent/Adult Family Development Program; ASP, antisocial personality disorder; \textit{N} = 811. Study is coded 0 = MLS, 1 = AFDP; gender is coded 0 = female, 1 = male; ethnicity is coded 0 Caucasian, 1 = ethnic minority. Regression coefficients for the number of alcoholic parents (0 vs. 1) are within-study simple slope effects.
\textsuperscript{a}The comparison group has one alcoholic parent in these dummy codes.
\textsuperscript{b}Report of simple slopes found upon probing for study interaction.

\textit{p < .10. **p < .05. ***p < .01. ****p < .001.}}
\end{flushleft}
\end{table}

12. However, we also fit models containing all possible study by covariate interactions to confirm that the absence of these terms did not substantially affect important substantive conclusions.
4 for both reporters. It is important that there was no evidence that study significantly moderated any of the relationships between the demographic variables and the growth factors for either reporter; thus, only main effects and interactions with time (i.e., predictions of slope) were retained. For mother reports (see Table 3), gender was not significantly related to the intercept factor or the first linear slope factor, suggesting that boys and girls showed similar increases in internalizing scores from age 2 to 7. However, gender was significantly associated with the second linear slope factor ($p = .002$), such that among boys, internalizing scores significantly decreased on average from age 7 to 17 ($p < .001$), whereas among girls, internalizing scores were largely constant across these ages ($p = .34$; see Figure 3 for model-implied internalizing trajectories by Table 4.

**Table 4. Latent growth modeling results testing the effect of the number of alcoholic parents on self-reported internalizing trajectories**

<table>
<thead>
<tr>
<th>Covariate</th>
<th>Intercept (Age 13)</th>
<th>Slope Age 10–14</th>
<th>Slope Age 14–17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$b$</td>
<td>$SE$</td>
<td>$b$</td>
</tr>
<tr>
<td><strong>Baseline</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Study</td>
<td>$0.23^{****}$</td>
<td>0.06</td>
<td>$0.04$</td>
</tr>
<tr>
<td>Gender</td>
<td>$-0.18^{****}$</td>
<td>0.05</td>
<td>$-0.10^{****}$</td>
</tr>
<tr>
<td>Ethnicity</td>
<td>$-0.02$</td>
<td>0.08</td>
<td>$-0.11^{**}$</td>
</tr>
<tr>
<td>Parent education</td>
<td>$-0.01$</td>
<td>0.02</td>
<td>$-0.03^{**}$</td>
</tr>
<tr>
<td><strong>Model 1</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Study</td>
<td>$0.25^{****}$</td>
<td>0.06</td>
<td>$0.05$</td>
</tr>
<tr>
<td>Gender</td>
<td>$-0.18^{****}$</td>
<td>0.05</td>
<td>$-0.10^{****}$</td>
</tr>
<tr>
<td>Ethnicity</td>
<td>$-0.03$</td>
<td>0.08</td>
<td>$-0.11^{**}$</td>
</tr>
<tr>
<td>Parent education</td>
<td>$0.00$</td>
<td>0.02</td>
<td>$-0.03^{**}$</td>
</tr>
<tr>
<td>No. of alcoholic parents</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0 vs. 1 (MLS)$^a$</td>
<td>$-0.17^{****}$</td>
<td>0.06</td>
<td>$0.01$</td>
</tr>
<tr>
<td>2 vs. 1 (MLS)$^a$</td>
<td>$0.16^*$</td>
<td>0.08</td>
<td>$0.03$</td>
</tr>
<tr>
<td>2 vs. 1 (AFDP)$^a,b$</td>
<td>$0.43^{***}$</td>
<td>0.15</td>
<td>$0.03$</td>
</tr>
<tr>
<td><strong>Model 2</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Study</td>
<td>$0.24^{****}$</td>
<td>0.06</td>
<td>$0.05$</td>
</tr>
<tr>
<td>Gender</td>
<td>$-0.18^{****}$</td>
<td>0.05</td>
<td>$-0.10^{****}$</td>
</tr>
<tr>
<td>Ethnicity</td>
<td>$-0.02$</td>
<td>0.08</td>
<td>$-0.11^{**}$</td>
</tr>
<tr>
<td>Parent education</td>
<td>$0.00$</td>
<td>0.02</td>
<td>$-0.03^{**}$</td>
</tr>
<tr>
<td>No. of alcoholic parents</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0 vs. 1 $^a$</td>
<td>$-0.14^{****}$</td>
<td>0.06</td>
<td>$-0.01$</td>
</tr>
<tr>
<td>2 vs. 1 $^a$</td>
<td>$0.19^{***}$</td>
<td>0.08</td>
<td>$0.06$</td>
</tr>
<tr>
<td>Parent</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depression</td>
<td>$0.13^{***}$</td>
<td>0.06</td>
<td>$-0.06^*$</td>
</tr>
<tr>
<td>ASP (MLS)$^b$</td>
<td>$-0.07$</td>
<td>0.08</td>
<td>$-0.07$</td>
</tr>
<tr>
<td>ASP (AFDP)$^b$</td>
<td>$0.24^*$</td>
<td>0.13</td>
<td>$-0.07$</td>
</tr>
</tbody>
</table>

*Note: MLS, Michigan Longitudinal Study; AFDP, Adolescent/Adult Family Development Program; ASP, antisocial personality disorder; $N = 833$. Study is coded 0 = MLS, 1 = AFDP; gender is coded 0 = female, 1 = male; ethnicity is coded 0 = Caucasian, 1 = ethnic minority. Regression coefficients for the number of alcoholic parents (2 vs. 1) and parent ASP are within-study simple slope effects.

$^a$The comparison group has one alcoholic parent in these dummy codes.

$^b$Report of simple slopes found upon probing for study interaction.

* $p < .10$. ** $p < .05$. *** $p < .01$. **** $p < .001$. 

Defining risk heterogeneity for internalizing symptoms
gender along with observed means). In addition, parental education was significantly related to the intercept factor \((p = .01)\), such that higher levels of parental education were associated with lower levels of internalizing at age 13. None of the remaining relations between demographic covariates and the growth factors was significant.

Controlling for these demographic covariates, study membership was significantly related to the intercept factor \((p < .001)\), such that participants in the AFDP had higher age 13 internalizing scores. The relation between study membership and the second slope factor was marginally significant \((p = .07)\). However, within both studies, the mean slope for age 7 to 17 did not differ from zero \((p = .34\) for MLS; \(p = .23\) for AFDP), indicating that participants maintained relatively consistent levels of internalizing over these ages, after controlling for the effects of the demographic covariates. Finally, the mean of the first linear slope factor was significantly greater than zero \((p < .001)\), reflecting that internalizing scores increased, on average, from age 2 to 7. This slope factor did not support the estimation of a random component, so its variance was set to zero. The residual variances of the intercept factor and the second slope factor were significantly greater than zero \((p < .001\) for intercept; \(p = .04\) for second slope). The baseline model accounted for 11.3% of the variance in the intercept factor and 24.0% of the variance in the slope factor defining mother-reported internalizing trajectories.

In the self-report model (see Table 4), gender was also significantly related to the intercept factor \((p < .001)\) and to the first linear slope factor \((p = .002)\), such that girls

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13. Although we did not find a gender difference in internalizing at age 13, the relationship between gender and the second slope factor implied that there are gender differences in internalizing at other ages. To evaluate this possibility, we also estimated growth models to probe whether covariate effects on the intercept factor were maintained at ages other than 13 (see Biesanz, Deeb-Sossa, Aubrecht, Bollen, & Curran, 2004). Specifically, we parameterized follow-up models with the intercept factor defined to represent level of internalizing in early childhood (age 3), preadolescence (age 10), and middle adolescence (age 15). Gender was only significantly related to the age 15 intercept factor \((p = .01)\), such that girls had higher levels of internalizing than boys.

14. We found no notable differences in interpretation when fitting this model to the full sample of \(N = 1,026\), thus minimizing concerns with bias because of attrition.
had higher internalizing scores at age 13 that remained relatively stable, whereas boys had lower scores at age 13 that significantly decreased from age 10 to 13 ($p < .0001$) but did not change from age 13 to 17 ($p = .20$; see Figure 4). Although neither ethnicity nor parent education predicted age 13 intercepts, both were associated with change over time. Specifically, ethnicity was significantly associated with the first slope factor ($p = .002$), such that among ethnic minority participants, internalizing scores significantly decreased on average from age 10 to 14 ($p = .002$), whereas among White participants, internalizing scores stayed at approximately the same level across these ages ($p = .23$). Parental education also predicted the first slope ($p = .04$), such that higher levels of education were associated with greater decreases in internalizing from ages 10 to 14. Controlling for these demographic covariates, study membership was significantly related to the intercept factor for self-reports ($p < .001$), such that participants in the AFDP had higher age 13 internalizing scores, although study membership did not predict change over time. Finally, the residual variances of each

Figure 4. The baseline model showing the piecewise growth model by gender with the observed means.
growth factor were significantly greater than zero \((p < .001\) for intercept; \(p = .01\) for first slope; \(p = .001\) for second slope). The baseline model accounted for 6.6% of the variance in the intercept factor and 15.8 and 0.8% of the variance in the slope factors for ages 10–14 and 14–17, respectively.

**Probing study effects.** Because of our interest in cross-study methods, we also explored possible explanations for the study effect on internalizing trajectories (focusing on the mother-report model as an example). First, because these models control for parental education, ethnicity, and gender, differences in base rates of these demographic factors across studies do not explain study differences in internalizing symptomatology. Second, subsequent models showed that neither different proportions of parental depression and antisociality (see results of Model 2 below) nor of boys and girls across the COA and control groups (i.e., Gender \(\times\) COA interactions; see results of Model 4) explained these study differences. Third, we found no evidence that outlying observations influenced study differences in internalizing symptoms.15 Fourth, we found no differences in these results as a function of whether we examined IRT-based internalizing scores or proportion scores. Fifth, item analyses indicated that study differences were not driven by a subset of internalizing symptoms. Sixth, we considered differences in assessment procedures. However, instrument differences in the time frame of assessment (6 months in MLS and 3 months in AFDP), should result in an opposite pattern of study differences than that found here. As such, no obvious explanation for study differences was identified that could then be modeled. We thus chose to include our study indicator as a covariate in all subsequent models and to test for study differences in the relation between all predictors and internalizing to correct for potential study differences in hypothesis testing.

In sum, both gender and parent education significantly explained individual differences in mother-reported internalizing trajectories, whereas gender, ethnicity, and parent education were each related to self-reported internalizing trajectories. Below, we describe models that expand on this baseline model to determine whether heterogeneity in parent alcoholism further accounts for individual differences in internalizing trajectories.

**Cross-study analysis phase 3: Hypothesis testing**

**Model 1: Internalizing growth model conditioned on number of alcoholic parents.** We examined heterogeneity within parental alcoholism effects on internalizing trajectories as a function of whether one or both parents had an alcohol use disorder. We created two dummy coded variables in which participants with one alcoholic family (the baseline group; with 8.09 and 7.77% of these impaired parents being mothers) were compared to those without an alcoholic parent (0 vs. 1 comparison) and to those with two alcoholic parents (2 vs. 1 comparison). We first added these dummy codes and the interaction between the dummy codes and study membership to our baseline model (see Model 1, Tables 3 and 4).

For mother reports, study membership did not moderate the effects of having two alcoholic parents versus one alcoholic parent; however, it did moderate the effects of having one alcoholic parent versus none \((p = .03)\). Specifically, participants in the AFDP with one alcoholic parent had significantly higher levels of internalizing at age 13 than controls \((p = .04)\), whereas this comparison was not significant among participants in the MLS. The dummy code comparing participants with two versus one alcoholic parent was significantly associated with the intercept factor \((p < .001)\) and marginally associated with the

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15. For both self- and mother-report data, we used the “OL-Straj” SAS macro (Carrig, Wirth, & Curran, 2004) to explore the individual, child-level ordinary least squares (OLS) trajectories for potential outlying observations with respect to both level of internalizing (OLS intercepts) and change (OLS slopes) over time. If an observation was outlying in box plots of these individual intercept and slope estimates, it was temporarily removed from the data set, and Model 2 was reestimated. For both the self- and mother report, removal of these potentially influential observations \(n = 5\) for the self-report and \(n = 9\) for the mother report) led to trivial changes in all parameter estimates and did not affect any inferential conclusions.
slope factor for age 7 to 17 ($p = .07$). Participants with two alcoholic parents had higher levels of age 13 internalizing and steeper increases than participants with only one alcoholic parent.\(^{16}\) The addition of parent alcoholism predictors accounted for an additional 4.8 and 3.7% of the variance in the intercept and slope, respectively, for mother-reported trajectories of internalizing symptoms beyond the baseline model.

For self-reports, study membership did not moderate the effect of having one alcoholic parent versus none; however, it did (marginally) moderate the effect on the intercept factor of having one alcoholic parent versus two alcoholic parents ($p = .09$). Specifically, in both studies, participants with two alcoholic parents had significantly higher levels of internalizing at age 13 than those with one ($p = .05$ for MLS; $p = .003$ for AFDP), although this difference was greater among AFDP participants. The dummy code comparing participants with one alcoholic parent versus none was significantly associated with the intercept factor ($p = .004$), such that participants with one alcoholic parent had higher levels of age 13 internalizing than controls. Neither of the parent alcoholism dummy codes significantly predicted the two slope factors. The inclusion of parent alcoholism predictors accounted for an additional 2.3, 0.5, and 0.5% of the variance in the intercept and two slope factors for the self-reported trajectories of internalizing symptoms beyond the baseline model.

**Model 2: Internalizing growth model conditioned on number of alcoholic parents and other parental psychopathology.** We next tested the unique effects of parental alcoholism, specifically evaluating whether the effects described above for Model 1 remained predictive of internalizing trajectories while controlling for affective and ASP disorders. There was no evidence that study membership interacted with effects of either of these covariates on the growth curve factors for mother reports (see Model 2, Table 3). Parental depression was significantly associated with the intercept factor ($p < .001$), such that age 13 internalizing scores were higher for participants who had a depressed parent than for other participants. It is important that despite this effect of parental depression, the comparison between participants with two alcoholic parents versus one remained significantly associated with the intercept factor ($p < .001$). However, the dummy variable comparing participants with one alcoholic parent to controls did not account for significant differences in the intercept factor over and above parental depression and ASP in either study (even though the study by dummy variable interaction remained significant). Parental depression and ASP were not significantly related to the slope factors. Finally, parental alcoholism no longer impacted the second slope over and above the effects of parental depression and ASP. The final model accounted for an additional 4.9 and 2.8% of variance over the baseline model in the intercept and slope factors for mother-reported internalizing trajectories.

For self-reports (see Model 2, Table 4), study membership interacted with parent ASP to predict the intercept factor ($p = .04$), such that parent ASP was marginally associated with higher age 13 internalizing in AFDP but not MLS. Parental depression predicted the intercept ($p = .03$) and (marginally) the first slope factor ($p = .06$). Specifically, participants with depressed parents had higher internalizing scores at age 13 but showed greater decreases in internalizing scores over early adolescence. That is, participants with a depressed parent showed elevated risk for internalizing symptoms from ages 11 to 13, but not afterward, when their internalizing scores were similar to those of participants without a depressed parent.\(^{17}\) Of importance,
the comparison between participants with one alcoholic parent and controls remained significant \( (p = .02) \), as did the comparison between participants with two alcoholic parents versus one \( (p = .02) \).

Together, these results suggest that having two alcoholic parents is a unique risk factor for increased mother- and self-reported internalizing at age 13 over and above other parental diagnoses, but not consistently for rates of change in internalizing. Moreover, having even a single alcoholic parent was also uniquely related to self-, although not mother-, reported internalizing trajectories. The final model accounted for an additional 1.1, 5.1, and 3.1\% of the variance over the baseline model in the intercept and slope factors for self-reported internalizing trajectories.

Models 3a and 3b: Internalizing growth model conditioned on subtypes of parental alcoholism. Next, we considered subtype differences in the form of parent alcoholism by first classifying each parent into one of four categories: no alcohol diagnosis (i.e., controls), an alcohol-only diagnosis (i.e., no comorbidity within the alcoholic parent for depression or ASP), comorbid alcohol and depression diagnosis without ASP (i.e., depressed subtype), or comorbid alcohol and ASP diagnosis (i.e., antisocial subtype). Because this analysis aimed to disaggregate heterogeneous types of parental alcoholism, parents with a depression or ASP diagnosis but not alcoholism were considered controls, thus providing a more conservative test of parent alcoholism risk more generally. Based on these categories for each parent’s alcoholism, we then classified each child into one of four groups: control (i.e., no alcoholic parents, mother report \( n = 272 \), self-report \( n = 270 \)), parent alcohol only (i.e., one or both parents have an alcohol diagnosis, but neither parent’s alcohol diagnosis is comorbid, mother report \( n = 363 \), self-report \( n = 377 \)), parent depressed subtype (at least one depressed subtype parent but neither parent antisocial subtype, mother report \( n = 57 \), self-report \( n = 67 \)), and parent ASP subtype (at least one parent antisocial subtype, mother and self-report \( n = 119 \)). To probe differences between these four groups of participants in internalizing trajectories, we created a set of contrast variables (Model 3a) as well as a set of dummy variables (Model 3b) that were included as predictors along with study, child gender, child ethnicity, and parent education. Although these two coding comparisons elicit the same model fit, they test different sets of hypotheses comparing the parent alcoholism subtypes. Results for Models 3a and 3b are in Tables 5 (mother reports) and 6 (self-reports).

Model 3a: Contrast codes. The contrast variables tested whether (a) trajectories for controls differed from those with alcoholic parents, regardless of subtype; (b) trajectories of those in the alcohol-only group differed from those with parents in the comorbid subtypes (combined); and (c) trajectories differed for those in the depressed versus antisocial subtype. Consistent with previous models, the contrast comparing controls with those in the three subtype groups was significantly associated with the intercept factor \( (p < .001) \), such that participants with an alcoholic parent had higher levels of internalizing than control participants. In addition, the regression of the intercept factor on the contrast comparing participants in the alcohol-only subtype group with participants in the two comorbid subtype groups was significant \( (p < .001) \), such that age 13 internalizing scores were higher for participants in the alcohol-only subtype group than for participants in the alcohol-only groups. The final contrast was marginally associated with the intercept factor \( (p = .05) \), such that participants in the depressed subtype group had higher age 13 internalizing scores than participants in the ASP subtype group. Finally, none of the contrast variables was associated with either slope factor, nor

18. Parents with all three diagnoses were classified into the antisocial subtype because this group constituted a larger proportion of the ASP than depressive subgroups. This subtype is also one known for its substantial internalizing comorbidity (Babor, 1996). If these trimorbid cases are classified under the depressed subtype, internalizing risk for the ASP subgroup is similar to that of the alcohol-only group, although with the self-report results, the age 13–17 slope difference between the alcohol-only group and the ASP subgroup remains significant \( (p = .02) \).
were any contrast by study interaction terms associated with the growth factors.

For self-reports, the contrasts comparing controls with those in the three subtype groups was significantly associated with the intercept factor \((p = .004)\). In addition, the contrast comparing those in the alcohol-only subtype group with the comorbid subtype group was a marginally significant predictor of the intercept factor \((p = .08)\) and of the first slope factor \((p = .08)\), such that comorbid parental alcoholism was associated with higher levels of internalizing symptoms at age 13 and greater decreases in internalizing from age 10 to 14 relative to the alcohol-only group. In other words, the increased risk for internalizing symptoms associated with

Table 5. Latent growth modeling results testing the effect of parent alcoholism subtypes on mother report of internalizing trajectories

<table>
<thead>
<tr>
<th>Covariate</th>
<th>Growth Factor</th>
<th>Intercept (Age 13)</th>
<th>Slope Age 2–7</th>
<th>Slope Age 7–17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(b) SE</td>
<td>(b) SE</td>
<td>(b) SE</td>
</tr>
<tr>
<td>Control vs. COA contrast</td>
<td></td>
<td>0.06**** 0.02</td>
<td>0.00 0.01</td>
<td>0.01 0.00</td>
</tr>
<tr>
<td>Alcohol-only vs. comorbid contrast</td>
<td></td>
<td>0.11**** 0.03</td>
<td>0.00 0.01</td>
<td>0.01 0.01</td>
</tr>
<tr>
<td>Depressed vs. ASP subtype contrast</td>
<td></td>
<td>0.16** 0.08</td>
<td>0.03 0.04</td>
<td>0.01 0.02</td>
</tr>
</tbody>
</table>

Model 3a

<table>
<thead>
<tr>
<th>Covariate</th>
<th>Growth Factor</th>
<th>Intercept (Age 13)</th>
<th>Slope Age 10–14</th>
<th>Slope Age 14–17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(b) SE</td>
<td>(b) SE</td>
<td>(b) SE</td>
</tr>
<tr>
<td>Control vs. alcohol only</td>
<td></td>
<td>–0.04 0.06</td>
<td>–0.01 0.03</td>
<td>0.00 0.01</td>
</tr>
<tr>
<td>Depressed subtype vs. alcohol only</td>
<td></td>
<td>0.49**** 0.15</td>
<td>0.03 0.05</td>
<td>0.02 0.02</td>
</tr>
<tr>
<td>ASP subtype vs. alcohol only</td>
<td></td>
<td>0.17* 0.09</td>
<td>–0.03 0.05</td>
<td>0.04 0.02</td>
</tr>
</tbody>
</table>

Model 3b

Note: COA, children of alcoholic parents; ASP, antisocial personality disorder; \(N = 811\). The effects of study, gender, ethnicity, and parent education are not in the table, although they were covariates in each model.

* \(p < .10\). ** \(p < .05\). **** \(p < .001\).

Defining risk heterogeneity for internalizing symptoms

Table 6. Latent growth modeling results testing the effect of parent alcoholism subtypes on self-reported internalizing trajectories

<table>
<thead>
<tr>
<th>Covariate</th>
<th>Growth Factor</th>
<th>Intercept (Age 13)</th>
<th>Slope Age 10–14</th>
<th>Slope Age 14–17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(b) SE</td>
<td>(b) SE</td>
<td>(b) SE</td>
</tr>
<tr>
<td>Control vs. COA contrast</td>
<td></td>
<td>0.06**** 0.02</td>
<td>–0.01 0.01</td>
<td>0.00 0.01</td>
</tr>
<tr>
<td>Alcohol-only vs. comorbid contrast</td>
<td></td>
<td>0.04* 0.02</td>
<td>–0.02* 0.01</td>
<td>0.02 0.01</td>
</tr>
<tr>
<td>Depressed vs. ASP subtype contrast</td>
<td></td>
<td>–0.08 0.05</td>
<td>–0.01 0.03</td>
<td>0.04 0.03</td>
</tr>
</tbody>
</table>

Model 3a

<table>
<thead>
<tr>
<th>Covariate</th>
<th>Growth Factor</th>
<th>Intercept (Age 13)</th>
<th>Slope Age 10–14</th>
<th>Slope Age 14–17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(b) SE</td>
<td>(b) SE</td>
<td>(b) SE</td>
</tr>
<tr>
<td>Control vs. alcohol only</td>
<td></td>
<td>–0.15** 0.06</td>
<td>–0.03 0.03</td>
<td>0.04 0.03</td>
</tr>
<tr>
<td>Depressed subtype vs. alcohol only</td>
<td></td>
<td>0.20* 0.09</td>
<td>–0.06 0.06</td>
<td>0.01 0.05</td>
</tr>
<tr>
<td>ASP subtype vs. alcohol only</td>
<td></td>
<td>–0.03 0.08</td>
<td>–0.08* 0.05</td>
<td>0.09* 0.05</td>
</tr>
</tbody>
</table>

Model 3b

Note: COA, children of alcoholic parents; ASP, antisocial personality disorder; \(N = 833\). The effects of study, gender, ethnicity, and parent education are not in the table, although they were covariates in each model.

* \(p < .10\). ** \(p < .05\). **** \(p < .001\).
comorbid parent alcoholism that is apparent in early adolescence diminishes by late adolescence to levels consistent with that for the alcoholic-only subgroup. The final contrast code comparing the depressed subtype group with the ASP subtype group was not significantly related to either the intercept factor or the first slope factor. None of the contrast codes was significantly related to the second slope factor. Although these results show that parent alcoholism comorbidity is generally related to higher levels of internalizing, at least in early adolescence, they do not clearly indicate which of these two parent alcoholism subtypes differs from the alcohol-only subtype. Thus, we now turn to Model 3b to examine these relationships further.

**Model 3b: Dummy codes.** The dummy variables were defined such that the alcohol-only group was the reference group. For mother reports, the alcohol-only subtype group did not differ from controls. However, the regression of the intercept factor on the dummy code comparing the alcohol-only subtype with the depressed subtype was significant ($p < .001$). Specifically, participants in the depressed subtype group had higher age 13 internalizing scores than participants in the alcohol-only group. Similarly, participants in the ASP subtype group had higher age 13 internalizing scores than participants in the alcohol-only group. Consistent with Model 3a, analysis of these dummy codes did not suggest that the slope factors differed across the four groups, nor were dummy code by study interactions associated with the internalizing trajectories.

For self-reports, the dummy code comparing the alcohol-only subtype group with controls was significantly related to the intercept factor ($p = .01$), such that control participants had lower age 13 internalizing scores than participants who had an alcoholic parent without comorbidity. As with mother reports, the dummy code comparing the alcohol-only subtype group with the depressed subtype was also significantly related to the internalizing intercept at age 13 ($p = .03$). Although no difference was found between the alcohol-only subtype and the ASP subtype group in predicting the intercept, marginally significant associations were found with the first ($p = .07$) and second ($p = .06$) slope factors. Specifically, the ASP subtype group had greater average decreases in internalizing from age 10 to 14 as well as greater average increases in internalizing from age 14 to 17 relative to the alcohol-only group. None of the remaining relationships between the dummy codes and the slope factors was significant.

**Summary of Models 3a and 3b.** Consistent with previous models, Models 3a and 3b suggest that parental alcoholism is predictive of the overall level of internalizing (specifically at age 13) and to some extent with rates of change in self-, although not mother-, reported internalizing. Across reporter, comorbidity impacted these relationships such that COA participants with a depressed parent consistently showed a greater risk for internalizing symptoms relative to COA participants whose parents did not report depression. Participants with antisocial alcoholic parents showed higher levels of mother-, although not self-, reported internalizing scores at age 13 and different patterns of change over time in self-, although not mother-, reported internalizing scores compared to participants with alcoholic-only parents.

**Model 4: Gender differences.** This model extended Model 2 to examine whether child gender interacted with the relationship between parent alcoholism and internalizing trajectories when controlling for parent depression and ASP. Specifically, we added the interaction between child gender and (the dummy codes for) the number of alcoholic parents to Model 2. However, none of these interactions was significantly related to the mother-reported internalizing growth factors, indicating that the effects of parental alcoholism on internalizing trajectories for ages 2–17 are not moderated by gender. For self-reported internalizing, gender significantly moderated the relationship between the dummy code for having two versus one alcoholic parent and the intercept factor ($p = .004$). Specifically, among girls, participants with two alcoholic parents had significantly higher age 13 internalizing scores than participants with one alcoholic parent ($p <$
.001). Among boys, this comparison was not significant. No other significant interaction involving gender was found.

Discussion

The current study provides a rigorous empirical test of hypotheses based on data drawn from multiple studies, two reporters of child internalizing symptoms, and over an extended period of development. Results offer important qualifications to previous reports of increased internalizing symptomatology associated with parent alcoholism. Specifically, we replicated previous findings that parent alcoholism has a unique effect on child internalizing symptoms, above and beyond those of both parent depression and antisocial personality. However, we also found important subgroup differences, explaining heterogeneity within COAs’ risk profile in terms of the number of alcoholic parents in the family, comorbid diagnoses for the alcoholic parent and, for self-reported symptoms, child gender. Such factors serve to refine the definition of risk among COAs, suggesting a more severely impaired target group for preventive interventions, identifying the significance of familial alcoholism in individual differences underlying internalizing symptoms over time, and further specifying the distal risk matrix for an internalizing pathway to alcohol involvement.

Parent-based factors and COAs’ risk heterogeneity

Results of the current study underscore the conclusion that not all COAs are destined to manifest risk, in this case for internalizing symptoms, in childhood and adolescence. Rather, across reporters, studies, and observed ages, COAs’ risk for internalizing symptoms was significantly greater among participants with two alcoholic parents rather than among those with one alcoholic parent. In addition, this risk from having two alcoholic parents was significant above and beyond that presented by parental depression and antisociality. The findings regarding risk associated with having a single alcoholic parent differed by reporter of child internalizing symptoms. By mother reports, having a single alcoholic parent conveyed no additional risk for children’s internalizing symptoms above and beyond the comorbid risk of parent depression. By child reports, COAs with one alcoholic parent still showed greater risk for internalizing symptoms than those without an alcoholic parent, even when controlling for the effects of both parent depression and antisociality. Thus, the risk of having a single alcoholic parent differed by reporter but the risk of having two alcoholic parents, rather than one, was robust.

At least two mechanisms may account for the heightened risk for internalizing symptoms associated with having two alcoholic parents. First, having two affected parents reflects both a higher genetic and environmental risk load for children. With two alcoholic parents, children have an increased risk for genetic factors common to major depression and alcoholism that are also associated with depressed alcoholism (Zucker, 2006). However, transmission of genetic risk may also be an indirect influence on risk for internalizing symptoms. For example, Kendler et al. (2003) have shown risk for alcoholism and externalizing conditions (namely, antisocial behavior, conduct disorder, and drug disorders) as distinct from that for internalizing disorders (namely, major depression and various anxiety disorders). Children with two alcoholic parents may be more likely to manifest a heightened genetic risk for disinhibitory disorders, especially given triggering environmental concomitants often evident within nested high-risk contexts such as greater exposure to stress, violence and abuse (Cairns & Cairns, 1994). In turn, internalizing symptoms may follow as a secondary consequence as described by some psychosocial models of aggression and delinquent behavior (e.g., Davis, Sheeber, & Hops, 2002). Even in the absence of disinhibitory behaviors, children with two alcoholic parents may simply experience greater internalizing symptoms via increased environmental risk, including more intense family conflict, marital aggression, and stress as well as greater impaired parenting because of the lack of a nonimpaired parent.

Second, given the effects of assortative mating, maternal alcoholism often occurs in the
presence of paternal alcoholism such that the risk of having two alcoholic parents becomes synonymous with that of maternal alcoholism. Thus, maternal alcoholism may be particularly detrimental for child internalizing problems because of the greater likelihood of impairment in the primary caretaker. Of importance, the risk for internalizing symptoms associated with having two alcoholic parents was not fully accounted for by parental depression and antisociality. Because families with two alcoholic parents may plausibly create familial contexts with more severe psychopathology more generally, this finding is important.

Parent psychopathology further delineated which COAs showed greatest risk for internalizing symptoms. Specifically, COAs whose alcoholic parent also had depression showed the greatest risk for internalizing symptoms, followed by those whose alcoholic parents also had ASP disorder (either with or without depression). Given that 21% of those families with antisocial alcoholics contained a parent with trimorbidities (i.e., alcoholism, ASP, and depression) and 16% contained a second parent with depressive alcoholism, it may be surprising that children whose parents were of the antisocial alcoholism subtype were not at greater risk for internalizing than those whose parents were of the depressive alcoholism subtype. Moreover, children of antisocial alcoholics only showed marginally significant differences from those of alcoholic parents without comorbidities (at all ages in mother reports, and only in late adolescence in self-reports). The presence of ASP in at least one alcoholic parent may confer greater genetic risk for disinhibitory disorders than for internalizing symptoms, thus changing how symptoms are manifested in these youth but perhaps not the level of risk they experience for problem outcomes more broadly.

These subtype analyses used a group comparison method to test for heterogeneity of risk among COAs. We chose this approach because we were interested in heterogeneity within COAs, rather than the effect of diagnoses across COAs and controls. As such, some of the control participants had parents who were diagnosed with depression (11%) or ASP (1%). This comparison thus speaks to the robust nature of the current findings. An alternative approach would be to test interactions between alcoholism and comorbid diagnoses, permitting control for the main effects of depression and ASP in testing for the multiplicative effect of alcoholism and these comorbid diagnoses. The interaction approach is appropriate for tests of moderation but is less practical where comorbid diagnoses form the predictors of interest, given the high correlation among the predictors (Cohen, Cohen, West, & Aiken, 2003). This was particularly true for testing the interactions between ASP and alcoholism as very few parents evidenced ASP without comorbid alcoholism (n = 3).

Reporter differences in these risk factors were minimal, but we did find differences in the impact of parent alcoholism on child internalizing symptoms as a function of having one alcoholic parent versus none and of having an alcoholic parent with no comorbid psychopathology versus a nonalcoholic parent. In both cases, these effects were found for self-, but not for mother-, reported symptoms; thus, more severe disturbance was necessary for group differences to emerge in mother reports of child internalizing. This pattern of findings argues against a potential bias for mothers in alcoholic families to overreport child internalizing problems and may reflect the greater sensitivity of adolescents versus their mothers as reporters of internalizing conditions. However, it may also reflect some extent of reporting bias associated with maternal depression. Previous studies show that depressed mothers tend to overreport child symptomatology to some extent (Boyle & Pickles, 1997). In the current models, such a reporting bias could overestimate the impact of maternal depression on mother-, although not self-, reported internalizing symptoms, resulting in inappropriately diminished effects of parent alcoholism.

However, differences between mother and self-report results may also reflect differences in the children’s age spans in the two models (i.e., 2–17 years old in mother reports and 10–17 years old in self-reports). Thus, some of these differences may be driven by effects pertaining to younger children, although little impact of parent alcoholism on change in internalizing symptoms over time was found. Future work that considers the time-varying impact
of parent alcoholism on children’s internalizing symptoms is needed to further disaggregate these influences.

In sum, results suggest that risk for internalizing symptoms associated with parent alcoholism are largely conveyed within those families having two rather than one alcoholic parent or having a parent with a comorbid disorder, particularly depression. Further delineating risk in this manner suggests that families where COAS are more likely to manifest internalizing symptoms may have greater and broader genetic vulnerability as well as more chaotic environmental contexts than previously described.

**Gender differences in internalizing symptoms**

Gender differences in internalizing symptoms were largely consistent with previous studies showing their emergence with adolescence (e.g., Angold & Costello, 2001; Twenge & Nolen Hoeksema, 2002). Higher rates of mother-reported internalizing scores emerged between ages 13 and 15, largely guided by greater decreases in boys’ symptoms in early adolescence in the face of relatively stable levels of girls’ symptoms. Similarly, self-reported internalizing scores decreased in early adolescence for boys but remained relatively stable for girls, with gender differences emerging by age 13. However, these results of trajectory analyses of internalizing symptoms differ from the widely reported pattern of increasing rates of depression in girls but not boys with the onset of adolescence around age 12. The findings are consistent, however, with work by Angold et al. (1996), showing that reports of gender differences in adolescent depression are in part because of decreasing depression in boys. Other work has indicated that the increasing rates of depression in girls are less consistently found in studies of more broadly defined internalizing symptoms and rating scale versus diagnostic interview assessments (Angold & Costello, 2001; Compas et al., 1997), all of which are relevant to the current study.

Although gender failed to moderate the effects of parent alcoholism on mother-reported internalizing symptoms, gender differences were found in the relation between the number of alcoholic parents in a family and self-reported internalizing symptoms. Specifically, girls were at greater risk for internalizing symptoms if they had two alcoholic parents rather than one, whereas for boys no additional risk was found. Gender specificity in the risk for internalizing symptoms in such severe families may reflect a greater vulnerability to internalized forms of distress with the onset of adolescence in girls, such that boys and girls may experience similar risk for problem outcomes but the form of distress differs. Alternatively, such gender specificity might define a point of emergence for an internalizing pathway to alcoholism. Because parents with two alcoholic parents necessarily include an alcoholic mother, which is rare in one alcoholic parent families (i.e., because of assortative mating), and because women are more likely to show a depressed form of alcoholism, having two alcoholic parents rather than one may increase risk for depressed alcoholism specifically. If the developmental pathway to this form of alcoholism evidences gender differences similar to that of the adult form of depressed alcoholism, then a greater susceptibility for girls is to be expected. Thus, if early internalizing symptoms are a marker of risk for the development of later depressed alcoholism and if having two alcoholic parents does increase risk for the cotransmission of both depression and alcohol disorders, then greater internalizing symptoms in adolescent girls with two alcoholic parents may reflect gender differences in the initial emergence of depressed alcoholism, a pattern of gender differences that is commonly noted in studies of depressed alcoholism in adults.

**Conclusions**

Based on these findings, we conclude that heterogeneity in COAs’ risk for internalizing symptoms can meaningfully be related to the number of alcoholic parents in the family, the presence of comorbid psychopathology in the alcoholic parent, and the gender of the child. These factors were more often predictors of levels of internalizing symptoms as opposed to change over time. Rather than a limitation, this finding is important, and indicates that these moderating effects on COAs’ risk are evident early and remain pervasive from childhood...
through adolescence. In short, these effects indicate that these at-risk COAs are on an elevated, stable trajectory for internalizing symptoms.

Our conclusions are strengthened by our use of an integrative or cross-study approach, which uses resources efficiently and directly tests for replication across studies, as well as by the rigor of the longitudinal investigations contributing to these analyses, adequate statistical power, and the consistency of our findings. However, these strengths are tempered by limitations in the complexity of our models, which focus on heterogeneity in risk as a primary step before delineating the process of risk manifestation, limited ethnic diversity to examine the generalizability of these findings, reliance on symptom checklists that may not relate directly to risk for disorder, and reliance on an assessment of internalizing symptoms that is unable to effectively distinguish between symptoms of depression and anxiety, limiting specificity (Graber, 2004). Future work is needed to further explore the limits of these findings and to examine the developmental and neurobiological processes that underlie the greater risk for internalizing symptoms found in these subsets of COAs. A more fine-grained understanding of these processes might also emerge were parental diagnosis to be treated as a time-varying covariate rather than as a lifetime attribute (Loukas, Zucker, Fitzgerald, & Krull, 2003).

Although an advantage in many ways, pooling samples across independent data collection efforts can introduce a number of complexities both in constructing appropriate cross-study measurement and in drawing appropriate inferences in hypothesis testing. When the data of interest are longitudinal, these complexities are multiplied. The combination of IRT and LCM permits an optimal approach to creating equivalent measurement across studies, which in turn, informs hypothesis testing of longitudinal associations. Although both techniques have been widely used in psychosocial research, their combination for purposes of cross-study analysis, or what McArdle and Horn (2002) term mega-analysis, is relatively novel. Although having advantages in flexibility over traditional meta-analysis (Berlin, Santanna, Schmid, Szczech, & Feldman, 2002), such cross-study analyses do not overcome common controversies in longitudinal modeling (e.g., the extent of measurement invariance over time necessary to model longitudinal predictions, and the contributions of sampling bias in drawing inferences). Nonetheless, cross-study analyses represent a clear advance in developmental research by permitting the analysis of larger samples over longer periods of ontogeny.

In conclusion, the current study shows that COAs are a heterogeneous risk group, with some manifesting limited risk for internalizing symptoms over the early life course and others substantial and pervasive risk. This risk is independent of that conveyed solely by parent depression and antisociality, although it is heightened in the presence of these comorbid disorders, particularly depression. The extent to which internalizing symptoms and alcohol disorders travel together over time is informed by these findings by suggesting that the two emit from the same family context, with previous studies showing that the early emergence of internalizing symptoms as noted here is a predictor of adolescent alcohol involvement, particularly of problem use. Thus, the current findings call for greater attention to risk processes that explain why children of depressed alcoholics and of parents with two alcoholic parents manifest such consistent risk for internalizing symptoms and what are the sequelae of such risk vis-à-vis later forms of adult alcoholism.

References


boys. *Journal of Emotional and Behavioral Disorders, 4*, 95–104.


