Partitioned exhaled nitric oxide to non-invasively assess asthma

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Asthma background and pathophysiology

Asthma is a chronic repetitive inflammatory disease of the airways, characterized by increased responsiveness of the tracheobronchial tree (i.e., airway hyperresponsiveness, AHR) to a wide variety of stimuli. AHR is defined as an increase in the ease and degree of airway narrowing in response to bronchoconstrictor stimuli. Asthma symptoms are usually linked with widespread but heterogeneous bronchoconstriction and airflow obstruction that is at least partially reversible, either spontaneously or with treatment. Asthma exacerbations usually occur at night or in the early morning and these episodes of bronchoconstriction elicit the characteristic clinical symptoms of severe wheezing, dyspnea, chest tightness, and cough. Between the exacerbations, patients may be virtually asymptomatic. The classic exacerbation lasts up to several hours and is followed by prolonged coughing. In its most severe form, called status asthmaticus, the severe acute spasm may last for days or even weeks, and under these circumstances, compromised ventilatory function can lead to sequelae such as respiratory acidosis, or even death (Kumar et al., 2005).

Atopic asthma exacerbations are triggered by exposure to environmental antigens, to which the patient has been previously sensitized, such as dusts, pollens, animal dander and foods; however any antigen may be implicated. Antigen exposure in the lungs triggers the release of preformed chemical mediators, such as histamine, a potent bronchoconstrictor and inducer of acute inflammation. Additionally, the inflammatory response is marked by immunoglobulin E overproduction and increased production of Th2 cytokines (IL-4, IL-5, IL-9 and IL-13) by CD4+ lymphocytes. These mediators of acute inflammation recruit inflammatory cells, such as eosinophils, that release proteins, such as major basic protein and eosinophil cationic protein, which directly injure the bronchial epithelial cells and may lead to epithelial sloughing and proliferation (Young et al., 1986; Venge, 1998). Chronic asthma is thought to be due to recurrent exposure to these circulating cytokines and mediators of inflammation.

Chronic or recurrent bouts of acute inflammation and bronchoconstriction lead to the characteristic structural changes that manifest as altered composition and organization of the soft tissues (i.e., mucosa and submucosa) of the airway walls. All together these
superoxide anions (O$_2^-$) release transforming growth factor (TGF-)β produce cytotoxic effects on respiratory epithelial cells, attributed to the formation peroxynitrite (ONOO$^-$) and may lead to epithelial sloughing. Inflammatory mediators also induce the expression of the inducible nitric oxide synthase (iNOS). Increased NO has been shown to produce cytotoxic effects on respiratory epithelial cells, attributed to the formation peroxynitrite (ONOO$^-$), a highly reactive intermediate generated by a reaction of NO and superoxide anions (O$_2^-$). A portion of the NO produced by the epithelium can escape by diffusion to the gas phase and appear in the exhaled breath. Damaged epithelial cells release transforming growth factor β (TGF-β), which is associated with increased collagen production by fibroblasts within the extracellular matrix.

changes result in thickening of the airway wall due to inflammatory cell infiltration, smooth muscle hyperplasia and hypertrophy, subepithelial fibrosis, hyperemia, edema and goblet cell metaplasia (Vignola et al., 2003). These structural changes are referred to as airway remodeling (Fig. 1), and result in stiffening of the airway wall, narrowing or partial occlusion of the airways, and fixed airflow obstruction that is not responsive to bronchodilators or corticosteroids. Over time, the fixed airflow obstruction may lead to an increase in lung volume, which is reflected as a noticeable increase in the anterior–posterior diameter of the thorax.

Since the key feature of asthma pathogenesis is inflammation of both the proximal and distal lung airways, iatrogenic treatments have been based on reducing or eliminating the inflammation via the administration of anti-inflammatory agents. Historically, the treatment of choice in the management of asthma associated lung inflammation has been inhaled corticosteroids. However, the decision to initiate corticosteroid therapy is difficult for the clinician due to the potential side effects of this class of drugs. Furthermore, the treatment of asthma in most cases relies on reported symptoms and the results of lung function tests which assess airway patency (i.e., spirometry) (Revised GINA guidelines, 2002), both of which correlate poorly with airway inflammation (Lious et al., 1994; Kharitonov and Barnes, 2001; Ricciardolo et al., 2007). The lack of correlation implies that decisions regarding treatment, in particular anti-inflammatory intervention, may often be inappropriate, particularly in populations with limited access to healthcare in which more advanced tests (e.g., sputum eosinophilia) are not routinely available. Thus, there is a need for a new approach to assess the magnitude of inflammation in asthma, guide treatment decisions, minimize side effects, and reduce asthma morbidity (Pijnenburg and De Jongste, 2008). It is widely assumed that a surrogate marker of airway inflammation, e.g., exhaled nitric oxide (NO), will lead to better asthma control, particularly in the prevention of the airway wall remodeling that can lead to fixed airflow obstruction (Barnes and Kharitonov, 1996).

Fig. 1. Airway wall remodeling in asthma. In asthma, the inflammatory response is marked by increased production of Th2 cytokines such as interleukin-4 (IL-4), IL-5, IL-9 and IL-13, by CD4$^+$ lymphocytes (T-cells) and immunoglobulin E overproduction by CD20$^+$ lymphocytes (B-cells). These mediators of inflammation recruit inflammatory cells, such as eosinophils, that release proteins, such as major basic protein (MBP) and eosinophil cationic protein (ECP), which directly injure the respiratory epithelial cells and may lead to epithelial sloughing. Inflammatory mediators also induce the expression of the inducible nitric oxide synthase (iNOS). Increased NO has been shown to produce cytotoxic effects on respiratory epithelial cells, attributed to the formation peroxynitrite (ONOO$^-$), a highly reactive intermediate generated by a reaction of NO and superoxide anions (O$_2^-$). A portion of the NO produced by the epithelium can escape by diffusion to the gas phase and appear in the exhaled breath. Damaged epithelial cells release transforming growth factor β (TGF-β), which is associated with increased collagen production by fibroblasts within the extracellular matrix.

2. Sources of exhaled nitric oxide

Nitric oxide is an endogenous, reactive signaling molecule synthesized by the oxidative conversion of l-arginine to l-citrulline by NO synthases (NOS). Functionally, two NOS isoforms exist: constitutive and inducible. Three NOS isoforms have been identified [constitutive endothelial NOS (eNOS or NOSI), inducible NOS (iNOS or NOSII) and constitutive neural NOS (nNOS or NOSIII)] and all three are expressed within the respiratory tract (Suresh et al., 2007), eNOS is found within the bronchial columnar epithelium, type 2 alveolar squamous epithelium, nasal transitional epithelium and endothelial cells of the pulmonary vasculature. nNOS is located in the nerves that supply the lung parenchyma, mesenchyme and associated structures, and is also expressed in the airway epithelium. iNOS is located in bronchial columnar epithelium, type 2 alveolar squamous epithelium, endothelial cells of the pulmonary vasculature, bronchial and vascular smooth muscle cells, macrophages, mast cells, fibroblasts, eosinophils, basophils and neutrophils (Hamid et al., 1993; Asano et al., 1994; Gaston et al., 1994; Kharitonov and Barnes, 2001; Ricciardolo et al., 2004).

In general, nNOS and eNOS are expressed under physiological conditions and their activity is regulated by intracellular calcium and calmodulin. Production of NO by the constitutive isoforms occurs rapidly (seconds), is short lived and occurs in relatively small amounts (picomoles). In contrast the activity of iNOS is calcium independent and its expression is regulated at transcriptional level by the presence of proinflammatory stimuli and cytokines, such as IFN-γ, TNF-α, TNF-β, IL-1 and IL-13 (Morris and Billar, 1994). Compared to the constitutive forms, the maximum induction of iNOS occurs slowly (hours), is prolonged and generates much higher levels of NO (nanomoles) (Reginton, 2006). Thus the amount of NO produced within the lungs, and therefore that which appears in the exhaled breath, will potentially be the greatest in chronic inflammatory conditions such as asthma.
NO is a reactive, free radical gas that modulates many functions in the lungs such as smooth muscle tone (vascular and bronchial), ciliary function, non-cholinergic and non-adrenergic neurotransmission and inflammation (Ricciardolo, 2003). The inducible NOS isoform is purported to be heterogeneously over expressed in asthma and the locally increased production of NO is believed to have deleterious effects within the airways. For example, high concentrations of NO have been shown to produce cytotoxic effects on respiratory epithelial cells (Heiss et al., 1994). The cytotoxic properties of NO have been attributed to the formation of peroxynitrite, a highly reactive intermediate generated by a reaction of NO and superoxide anions (Gaston et al., 1994; Beckman and Koppenol, 1996). Peroxynitrite has been shown to cause epithelial desquamation, and has been shown to induce AHR in a guinea-pig model (Sadeghi-Hashjin et al., 1996). Therefore, NO has the potential to mediate cell injury and inflammation, with immunomodulatory effects that predispose AHR.

3. Measuring exhaled NO

In biological tissues, NO is highly reactive, making direct determination of NO very difficult. In the gas phase, however, NO is relatively stable. Thus, when NO is formed in the tissues of the airway wall, a portion escapes by diffusion into the lumen where it can be detected in the exhaled breath. The detection of NO in exhaled air was first reported in 1991 (Gustafsson et al., 1991). The most widely used method for measurement of exhaled NO is chemiluminescence. Chemiluminescence is a technique in which NO reacts with ozone to form nitron dioxide, a small fraction of which achieves a high energy state which emits a photon when it decays. A photomultiplier tube can easily detect these photons and the signal is proportional to the concentration of NO over several orders of magnitude. This method allows measurement to approximately 1 ppb (Mahut et al., 2004b). Electrochemical detection is another method to measure NO, in particular in the liquid phase, in which the electron flow created by the oxidation of NO on the surface of electrodes is measured.

Following the initial report of NO in exhaled breath in 1991, there were no formal guidelines for the collection and measurement of exhaled NO until 1997. Thus, techniques varied widely from mixed expired concentrations to dynamic oral and nasal exhalation profiles, vital capacity and tidal breathing maneuvers, and a wide range of exhalation flows. However, early research established a strong inverse relationship between the exhaled concentration of NO and the exhalation flow (Fig. 2), yet a positive relationship between the elimination rate (product of concentration and flow) and exhalation flow (Silkoff et al., 1997; Tzoukias et al., 1998).

In 1997, the European Respiratory Society (ERS) published guidelines for the collection of NO (Khairitoun et al., 1997), and the American Thoracic Society (ATS) followed shortly thereafter with a similar set of guidelines (ATS, 1999). The recommendations include several points regarding technique; however, perhaps the most critical factors were isolation of the nasal cavity and maintenance of a constant exhalation flow. The ERS chose an exhalation flow rate of 50 ml/s and pressure (>5 cm H2O) through the mouth-lung capacity, followed immediately by full exhalation at a constant exhalation flow rate (50 ml/s) and pressure (>5 cm H2O) through the mouth-lung capacity, following methacholine challenges and/or sputum inductions.

Another important feature of exhaled NO is the significant variability reported within a group of clinically similar individuals (e.g., healthy controls, mild persistent asthma, etc.). As with most biological signals, exhaled NO demonstrates a log normal distribution. At a constant exhalation flow of 50 ml/s, healthy adults usually have 35 ppb levels between 5 and 35 ppb (Olin et al., 2005), while children have levels that are slightly lower between 5 and 25 ppb (Buchwald et al., 2005). Analysis of this distribution reveals that the upper end of the 95% confidence interval is 35 ppb in adults and 25 ppb in children. This large range suggests many potential endogenous factors which can impact the exhaled concentration.

4. Exhaled NO and asthma

Some of the earliest observations connecting NO with asthma pathophysiology were measurements of NO in the exhaled breath (Alving et al., 1993; Khairitoun et al., 1994) approximately 14 years ago. These observations demonstrated that NO was a non-invasive biological marker, was significantly elevated (~3–4-fold) in mild untreated asthma, could be reduced to near normal levels following

![Fig. 2. Flow dependence of exhaled NO. Exhaled NO at three different flows (50, 100 and 200 ml/s) in an asthmatic patient. This plot demonstrates the inverse relationship between the exhaled concentration of NO and the exhalation flow. Vex/Vaw is the airway volume turnover, where Vex is the exhaled volume, and Vaw is the sum of exhalation flows. However, early research established a strong inverse relationship between the exhaled concentration of NO and the exhalation flow.](image-url)
corticosteroid therapy and likely reflected the inflammatory status of the lower airways (Alving et al., 1993; Kharitonov et al., 1994, 1995, 1996b; Artlitch et al., 1996; Garnier et al., 1996). These initial studies provided the foundation for many new investigations, at both the basic science and clinical levels, in an attempt to better understand the source and potential clinical utility of the exhaled NO signal. Several landmark studies demonstrated the complexity and uniqueness of exhaled NO relative to other endogenous gases (e.g., CO₂ and N₂O), such as a significant dependence on exhalation flow that could be attributed to a substantial airway source (Högman et al., 2000; Sacco et al., 2003; Silvestri et al., 1999, 2001, 2003; Steenbergen et al., 2003; Thomas et al., 2005), a significant nasal component (Lundberg et al., 1995; Kimberly et al., 1996), as well as an oral component (Olin et al., 2001).

Numerous correlative studies assessing the relationship between levels of exhaled NO and lung function parameters and other biological and physiological markers of airway inflammation followed with mixed results. Some suggested that a high level of NO reflected the inflammatory status of the airways by correlation with eosinophilia in peripheral blood and sputum (Mates et al., 1999; Silvestri et al., 1999, 2001, 2003; Sacco et al., 2003; Steenbergen et al., 2003; Barreto et al., 2005; Thomas et al., 2005), while others did not (Lim et al., 2000, 2001; Brinke et al., 2001; Brussee et al., 2005; Lex et al., 2005; Peroni et al., 2005). Furthermore, exhaled NO levels were found to be associated with eosinophilic airway inflammation as determined in bronchoalveolar lavage fluid (Lim et al., 1999), blood (Silvestri et al., 1999), and urine (Liou et al., 2000). However, in other studies no significant relationship has been seen between exhaled NO levels and eosinophils in bronchial biopsy specimens (Lim et al., 2000). There were also inconsistent reports as to whether exhaled NO correlated with spirometry, with some studies finding a correlation (Little et al., 2000; Silvestri et al., 2003) and the majority not (Stirling et al., 1998; Obata et al., 1999; Silvestri et al., 1999; Lim et al., 2001; Dal Negro et al., 2003; Spigel et al., 2005). Additionally, conflicting evidence regarding the correlation between exhaled NO levels and airway hyperresponsiveness to histamine or methacholine has been reported. A good correlation between exhaled NO and bronchial hyperreactivity has been shown by many investigators (Jatakanon et al., 1998; Salome et al., 1999; Dupont et al., 1998; Fabbri et al., 2003; Langley et al., 2003; Strunk et al., 2003); however, at least two studies show no correlation (van Rensen et al., 1999; Silvestri et al., 2000). Together these findings indicate that increased exhaled NO levels reflect some, but not all aspects of airway inflammation and that our knowledge of the underlying source and determinants of exhaled NO remain crude.

5. Use of exhaled NO to guide clinical decisions

Several studies suggest that FENO can be used to diagnose asthma (Dupont et al., 2003; Malmberg et al., 2003; Berkman et al., 2005). In the study by Dupont et al. (2003), among 240 steroid naïve asthmatics, exhaled NO levels were highly predictive of asthma with a sensitivity of 85% and a specificity of 90%. Diagnostic sensitivity was observed when the combination of an elevated exhaled NO and abnormal spirometry (FEV₁ < 80% predicted) was used to diagnose asthma, resulting in an even greater sensitivity (94%) and specificity (93%) (Smith et al., 2004). However, two recent studies suggest exhaled NO alone may not be effective for the diagnosis of asthma, due to confounding influence of other atopic conditions (Prasad et al., 2006; Welsh et al., 2007). Additionally, it is important to note that patients may fulfill the conventional clinical criteria for the diagnosis of asthma, and yet exhaled NO levels will be normal, especially in non-atopic subjects. This highlights the fact that asthma phenotype is heterogeneous, and that exhaled NO measurements provide a perspective on only one aspect of the “asthma syndrome” (Taylor et al., 2006).

Oral and inhaled corticosteroids have been shown to induce a rapid (Kharitonov et al., 1996a), reproducible (Silkoff et al., 2001), and dose dependent (Kharitonov et al., 1996c; Jatakanon et al., 1999; Jones et al., 2002) reduction of exhaled NO. Anti-leukotrienes have also been shown to reduce exhaled NO levels in asthma (Bisgaard et al., 1999; Bratton et al., 1999), albeit to a lesser extent than corticosteroids (Stirling et al., 1998; Bisgaard et al., 1999). Exhaled NO has also been used to monitor spontaneous asthma exacerbations (Massaro et al., 1995) and those induced by corticosteroid treatment reduction (Kharitonov et al., 1996d). In addition, longitudinal studies have begun to investigate the use of FENO as an effective non-invasive index of asthma control, potentially paving the way for it to be used to titrate the dose of, or predict the response to, corticosteroids (Szelifer et al., 2002; Pijnenburg et al., 2005; Smith et al., 2005; Zacharasiewicz et al., 2005). Moreover, at least two longitudinal studies have demonstrated that FENO was not predictive in reducing the dose of corticosteroid or predicting exacerbation (Leuppi et al., 2001; Shaw et al., 2007). The most recent study by Shaw et al. (2007) was the focus of an editorial (Taylor, 2007) in the Am. J. Resp. Crit. Care Med. that addressed the conflicting reports in the literature and concluded that “…clarifying the scope and interpretation of FENO measurements remains a highly relevant research endeavor.” This statement summarizes the need for a greater understanding, and perhaps a new approach (e.g., airway and alveolar NO partitioning), for utilizing the exhaled NO signal.

6. Characterizing the exhaled NO signal

Due to the exhalation flow dependence of the NO signal, current guidelines (American Thoracic Society) state that exhaled NO should be collected during a vital capacity maneuver (exhalation following maximum inspiration) while holding expiratory flow (50 ml/s) and pressure (>5 cm H₂O) constant. The resultant signal is indicative of NO production, consumption, and release throughout the lungs, and is measured in parts per billion. However, the exhaled NO concentration is inherently non-specific regarding the origin of NO in the lungs, as NO arises from production within the tissues of both the airway and alveolar regions (Robbins et al., 1994; Tsoukias and George, 1998). Furthermore, the recommended exhalation flow (50 ml/s) is low enough to cause the concentration to be predominately of airway origin; hence, the alveolar contribution is effectively ignored.

For example, two subjects with normal exhaled concentrations of 20 ppb at a flow of 50 ml/s (FENO) might have very different underlying mechanisms. One could have alveolar and airway contributions of 2 and 18 ppb, respectively, whereas the other subject’s pattern of NO production might be 6 and 14 ppb. In the later case, the alveolar concentration would be considered elevated and indicative of inflammation. Moreover, two subjects with a very high FENO of 80 ppb might have alveolar and airway contributions of 2 and 78 ppb, respectively, whereas the other subject might be 12 and 68 ppb. In this case, both individuals have elevated airway inflammation, which is easily detected by FENO, but the later subject also has elevated alveolar NO, and hence a different source of inflammation, which would remain undetected. In other words, the exhaled concentration alone lacks potentially important information about the alveolar concentration, which, even in disease, is normally only a small fraction of exhaled NO, particularly at an exhalation flow of 50 ml/s.

In order to better understand the utility and source of exhaled NO, Tsoukias and George (1998) published the two-compartment model (2CM) of NO exchange dynamics in the lungs (Fig. 3). NO exchange dynamics, which is easily detected by FENO, but the later subject also has elevated alveolar NO, and hence a different source of inflammation, which would remain undetected. In other words, the exhaled concentration alone lacks potentially important information about the alveolar concentration, which, even in disease, is normally only a small fraction of exhaled NO, particularly at an exhalation flow of 50 ml/s.
was assumed to be produced at a constant rate per unit volume and the model consists of two main compartments: (1) a rigid compartment representing the conducting airways and (2) an expandable compartment representing the respiratory bronchioles and alveolar region. The 2CM was able to reproduce the flow dependence of exhaled NO and partition the exhaled NO into an airway and alveolar contribution. The model essentially characterizes NO exchange dynamics with three flow-independent variables: mean steady-state alveolar concentration ($C_{ANO}$), the line over the variable denotes mean), mean airway diffusing capacity ($D_{awNO}$), and either the mean maximum airway flux ($J_{awNO}$), the prime denotes maximum flux) or the mean airway tissue concentration ($C_{awNO}$), the ratio of $J_{awNO}$/$D_{awNO}$.

The 2CM was attractive because the analytical solution could be easily adapted to create algorithms that analyzed breathing maneuvers with mathematical techniques in which exhaled NO could be partitioned into region-specific airway and alveolar contributions. This led to the rapid application of these techniques to characterize the airway and alveolar NO in a range of normal and pathological conditions including exercise (Shin et al., 2003), asthma (Silkoff et al., 1997; Högman et al., 2000; Lehtimäki et al., 2000, 2001a; Gelb et al., 2004; Shin et al., 2004b), chronic obstructive pulmonary disease (Högman et al., 2002b), cystic fibrosis (Shin et al., 2002) and scleroderma (Girgis et al., 2002).

In an effort to improve upon the 2CM of NO exchange, a series of advanced theoretical and experimental studies were performed to (1) validate model assumptions and simplifications, (2) to develop breathing techniques that can reliably estimate the parameters, and (3) to interpret the physiological and clinical significance of these parameters (Tsoukias et al., 1998, 2001; Shin and George, 2002; Shin et al., 2004b, 2005, 2007; George et al., 2004; Condorelli et al., 2007). Ultimately, this research led to a refinement of the 2CM, which considers the combination of steady-state flow conditions, the trumpet shape of the airway tree (increasing surface area per unit volume), and axial diffusion of NO in the gas phase. Research has shown (Shin and George, 2002; Shin et al., 2004a, 2005, 2006a) that these features of the lungs (trumpet shape and axial diffusion) are important determinants of NO exchange. The trumpet shaped component characterizes the airway geometry by appropriately scaling the lengths and diameters of Weibel’s data (Weibel, 1963) of the human airway tree, based on the volumes of the oral cavity, oropharynx and airway generations 0–16. The axial diffusion component takes into account the diffusion of NO in the gas phase from the airways (high concentration) to the alveolar region (low concentration) in accordance with Fick’s laws of diffusion. The gradient for diffusion is in the opposite direction of the exhaled flow. Thus, by taking into consideration the axial diffusion of NO, one can correct for the “back diffusion” of airway NO and resultant contamination of the alveolar region.

The trumpet model with axial diffusion (TMAD) of NO exchange includes the most relevant anatomical and physical features of the lungs (Condorelli et al., 2007). The model allows partitioning of the exhaled NO signal into its airway and alveolar components, using multiple steady-state flow exhalations that are readily performed by children as young as 4 years of age (Buchvald et al., 2005). Application of the TMAD of NO exchange is quite simple. In this technique, the steady-state elimination rate of NO at a constant flow (product of exhalation flow and concentration) is plotted as a function of exhalation flow. For exhalation flows >50–100 ml/s (depending on the airway volume of the subject), the model predicts a linear relationship in which the slope is equivalent to $C_{ANO}$, and the intercept approximates $J_{awNO}$ (Fig. 4). Thus increased airway NO flux should cause a higher intercept value and increased alveolar NO concentration should cause a steeper slope of the regression line.

7. Observations made from partitioning $FENO$

Numerous potentially clinically significant findings have been reported, highlighting the biological relevance of the exhaled NO.

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**Fig. 3.** Schematic of two-compartment model. During exhalation, a steady-state mean alveolar or peripheral concentration ($C_{ANO}$) enters the airway compartment (net transfer is convection minus diffusion) where upon additional NO is transferred from the airway walls. The alveolar or peripheral concentration represents the acinar region of the lungs (Weibel generations 17–23). The airway compartment represents the oropharynx and Weibel generations 1–16, and considers the increasing surface area per unit volume of the airway tree (i.e., trumpet shape). The contribution from the airways depends on the exhalation flow and is the sum of two terms: $\bar{J}_{awNO}$ or the mean maximum airway flux (pl/s). $D_{awNO}$ is the mean airway diffusing capacity (or conductance), $C_{ano}$ is the airway compartment gas phase NO concentration which depends on axial volumetric position (V), and the airway compartment volume is $V_{aw}$ (T: trachea; BR: bronchus; BL: bronchiole; TB: terminal bronchiole; RB: respiratory bronchiole; AD alveolar duct; AS: alveolar sac).
specific values for the coefficients “a” and “b” are described in Condorelli et al. (2007), and depend on the flow range utilized.

2002), asbestosis (Lehtonen et al., 2007), chronic obstructive pulmonary disease (Högman et al., 2002b; Brindicci et al., 2005; Roy et al., 2002b), severe atopic eczema (Linkosalo et al., 2007), cystic fibrosis (Shin et al., 2002; Suri et al., 2007), scleroderma (Girgis et al., 2004), liver cirrhosis (Delclaux et al., 2002) and primary biliary cirrhosis (Foley et al., 2007), sarcoidosis (Phansalkar et al., 2007), obstructive sleep apnea syndrome (Foresi et al., 2007), anaphylaxis (Rolla et al., 2006), allergic rhinitis (Högman et al., 2002a), patients undergoing cardiopulmonary bypass (Alexiou et al., 2007), patients undergoing cardiopulmonary bypass (Alexiou et al., 2007).

Shortly following the initial reports of increased airway NO flux, but normal alveolar NO concentrations in steroid naïve asthmatic adults, Lehtimäki et al. (2002) reported that asthmatic patients with nocturnal symptoms exhibit increased alveolar nitric oxide concentration in addition to increased airway NO flux, suggesting that patients with nocturnal symptoms have more peripheral inflammation. Serum levels of myeloperoxidase and IL-6 were found to be significantly greater in asthmatic patients with nocturnal symptoms, suggesting that nocturnal symptoms were related to neutrophilic activation and more active airway inflammation, respectively.

Lehtimäki et al. (2001b) also looked at the effects of inhaled corticosteroids on the flow-independent exhaled NO parameters in 16 steroid naïve adults with newly diagnosed asthma. Compared to normal adults, baseline measurements (i.e., before treatment with inhaled fluticasone) in the asthmatic group revealed that airway NO flux was increased, while the alveolar NO concentration was normal. Following an 8-week treatment with inhaled corticosteroids, no change was observed in the alveolar NO concentration but airway NO was decreased and this decrease reached significance following 1 week of treatment. As expected, asthma symptoms decreased following treatment with inhaled corticosteroids and the time course of reduced symptoms was similar to that of reduced airway NO flux. With respect to lung volumes obtained from spirometry, the authors found that treatment with inhaled corticosteroids improved FEV1% predicted and that there was a trend between the decrease in airway NO flux and increase FEV1% predicted, but this did not reach significance. Additionally, treatment with inhaled corticosteroids reduced serum levels of eosiinophilic cationic protein and eosinophil protein X. A trend was observed between the decrease in airway NO flux and the reduction of eosinophil cationic protein X, however this did not reach significance. This may be because exhaled NO and serum markers of eosinophilic inflammation reflect different aspects of asthmatic airway inflammation, since exhaled NO most likely reflects inflammation induced iNOS expression and serum markers reflect systemic eosinophilic activity.

Silkoff et al. (2000) and Shin et al. (2004b) also studied the effect of inhaled corticosteroids on exhaled NO. In agreement with Lehtimäki et al. (2001b) the authors reported that the use of inhaled corticosteroids was associated with a decrease in airway NO flux. Additionally, they also found that treatment with inhaled corticosteroids resulted in a reduced airway NO tissue concentration (CawNO). Interestingly, these two studies reported that the mean airway diffusing capacity of NO (DawNO) was elevated in both groups of asthmatic subjects and was independent of the use of corticosteroids. DawNO was also found to be inversely related with both FEV1 and FVC (% predicted), independent of the presence of asthma or steroid use. The studies suggested that DawNO may reflect physiologic changes in the lungs that impact lung function independent of the use of corticosteroids and may provide clinical information not available from FEV1 alone.

In a study assessing 53 nonsmoking, clinically stable individuals with mild-to-severe persistent asthma on inhaled corticosteroids and 13 nonsmoking, clinically stable asthmatic patients who were...
inhaled corticosteroid naive, by choice, Gelb et al. (2004) reported an increased airway NO flux and increased alveolar NO concentration in the asthmatic patients without isolated nocturnal symptoms, compared to normal controls. Upon administration of 30 mg prednisone for 5 days, in patients with asthma on inhaled corticosteroids, they noted a significant reduction in the elevated alveolar NO concentration but no significant change in airway NO flux. From these results they concluded that the concurrent increase of bronchial and alveolar NO concentrations in individuals with chronic asthma suggests separate, incompletely or non-suppressed inflammatory sites. Furthermore, the increased alveolar NO that was suppressed upon administration of a systemic corticosteroid suggests that inhaled corticosteroids may suppress iNOS in the large airways but do not penetrate beyond the distal airways into the alveolar region of the lung. A separate end-point of the study addressed the reproducibility of the alveolar NO measurements by making exhaled NO measurements within 10 days of each other. No statistical difference in the alveolar NO concentrations was observed, suggesting good reproducibility.

Lehtimäki et al. (2005) also conducted a study assessing the relationship between asthmatic symptoms (cough, shortness of breath, chest tightness or wheezing), airway NO flux and alveolar NO concentration. In the study, 63 nonsmoking patients with asthma symptoms were divided into two populations based on whether or not they fulfilled the diagnostic criteria of asthma: (1) asthma (n = 40) and (2) asthmatic symptoms (n = 23). Both groups had elevated airway NO flux; however, only the group with asthmatic symptoms but normal lung function had elevated alveolar NO concentration. In the asthmatic symptoms group the elevated alveolar NO concentration was positively correlated with urinary excretion of leukotriene E4 (LTE4) and negatively correlated with FEF 50% and FEF 75% (patients with the highest alveolar NO had the lowest FEF 50% and FEF 75%), suggesting that increased alveolar NO concentration is a measure of inflammation related to small airway disease. These results suggest that patients with asthmatic symptoms have airway inflammation that likely causes their symptoms and that the current tools used to diagnose asthma do not detect all patients with airway inflammation.

In a study of refractory asthmatic patients (asthma not controlled satisfactorily with inhaled corticosteroids), Berry et al. (2005) tested the hypothesis that a crucial element to the pathogenesis of refractory asthma is the presence of inflammation in the distal lung. Analysis of exhaled NO at multiple flows in 27 patients with refractory asthma revealed that despite receiving high dose inhaled corticosteroids, both airway flux and alveolar NO concentration remained elevated. With respect to markers of inflammation, alveolar NO correlated most closely with bronchoalveolar lavage eosinophil counts while airway NO flux was correlated most closely with sputum and bronchial wash eosinophil counts. No correlation was observed between alveolar NO and asthma control as assessed using Juniper asthma control score (Juniper et al., 1999). Treatment of refractory asthma patients with a 2-week course of systemic oral corticosteroids, but not a 1-month course of double the dose of inhaled corticosteroids, reduced alveolar NO concentrations. These findings suggest that alveolar NO is a measure of inflammation in the distal lung and is consistent with the view that refractory asthma is associated with distal lung inflammation. Furthermore, the study suggests, in accordance with the findings of Gelb et al. (2004), that inflammation in this site responds to oral but not inhaled corticosteroids. In a study of 17 adult patients with mild-to-moderate asthma and 14 adult patients with severe asthma, van Veen et al. (2006) investigated the relationship between partitioned exhaled NO and the following lung function tests: spirometry, body plethysmography, bronchial hyperreactivity test, and single breath nitrogen washout. Their results showed that alveolar NO is closely related to parameters of peripheral airway dysfunction, including FRC% predicted and RV/TLC% predicted, in patients with severe asthma, but not in patients with mild-to-moderate asthma. In contrast to other investigators they found no significant difference in alveolar NO levels between patients with mild-to-moderate and severe asthma. They attributed this finding to the large variability of alveolar NO levels amongst the patients with severe asthma in their study. Additionally, another dissimilarity was observed, they reported higher levels of alveolar NO in patients treated with oral corticosteroids as compared to those on inhaled corticosteroids. An explanation was that these patients had severe disease that was not fully controlled by systemic administration of corticosteroids and that asthma is a heterogeneous patient population.

Researchers have also looked at the role of exhaled NO at multiple flows in predicting adult asthma exacerbations. In a prospective study of 53 clinically stable (for at least 6 weeks) asthmatic patients with post hoc data analysis, Gelb et al. (2006) found that in patients with a decreased FEV1% predicted (<76%) there is an 85% chance of an exacerbation within 18 months. They also found that asthma exacerbations are associated with increased airway NO flux and alveolar NO concentration. Moreover, independent of baseline FEV1% predicted, increased alveolar NO was able to predict increased asthma exacerbations. These results stress the powerful potential role of partitioned exhaled NO in predicting acute asthma exacerbations.

7.2. Pediatric asthma

In a study designed to determine whether airway wall remodeling influenced exhaled NO in pediatric asthma, Mahut et al. (2004a) examined 28 children with refractory atopic asthma (frequent symptoms and/or airflow obstruction despite maximal conventional therapy) treated with an inhaled corticosteroid and a long acting beta-agonist or leukotriene antagonist. The refractory asthmatic patients were found to have an elevated airway NO flux and alveolar NO concentration. Alveolar NO concentration and airway NO flux correlated with both airway eosinophil inflammation (assessed by eosinophilic cationic protein) and remodeling (assessed by reticular basement membrane thickening). However, they found a negative relationship between exhaled NO and IFN-γ/IL-4 ratio, which is surprising considering the experimental findings suggesting that these cytokines stimulate iNOS expression. Mahut et al. (2004b) also investigated the relationship between exhaled NO and symptoms in 30 asymptomatic and 15 symptomatic (symptomatic was defined as having at least one symptom within 72 h of testing) asthmatic children. Symptomatic asthmatic children were found to have a significant increase in alveolar NO concentration when compared with normal and asymptomatic asthmatic children, suggesting that a concurrent increase in alveolar NO concentration and symptoms may indicate a loss of asthma control. However, there was no difference in FENO between the symptomatic and asymptomatic asthmatic subjects, limiting the interpretation of exhaled NO at a single flow.

In a study of 37 severe asthmatic children, De Blic et al. (2005) examined the relationship between exhaled NO and airway remodeling assessed by high-resolution computed tomography (HRCT). They found that bronchial wall thickening, estimated using HRCT, was correlated with reticular basement membrane thickening, measured following endobronchial biopsy, and NO production in the airway walls. Partitioning of the exhaled NO signal revealed that airway NO flux correlated with bronchial wall thickening. A study, by Paraskakis et al. (2006), using exhaled NO at multiple flows to characterize airway NO flux and alveolar NO concentrations in normal children (n = 25), atopic children (n = 24) and...
children with asthma (n = 83) revealed that the alveolar NO concentration was significantly elevated in children with asthma when compared to the other study groups. The airway NO flux was found to be elevated in both the asthmatic and atopic group when compared to normal subjects, however no statistical difference was seen between the asthmatic and atopic groups. Analysis of the distribution of values provides an estimate for the upper limit of normal for the airway NO flux and alveolar NO concentration as $\bar{F}_{awNO} (<2 nl/s)$ and $\bar{C}_{awNO} (<3 ppb)$, in children. Furthermore, the alveolar NO concentration was higher in the subgroup of children whose asthma was poorly controlled (based on bronchodilator use), suggesting that alveolar NO may be indicative of asthma control. Not surprisingly, $FE_{awNO}$ was found to correlate very well with airway NO ($r = 0.97$, $p < 0.0001$) and thus the authors concluded, airway NO provides little if any novel information. A poor correlation was observed between $FE_{awNO}$ and alveolar NO concentration, suggesting that the measure of alveolar NO provides unique information. This finding stresses the clinical usefulness of exhaled NO at multiple flows.

7.3. Summary

It has been shown in adults and children that the flow-independent parameters of NO exchange, i.e., airway NO flux and alveolar NO concentration, can be calculated by measuring exhaled NO at multiple exhalation flows, and then fitting a regression line to the plot of the elimination rate (product of exhaled NO concentration and flow rate) against the exhalation flow rate. The intercept and the slope of the regression line are estimates of the airway flux and alveolar NO concentration, respectively. These measurements have been shown to be reproducible in normal subjects as well as various lung pathologies, including asthma (Table 1).

Early studies in steroid naïve asthmatic adults demonstrate that the increase in exhaled NO concentration is associated with an increased airway NO flux but not the alveolar NO concentration. However, other studies in children and adults have reported an increased airway NO flux and increased alveolar NO concentration in asthmatic patients, suggesting distinct patterns of airway inflammation in asthma. These observations of increased alveolar NO are particularly relevant as such asthmatic patients have proven to be difficult to manage (e.g., refractory to inhaled corticosteroids) and are hospitalized more frequently. Intriguingly, a concurrent increase in airway NO flux and alveolar NO has been reported in patients with asthmatic symptoms, not fulfilling the diagnostic criteria of asthma. This suggests that a subgroup of patients with asthmatic symptoms have airway inflammation that likely causes their symptoms, and that the current tools used to diagnose asthma may be inadequate for some individuals.

Treatment of adult asthmatics with inhaled corticosteroids reduces the airway NO flux, and the alveolar NO tissue concentration ($\bar{C}_{awNO}$) to near normal, but has no effect on the alveolar NO concentration or the mean airway diffusing capacity of NO ($\bar{D}_{awNO}$), which remains elevated $\bar{D}_{awNO}$ and is correlated with worsening lung function. The observations of the airway parameters ($\bar{C}_{awNO}$ and $\bar{D}_{awNO}$) are interesting and perhaps clinically relevant, but even more exciting results have involved observations of the alveolar NO concentration. Administration of oral corticosteroids, but not high dose inhaled corticosteroids, to adult asthmatics has been shown to reduce an elevated alveolar NO concentration, implying that asthmatic patients with peripheral or alveolar inflammation may require alternate therapeutic regimens, especially as recent studies have demonstrated that knowledge of regional inflammation (e.g., linked to alveolar NO) can impact the choice of therapy (Gelb et al., 2004).

In adults and children with asthma the airway NO flux and alveolar NO parameters have been correlated with pulmonary and systemic markers of airway inflammation and airway wall remodeling. In patients with asthma there is no correlation between lung volumes obtained from spirometry and $FE_{awNO}$ suggesting that exhaled NO is not a marker of airflow obstruction in asthma. However, other studies have shown a correlation between elevated alveolar NO concentration and spirometric markers of small airway obstruction (Lehtimäki et al., 2005; van Veen et al., 2006).

8. Model limitations

It is important to note that much of the work reviewed in this article has been done with the model of NO exchange in the lungs that neglects gas phase axial molecular diffusion. The impact of axial molecular diffusion on the exhaled concentration, and in particular on the estimate of the alveolar concentration and airway wall flux, has been described in detail by our group (Shin et al., 2002, 2004a), and most recently by Condorelli et al. (2007) and Kerckx et al. (2008), in which experimental evidence and theoretical simulations were used to demonstrate that axial molecular diffusion of NO from the airways can falsely elevate the estimate of the alveolar concentration and decrease the estimate of the airway flux of NO.

The model used to partition NO into central and alveolar components assumes a single path trumpet structure; in other words, heterogeneity in ventilation and inflammation in the proximal or peripheral regions is neglected. Heterogeneity in ventilation and inflammation (NO production) in both the conducting airways (bronchi and bronchioles) and the acinar region (respiratory bronchioles to alveoli) of the lungs significantly alters the shape and magnitude of the exhaled NO profile (Suresh et al., 2008). The variability in ventilation and NO production is exaggerated in asthmatics, and may significantly influence the interpretation of exhaled NO. Therefore, the single path two-compartment model is an idealized representation of the lungs, and may not be adequate for some subjects, particularly those with significant heterogeneity in ventilation. In a recent study of 132 asthmatic children by Paraskakis et al. (2006), it was reported that the data from 15% of the subjects could not be fitted to the two-compartment model of NO exchange in the lungs. In some cases the relationship between NO elimination and exhalation flow was simply non-linear, and in other cases the intercept or the slope was negative (i.e., non-physiological

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Table 1: Partitioned exhaled NO reference values in asthma using slope–intercept method (Fig. 4)

<table>
<thead>
<tr>
<th>Reference</th>
<th>Asthmatics</th>
<th>Airway NO (nl/s)</th>
<th>Alveolar NO (ppb)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lehtimäki et al. (2000)</td>
<td>Adults (n = 10), steroid naive</td>
<td>$2.6 \pm 0.6^1$</td>
<td>$1.5 \pm 0.3$</td>
</tr>
<tr>
<td>Lehtimäki et al. (2001a)</td>
<td>Adults (n = 40), steroid naive</td>
<td>$2.5 \pm 0.3^3$</td>
<td>$1.1 \pm 0.2$</td>
</tr>
<tr>
<td>Gelb et al. (2004)</td>
<td>Adults (n = 53), ICS treated</td>
<td>$2.4 \pm 3.1^1$</td>
<td>$0.7 \pm 0.4$</td>
</tr>
<tr>
<td>Lehtimäki et al. (2005)</td>
<td>Adults (n = 65), steroid naive</td>
<td>$2.5 \pm 0.3^3$</td>
<td>$1.1 \pm 0.2$</td>
</tr>
<tr>
<td>Paraskakis et al. (2006)</td>
<td>Children (n = 52), ICS treated</td>
<td>$1.23$ (range, 0.2–0.9$^1$)</td>
<td>$2.22$ (range, 0.4–6.6$^2$)</td>
</tr>
</tbody>
</table>

ICS: inhaled corticosteroid.

$^1$ Significantly elevated vs. controls.
interpretation). The children who did not fit the model had significantly lower absolute and percent predicted FEV1 and higher FENO when compared to those who fit the linear model. The authors suggested that the model may fail in situations of profound heterogeneous ventilation, in which airway obstruction produces a range of airway emptying time constants that are not consistent with a single path model. We have recently published a multicompart-ment model structure that allows for heterogeneous ventilation and inflammation (Suresh et al., 2008), and may be useful in describing asthmatic subjects, in general, and in particular those for which the single path model is not appropriate.

The high FENO levels in the subjects who did not match the two-compartment model are likely the result of significant airway inflammation which may contribute to abnormal lung function and ventilation patterns. These subjects would easily be identified by the high FENO as having airway inflammation, and thus the two-compartment model may not be necessary. The most difficult subjects to identify are those with peripheral or alveolar inflammation, due to the relatively small level of NO when compared with the airway region. It is not clear yet whether these subjects have abnormal ventilation patterns necessitating a more advanced model.

9. Future directions

The research and clinical potential of partitioned exhaled NO is significant, but the success will critically depend on three major areas: (1) model structure, (2) breathing technique, and (3) longitudinal clinical trials. The model structure for NO exchange must maintain simplicity yet capture the necessary features of NO exchange dynamics. We and other groups have clearly demonstrated that gas axial diffusion of NO must be considered in the model, and experimental evidence suggests that heterogeneity in ventilation and inflammation must also be considered. Our most recent multicompart-ment model of NO exchange which captures ventilation and inflammation heterogeneity (Suresh et al., 2008) has yet to be tested in a large group of asthmatic subjects. Each advance adds complexity to the model making parameter characterization that much more difficult, yet potentially more relevant. The breathing maneuvers used to collect the exhaled NO and ultimately determine the signal into airway and alveolar components is equally important. While multiple single exhalations at different constant exhalation flows seems to be used by most studies, the technique can require between 6 and 15 breathing maneuvers depending on the desired accuracy of the parameters. We have described alternate multiple breath maneuvers and single breath maneuvers that involve a breath hold, but these may not be appropriate for subjects with compromised lung function. Perhaps the simplest maneuver is a single breath with a variable flow during exhalation (Tsoukas et al., 2001) which has the potential to provide an accurate assessment of airway and alveolar NO with minimal patient effort.

Finally, longitudinal studies which specifically address the clinical potential of partitioning exhaled NO are needed. These studies may address both the diagnostic and therapeutic potential. A recent study by our group proposes to separate asthmatic subjects into four distinct phenotypes based on their region-specific airway and alveolar NO concentrations (Puckett et al., 2008). The studies in asthmatic subjects strongly suggest that a peripheral inflammatory component exists in a subgroup(s) of asthmatics, which we hypothesize, may indicate a separate phenotype, and thus, a different clinical course and therapeutic strategy. Hence, the increased level of specificity provided by the flow-independent variables is important in utilizing exhaled NO as a research and clinical tool.

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